

NOTICE OF OFFICE OF MANAGEMENT AND BUDGET ACTION

Date 08/24/2012

Department of Commerce
National Oceanic and Atmospheric Administration
FOR CERTIFYING OFFICIAL: Simon Szykman
FOR CLEARANCE OFFICER: Diana Hynek

In accordance with the Paperwork Reduction Act, OMB has taken action on your request received 07/23/2012

ACTION REQUESTED: No material or nonsubstantive change to a currently approved collection
TYPE OF REVIEW REQUESTED: Regular
ICR REFERENCE NUMBER: 201207-0648-004
AGENCY ICR TRACKING NUMBER:
TITLE: Individual Fishing Quotas for Pacific Halibut and Sablefish in the Alaska Fisheries
LIST OF INFORMATION COLLECTIONS: See next page

OMB ACTION: Approved without change
OMB CONTROL NUMBER: 0648-0272
The agency is required to display the OMB Control Number and inform respondents of its legal significance in accordance with 5 CFR 1320.5(b).

EXPIRATION DATE: 12/31/2014 DISCONTINUE DATE:

BURDEN:	RESPONSES	HOURS	COSTS
Previous	24,930	9,979	13,551
New	24,930	9,979	13,551
Difference			
Change due to New Statute	0	0	0
Change due to Agency Discretion	0	0	0
Change due to Agency Adjustment	0	0	0
Change Due to Potential Violation of the PRA	0	0	0

TERMS OF CLEARANCE: Terms of the previous clearance remain in effect.

OMB Authorizing Official: Kevin F. Neyland
Deputy Administrator,
Office Of Information And Regulatory Affairs

List of ICs

IC Title	Form No.	Form Name	CFR Citation
Application for Eligibility to Receive QS/IFQ	NA	Application for eligibility to receive GS/IFQ	
IFQ/CDQ hired master permit	NA	Application for IFQ/CDQ Hired Master Permit	
Application for Registered Buyer Permit	NA	Application for registered buyer permit	
Letter of Appeal			50 CFR 679.43
QS/IFQ Designated Beneficiary Form	NA	QS/IFQ designated beneficiary form	
QS Holder Form: Identification of Ownership Interest	NA	QS Holder: Identification of ownership interest	
Application to Become a Community Quota Entity (CQE)	NA	Application to become a Community Quota Entity	
Application for Transfer of QS/IFQ to or from a Community Quota Entity (CQE)	NA	Application to transfer IFQ/QS to or from a CQE	
Application for Transfer of QS/IFQ including by Sweep-up	NA, NA	Application for transfer of QS/IFQ by self-sweep-up, Application for transfer of QS/IFQ	
Application for replacement of certificates, permits, or licenses	NA	Application for replacement of certificates or permits	
IFQ Administrative Waiver	NA	IFQ/CDQ Administrative Waiver	50 CFR 679
Prior Notice of Landing	NA	Prior Notice of Landing Report to be completed by NMFS personnel only	50 CFR 679.40
IFQ Departure Report	NA	IFQ Departure Report - worksheet	50 CFR 679.40
Registered Buyer Landing Report (electronic/backup manual)			50 CFR 679.40
Transshipment Authorization	NA	Record of IFQ/CDQ Transshipment Authorization - completed by Law Enforcement	50 CFR 679.40
Dockside Sales Receipt			50 CFR 679.40
Emergency medical transfer form	NA	Application for emergency medical transfer of IFQ	
Temporary military transfer application form	NA	Application for temporary military transfer of IFQ	
Letter to retain inactive quota share			50 CFR 679.40

JUSTIFICATION
ALASKA PACIFIC HALIBUT & SABLEFISH FISHERIES:
INDIVIDUAL FISHING QUOTA (IFQ)
OMB CONTROL NO. 0648-0272

JUSTIFICATION FOR CHANGE: The application to identify ownership interest for quota share (QS) holder is changed by removing the notary public block.

The International Pacific Halibut Commission (IPHC) and National Marine Fisheries Service (NMFS) manage fishing for Pacific halibut (*Hippoglossus stenolepis*) through regulations established under the authority of the Convention between the United States Halibut Fishery of the Northern Pacific Ocean and Bering Sea (Convention) and the Northern Pacific Halibut Act of 1982 (Halibut Act).

NMFS would revise the IFQ Ownership Form to remove the requirement for notary public certification from this form. This requirement included signature of notary public, date notary commission expires, and a space for notary stamp or seal and was included in Block C. This change would remove from Block C the signature of notary public, date commission expires, and the space for the notary stamp or seal, leaving only the respondent signature, printed name, date and title if authorized representative.

The notary information was simply confirming what is already in NMFS database records. Instead of notary confirmation, the certification block is now considered sufficient because it is signed under penalty of perjury that the information is true and correct. The notary has just been confirming that the person signing the form is the person that appeared before them, not that the information is true and correct.

No increase in cost or burden is anticipated with this change. In fact, there will be a slight decrease in cost and burden due to removal of the notary requirement, to be confirmed in the next renewal of this information collection.

PAPERWORK REDUCTION ACT CHANGE WORKSHEET

Agency/Subagency	OMB Control Number _____ - _____	
<i>Enter only items that change</i>		
	Current record	New record
Agency form number (s)		
Annual reporting and recordkeeping hour burden		
Number of respondents		
Total annual responses		
Percent of these responses collected electronically	%	%
Total annual hours		
Difference		
Explanation of difference		
Program change		
Adjustment		
Annual reporting and recordkeeping cost burden (in thousands of dollars)		
Total annualized Capital/Startup costs		
Total annual costs (O&M)		
Total annualized cost requested		
Difference		
Explanation of difference		
Program change		
Adjustment		
Other changes**		
Signature of Senior Official or designee:	Date:	For OIRA Use _____ _____

** This form cannot be used to extend an expiration date.



**QUOTA SHARE (QS) HOLDER:
IDENTIFICATION OF
OWNERSHIP INTEREST**

U.S. Dept. of Commerce/NOAA
National Marine Fisheries Service (NMFS)
Restricted Access Management (RAM)
P.O. Box 21668
Juneau, AK 99802-1668



BLOCK A -- IDENTIFICATION OF QUOTA SHARE HOLDER

1. Name of QS holder: _____
2. Is this business a publicly held corporation? YES NO
If YES, proceed to Block C.
3. Is this a corporation, association, partnership or other non-individual entity? YES NO
If YES, is this entity still active? YES NO
4. Is this an estate that has been probated? YES NO
If YES, on what date was probate finalized: _____

**BLOCK B - - IDENTIFICATION OF MEMBERS
SHAREHOLDERS, PARTNERS, JOINT VENTURERS, SUCCESSORS-IN-INTEREST**

NOTE: if ownership consists of separate/additional corporations or partnerships the individual owners of those entities and the percentage of interest those individuals hold in their respective corporations or partnerships must also be listed.

1. Name of Owner(s)	2. % Interest Held

3. Indicate whether the ownership percentages represent the addition of any new owners since QS initially was issued. Do these ownership percentages represent the addition of any new owners since QS was initially issued?
- YES NO

TOTAL OWNERSHIP: 100 %

BLOCK C – CERTIFICATION

Under penalty of perjury, I declare that I have examined this form, and to the best of my knowledge and belief, the information I have presented here is true, correct, and complete.

1. Signature	2. Date
3. Printed Name	4. Title if Authorized Representative

PUBLIC REPORTING BURDEN STATEMENT

Public reporting burden for this collection of information is estimated to average 2 hours per response, including the time for reviewing the instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to Assistant Regional Administrator, Sustainable Fisheries Division, NMFS, Alaska Region, P.O. Box 21668, Juneau, AK 99802.

ADDITIONAL INFORMATION

Before completing this form please note the following: 1) Notwithstanding any other provision of law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number; 2) This information is mandatory and is required to manage commercial fishing efforts under 50 CFR part 679 and under section 402(a) of the Magnuson-Stevens Act (16 U.S.C. 1801, *et seq.*); 3) Responses to this information request are confidential under section 402(b) of the Magnuson-Stevens Act as amended in 2006. They are also confidential under NOAA Administrative Order 216-100, which sets forth procedures to protect confidentiality of fishery statistics.

INSTRUCTIONS

QS HOLDER: IDENTIFICATION OF OWNERSHIP INTEREST

This form must be submitted by corporations, partnerships, associations, and other non-individual entities that hold quota share (QS) under the Pacific halibut and sablefish Individual Fishing Quota (IFQ) Program (50 CFR part 679). The information requested herein is needed by RAM to determine compliance with IFQ program requirements, including:

◆ **Limitations On Use of QS and IFQ.**

This information is needed to determine if persons who hold QS have exceeded their allowable use limits under the “individually and collectively” language set out in the IFQ regulations at 50 CFR 679.42(e) and (f); and,

◆ **Changes in corporations or partnerships.**

This information is also needed to determine if a corporation or partnership that holds catcher vessel QS has changed (i.e., the addition of a new member).

An entity must notify NMFS of any change within 15 days of its effective date and must then transfer its QS to a qualified individual.

◆ **Identify first-time applicants.**

◆ **Affirm an entity’s continuing existence.**

◆ **Ensure corporations and partnerships are not erroneously issued annual IFQ** resulting from the collectively held QS.

◆ **Indirect ownership of vessels** for purposes of the hired master provisions of the IFQ Program.

GENERAL INFORMATION

Please type or print legibly in ink. You may photocopy and attach additional sheets as necessary. Please sign in ink, have your signature notarized, and retain a copy for your records.

When completed, submit the original application:

by mail to:

**NMFS Alaska Region
Restricted Access Management (RAM)
P.O. Box 21668
Juneau, AK 99802-1668**

by delivery to:

**Room 713, Federal Building
709 West 9th Street
Juneau, AK**

By fax to: (907) 586-7354

Applications submitted via fax will be accepted only if the faxed copy is legible

Items will be sent to you by first class mail, unless you provide alternate instructions and include a prepaid mailer with appropriate postage or a corporate account number for express delivery. Additional information is available from RAM, as follows:

Website: <http://www.alaskafisheries.noaa.gov/ram/default.htm>

Telephone (toll free): 800-304-4846 (press "2")

Telephone (in Juneau): 907-586-7202 (press "2")

e-Mail: RAM.Alaska@noaa.gov

COMPLETING THE APPLICATION

BLOCK A - IDENTIFICATION OF QS HOLDER

1. Provide name of QS holder.
2. Indicate whether the QS Holder is a publicly held corporation.
If YES, sign the certification in Block C and return the form to RAM.
3. Indicate whether the QS Holder is a corporation, association, partnership, or other non-individual entity.
If YES, indicate whether the entity is still active; **If YES**, go to Block B.
If NO, sign the certification in Block C and return the form to RAM.
4. Indicate whether the QS Holder is an estate that has been probated.

You must answer YES if the non-individual QS Holder is an estate and all estate matters with regard to the disposition of the assets, including QS, have been finalized.

Provide the date the estate was settled.

BLOCK B - IDENTIFICATION OF MEMBERS

1. List the individual owners of entities if ownership consists of separate or additional shareholders, partners, joint venturers, successors-in-interest, associations, corporations, partnerships, or other non-individual entities.
2. Enter the percentage of ownership interest that each constituent member holds; for example, if there are three equal owners, enter "33-1/3" for each. The total interest of all members should equal 100 percent.
3. Indicate whether the ownership percentages represent the addition of any new owners since QS initially was issued. If any of the owners listed were added since QS were issued, you must answer YES.

BLOCK C - CERTIFICATION

Sign and date the application and print your name. **Note:** Authorized representatives must submit proof of authorization from QS owner and state title.