NOTICE OF OFFICE OF MANAGEMENT AND BUDGET ACTION

Date 04/29/2014

Department of Commerce
National Oceanic and Atmospheric Administration

FOR CERTIFYING OFFICIAL: Simon Szykman
FOR CLEARANCE OFFICER: Jennifer Jessup

In accordance with the Paperwork Reduction Act, OMB has taken action on your request received 03/12/2014

ACTION REQUESTED: Revision of a currently approved collection
TYPE OF REVIEW REQUESTED: Regular
ICR REFERENCE NUMBER: 201402-0648-007
AGENCY ICR TRACKING NUMBER:
TITLE: Comprehensive Socioeconomic Data Collection from Alaskan Communities
LIST OF INFORMATION COLLECTIONS: See next page

OMB ACTION: Approved without change
OMB CONTROL NUMBER: 0648-0626
The agency is required to display the OMB Control Number and inform respondents of its legal significance in accordance with 5 CFR 1320.5(b).

EXPIRATION DATE: 04/30/2017 DISCONTINUE DATE:

BURDEN:	RESPONSES	HOURS	COSTS
Previous	1,160	521	0
New	580	261	0
Difference			
Change due to New Statute	0	0	0
Change due to Agency Discretion	-580	-260	0
Change due to Agency Adjustment	0	0	0
Change due to PRA Violation	0	0	0

TERMS OF CLEARANCE:

OMB Authorizing Official: Dominic J. Mancini
Acting Deputy Administrator,
Office Of Information And Regulatory Affairs

List of ICs			
IC Title	Form No.	Form Name	CFR Citation
Alaska Community Survey - initial telephone recruitment			
Survey instrument	NA	Alaska Community Survey	
Followup telephone interview			

PAPERWORK REDUCTION ACT SUBMISSION

Please read the instructions before completing this form. For additional forms or assistance in completing this form, contact your agency's Paperwork Clearance Officer. Send two copies of this form, the collection instrument to be reviewed, the supporting statement, and any additional documentation to: Office of Information and Regulatory Affairs, Office of Management and Budget, Docket Library, Room 10102, 725 17th Street NW, Washington, DC 20503.

1. Agency/Subagency originating request
DOC/NOAA/NMFS

2. OMB control number b. [ ] None
a. 0648 - 0626

3. Type of information collection (*check one*)
a. [ ] New Collection
b. [✔] Revision of a currently approved collection
c. [✔] Extension of a currently approved collection
d. [ ] Reinstatement, without change, of a previously approved collection for which approval has expired
e. [ ] Reinstatement, with change, of a previously approved collection for which approval has expired
f. [ ] Existing collection in use without an OMB control number
For b-f, note Item A2 of Supporting Statement instructions

4. Type of review requested (*check one*)
a. [✔] Regular submission
b. [ ] Emergency - Approval requested by ____/____/____
c. [ ] Delegated

5. Small entities
Will this information collection have a significant economic impact on a substantial number of small entities? [ ] Yes [✔] No

6. Requested expiration date
a. [✔] Three years from approval date b. [ ] Other Specify:____/____

7. Title Comprehensive Socioeconomic Data Collection from Alaskan Communities

8. Agency form number(s) (*if applicable*)

9. Keywords "Fisheries", "Fishing"

10. Abstract

The proposed data collection will continue to provide systematic annual data over the next 10 years for the socioeconomic impact assessment of communities involved in North Pacific fisheries and will ensure that both commercial fisheries data and community level socioeconomic and demographic data are collected at comparable levels over space and time. Such data will facilitate analysis of regulatory impacts on communities and commercial, recreational, and subsistence fisheries, and proposed changes in fisheries management, both within and across North Pacific communities involved and engaged in fishing activities.

11. Affected public (*Mark primary with "P" and all others that apply with "x"*)
a. ___ Individuals or households d. ___ Farms
b. ___ Business or other for-profit e. ___ Federal Government
c. ___ Not-for-profit institutions f. P State, Local or Tribal Government

12. Obligation to respond (*check one*)
a. [✔] Voluntary
b. [ ] Required to obtain or retain benefits
c. [ ] Mandatory

13. Annual recordkeeping and reporting burden
a. Number of respondents 250
b. Total annual responses 580
1. Percentage of these responses collected electronically 0 %
c. Total annual hours requested 261
d. Current OMB inventory 521
e. Difference 260
f. Explanation of difference
1. Program change 260
2. Adjustment

14. Annual reporting and recordkeeping cost burden (*in thousands of dollars*)
a. Total annualized capital/startup costs 0
b. Total annual costs (O&M) 0
c. Total annualized cost requested 0
d. Current OMB inventory 0
e. Difference 0
f. Explanation of difference
1. Program change
2. Adjustment

15. Purpose of information collection (*Mark primary with "P" and all others that apply with "X"*)
a. ___ Application for benefits e. ___ Program planning or management
b. ___ Program evaluation f. P Research
c. ___ General purpose statistics g. ___ Regulatory or compliance
d. ___ Audit

16. Frequency of recordkeeping or reporting (*check all that apply*)
a. [ ] Recordkeeping b. [ ] Third party disclosure
c. [✔] Reporting
1. [ ] On occasion 2. [ ] Weekly 3. [ ] Monthly
4. [ ] Quarterly 5. [ ] Semi-annually 6. [ ] Annually
7. [✔] Biennially 8. [ ] Other (describe) ____

17. Statistical methods
Does this information collection employ statistical methods
[✔] Yes [ ] No

18. Agency Contact (person who can best answer questions regarding the content of this submission)
Name: Amber Himes-Cornell
Phone: (206) 526-4221

19. Certification for Paperwork Reduction Act Submissions

On behalf of this Federal Agency, I certify that the collection of information encompassed by this request complies with 5 CFR 1320.9

NOTE: The text of 5 CFR 1320.9, and the related provisions of 5 CFR 1320.8(b)(3), appear at the end of the instructions. *The certification is to be made with reference to those regulatory provisions as set forth in the instructions.*

The following is a summary of the topics, regarding the proposed collection of information, that the certification covers:

(a) It is necessary for the proper performance of agency functions;

(b) It avoids unnecessary duplication;

(c) It reduces burden on small entities;

(d) It used plain, coherent, and unambiguous terminology that is understandable to respondents;

(e) Its implementation will be consistent and compatible with current reporting and recordkeeping practices;

(f) It indicates the retention period for recordkeeping requirements;

(g) It informs respondents of the information called for under 5 CFR 1320.8(b)(3):

- (i) Why the information is being collected;
- (ii) Use of information;
- (iii) Burden estimate;
- (iv) Nature of response (voluntary, required for a benefit, mandatory);
- (v) Nature and extent of confidentiality; and
- (vi) Need to display currently valid OMB control number;

(h) It was developed by an office that has planned and allocated resources for the efficient and effective management and use of the information to be collected (see note in Item 19 of instructions);

(i) It uses effective and efficient statistical survey methodology; and

(j) It makes appropriate use of information technology.

If you are unable to certify compliance with any of the provisions, identify the item below and explain the reason in Item 18 of the Supporting Statement.

Signature of Senior Official or designee	Date

Agency Certification (signature of Assistant Administrator, Deputy Assistant Administrator, Line Office Chief Information Officer, head of MB staff for L.O.s, or of the Director of a Program or StaffOffice)	
Signature signed by Dr. Ned Cyr	Date 02/03/2014
Signature of NOAA Clearance Officer	
Signature BRABSON.SARAH.1365710488 Digitally signed by BRABSON.SARAH.1365710488 DN: c=US, o=U.S. Government, ou=DoD, ou=PKI, ou=OTHER, cn=BRABSON.SARAH.1365710488 Date: 2014.05.14 12:17:02 -04'00'	Date

SUPPORTING STATEMENT
COMPREHENSIVE SOCIO-ECONOMIC DATA COLLECTION FROM ALASKAN COMMUNITIES
OMB CONTROL NO. 0648-0626

A. JUSTIFICATION

1. Explain the circumstances that make the collection of information necessary.

This is a request for a revision and extension to an ongoing information collection.

Various federal statutes, including the Magnuson-Stevens Fishery Conservation and Management Act (MSA) and the National Environmental Policy Act (NEPA), among others, require agencies to examine the social and economic impacts of policies and regulations. National Standard 8 of the MSA specifically states that communities need to be considered when changes in fishing regulations are made, requiring that we "take into account the importance of fishery resources to communities" in order to provide for communities' sustained participation in fisheries and to minimize adverse economic impacts on fishing communities. Thus, the study of the 'human dimensions' of marine ecosystems and fisheries has been implemented over the last several years with the addition of social science staff within National Oceanic and Atmospheric Administration's (NOAA) National Marine Fisheries Service (NMFS). This addition has proven NMFS' commitment to understanding how people fit into marine resource management systems and has been followed by an increased effort to systematically collect data related to the human dimensions of marine resource use.

As part of this commitment and legal mandate, in 2005, the Economic and Social Science Research Program (ESSRP) of the Alaska Fisheries Science Center (AFSC) published *Community Profiles for North Pacific Fisheries – Alaska* (Sepez et al 2005). This document profiles 136 fishing communities in Alaska, providing basic information from the year 2000 on social and economic characteristics for each community. Given the wide range of users that rely on the profiles to make decisions about fisheries management in Alaska and that the information presented in the profiles is now over 10 years old, it is imperative that the information be updated and improved to reflect the current links between communities and fisheries, to best support the decision making process.

To begin the profile update process, ESSRP social scientists held community meetings in August and September 2010 in six regional hub communities (Anchorage, Unalaska/Dutch Harbor, Bethel, Nome, Petersburg, and Kodiak) to solicit community member input on how the community profiles can be better representative of the communities and their ties to Alaskan fisheries. In the process of holding the community meetings, a variety of relationships were forged between ESSRP team members and community representatives, who expressed a desire to be more intimately involved in the process of providing feedback on the profiles[1]. Much of the input received at the community meetings consisted of suggestions for new types of data that

[1] As a result of this request, ESSRP plans to send each community a copy of their revised profile in the event they would like to comment on the information included or add additional information about their community

should be included in the revised community profiles, to better represent the interests of communities in the fisheries management process. However, a large amount of the data requested by communities for inclusion is not obtainable from other sources other than directly from the communities themselves.

Furthermore, much of the existing economic data about Alaskan fisheries is collected and organized around different units of analysis, such as counties (boroughs), fishing firms, vessels, sectors, and gear groups. It is often difficult to aggregate or disaggregate these data for analysis at the individual community or regional level. In addition, at present, some relevant community level economic data are simply not collected at all. The NPFMC, the AFSC, and community stakeholder organizations have identified ongoing collection of community level economic and socio-economic information, specifically related to commercial fisheries, as a priority.

As a result of this information and the requests at the 2010 community meetings, OMB approval was sought for the proposed data collection in 2011 to provide systematic annual data over the next 10 years for the socio-economic impact assessment of communities involved in North Pacific fisheries and to ensure that both commercial fisheries data and community level socio-economic and demographic data are collected at comparable levels over space and time. Such data will facilitate analysis of regulatory impacts on communities and commercial, recreational, and subsistence fisheries, and proposed changes in fisheries management, both within and across North Pacific communities involved and engaged in fishing activities. The annual data collection has been implemented twice so far, in 2011 and 2012, and is expected to continue on a biennial basis starting in 2014.

The types of data that are being collected from communities include those based on recommendations from community representatives that participated in our community meetings and a subset of those which have been identified by the Comprehensive Socioeconomic Data Collection Committee of the NPFMC in the document titled *Comprehensive Socioeconomic Data Collection for Alaskan Fisheries: Discussion and Suggestions,* and represent the most important data to obtain from communities (*http://www.fakr.noaa.gov/NPFMC/summary_reports/datacollection407.pdf*). This includes information on community revenues based in the fisheries economy, population fluctuations, fisheries infrastructure available in the community, support sector business operations in the community, community participation in fisheries management, and effects of fisheries management decisions on the community. This data collection captures the most relevant and pressing types of data needed for socio-economic analyses of Alaskan communities. Given that the collection of most of the data in this survey was directly requested by fishing communities for inclusion, the project has a high level of support from the pool of potential respondents and is expected to result in a higher than average response rate.

In the MSA, Sections 301 and 303, the National Environmental Policy Act (NEPA), and Executive Order 12898, NMFS is required to provide social, cultural, and economic analyses of Federal management actions and policies to improve the Nation's fisheries. This data collection effort will meet these statutory and administrative requirements by providing resource managers with the information necessary to understand how new fisheries regulations could impact Alaskan fishing communities.

MSA

The following sections of the MSA pertain specifically to the requirements needing social and cultural data. Data collected in this effort will support current and future requirements (See attachment A).

1) National Standard 8 Sec 301 (a)(8) states:

   Conservation and management measures shall, consistent with the conservation requirements of this Act (including the prevention of overfishing and rebuilding of overfished stocks), take into account the importance of fishery resources to fishing communities by utilizing economic and social data that meet the requirements of paragraph (2), in order to (A) provide for the sustained participation of such communities, and (B) to the extent practicable, minimize adverse economic impacts on such communities.

2) Requirements for Limited Access Privileges Sec.303A. (c) (1) (C) states:

   … any limited access privilege program (LAPP) to harvest fish submitted by a Council or approved by the Secretary under this section shall promote:
   … (iii) social and economic benefits.

3) Sec. 303A (B) PARTICIPATION CRITERIA – *In developing participation criteria for eligible communities under this paragraph, a Council shall consider -*
   (i) traditional fishing or processing practices in, and dependence on, the fishery;
   (ii) the cultural and social framework relevant to the fishery;
   …(iv) the existence and severity of projected economic and social impacts associated with implementation of limited access privilege programs on harvesters, captains, crew, processors, and other businesses substantially dependent upon the fishery in the region or subregion;

4) Sec. 404(a) refers to:

   …..acquire knowledge and information including statistics, on fishery conservation and management and on the economic and social characteristics of the fishery.

   The act clarifies this in Sec 404(c) (3) indicating

   Research on fisheries, including the social, cultural, and economic relationships among fishing vessel owners, crew, United States fish processors, associated shoreside labor, seafood markets and fishing communities.

To achieve the goals, NMFS and the councils that ultimately manage commercially utilized marine resources require a clear understanding of the stakeholders involved in this process. In order for social science to best inform policy and meet the legal requirements of MSA, scientists

working for NMFS must be able to carry out research like that proposed for continuation of this project in a timely fashion so that it can be utilized to inform management decisions.

NEPA

NEPA requires Federal agencies to consider the interactions of natural and human environments, and the impacts on both systems of any changes due to governmental activities or policies. This consideration is to be done through the use of 'a systematic, interdisciplinary approach that will ensure the integrated use of the natural and social sciences . . . in planning and in decision-making. . .' (NEPA Section 102(2)(A)). Under NEPA, an Environmental Impact Statement (EIS) or Environmental Assessment (EA) is required to assess the impacts on the human environment of any federal activity. NEPA specifies that the term 'human environment shall be interpreted comprehensively to include the natural and physical environment and the relationship of people with that environment' [NEPA Section 102 (C)].

Under this Federal mandate, NMFS must address the effects on the human environment of any action, including the approval of fishery management plans. It must also evaluate a series of alternatives in terms of the potential social impacts of such actions. The cumulative impacts of Federal actions must also be taken into account. In order to improve the current level of information used by the councils to produce these assessments, NOAA social scientists need to collect qualitative and quantitative data, such as that provided by this data collection, which will allow us to evaluate impacts of approved fisheries management programs over time.

Executive Order 12898

The Executive Order 12898 of February 11, 1994 on Environmental Justice requires Federal agencies to consider the impacts of any action on disadvantaged, at risk and minority populations to evaluate these impacts, information about the vulnerability of certain stakeholders must be better understood. Indicators of vulnerability can include but are not limited to income, race/ethnicity, household structure, education levels and age. Although some general information related to this issue is available through census and other quantitative data, these sources do not disaggregate those individuals or groups that are affected by changes in marine resource management or the quality of the resource itself. Therefore, other types of data collection tools must be utilized to gather information related to this executive order.

2. Explain how, by whom, how frequently, and for what purpose the information will be used. If the information collected will be disseminated to the public or used to support information that will be disseminated to the public, then explain how the collection complies with all applicable Information Quality Guidelines.

Information from this collection are being used by NOAA social scientists at the AFSC and Alaska Regional Office, and by staff at the NPFMC, to meet the requirements of the regulations discussed in Part A, Question 1 above. The information sought is of practical use, as NOAA social scientists will utilize the information for descriptive and analytical purposes. The results of the research are also available for use by the NPFMC, in their role in fisheries management. In addition to direct fisheries management utility, this research and the resultant data may be

utilized in increased and future ecosystem management efforts. These efforts include the development of various ecosystem models which incorporate various socio-economic indicators and other social information. The results of this research to date and in the future will increase the availability of social data to the extent that it may significantly benefit new research efforts in ecosystem modeling. The principle form of the results of this collection will be a continued update to the *Community Profiles for North Pacific Fisheries – Alaska* document (Sepez et al 2005) and will be supplemented by data from existing sources (e.g., 2010 U.S. Census, Alaska Commercial Fisheries Entry Commission, Alaska Department of Fish and Game, National Marine Fisheries Service Restricted Access Management Division, and Alaska Division of Community and Regional Affairs). The updated profiles and the results of this data collection are also available for public use to support community development, other research concepts and future research design.

The data will continue to be collected on an annual basis in order to show changes in the data over time. The frequency of the use of the data is unknown at this time and is dependent on the regulatory actions required in the future as well as public use. With that said, as this type of data has been historically unavailable, it is expected that the availability of this type of information will have high utility both for fisheries managers that are mandated to undertake socio-economic impact analyses of potential regulations, and by Alaskan fishing communities themselves in understanding their own dependence on fishing and socio-economic structure.

As with the original data collection, the primary data collection tool is a survey instrument. The survey instrument will collect social and economic information at the community level, which are currently unavailable. This information will continue to be collected from the city and tribal government offices of each community. The goal of the survey instrument is to provide information on the importance of fishing to communities in Alaska to be included in the updated community profiles. Aggregate data from the survey instrument can be used to describe demographics of Alaskan fishing dependent communities, fishing related businesses, and the importance of fishing to various regions of Alaska. The information may be used to give communities a voice in the decision making process. The survey instrument was designed after conducting secondary research to determine what needed data are not already available, consulting with experts in survey research design, and conducting in-depth cognitive interviews with interested community members to test the survey instrument and to ensure that all of the questions are clear and can be answered easily by the respondents. The survey instrument is designed to provide community-specific information by inserting the community name into the questions to make it clear to the respondent which community they are being asked about.

Slight revisions were made to the originally approved survey instrument. Five questions were added. In the revised survey instrument, these represent Q6, Q7, Q8, Q9 and Q9a. As explained below, these questions are intended to provide information on the connections between fishing communities within subregions of Alaska and across the state. In addition, the previously numbered Q17 (now Q20) was moved up two pages strictly for formatting purposes. The previously numbered Q24 and Q24a (now Q28) and Q25 and 25a (now Q29) were combined to reduce the overall number of questions and simplify the response to the questions. Formatting was also changed to provide more space for respondents in questions that require a written response.

The following is a discussion of how individual questions in the survey instrument will be used.

- Q1 collects information about how many people live the community as year round residents, as seasonal workers or transients, and as year round residents that work in a shore-side processing plant. The U.S. Census does not differentiate between residents that live in a place year round or that are seasonal residents. The data collected in this question will facilitate an understanding of the difference between the types of residents in terms of reliance on social services and participation in civic activities.

- Q2 provides information on which months per year seasonal workers live in the community. The ebb and flow of seasonal worker residents can have a strong impact on the population of a given community. The information collected from this question will assist in understanding the link between the peaks and troughs in fisheries participation and temporal impacts of fisheries management decisions on the social structure of a given community.

- Q3 requests information on the length of the fishing season(s) those residents of the community participate in. The information gathered from this question will be used to facilitate an understanding the temporal effect fishing has on a given community, economically, culturally and socially.

- Q4 asks for the month(s) that the community's population reaches its annual peak. Responses to this question will be used to map out the population over time and determine what months of the year will have the largest burden on civil services.

- Q5 is used to determine the degree the community's annual peak in population is driven by employment in the fishing sector. Responses to this question will be used to map out the population over time and determine what months of the year will have the largest burden on the fishing-related infrastructure and support services.

- Questions Q6 through Q9a will provide information on the connections, and insight into the relationships, between fishing communities regionally and statewide. These questions aim to identify clear components of community dependence networks such as how communities share knowledge and resources, transportation links between communities, the flow of goods and services between communities, and sharing of educational institutions. Changes in the characteristics of the North Pacific fisheries as a result of fisheries management changes may alter the connections and relationships in these fisheries. Scientific literature speaks to these changes (McCay 1995, Dunham et al 2013). Data retrieved from these questions will serve multiple purposes, including insight into relationships between communities across the state as well as the ability to measure social change among communities that may be connected with changes in fisheries management.

- Q10 collects information about the infrastructure available in the community and whether it was completed in the last 10 years, is currently being constructed, is planned for completion in the next 10 years, and the year of completion. Representatives from Alaskan fishing communities have indicated that the availability of local infrastructure is imperative for the sustained existence of a given community. The information collected in this question will be

used to respond to this request and as an indicator of vibrancy and resiliency of a given community and the quality of economic performance of a local fishery.

- Q11 and Q12 provide information on the availability of public dock space for moorage of permanent and transient vessels (Q7) and the maximum length of vessels that can moor in the community (Q8). Responses will be used to assess the capacity of each community to host fishing vessels and generate revenue from public moorage facilities. If the availability of moorage space changes over time, this could be an indicator that something is happening to local participation in fisheries.

- Q13 requests information about the annual revenue that public moorage facilities earned in the previous calendar year. Responses will be used as a quantitative indicator of vessel transit activity and revenue generation from public moorage facilities for each community. This source of public revenue can directly feed into the community's municipal finances and be earmarked as a direct benefit of fishing to the community. As a result, changes in fisheries management could have an effect on municipal finances if moorage revenue goes down from fewer or smaller vessels utilizing public moorage facilities. This type of information will be used to assist in the analysis of impacts of proposed fishing regulations or allocations that are based on vessel size.

- Q14 is used to determine the types of regulated vessels that the community's port is capable of handling. Responses will be used to describe the non-fisheries fleet activity in a community. This type of information will be used to measure the resiliency of communities in the face of changes in fisheries management and with regards to the diversity of the economic base that supports the port services. This is important in looking at the amount of moorage space available as regulated vessels could account for a high level of dock space available when fishing is not heavily present in a community.

- Q15 collects information on the types of commercial fishing boats that use the community's port during the fishing season as their base of operations. Responses to this question will be used to assist in describing the local fishing fleet's contribution to the local economy. The home port listed on the vessel registration most often does not reflect where the vessel is based during the fishing season, and thus to which local economy the vessel is contributing to during the fishing season. Since there are no known records of which fishing vessels use which communities as their base of operations and since it would be too onerous to ask harbormasters or community officials to list which vessels use their community in a given year, the data from the questions in this survey with regards to a community's capacity to host commercial fishing vessels will be used to form assumptions about the effect commercial fishing has on a community's economy. In addition, the capacity of a community to host certain sizes of vessels will be used as an indirect multiplier of what effects fisheries management actions based on size class might have.

- Q16 and Q16a provide information about the trends in the number of different types of vessels that are based in the community compared to five years ago. The responses to this question will be used as one method of tracking the trends of the local vessel types over time.

- Q17 and Q18 ask for the type of recreational or sport fishing that occurs in the community (Q17) and the saltwater species that are targeted (Q18). The information collected from this question will be used to describe the presence of recreational fishing in each community so that a community's dependence on recreational fishing can be determined.

- Q19 is used to determine the types of fishing gear used by commercial fishing vessels based out of the community. This question will aid in describing the effects of fishing regulations that are based on fishing gear type per community and describing the commercial fishing fleet that uses each community during the fishing season.

- Q20 is used to determine the three most important subsistence marine or aquatic resources the residents of the community rely on. The Alaska Department of Fish and Game (ADF&G) does not undertake subsistence harvest surveys on an annual basis. The results of this question will be used to gain an understanding of what aquatic resources a community might rely on for subsistence purposes. In general, communities have expressed concern that not enough data is collected on the subsistence activities of Alaskan communities. The purpose of this question is to document that subsistence harvesting is important to communities and will be used to show differences between the subsistence resources that communities rely on in different regions of the state.

- Q21 collects information about the types of fishing support businesses located in the community. The information collected from this question will be used to provide insight into how each community contributes to fishing both locally and regionally. The hypothesis is that changes to services in a regionally important community hub will have a multiplier effect in that they will affect not only their own community but also all of the satellite communities that rely on the services in the hub to keep fishing operations active. This question will also aid in determining the social organization of remote communities in Alaska by identifying which communities serve as service hubs for fishing.

- Q22 provides the location(s) of the communities that local residents go to for fishing support businesses that are not located in the community. The communities provided as answers to this question will be used to provide insight into which communities are considered hubs for fishing related services in a given region.

- Q23 asks for information about the public social services that are available in the community. This question will be used to discern which public social services exist are available both to residents and individuals that might be stranded in the community.

- Q24 requests information about the natural resource-based industries that the community's economy relies on. The results of this question will aid in understanding the diversity of each community's economy and natural resources that a given community might have to support itself in the event that fishing does not bring in adequate money or food. In addition, this data will be used to evaluate the resiliency of a community's economy and alternate sources of jobs.

- Q25 and Q26 collect information about funding or grants that the community received from fisheries-related taxes or fee programs and from Community Development Quota entities in the previous calendar year. The results from this question will be added to all other known community revenue streams to determine the total amount of revenue that a community receives related to fishing related activity. This data will be used to understand the total benefit that a community receives from fishing and assist in understanding how positive or negative changes to this revenue stream from fisheries management decisions might affect a community's ability to provide community services. In addition, each revenue stream type will be converted to a percentage of the total municipal budget in order to determine its strength as an indicator of a community's dependence on fishing.

- Q27 asks for information about the community's public services that are at least partially funded by a local raw fish tax, the state Shared Fisheries Business Tax, the state Fisheries Resource Landing Tax, or marine fuel sales tax. The responses will be used to understand which community services are dependent on fisheries-related revenue, and thus which community services might be affected by changes in revenue caused by fisheries management decisions.

- Q28 requests information about additional local fishing-related fee programs charged to the fishing industry that specifically supports public services and infrastructure. The responses will be used to determine local fishing related revenue streams that might be affected by fisheries management decisions. Community representatives have been requesting for years that fisheries managers take into account such municipal fee programs that are susceptible to changes in fishing activities and incorporate potential impacts to those revenue streams into socio-economic impact analyses for potential fisheries management changes. The results of this question will be used to direct analyses of this type of impact.

- Q29 is used to determine how the community participates in the fisheries management process in Alaska. Since this data collection will happen on an annual basis, the results will be used to understand the level of participation that a community has in fisheries management as data from each year is collected. It is hypothesized that the more ways and professionalized a community's participation is in these processes; the more likely their local concerns are to be considered in the fisheries management process. An individual conducting a socio-economic impact analysis needs to understand which communities do not participate as much so that their impact analysis can pay particular attention to those communities that might be least able to represent themselves. The importance of community participation in fisheries management was brought up as a significant concern at the community profile update community meetings as something that communities want fisheries managers to understand about them.

- Q30-33 collect information about the current challenges for the portion of the local economy that is based on fishing (Q26), the effects of fisheries policies or management actions on the community (Q27), the past or current fisheries policy or management action that has affected the community the most (Q28), and the potential future fisheries policy or management action that concerns the community the most. The responses will be used to understand what

fisheries management issues are most important to and are affecting each community from their perspective and to qualify the cumulative effects of fisheries management actions in compliance with NEPA.

- Q34 provides information on the individuals in the community that contributed to filling out the survey. The responses to this question will be used to analyze the likely representativeness of the subjective questions included in the survey.

- At the end of the survey, blank space is provided in the event the respondent would like to include any additional information that the respondent would like to provide NOAA and the AFSC about how the community is engaged in or affected by fisheries. The information included here will be used to identify any additional issues that communities have with regards to their involvement in fishing that were not addressed in the survey but that they would like AFSC to understand and know about.

An advance letter will be sent out explaining the data collection to potential respondents. In addition, telephone contact will be made with each potential respondent to recruit participation and provide further information about the importance of their response. Following an initial mailing of the survey and postcard follow-up, we will contact non-respondents by telephone o encourage them to complete the mail survey[2] and to collect limited information from those who decide not to participate in the mail survey at all[3]. The information provided by these non-respondents can be compared with that from respondents to address issues concerning non-response bias. Publically available information about each community will be used to statistically test whether non-respondent communities differ from respondent communities with respect to socio-economic demographics. This information can be used to evaluate and adjust the results for potential non-response bias among sample members.

It is anticipated that the information collected will be used by the NPFMC to inform decision making, disseminated to the public or used to support publicly disseminated information. NMFS will retain control over the information and safeguard it from improper access, modification, and destruction, consistent with NOAA standards for confidentiality, privacy, and electronic information. See response to Question 10 of this Supporting Statement for more information on anonymity, confidentiality and privacy. The information collection is designed to yield data that meet all applicable information quality guidelines. Prior to dissemination, the information will be subjected to quality control measures and pre-dissemination review pursuant to Section 515 of Public Law 106-554.

3. Describe whether, and to what extent, the collection of information involves the use of automated, electronic, mechanical, or other technological techniques or other forms of information technology.

The survey data collection does not utilize any specialized information technology.

[2] Those needing a replacement survey will be mailed one following the telephone interview.
[3] In the telephone follow-up, a limited amount of information may also be collected from those agreeing to return the mail survey.

4. <u>Describe efforts to identify duplication</u>.

NMFS social scientists and contractors have been working closely with regional academics, community based organizations, industry groups, and other parties interested in this type of information. Reviews of existing information are common practice when initiating social science studies. A thorough literature review has identified where similar studies have been initiated and will ensure that efforts are not duplicated. The information collected in this survey is not collected by other Federal, state, or local agencies. We have informed the NPFMC, the NMFS Alaska Regional Office, and the Alaska Division of Community and Regional Affairs about this project. None of these entities have conducted or are conducting similar economic data collections

An overall strategic research plan will also guide this process and ensure that all data collected is relevant, new, and essential for achieving NMFS social science goals. Research conducted in the Alaska community profiling project has been utilized as a source and guide for information to support this effort. As stated previously, these profiles are expected to be updated on a regular basis and will include the information included in this survey. Although the data currently included in the original profiles provides a very important baseline for Alaskan fishing communities, it is missing key socio-economic indicators specific to the fishing dependence of the communities profiled. The proposed data collection is necessary to fill this void in future revisions to the profiles, and to address communities' preferences for improving the community profiles and having their voices heard by the NPFMC, NMFS, and AFSC.

5. <u>If the collection of information involves small businesses or other small entities, describe the methods used to minimize burden</u>.

The collection does not involve small businesses or other small entities.

6. <u>Describe the consequences to the Federal program or policy activities if the collection is not conducted or is conducted less frequently</u>.

Without current information on the involvement in fishing and importance of it to Alaskan communities, NMFS and the NPFMC will be unable to adequately understand impacts of fisheries policy and management decisions on Alaskan communities, particularly those who do not regularly attend public meetings, but are nonetheless affected by the decisions.

The federal mandates and executive orders described in Part A, Question 1 above require the analysis of the impacts that government actions have on the individuals and communities involved in fishing and marine resource related activities. Socio-economic impact assessments, analysis of the affected human environment, cumulative impacts, as well as the distribution of impacts with a special emphasis on vulnerable or at risk communities, are all examples of these requirements. The ability of NOAA Social Scientists to adequately respond to this charge rests on access to timely and relevant information about the stakeholders involved.

A significant concern related to the quality of these analyses is the risk of being vulnerable to litigation for not fulfilling these mandates and executive orders. Therefore, not collecting this

information may lead to incomplete representation of the communities affected by fisheries policies and management decisions in Alaska. This could impact the decision making process and negatively impact the communities subject to the decisions.

7. Explain any special circumstances that require the collection to be conducted in a manner inconsistent with OMB guidelines.

The data collection will be consistent with OMB guidelines.

8. Provide information on the PRA Federal Register Notice that solicited public comments on the information collection prior to this submission. Summarize the public comments received in response to that notice and describe the actions taken by the agency in response to those comments. Describe the efforts to consult with persons outside the agency to obtain their views on the availability of data, frequency of collection, the clarity of instructions and recordkeeping, disclosure, or reporting format (if any), and on the data elements to be recorded, disclosed, or reported.

Originally, a Federal Register Notice published on September 28, 2010 (75 FR 59687) solicited public comment on this proposed information collection. One comment was received that expressed concern that the proposed data collection was only aimed at fishing communities in Alaska and did not include fishing communities in Washington and Oregon that are responsible for the majority of fishing in Alaska and did not account for the benefits that Alaskan communities receive from the Western Alaska Community Development Quota (CDQ) Program. An email response explained that NMFS' Northwest Fisheries Science Center published profiles for communities in Washington and Oregon with the information requested and that data regarding CDQ Program already exists and does not need to be collected again by this proposed data collection.

A second Federal Register Notice published on August 20, 2013 (78 FR 51145), in order to solicit public comments on the request for renewal of OMB approval for the survey. No comments were received during the public comment period.

For the original submission, several individuals outside AFSC were consulted about elements of the survey, availability of existing data, data to collect, and other aspects of the project. These included staff at the NPFMC, NMFS Alaska Regional Office, and the Alaska Division of Community and Regional Affairs who have experience collecting data about Alaskan fisheries and working with fishing communities in Alaska.

For the revision/extension, in late 2012/early 2013 after the second year of implementation, four community representatives were consulted regarding the validity of the estimated burden hours that were calculated for the first two implementations of this survey. These contacts confirmed that the burden estimates given here are appropriate.

9. Explain any decisions to provide payments or gifts to respondents, other than remuneration of contractors or grantees.

There are no plans to provide any payment or gift to respondents.

10. <u>Describe any assurance of confidentiality provided to respondents and the basis for assurance in statute, regulation, or agency policy</u>.

The questionnaire was designed in a manner to keep the information provided anonymous and asks respondents about a specific community or tribe rather than about themselves. No personally identifiable information is included on the questionnaire. The questionnaire will contain code numbers that will be associated with the community or tribe being asked about rather than the respondent. Respondent names will be kept in a separate document, not linked to the survey proper. Respondent names are being kept for the purpose of avoiding duplication of survey respondents. In the cover letter accompanying each mailing, respondents will be told that their responses are voluntary and will be kept anonymous. The cover page of the survey will also include the following statement:

Your participation in this survey is voluntary. All responses are anonymous.

11. <u>Provide additional justification for any questions of a sensitive nature, such as sexual behavior and attitudes, religious beliefs, and other matters that are commonly considered private</u>.

Only one area of the survey contains issues of a potentially sensitive nature that will be explored. This is listed and discussed below.

1. <u>Business Information</u>: Survey questions inquire about business characteristics of the communities being surveyed. These questions are necessary to understanding each community's involvement in fishing. None of the business information requested in the questionnaire can be linked back to the financial characteristics of individual businesses.

12. <u>Provide an estimate in hours of the burden of the collection of information</u>.

A total of approximately 521 burden hours will be accumulated from the full survey implementation every two years. The survey will be sent to representatives of 250 Alaskan fishing communities biennially, composed of the 136 communities that were profiled in the 2005 *Community Profiles for North Pacific Fisheries – Alaska* and the remaining 114 populated communities involved in commercial fishing that were considered for inclusion in that document, but did not meet the selection criteria (Sepez et al 2005) for inclusion in the study. The survey will be sent to the city or village office and the office of the village council, for a total of 500 potential respondents. Where a village council office is not present, the survey will be sent to a regional Native corporation that represents the village. Where known, the survey will be directed to a specific person (e.g., mayor, city manager, or village council president) to facilitate completion and mail it back. To be conservative, we assume that addresses for local government offices will not change, which means that the number of respondents receiving the survey will be 500.

In general, a response rate of 60% is expected for mail surveys sent to the general population (Salant and Dillman 1994, pp. 43-44; Rea and Parker 2005, pp. 9-11; Dillman et al 2009, pp. 59). In the first two years of implementation, this response rate was exceeded. In 2011, the response

rate was 66% and in 2012, the response rate was 75%. Based on this experience and given the highly specialized nature of the sample population for this study, we expect that future years of implementation will continue to have high response rates.

We expect approximately 45% of the population to have returned completed surveys following an advance letter, telephone recruitment call, initial mailing and postcard reminder, including 30% from the initial mailing and 15% from the postcard reminder, or 225 returned surveys. Past experience with Alaskan fishing community representatives and experience during the first two years of implementation has shown that telephone contact is the most effective method to get their specific input, hence the inclusion of a telephone recruitment call to increase potential response rate before the initial mailing is sent out. In addition, we expect that a follow-up telephone contact will account for up to an additional 25% response rate, or 125 returned surveys. The follow-up telephone contact serves to increase the number of mail responses as well as gather information by telephone needed to estimate the impact of non-response. Community representatives that need a replacement questionnaire will be identified and sent a new one.

For the purpose of receiving approval for an adequate ceiling of burden hours, we assume that no more than 450 or 90% of potential respondents will complete the survey. In addition, while cognitive interviews showed that individual surveys can be completed in 45 minutes, we assume that the survey will take one hour to complete. As a result, those ultimately completing the survey are expected to contribute up to 450 hours to the overall hour burden: 290 from the initial mailings (58% of potential respondents) and 160 from the follow-up phone contact (32% of potential respondents). Additional burden hours are expected from the telephone recruitment call with all 500 potential respondents and follow-up telephone contact with the 210 potential respondents that have not yet completed the survey. Given that phone numbers for municipal and tribal offices are publically available on the internet, we expect that attempts will be made at contacting all potential respondents. To be conservative, it is assumed that all of the potential respondents will be reached. Both the telephone recruitment call and the follow-up telephone call are expected to take six minutes on average to complete. As a result, the telephone recruitment call will contribute approximately 50 hours of burden and the follow-up phone contact approximately 21 hours of burden.

Description	Estimated No. of Respondents	Estimated No. of Responses	Estimated Time per Respondent (minutes)	Estimated Burden Hours
Initial telephone recruitment	500	500	6	50
Mail survey (from initial mailing, postcard reminder, and full follow-up mailing)	290	290	60	290
Follow-up telephone survey	210[a]	210	6	21
Mail survey (from follow-up telephone contacts)	160	160	60	160
Total Biennial Burden	**500 (unduplicated)**	**1160** [b]		**521**

[a] This assumes that 100% of respondents that have not returned completed surveys following initial mailing and postcard reminder will be reached by phone.
[b] Total respondents reflect the total number of respondents that complete the mail survey plus the total number of respondents that are contacted by phone.

Annualized unduplicated respondents: 250; responses, 580, and hours, 261.

13. Provide an estimate of the total annual cost burden to the respondents or record-keepers resulting from the collection (excluding the value of the burden hours in Question 12 above).

No financial burdens are expected. Surveys will be mailed to municipal and tribal entities with pre-paid postage envelopes enclosed.

14. Provide estimates of annualized cost to the Federal government.

Total estimated annual cost to the federal government is $45,000, divided as follows: $35,000 in contractual services to implement the survey and $15,000 in staff time and resources. Contractor services include final survey implementation, entering and cleaning the data, and preparing a report that documents the survey procedures and response rate. Survey design, data collection and processing, and report development will be conducted by both NMFS federal staff and a contractor.

15. Explain the reasons for any program changes or adjustments.

Program change: Starting in 2014, the survey will be conducted every two years, rather than annually. Thus, the annualized responses and burden will be half of what they were previously.

16. For collections whose results will be published, outline the plans for tabulation and publication.

A report describing the sampling methods, experimental design, response rates, and descriptive statistics of data collected will be prepared. The data will be principally published in a regularly updated version of the *Community Profiles of the North Pacific Fisheries – Alaska* document (Sepez et al 2005). In addition, a separate paper describing how the survey data was analyzed and the results from the data will be submitted to a peer-reviewed journal to disseminate the findings. Where possible and relevant, final reports and other relevant portions of the research process will be posted on the appropriate Web site and/or presented at professional conferences.

17. If seeking approval to not display the expiration date for OMB approval of the information collection, explain the reasons why display would be inappropriate.

The expiration date will be displayed on the survey.

18. Explain each exception to the certification statement.

No exceptions are noted.

SUPPORTING STATEMENT
COMPREHENSIVE SOCIO-ECONOMIC DATA COLLECTION FROM ALASKAN COMMUNITIES
OMB CONTROL NO. 0648-0626

B. COLLECTIONS OF INFORMATION EMPLOYING STATISTICAL METHODS

1. Describe (including a numerical estimate) the potential respondent universe and any sampling or other respondent selection method to be used. Data on the number of entities (e.g., establishments, State and local governmental units, households, or persons) in the universe and the corresponding sample are to be provided in tabular form. The tabulation must also include expected response rates for the collection as a whole. If the collection has been conducted before, provide the actual response rate achieved.

The potential respondent universe includes city and tribal representatives from 250 communities, composed of the 136 communities that were profiled in the 2005 *Community Profiles for North Pacific Fisheries – Alaska* and the remaining 114 populated communities involved in commercial fishing that were considered for inclusion in that document, but did not meet the selection criteria (Sepez et al, 2005) for inclusion in the study. The present data collection includes the remaining communities, based on input from fishing community representatives at a series of community meetings held by ESSRP social scientists in August and September 2010. Community representatives requested that ESSRP consider communities that were involved in subsistence fishing as well as those involved in commercial fishing. By including the remaining 114 communities, subsistence fishing communities are now captured in the population.

Due to the low number of communities, a census of the population will be attempted. A census of the population is also necessary in order to obtain the same set of unique information about each community's involvement in fishing for use in future updates of the community profiles.

Representatives of each community will be sent the survey instrument to complete on behalf of their community. Potential respondents are identified as the mayors or city managers, and tribal leaders or heads of non-profit corporate entities for each community. The data collected in the survey will be supplemented from secondary data sources.

In general, a response rate of 60% is expected for mail surveys sent to the general population (Salant and Dillman 1994, pp. 43-44; Rea and Parker 2005, pp. 9-11; Dillman et al 2009, pp. 59). In the first two years of implementation, this response rate was exceeded. In 2011, the response rate was 66% and, in 2012, the response rate was 75%. Based on this experience and given the highly specialized nature of the sample population for this study, we expect that future years of implementation will continue to have response rates between 65 and 75%.

2. Describe the procedures for the collection, including: the statistical methodology for stratification and sample selection; the estimation procedure; the degree of accuracy needed for the purpose described in the justification; any unusual problems requiring specialized sampling procedures; and any use of periodic (less frequent than annual) data collection cycles to reduce burden.

The survey instrument submitted for approval with this supporting statement was finalized in October 2010 after significant input from survey design experts and cognitive interviews with community representatives. Continued implementation of the survey will follow a modified version of the Dillman Tailored Design Method (Dillman et al 2009), which consists of multiple contacts, including an advance letter, telephone recruitment, initial mailing, follow-up postcard reminder, a follow-up telephone interview, and a second full mailing. This modified methodology followed that conducted in at least one other NOAA data collection conducted in Alaska (Lew et al 2010) with the addition of a telephone recruitment contact. Representatives from numerous Alaskan fishing communities have expressed enthusiastic support for this project and have offered to help us collect this data. Given this, we expect the response rate for the survey to be higher than average.

The survey will be a census of 250 Alaskan communities biennially, as described above. There is no statistical methodology for sample selection as all 250 communities are being targeted and being provided an equal opportunity to complete the survey.

The method of data collection will be an annual questionnaire sent by mail. The names and addresses of respondents will be obtained from publically available information about the municipal and tribal leadership in each community provided by the Alaska Division of Community and Regional Affairs. Each mailed questionnaire will include a pre-paid postage return envelope to reduce any financial burden on the participant. The mail survey will be followed by telephone contact with communities that are not initially responsive, offering facilitation of a response and ensuring the survey has reached the most appropriate community representative. Where necessary, the telephone contacts will be followed by additional telephone contact to actually conduct the survey over the phone and develop answers in collaboration with community representatives or a new survey will be mailed to the respondent if requested.

3. Describe the methods used to maximize response rates and to deal with non-response. The accuracy and reliability of the information collected must be shown to be adequate for the intended uses. For collections based on sampling, a special justification must be provided if they will not yield "reliable" data that can be generalized to the universe studied.

Numerous steps have been, and will be, taken to maximize response rates and deal with non-response behavior. These efforts are described below.

Maximizing Response Rates

The first step in achieving a high response rate is to develop an appealing questionnaire that is easy for respondents to complete. Significant effort has been spent on developing a good survey

instrument. Experts in survey design and who work with Alaskan fishing communities on a regular basis were asked to review the draft survey instrument and provide comments on the wording of questions, additional questions to include, question order effects, question structure and response categories. The survey instrument also benefited from input on earlier versions from cognitive interviews. Cognitive (one-on-one) interviews were used to ensure the survey instrument used words and terms people could understand, and was a comfortable length and easy to complete. Cognitive interviews were used to ensure the survey instrument used words and terms people could understand, and was a comfortable length and easy to complete. The result is a high-quality and professional-looking survey instrument.

The implementation techniques that will be employed are consistent with methods that maximize response rates. Implementation of the mail survey will follow a modified version of the Dillman Tailored Design Method (2009), which consists of multiple contacts. The specific set of contacts that will be employed is the following:

1. An **advance letter** notifying respondents a few days prior to the questionnaire arriving. This will be the first contact with the sample.
2. A **telephone recruitment call** 2-5 days after the advance letter to encourage response to the initial mailing.
3. An **initial mailing** sent a few days after the advance letter. Each mailing will contain a personalized cover letter, questionnaire, and a pre-addressed stamped return envelope.
4. A **postcard follow-up reminder** to be mailed 5-7 days following the initial mailing.
5. A **follow-up telephone call** 5 days after the postcard reminder to further encourage response and to collect information to address non-response bias. Individuals needing an additional copy of the survey will be sent one with another cover letter and return envelope.
6. A **second full mailing** will be mailed to all non-respondents immediately after the follow-up telephone call.

The importance and benefits of this data collection project to the respondents will be emphasized in the advance letter, initial mailing cover letter, and telephone contacts. In these letters and phone contacts, the investigators clearly state that with the help of the respondents, the important role that fishing has in each community can be explicitly reported in each community's profile and that the information they provide will be used to enhance the fisheries management practices of NMFS. Making a clear link between the survey, their participation, and the importance of fishing to their communities is expected to help increase the response rate even further.

AFSC social scientists will continue to work with representatives of Alaskan fishing communities, non-profit municipal representation organizations (e.g., Southwest Alaska Municipal Conference, Gulf of Alaska Coastal, Communities Coalition, Southeast Conference), Community Development Quota entities, the North Pacific Fisheries Management Council, Alaska Department of Fish and Game, and Alaska Sea Grant to make communities aware that this survey is important for each to complete.

Non-respondents

To better understand why non-respondents did not return the survey and to determine if there are systematic differences between respondent communities and non-respondent communities, those contacted in the follow-up telephone call and identified as non-respondents, (e.g., they state that they will not complete a survey) will be asked a few questions to gauge their reasons for not responding to the mail survey. These questions will include the capacity of the respondent to complete the survey, if they can recommend a more suitable respondent, and answer any questions they might have. Additionally, non-responding communities will be compared to publically available fisheries and Census data to determine the demographics of such communities and whether there is a significant pattern in the non-response.

4. Describe any tests of procedures or methods to be undertaken. Tests are encouraged as effective means to refine collections, but if ten or more test respondents are involved OMB must give prior approval.

A formal pretest of procedures and methods was not undertaken for this project given the small number of respondents in the population and that a census of the population will be undertaken in the full survey implementation. However, the survey instrument was evaluated and revised using input from cognitive interviews conducted with 9 potential respondents in Anchorage, Dutch Harbor, Nome and Petersburg. Both verbal protocol (talk aloud) and self-administered interviews were conducted, both with follow-up debriefing by team members. Moreover, the survey design and implementation plan have benefited from review by individuals with expertise in socio-economic survey design and implementation in fishing communities. In addition, the survey was implemented in 2011 and 2012 with high response rates and minimal item non-response, indicating that the survey questions have been worded clearly and are easy to answer.

5. Provide the name and telephone number of individuals consulted on the statistical aspects of the design, and the name of the agency unit, contractor(s), grantee(s), or other person(s) who will actually collect and/or analyze the information for the agency.

An internal peer review of the survey instruments was conducted before the first year of implementation which included grammatical, clarity, design, and statistical review. NMFS federal staff that reviewed the survey instruments includes:

Dr. Dan Lew
Economist - Alaska Fisheries Science Center
(530) 752-1746
Dan.lew@noaa.gov

Dr. Scott Miller
Economist - Alaska Regional Office
(907) 586-7416
Scott.miller@noaa.gov

Dr. Ron Felthoven
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(206) 526-4114
Ron.felthoven@noaa.gov

Dr. Amber Himes-Cornell
Social Scientist - Alaska Fisheries Science Center
(206) 526-4221

Dr. Jennifer Sepez
Anthropologist - Alaska Fisheries Science Center
(206) 526-6546

Christina Package
Contractor at Alaska Fisheries Science Center
Pacific States Marine Fisheries Commission (PSMFC)
(206) 526-6683

The individuals who will actually collect and analyze the information are Amber Himes-Cornell, social scientist at the Alaska Fisheries Science Center, and Christina Package, PSMFC Contractor.

References

Dillman, D.A., J.D. Smyth, and L.M. Christian (2009). *Internet, mail, and mixed-mode surveys: The tailored design method*. 2nd ed. New York: John Wiley & Sons, Inc.

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Rea, L. and R. Parker (2005). *Designing and Conducting Survey Research: A comprehensive guide.* 3rd ed. San Francisco: Jossey-Bass.

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Sepez, J. A., B. D. Tilt, C. L. Package, H. M. Lazrus, and I. Vaccaro. (2005). *Community profiles for North Pacific fisheries - Alaska*. U. S. Dep. Commerce, NOAA Tech. Memo. NMFS-AFSC-160, 552 p.

Alaska Community Survey

Sponsored by:
NOAA Fisheries (National Marine Fisheries Service)
Alaska Fisheries Science Center
Economic and Social Science Research Program

Questions?

Please contact Amber Himes-Cornell, AFSC Social Scientist
Phone: (206) 526-4221
Email: Amber.Himes@noaa.gov

OMB Control No.: 0648-0626 **EXPIRATION DATE:** 03/31/2017

This survey is voluntary. **All responses are anonymous.**

SURVEY INSTRUCTIONS

◊ All answers given in this survey should reflect information about [COMMUNITY NAME].

◊ Please ask questions if anything is unclear. Contact Dr. Amber Himes-Cornell at Amber.Himes@noaa.gov or at (206)526-4221.

◊ Please use pen in blue or black ink.

◊ Please **DO NOT** write your name anywhere on this survey.

◊ Please mark only one answer for each question unless otherwise instructed.

◊ If you are unable to answer the question, please write why you are unable to answer in the margin. (e.g. Data not available)

THANK YOU FOR YOUR TIME AND PARTICIPATION

Q1 **How many people live in [COMMUNITY NAME]…** *Please indicate the source of the number of people or if the number is an estimation. Seasonal workers includes all industries (for example, fishing, construction, tourism, etc.)*

… as year round residents? _____ people

- ❑ Source: _____
- ❑ This is an estimation.

… as seasonal workers or transients? _____ people

- ❑ Source: _____
- ❑ This is an estimation.

… as year round residents <u>and</u> work in a shore-side processing plant? _____ people

- ❑ Source: _____
- ❑ This is an estimation.

Q2 **On average, during which months does [COMMUNITY NAME] have seasonal workers living there?** *Seasonal workers includes all industries (for example, fishing, construction, tourism, etc.)*

Q3 **On average, how long is the fishing season(s) in [COMMUNITY NAME] each year?** *Please provide the months that fishing out of [COMMUNITY NAME] typically begins and ends each year and indicate which fishery(ies) you are referring to.*

Fishery: _____ **From** _____ **to** _____

Fishery: _____ **From** _____ **to** _____

Fishery: _____ **From** _____ **to** _____

Fishery: _____ **From** _____ **to** _____

Q4 **In what month(s) does the population in [COMMUNITY NAME] reach its annual peak?**

Q5 **To what degree is this peak in population driven by employment in the fishing sectors** (For example, processing plants, commercial fishing, subsistence fishing, recreational/sport fishing, and charter fishing)?

❑ Entirely ❑ Mostly ❑ Somewhat ❑ A little ❑ Not at all

Q6 **We would like to learn about how your community is interrelated with other communities. Below is a list of ways that your community may engage with other communities. Please list up to 5 communities that [COMMUNITY NAME] residents interact with the most and how residents interact with them.** Check all that apply.

List community name	Share local fisheries information	Share general public services	Share traditional knowledge	Share professional services (e.g., law, medical)	Share resources (e.g., fuel, food, medicines)	Share culture (traditional events)
	❑	❑	❑	❑	❑	❑
	❑	❑	❑	❑	❑	❑
	❑	❑	❑	❑	❑	❑
	❑	❑	❑	❑	❑	❑
	❑	❑	❑	❑	❑	❑

Q7 Which communities do residents of [COMMUNITY NAME] travel to on a regular basis and what mode of transportation is available to travel there? Please list up to 5 communities and check all the modes of transportation available to travel there.

List community name	Air	Ice road	River	Winter trails	Skiff	Ferry
	❑	❑	❑	❑	❑	❑
	❑	❑	❑	❑	❑	❑
	❑	❑	❑	❑	❑	❑
	❑	❑	❑	❑	❑	❑
	❑	❑	❑	❑	❑	❑

Q8 Please list the top 3 cities or communities that [COMMUNITY NAME] depends on for goods and supplies, such as groceries, fuel, household supplies, construction materials, and hardware.

1) _____

2) _____

3) _____

Q9 Do any of the children in your community under age 18 attend school in another community?

❑ Yes ➡ **Go to Q9a**

❑ Local children are enrolled in correspondence courses.

❑ Local children attend schools located in [COMMUNITY NAME].

Q9a If so, please list the community(ies) where local children attend school. Please only list communities where kindergarten through 12th grade schools are attended by local students.

Q10 Which of the following types of infrastructure projects, if any, have been completed in [COMMUNITY NAME] in the last 10 years, are currently in progress, or are being planned for completion in the next 10 years? *Please mark the applicable boxes for each project.*

Type of infrastructure project	Completed in the last 10 years?	Currently in progress?	Plan to complete in the next 10 years?	Year of completion or planned completion (if not known, write "unknown")
Fish cleaning station	❑	❑	❑	_____
Barge landing area	❑	❑	❑	_____
Construct new dock space	❑	❑	❑	_____
Improve existing dock structure	❑	❑	❑	_____
Electricity serving the dock	❑	❑	❑	_____
Water serving the dock	❑	❑	❑	_____
Roads serving dock space	❑	❑	❑	_____
Pilings	❑	❑	❑	_____
Fuel tanks at dock	❑	❑	❑	_____
Breakwater	❑	❑	❑	_____
Harbor dredging	❑	❑	❑	_____
Jetty	❑	❑	❑	_____
Dry dock space	❑	❑	❑	_____
Haul out facilities	❑	❑	❑	_____
EPA certified boat cleaning station	❑	❑	❑	_____
Broadband internet access	❑	❑	❑	_____
Road	❑	❑	❑	_____
Airport/seaplane base	❑	❑	❑	_____
Water and sewer pipelines	❑	❑	❑	_____
Diesel powerhouse	❑	❑	❑	_____
Sewage treatment	❑	❑	❑	_____
Water treatment	❑	❑	❑	_____
Alternative energy (hydro, wind, tidal)	❑	❑	❑	_____
New landfill/solid waste site	❑	❑	❑	_____
Community center/Library	❑	❑	❑	_____
Public safety – Police department	❑	❑	❑	_____
Emergency response	❑	❑	❑	_____
Fire department	❑	❑	❑	_____
School	❑	❑	❑	_____
Telephone service	❑	❑	❑	_____
Post office	❑	❑	❑	_____
Other (Specify):	❑	❑	❑	_____

Q11 What is the maximum vessel length that can use moorage in [COMMUNITY NAME]?

Vessels up to _____ feet long can use moorage in [COMMUNITY NAME].

❑ No dock space is available for public moorage.

Q12 How many feet of <u>public</u> dock space for moorage are located in and around the port of [COMMUNITY NAME] for permanent and transient vessels?

_____ feet of dock space is available for permanent vessels to moor at.

❑ No dock space is available for permanent vessels to moor at.

_____ feet of dock space is available for transient vessels to moor at.

❑ No dock space is available for transient vessels to moor at.

Q13 What is the annual revenue that public moorage facilities earned in 2013?

US$ _____

Q14 Which of the following types of regulated vessels, if any, is the port of [COMMUNITY NAME] capable of handling? *Regulated vessels are those that are specially regulated by the U.S. Coast Guard and must conform to the Maritime Transportation Security Act.*

❑ Rescue vessels (e.g., Coast Guard)
❑ Cruise ships
❑ Ferries
❑ Fuel barges
❑ HAZMAT
❑ None of the above
❑ Other: _____

Q15 Which size classes, if any, of commercial fishing boats use [COMMUNITY NAME] as their base of operation <u>during</u> the fishing season? *Check all that apply.*

❑ Under 35 feet
❑ 35 to 60 feet
❑ 61 to 125 feet
❑ Over 125 feet
❑ None

Q16 How many boats are based in [COMMUNITY NAME] compared to five years ago?

	A lot more	More	No more or less	Less	A lot less
Charter boats/Party boats	❑	❑	❑	❑	❑
Private pleasure boats	❑	❑	❑	❑	❑
Commercial fishing boats	❑	❑	❑	❑	❑
Boats shorter than 35 feet	❑	❑	❑	❑	❑
Boats between 35 and 60 feet	❑	❑	❑	❑	❑
Boats between 61 and 125 feet	❑	❑	❑	❑	❑
Boats longer than 125 feet	❑	❑	❑	❑	❑
Other (specify):	❑	❑	❑	❑	❑

_____

Q16a For any changes you noted in Q16, please describe any changes that you have noticed.

Q17 To the best of your knowledge, what type of recreational or sport fishing, if any, goes on in [COMMUNITY NAME]? *Check all that apply.*

- ❑ Charter boats or party boats
- ❑ Private boats owned by local residents
- ❑ Private boats owned by non-residents
- ❑ Shore-based or dock fishing by local residents
- ❑ Shore-based or dock fishing by non-residents
- ❑ Other: _____
- ❑ None

Q18 What saltwater species, if any, are targeted by recreational fishermen that use boats based in [COMMUNITY NAME]? *Check all that apply.*

- ❑ Pink salmon
- ❑ Chum salmon
- ❑ Chinook/King salmon
- ❑ Coho/Silver salmon
- ❑ Sockeye/Red salmon
- ❑ Halibut
- ❑ Rockfish
- ❑ Crab
- ❑ Black cod/sablefish
- ❑ Shrimp
- ❑ Clam
- ❑ Other: _____
- ❑ None

Q19 Which fishing gear types, if any, are used by commercial fishing boats that use [COMMUNITY NAME] as their base of operation <u>during</u> the fishing season? *Check all that apply.*

- ❑ Trawl
- ❑ Pots
- ❑ Longline
- ❑ Gillnet
- ❑ Purse seiner
- ❑ Troll
- ❑ Other: _____
- ❑ None of the above

Q20 What are the three (3) most important subsistence marine or aquatic resources to the residents of [COMMUNITY NAME]? *Subsistence may be defined as the harvest of local natural resources for local consumption. We encourage you to answer this question in conjunction with others from [COMMUNITY NAME].*

1) _____

2) _____

3) _____

- ❑ Subsistence harvesting is not done by residents of [COMMUNITY NAME].

Q21 What types of fishing support businesses are located in [COMMUNITY NAME])? *From the list below, check one box for each type of business to indicate if it is present in [COMMUNITY NAME].*

Business type	**Located in the community?**
Fish processing plants	❑ Yes ❑ No
Fishing gear sales	❑ Yes ❑ No
Fishing gear manufacturer	❑ Yes ❑ No
Boat repair	❑ Yes ❑ No
Electrical	❑ Yes ❑ No
Welding	❑ Yes ❑ No
Mechanical services	❑ Yes ❑ No
Machine Shop	❑ Yes ❑ No
Hydraulics	❑ Yes ❑ No
Haulout facilities for small boats (less than 60 tons)	❑ Yes ❑ No
Haulout facilities for large boats (more than 60 tons)	❑ Yes ❑ No
Tidal grid for small boats (less than 60 tons)	❑ Yes ❑ No
Tidal grid for large boats (more than 60 tons)	❑ Yes ❑ No
Commercial fishing vessel moorage	❑ Yes ❑ No
Recreational fishing vessel moorage	❑ Yes ❑ No
Tackle sales	❑ Yes ❑ No
Bait sales	❑ Yes ❑ No
Commercial cold storage facilities	❑ Yes ❑ No
Drydock storage	❑ Yes ❑ No
Marine Refrigeration	❑ Yes ❑ No
Fish lodges	❑ Yes ❑ No
Fishing business attorneys	❑ Yes ❑ No
Fishing related bookkeeping	❑ Yes ❑ No
Boat fuel Sales	❑ Yes ❑ No
Fishing gear repair	❑ Yes ❑ No
Fishing gear storage	❑ Yes ❑ No
Ice sales	❑ Yes ❑ No
Water taxi	❑ Yes ❑ No
Seaplane service	❑ Yes ❑ No
Air taxi	❑ Yes ❑ No
Other (Specify):	❑ Yes ❑ No

Q22 For those businesses in Q21 that are not available in [COMMUNITY NAME], please list the top three communities that people go to for these services.

1) _____

2) _____

3) _____

Q23 Which public social services are available in [COMMUNITY NAME]? *Check all that apply.*

- ❑ Medical services or doctors
- ❑ Food bank
- ❑ Soup kitchen
- ❑ Job placement services
- ❑ Publicly subsidized housing
- ❑ Public library
- ❑ Other (Specify): _____

Q24 Which, if any, natural resource-based industries does [COMMUNITY NAME]'s economy rely upon? *Check all that apply.*

- ❑ Mining
- ❑ Logging
- ❑ Fishing
- ❑ Oil and natural gas exploration or drilling
- ❑ Geothermal
- ❑ Ecotourism (e.g. whale watching, kayaking)
- ❑ Sport hunting and fishing
- ❑ Other: _____
- ❑ None of the above

Q25 How much total revenue did the community of [COMMUNITY NAME] receive from fisheries related taxes or fee programs in 2013? *If no revenue was received from one of the sources of revenue listed, please write $0 in the "Revenue Received" column. If revenue is received for one of the sources of revenue listed, but there are no records of the total amount, please write "unknown."*

Source of Revenue	Amount of Total Revenue Received in US$
Fishing gear storage on public/tribal land	US$_____
Leasing public/tribal land to members of the fishing industry	US$_____
Tax on the sale of marine fuel (used to power private and commercially owned boats)	US$_____
Harbor rental	US$_____
Municipal dock use fees (for example, container off-loading/on-loading, fishing gear transfer, etc.)	US$_____
Other:_____	US$_____
Other:_____	US$_____
Other:_____	US$_____

Q26 Does the [COMMUNITY NAME] local government, organizations, or other local entities receive any funding or grants from a Community Development Quota entity? *If funding or grants were received in 2013, please indicate how much the local government received.*

- ❑ [COMMUNITY NAME] received $ _____ in funding or grants from a Community Development Quota entity in 2013.
- ❑ [COMMUNITY NAME] received $ _____ in special allocations from a Community Development Quota entity in 2013.
- ❑ [COMMUNITY NAME] does not receive any funding or grants from Community Development Quota entities.

Q27 Which of [COMMUNITY NAME]'s public services are at least partially supported or funded by any of the following: Local or Borough Raw Fish Tax, Shared Fisheries Business Tax, the Fisheries Resource Landing Tax, or marine fuel sales tax? *Check all that apply.*

- ❑ Maintaining the harbor
- ❑ Hospital/medical clinic/emergency response
- ❑ Educational scholarships
- ❑ Roads
- ❑ Social services (e.g., libraries, etc.)
- ❑ Water and wastewater systems
- ❑ Roads
- ❑ Police/enforcement/fire protection
- ❑ Not able to determine
- ❑ Other: _____
- ❑ No community services are funded by these taxes.

Q28 Please describe any local fishing-related fee programs charged to the fishing industry and which public services and infrastructure they support?

- ❑ [COMMUNITY NAME] does not administer any local fishing-related fee programs.

Q29 How does [COMMUNITY NAME] participate in the fisheries management process in Alaska?

- ❑ [COMMUNITY NAME] does not participate at all in the fisheries management process.
- ❑ [COMMUNITY NAME] has a paid staff member that attends North Pacific Fisheries Management Council meetings and/or Board of Fisheries meetings.
- ❑ [COMMUNITY NAME] has a representative that participates in North Pacific Fisheries Management Council committees or advisory groups.
- ❑ [COMMUNITY NAME] has a representative that sits on regional fisheries advisory and/or working groups run by Alaska Department of Fish and Game.
- ❑ [COMMUNITY NAME] has a representative that participates in the Federal Subsistence Board or Federal Subsistence Regional Advisory Council process.
- ❑ [COMMUNITY NAME] relies on regional organizations, such as the Gulf of Alaska Coastal Communities Coalition, Southeast Conference, or Southwest Alaska Municipal Conference, to provide information on fisheries management issues.
- ❑ [COMMUNITY NAME] financially supports research organizations, industry coalitions, and trade associations, such as_____.
- ❑ Other: _____

Q30 In your opinion, what are the current challenges for the portion of [COMMUNITY NAME]'s economy that is based on fishing? *Please feel free to provide additional information on a separate sheet of paper.*

Q31 Please describe the effects you've seen of fisheries policies or management actions you've seen, if any, on [COMMUNITY NAME]. *Please describe the policies or management action(s), both positive and negative and what impact it has had on [COMMUNITY NAME]. Please feel free to provide additional information on a separate sheet of paper.*

Q32 Which <u>past or current</u> fisheries policy or management action affected [COMMUNITY NAME] the most? *Please describe the policy or management action, positive or negative, and how [COMMUNITY NAME] residents were affected. Please feel free to provide additional information on a separate sheet of paper.*

Q33 What, if any, <u>potential future</u> fisheries policy or management action concerns [COMMUNITY NAME] the most? *Please describe the policy or management action, positive or negative, and why [COMMUNITY NAME] residents are concerned. Please feel free to provide additional information on a separate sheet of paper.*

Q34 Who contributed to filling out this survey? *Check all that apply. The answers to this question will not be reported.*

- ☐ Local government staff
- ☐ Local elected officials
- ☐ Harbormaster
- ☐ Tribal Council member or staff
- ☐ Non-governmental organization (for example, GOACCC, SWAMC, etc.)
- ☐ Fishing industry participants (for example, commercial/recreational/subsistence fishermen, processing plant workers, etc.)
- ☐ Local fishing support sector businesses
- ☐ Other: _____

Please use the space below to provide us with any additional information you would like us to know about [COMMUNITY NAME] that shows how [COMMUNITY NAME] is engaged in or affected by fisheries. *Please feel free to provide additional information on a separate sheet of paper.*

THANK YOU FOR YOUR PARTICIPATION!

Public reporting burden for this collection of information is estimated at 1 hour, including time for reviewing instructions, reviewing existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Amber Himes, Alaska Fisheries Science Center, REFM, 7600 Sand Point Way NE, Seattle, WA 98115.

Attachment D: Contacts to be employed in implementation of the survey

<u>Advance letter</u>

<DATE>

John Smith
123 Main Street
Anywhere, USA 12345

Dear <Name>,

The National Oceanic and Atmospheric Administration (NOAA) is conducting a study to learn more about Alaskan fishing communities, such as yours. The information we collect will improve the quality of information available about communities, and provide a more holistic profile of the way they are tied to fisheries, in an updated version of the *Community Profiles for North Pacific Fisheries – Alaska*. You will be able to view the profile that was done for your community at http://www.afsc.noaa.gov/REFM/Socioeconomics/Projects/CPU.php.

You have been identified from publicly available sources as someone with in-depth knowledge about [COMMUNITY NAME]. In the next few days, you will receive a questionnaire in the mail from NOAA. The questionnaire asks about [COMMUNITY NAME]'s involvement in fishing.

Your responses are important because you are one of only two people in [COMMUNITY NAME] that we are contacting to participate in this study. The information you provide may be used to help shape decisions about federal government actions on fisheries in Alaska. To make sure that the best possible information is obtained about [COMMUNITY NAME], we need to hear from you. Without your response, we will not be able to provide the information requested in [COMMUNITY NAME]'s updated profile.

If you have any questions about this study or any of the questions in the questionnaire, please contact me at (206) 526-4221 or amber.himes@noaa.gov.

Thank you in advance for your help.

Sincerely,

Amber Himes-Cornell
Project Director
National Oceanic and Atmospheric Administration

Initial mailing letter

<DATE>

John Smith
123 Main Street
Anywhere, USA 12345

Dear <Name>,

The National Oceanic and Atmospheric Administration (NOAA) is conducting a study to learn more about Alaskan fishing communities, such as yours. The information we collect will improve the quality of information available about communities, and provide a more holistic profile of the way they are tied to fisheries, in an updated version of the *Community Profiles for North Pacific Fisheries – Alaska*. You can view the profile that was done for your community at http://www.afsc.noaa.gov/REFM/Socioeconomics/Projects/CPU.php.

You have been identified from publicly available sources as someone with in-depth knowledge about [COMMUNITY NAME]. Included with this mailing is a questionnaire that asks about [COMMUNITY NAME]'s involvement in fishing. Please attempt to answer all of the questions. We understand that you personally might not have all of the answers to the questions and we fully expect you to collaborate with as many people as needed in [COMMUNITY NAME] to complete the questionnaire. The key is that the information you provide is as complete as possible so that we can write a well-informed profile of [COMMUNITY NAME].

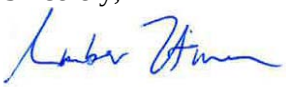
This survey takes most people about one hour to complete. After you've completed the survey, please return it in the enclosed self-addressed and postage-paid envelope.

This is an anonymous survey. The answers provided will be connected with [COMMUNITY NAME] but not with you as an individual. Information in this survey will not be provided or presented in any way as to identify individual respondents.

Your responses are important because you are one of only two people in [COMMUNITY NAME] that we are contacting to participate in this study. The information you provide may be used to help shape decisions about federal government actions on fisheries in Alaska. To make sure that the best possible information is obtained about [COMMUNITY NAME], we need to hear from you. Without your response, we will not be able to provide the information requested in [COMMUNITY NAME]'s updated profile. Please complete the enclosed survey to the best of your ability and return it no later than [DATE].

If you have any questions about this study or any of the questions in the questionnaire, please contact me at (206) 526-4221 or amber.himes@noaa.gov. Thank you in advance for your help.

Sincerely,



Amber Himes
Project Director
National Oceanic and Atmospheric Administration

Postcard reminder

Last week a questionnaire was mailed to you seeking information about [COMMUNITY NAME]'s involvement in fishing.

If you have already completed and returned the questionnaire, please accept our sincere thanks. If you have not completed and returned the survey, we ask that you do so today.

Your responses are important because you are one of only two people in [COMMUNITY NAME] that were contacted to participate in this study. Your response will help us update [COMMUNITY NAME]'s profile which may be used to help shape decisions about federal government actions on fisheries in Alaska. Your participation is required to provide good information about [COMMUNITY NAME] for these decisions.

If you need another copy of the questionnaire, please call me directly at (206) 526-4221 and a questionnaire will be mailed to you today.

Thank you for your help.

Amber Himes-Cornell
Project Director
National Oceanic and Atmospheric Administration

Follow-up mailing letter for communities profiled in Sepez et al (2005)

<DATE>

John Smith
123 Main Street
Anywhere, USA 12345

Dear <Name>,

A couple weeks ago, a questionnaire was mailed to you seeking input about [COMMUNITY NAME]'s involvement in fishing. If you have already completed and returned the questionnaire, please accept our sincere thanks. If you have not completed and returned the survey, we ask that you do so today. We have enclosed another copy of the survey in case you have misplaced the original one we sent. We understand that you personally might not have all of the answers to the questions and fully expect you to collaborate with as many people as needed in [COMMUNITY NAME] to complete the questionnaire. The key is that the information you provide is as complete as possible so that we can write a well-informed profile of [COMMUNITY NAME].

Although your participation is voluntary, your response will help us update [COMMUNITY NAME] profile in our *Community Profiles of North Pacific Fisheries* publication, which may be used to help shape decisions about federal government actions on fisheries in Alaska. Your participation is needed to provide good information about [COMMUNITY NAME] for these decisions.

This survey takes most people about one hour to complete. After you've completed the survey, please return it in the enclosed self-addressed and postage-paid envelope.

Your responses are important because you are one of only two people in [COMMUNITY NAME] that we are contacting to participate in this study. To make sure that the best possible information is obtained about [COMMUNITY NAME], we need to hear from you. Without your response, we will not be able to provide the information requested in [COMMUNITY NAME]'s updated profile. Please complete the enclosed survey to the best of your ability and return it no later than [DATE].

If you have any questions about this study or any of the questions in the questionnaire, please contact me at (206) 526-4221 or amber.himes@noaa.gov.

Thank you for your help.

Sincerely,

Amber Himes
Project Director
National Oceanic and Atmospheric Administration

Attachment F: Telephone Script

Telephone Recruitment

[Ask to speak with city manager, mayor, village council president or someone in their offices]

Hello, my name is _____ and I am calling from the Alaska Fisheries Science Center in Seattle, WA, on behalf of the National Marine Fisheries Service. I am trying to reach [name on address]**.**

> *[IF NOT AVAILABLE]* Thank you, I will call back later. When would be a good time to reach [name on address]?

[IF QUALIFIED RESPONDENT IS ON THE PHONE]

We are conducting a study to learn more about Alaskan fishing communities, such as yours. The information we collect will improve the quality of information available about communities, and provide more complete information on how they are tied to fisheries.

We are contacting you as someone with in-depth knowledge about [COMMUNITY NAME]. We sent you a questionnaire in the mail that you should receive in the next few days. It contains questions about the involvement of people from [COMMUNITY NAME] in fishing and how [COMMUNITY NAME]'s economy is tied to fishing. The information you provide will allow us to update the profile of [COMMUNITY NAME] in an updated version of our community profiles document, which is called: *Community Profiles for North Pacific Fisheries – Alaska*.

Your responses are important because you are one of only two people in [COMMUNITY NAME] that we are contacting to participate in this study. As I said before, the information you provide will be used to update the profile for [COMMUNITY NAME]. The community profiles may be used to help shape decisions about federal government actions on fisheries in Alaska, so we need your help to provide the best possible information about [COMMUNITY NAME].

Hopefully you will be willing to help us collect this information. After you receive the questionnaire in the mail, please take a look at it and let us know if you have any questions at all about this study. You can call Amber Himes, the project lead, at (206) 526-4221.

Please look for the survey in the mail in the next couple of days. Thank you in advance for your help with this project.

Have a great day.

[TERMINATE]

QUESTIONS/COMMENTS AND ANSWERS

[*If concerned about purpose of the call*] This is not a marketing or sales call. This study aims to collect information from Alaskan fishing communities to help us understand each community's

dependence on fishing better. This study will allow for the expansion of information included in the updated publication of the *Community Profiles for North Pacific Fisheries – Alaska* (originally published in 2005). We plan on using this information collected from this study in order to provide a more holistic description of each community profiled in this document. Your time spent on this survey will also help to improve the quality of information provided in the community profile for [COMMUNITY NAME]. The public input provided will be available to government, industry, and citizen groups to consider when evaluating ways to manage fisheries in Alaska and community dependence on fishing. I want to assure you that your answers will be kept anonymous and your name will not be revealed to anyone.

[*If asking about the study sponsor*] This survey is sponsored by NMFS, also known as the National Marine Fisheries Service, a U.S. government agency charged with understanding the effects of federal management actions and policies affecting the nation's marine fisheries.

[*If concerned about how the information will be used*] The information collected in this study will be used to better understand the dependence of Alaskan communities on fishing and to update the *Community Profiles for North Pacific Fisheries – Alaska.* It will also be used to compose a more holistic profile of fisheries involvement in each community. This information will be publicly available. It will also serve to meet regulatory requirements, including, for example, requirements concerning information about fishing communities in the Magnuson-Stevens Fishery Conservation Act National Standard 8. I want to assure you that your answers will be kept anonymous and your name will not be revealed to anyone.

[*If asking about who the study includes*] WHO DOES THIS STUDY INCLUDE? This study includes city and tribal governments of Alaskan fishing communities.

[*If asking about how their name was obtained*] You were identified from publicly available sources as someone with in-depth knowledge about [COMMUNITY NAME].

Follow-up Telephone Contact

[Ask to speak with city manager, mayor, village council president or someone in their offices]

Hello, my name is _____ and I am calling from the Alaska Fisheries Science Center in Seattle, WA, on behalf of the National Marine Fisheries Service. I am trying to reach [name on address]**.**

> [IF NOT AVAILABLE] Thank you, I will call back later. When would be a good time to reach [name on address]?

[IF QUALIFIED RESPONDENT IS ON THE PHONE]

QA Recently, we mailed you a questionnaire asking you for information about [COMMUNITY NAME]'s ties to fishing. The cover of the questionnaire says Alaska Community Survey and has a picture of a harbor on the cover. Do you remember receiving that questionnaire?
1 YES *[SKIP TO QA1]*
2 NO *[SKIP TO QA2]*

QA1 As of today, we have not received your completed questionnaire. You are one of a small group of people we are asking for information about Alaskan fishing communities, so your response is very important. We need your input to be able to update the community profile for [COMMUNITY NAME] with important information about [COMMUNITY NAME]'s ties to fishing. Even if you have not fished in [COMMUNITY NAME], your input is still needed because you are in a position to access to information about [COMMUNITY NAME]'s ties to fishing. If we send you another survey, could you find the time to complete the survey and return it to us within a week of receiving it?
1 YES – SEND NEW SURVEY *[SKIP TO VERIFY]*
2 YES – DO NOT NEED ANOTHER SURVEY *[THANK AND TERMINATE]*
3 SURVEY HAS ALREADY BEEN RETURNED *[THANK AND TERMINATE]*
4 NO *[SKIP TO QB]*

QA2 We are collecting information about communities in Alaska that are dependent on fishing to help us update our Community Profiles for the North Pacific Fisheries. This document is frequently used to write social and economic impact assessments that are used by the North Pacific Fishery Management Council to make decisions about fisheries management in Alaska. You are one of a small group of people we are asking for information about Alaskan fishing communities, so your response is very important. We need your input to be able to write the community profile for [COMMUNITY NAME] with important information about [COMMUNITY NAME]'s ties to fishing. If we send you another survey, could you complete and return the survey to us within a week after you receive it?
1 YES – SEND NEW SURVEY *[SKIP TO VERIFY]*
2 YES – DO NOT NEED ANOTHER SURVEY *[THANK AND TERMINATE]*
3 NO *[SKIP TO QB]*

QB Would you recommend another person in [COMMUNITY NAME] that we should contact instead to find out about [COMMUNITY NAME]'s ties to fishing?
1 YES – RECORD NAME _____
PHONE NUMBER _____
[THANK AND TERMINATE]
2 NO *[SKIP TO QC]*

QC It is very important for our analysis that we understand how those who haven't returned the survey compare to those who did. This way we will not misinterpret the results. Would you mind telling us why you would prefer to not return the survey? I'd like to remind you that anything you say will be confidential and your name will not be revealed to anyone.
1 YES *[RECORD WHY THE SURVEY WILL NOT BE FILLED OUT]*
2 NO *[ASK FOR A MORE CONVENIENT TIME, OTHERWISE, THANK AND TERMINATE]*

VERIFY (If new survey needs to be sent)

I would like to verify some information that I have. I have your name as…
NAME_____
STREET ADDRESS_____
CITY_____STATE _____ ZIP_____
PHONE_____
EMAIL _____
Thank you, I will send another questionnaire out today.

TERMINATE

[IF RETURNING SURVEY] → Thank you. Receiving your completed questionnaire will greatly help improve our understanding of your community's ties to fishing and help us to update the community profile for [COMMUNITY NAME].

[IF NOT RETURNING QUESTIONNAIRE] → That's all the questions I have for you. Do you have any comments that you would like to add? Thank you for your time. We really appreciate your participation in this brief survey. Thanks again, and have a good day.

[TO BE COMPLETED BY INTERVIEWER]
Respondent gender: MALE
FEMALE

LANG Language or other barrier:
1 YES, POSSIBLE LANGUAGE BARRIER
2 YES, DEFINITE LANGUAGE BARRIER
3 NO LANGUAGE, BUT OTHER TYPE OF BARRIER *[SPECIFY]*

4 NO BARRIERS

DID THE RESPONDENT INDICATE ANY OF THE FOLLOWING?

A I don't care about fishing in my community. NO YES

B I don't know about fishing in my community. NO YES

OTHER RESPONDENT COMMENTS

_____

_____

_____

_____

_____

_____

QUESTIONS/COMMENTS AND ANSWERS

[*If concerned about purpose of the call*] This is not a marketing or sales call. This study aims to collect information from Alaskan fishing communities to help us understand each community's dependence on fishing better. This study will allow for the expansion of information included in the updated publication of the *Community Profiles for North Pacific Fisheries – Alaska* (originally published in 2005). We plan on using this information collected from this study in order to provide a more holistic description of each community profiled in this document. Your time spent on this survey will also help to improve the quality of information provided in the community profile for [COMMUNITY NAME]. The public input provided will be available to government, industry, and citizen groups to consider when evaluating ways to manage fisheries in Alaska and community dependence on fishing. I want to assure you that your answers will be kept anonymous and your name will not be revealed to anyone.

[*If asking about the study sponsor*] This survey is sponsored by NMFS, also known as the National Marine Fisheries Service, a U.S. government agency charged with understanding the effects of federal management actions and policies affecting the nation's marine fisheries.

[*If concerned about how the information will be used*] The information collected in this study will be used to better understand the dependence of Alaskan communities on fishing and to update the *Community Profiles for North Pacific Fisheries – Alaska.* It will also be used to compose a more holistic profile of fisheries involvement in each community. This information will be publicly available. It will also serve to meet regulatory requirements, including, for example, requirements concerning information about fishing communities in the Magnuson-Stevens Fishery Conservation Act National Standard 8. I want to assure you that your answers will be kept anonymous and your name will not be revealed to anyone.

[*If asking about who the study includes*] WHO DOES THIS STUDY INCLUDE? This study includes city and tribal governments of Alaskan fishing communities.

[*If asking about how their name was obtained*] You were identified from publicly available sources as someone with in-depth knowledge about [COMMUNITY NAME].

those submissions may be filed no later than 10 days thereafter in accordance with 19 CFR 351.301(c)(1). All submissions must be filed electronically using Import Administration's AD and CVD Centralized Electronic Service System (IA ACCESS).[5] An electronically filed document must be received successfully in its entirety by the Department's electronic records system, IA ACCESS, by 5 p.m. Eastern Time of the deadlines set forth in this notice.

The Department will issue the preliminary results of these changed circumstances reviews, in accordance with 19 CFR 351.221(c)(3), which will set forth the factual and legal conclusions upon which are preliminary results are based, and a description of any action proposed based on those results. Pursuant to 19 CFR 351.221(b)(4)(ii), interested parties will have an opportunity to comment on the preliminary results of the review. In accordance with 19 CFR 351.216(e), the Department will issue the final results of its AD changed circumstance review within 270 days after the date on which the review is initiated.

This initiation is published in accordance with sections 751(b)(1) of the Act and 19 CFR 351.216(b) and 351.221(b)(1).

Dated: August 14, 2013.

Christian Marsh,

Deputy Assistant Secretary for Antidumping and Countervailing Duty Operations.

[FR Doc. 2013–20329 Filed 8–19–13; 8:45 am]

BILLING CODE 3510–DS–P

[5] *See,* generally, 19 CFR 351.303.

DEPARTMENT OF COMMERCE

National Oceanic and Atmospheric Administration

Proposed Information Collection; Comment Request; Comprehensive Data Collection on Fishing Dependence of Alaska Communities

AGENCY: National Oceanic and Atmospheric Administration, Commerce.

ACTION: Notice.

SUMMARY: The Department of Commerce, as part of its continuing effort to reduce paperwork and respondent burden, invites the general public and other Federal agencies to take this opportunity to comment on proposed and/or continuing information collections, as required by the Paperwork Reduction Act of 1995.

DATES: Written comments must be submitted on or before October 21, 2013.

ADDRESSES: Direct all written comments to Jennifer Jessup, Departmental Paperwork Clearance Officer, Department of Commerce, Room 6616, 14th and Constitution Avenue NW., Washington, DC 20230 (or via the Internet at *JJessup@doc.gov*).

FOR FURTHER INFORMATION CONTACT: Requests for additional information or copies of the information collection instrument and instructions should be directed to Amber Himes, (206) 526–4221 or *Amber.Himes@noaa.gov.*

SUPPLEMENTARY INFORMATION:

I. Abstract

The purpose of this data collection program is to improve commercial fisheries socio-economic data for North Pacific fisheries, using the community as the unit of reporting and analysis. Communities are often the focus of policy mandates (e.g., National Standard 8 of the Magnuson-Stevens Fisheries Management Act (MSA), social impact assessments under the National Environmental Policy Act and MSA, North Pacific Fishery Management Council (NPFMC) programmatic management goals, etc.) and are frequently a recognized stakeholder in NPFMC deliberations and programs. However, much of the existing commercial socio-economic data is collected and organized around different units of analysis, such as counties (boroughs), fishing firms, vessels, sectors, and gear groups. It is often difficult to aggregate or disaggregate these data for analysis at the individual community or regional level. In addition, at present, some relevant community level socio-economic data are simply not collected at all. The NPFMC, the Alaska Fisheries Science Center (AFSC), and community stakeholder organizations, have identified ongoing collection of community level economic and socioeconomic information, specifically related to commercial fisheries, as a priority.

The proposed data collection is a continuation of a program collecting data since 2011. Data collected includes information on community revenues based in the fisheries economy, population fluctuations, vessel expenditures in ports, fisheries infrastructure available in the community, support sector business operations in the community, community participation in fisheries management, effects of fisheries management decisions on the community, and demographic information on commercial fisheries participants from the community. The information collected in this program will capture the most relevant and pressing types of data needed for socio-economic analyses of communities.

II. Method of Collection

The method of data collection will be a survey sent by mail (and by email where possible).

III. Data

OMB Control Number: 0648–0626.

Form Number: None.

Type of Review: Regular submission (extension of a currently approved information collection).

Affected Public: State, local, or tribal government.

Estimated Number of Respondents: 500.

Estimated Time per Response: 1 hour.

Estimated Total Annual Burden Hours: 521.

Estimated Total Annual Cost to Public: $0 in recordkeeping/reporting costs.

IV. Request for Comments

Comments are invited on: (a) Whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden (including hours and cost) of the proposed collection of information; (c) ways to enhance the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology.

Comments submitted in response to this notice will be summarized and/or included in the request for OMB approval of this information collection; they also will become a matter of public record.

Gwellnar Banks,

Management Analyst, Office of the Chief Information Officer.

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BILLING CODE 3510–22–P