

NOTICE OF OFFICE OF MANAGEMENT AND BUDGET ACTION

Date 11/19/2012

Department of Commerce
National Oceanic and Atmospheric Administration
FOR CERTIFYING OFFICIAL: Simon Szykman
FOR CLEARANCE OFFICER: Diana Hynek

In accordance with the Paperwork Reduction Act, OMB has taken action on your request received 11/19/2012

ACTION REQUESTED: No material or nonsubstantive change to a currently approved collection
TYPE OF REVIEW REQUESTED: Regular
ICR REFERENCE NUMBER: 201211-0648-010
AGENCY ICR TRACKING NUMBER:
TITLE: Alaska Region Permit Family of Forms
LIST OF INFORMATION COLLECTIONS: See next page

OMB ACTION: Approved without change
OMB CONTROL NUMBER: 0648-0206
The agency is required to display the OMB Control Number and inform respondents of its legal significance in accordance with 5 CFR 1320.5(b).

EXPIRATION DATE: 12/31/2014 DISCONTINUE DATE:

BURDEN:	RESPONSES	HOURS	COSTS
Previous	652	307	952
New	757	316	952
Difference			
Change due to New Statute	0	0	0
Change due to Agency Discretion	105	9	0
Change due to Agency Adjustment	0	0	0
Change Due to Potential Violation of the PRA	0	0	0

TERMS OF CLEARANCE:

OMB Authorizing Official: Kevin F. Neyland
Deputy Administrator,
Office Of Information And Regulatory Affairs

List of ICs

IC Title	Form No.	Form Name	CFR Citation
Alaska Region Permit Family of Forms - Federal Fisheries Permit	NA	Application for Federal Fisheries Permit	
Alaska Region Permit Family of Forms - Federal Processor Permit	NA	Alaska Region Federal Processor Permit Application	
Alaska Region Permit Family of Forms - Exempted Fisheries Permit			50 CFR 679.6

JUSTIFICATION
ALASKA REGION PERMIT FAMILY OF FORMS
OMB CONTROL NO. 0648-0206

JUSTIFICATION FOR CHANGE: Change to Federal Processor Permit requirements due to associated restructured observer rule, RIN 0648-BB42 (final rule).

The North Pacific Groundfish Observer Program (Observer Program) provides the regulatory framework for National Marine Fisheries Service (NMFS)-certified observers (observers) to obtain information necessary for the conservation and management of the groundfish fisheries managed under the fishery management plans (FMPs). The information collected by observers provides the best available scientific information for managing the fisheries and developing measures to minimize bycatch in furtherance of the purposes and national standards of the Magnuson-Stevens Act.

NMFS proposes regulations to implement Amendment 86 to the Bering Sea and Aleutian Islands Management Area FMP and Amendment 76 to the Gulf of Alaska FMP. Amendments 86 and 76 would add a funding and deployment system for participants in the partial observer coverage category (see OMB Control No. 0648-0318) in the existing Observer Program. The new funding and deployment system would allow NMFS to determine when and where to deploy observers according to management and conservation needs, with funds provided through a system of fees based on the ex-vessel value of groundfish and halibut in fisheries covered by the new system.

With this action the Federal Processor Permit (FPP) application will be changed to incorporate the following information:

- ◆ The length of an FPP effective period is changed from three years to one year. Under the final rule, shoreside and stationary floating processors and Registered Buyers would be required to submit the balance of the observer fee liability to NMFS by February 15 in the year after the landings occurred. To match the observer fee payment schedule proposed by this action, NMFS proposes to modify the current 3-year FPP and Registered Buyer permit cycles to an annual cycle, running from March 1 through the last day of February.
- ◆ An FPP may be renewed or amended online, although a new FPP must be applied for on paper by mail or fax and will be sent to the permit holder by mail.
- ◆ The permit holder must pay the annual observer fee in a timely manner, or an FPP will not be renewed.
- ◆ Because the FPP application will be web-based, the permit holder who renews online may print a renewed FPP on his or her printer rather than receive the FPP by mail.

A slight increase in burden is anticipated by this action, because the issuance date changed from three years to one year. The change in issuance was done to accommodate the new observer fee. For the FPP application to be considered complete, all fees due to NMFS under § 679.55 at the time of application must be paid. The number of respondents is unchanged, but the annual

responses have increased from 52 to 157. Hours have increased from 18 to 27 only: although application will now be annual, 105 of the 157 estimated respondents currently have the capability to renew online, with a response rate of 5 minutes. The other 52 will renew on paper, with a response time of 21 minutes. The total annual reporting/recordkeeping costs will not change, as a greater percentage of respondents will now apply online.

Federal Processor Permit, respondents	
Total number of respondents	157
119 shoreside processors	
14 SFPs	
24 CQE floating processors	
Total number responses	157
Number responses per participant = 1	
Total time burden for all responses (26.95)	27 hr
Time requirement per response	
52 x 21 min = 18.20 hours	
105 x 5 min = 8.75 hours	
Total personnel cost	\$675
Cost per hour =\$25	
Total miscellaneous cost (56.40)	\$56
Submit by fax (\$6 x 5 = 30)	
Submit by mail (.45 x 47 = 21.15)	
Submit online (0.05 x 105 = 5.25)	
Photocopy (0.05 x 2pp x 52 = 5.20)	

Federal Processor Permit, Federal Government	
Total number responses	157
Total time burden = 45 min x 157 = 117.75	118 hr
Total personnel cost (\$25 x 118 hr)	\$2950
Total miscellaneous cost	\$0

PAPERWORK REDUCTION ACT CHANGE WORKSHEET

Agency/Subagency	OMB Control Number _____ - _____	
<i>Enter only items that change</i>		
	Current record	New record
Agency form number (s)		
Annual reporting and recordkeeping hour burden		
Number of respondents		
Total annual responses		
Percent of these responses collected electronically	%	%
Total annual hours		
Difference		
Explanation of difference		
Program change		
Adjustment		
Annual reporting and recordkeeping cost burden (in thousands of dollars)		
Total annualized Capital/Startup costs		
Total annual costs (O&M)		
Total annualized cost requested		
Difference		
Explanation of difference		
Program change		
Adjustment		
Other changes**		
Signature of Senior Official or designee:	Date:	For OIRA Use _____ _____

** This form cannot be used to extend an expiration date.

**APPLICATION
FOR
FEDERAL PROCESSOR PERMIT
(FPP)**

United States Department of Commerce
National Oceanic and Atmospheric Administration
National Marine Fisheries Service (NMFS)
Restricted Access Management (RAM)
P.O. Box 21668
Juneau, Alaska 99802-1668
Telephone: (800) 304-4846 toll free or
(907) 586-7202 Juneau
Fax: (907) 586-7354



This application may be submitted ONLINE for FPP renewals and amendments.

NOTICE: Only persons who are U.S. Citizens are authorized to receive or hold a Federal Processor Permit.

TYPE OF FPP REGISTRATION

Indicate the category of processor below.

- Shoreside Processor
 Stationary Floating Processor (SFP)
 CQE* Floating Processor
 *Community Quota Entity

IMPORTANT! ATTACH a copy of the U.S. Coast Guard Abstract of Title or Certificate of Documentation with this application if ownership of the vessel used as an SFP or CQE floating processor listed in Block B has changed or if an FPP has never been issued using this vessel.

Indicate the type of permit request.

- New FPP
 FPP Renew
 Amend FPP

If requesting to renew or amend an existing FPP, please provide current FPP number _____

You must complete all blocks relevant to your processor and activities. Failure to complete all relevant blocks can result in improper permitting.

NOTE: For the Federal Processor Permit application to be considered complete, all fees due to NMFS per § 679.55 must be paid.

BLOCK A -- OWNER INFORMATION

1. Name of Owner(s)		2. NMFS Person ID	
3. Permanent Business Mailing Address		4. Temporary Business Mailing Address	
5. Business Telephone No.	6. Business Fax No.	7. Business E-Mail Address	
8. Managing Company Name (if any)			

BLOCK B -- STATIONARY FLOATING PROCESSOR (SFP) INFORMATION

1. Name of SFP		2. Is this a vessel of the United States? [] YES [] NO
3. USCG Documentation No.	4. ADF&G Vessel Registration No.	5. ADF&G Processor Code
6. Length Overall (LOA) in feet Registered Length in feet	7. Gross Tonnage Net Tonnage	8. Shaft Horsepower

9. Homeport of Vessel (*city and state*)

10. GOA Inshore Processing Endorsement

An SFP that wishes to receive a Gulf of Alaska (GOA) inshore processing endorsement must check this box
 GOA Inshore Processing Endorsement

A GOA inshore processing endorsement is required in order to process GOA inshore pollock and Eastern GOA inshore Pacific cod. An SFP that holds an inshore processing endorsement is prohibited from processing GOA pollock and GOA Pacific cod in more than one single geographic location during a fishing year and is also prohibited from operating as a catcher/processor in the Bering Sea and Aleutian Islands Management Area (BSAI).

Once issued, a GOA inshore processing endorsement cannot be rescinded for the duration of a fishing year
 (See instructions for further explanation)

BLOCK C – SHORESIDE PROCESSOR INFORMATION

1. Name of Shoreside Processor		2. ADF&G Processor Code
3. Business Mailing Address [] Permanent [] Temporary		4. Physical location of plant
5. Is this shoreside processor replacing a previous processor at this facility? [] YES [] NO If YES, name of previous processor	6. Are there multiple processing businesses using this facility? [] YES [] NO	
	7. Does the owner named in Block A, above, own the plant named in Block C, question 1, above? [] YES [] NO	
8. Business Telephone No.	9. Business Fax No.	10. Business E-Mail Address

BLOCK D – CQE FLOATING PROCESSOR INFORMATION

1. Name of Vessel		2. Is this a vessel of the United States? [] YES [] NO	
3. USCG Documentation No.	4. ADF&G Vessel Registration No.	5. ADF&G Processor Code	
6. Length Overall (LOA) in feet Registered Length in feet	7. Gross Tonnage Net Tonnage	8. Shaft Horsepower	
9. Homeport of Vessel (<i>city and state</i>)			
10. Requirements for CQE Floating Processor A CQE Floating Processor endorsement is required for vessels processing Pacific cod within the marine municipal boundaries of CQE communities in the Western or Central GOA. Eligible vessels are limited to Federally licensed vessels not meeting the definition of a stationary floating processor that have not harvested groundfish off Alaska in the same calendar year. A vessel meeting these requirements that wishes to receive a GOA CQE floating processor endorsement must check this box [] GOA CQE Floating Processor Vessels are prohibited from holding both a GOA CQE Floating Processor endorsement and a GOA Stationary Floating Processor during the same calendar year. Once issued, a GOA inshore processing endorsement cannot be rescinded for the duration of a fishing year (See instructions for further explanation)			

BLOCK E -- APPLICANT SIGNATURE (Non-electronic Submittal Only)

Under penalties of perjury, I hereby declare that I, the undersigned, completed this application, and the information contained herein is true, correct, and complete to the best of my knowledge and belief.		
Applicant Name (please print or type)	Signature	Date

PUBLIC REPORTING BURDEN STATEMENT

Public reporting burden for this collection of information is estimated to average 21minutes per response, including the time for reviewing the instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to NOAA, National Marine Fisheries Service, Alaska Region, Attn: Assistant Regional Administrator, Sustainable Fisheries Division, P.O. Box 21668, Juneau, AK 99802-1668.

ADDITIONAL INFORMATION

Before completing this form please note the following: 1) The NMFS may not conduct or sponsor this information request, and you are not required to respond to this information request, unless the form displays a currently valid OMB control number; 2) This information is mandatory and is required to manage commercial fishing effort in the GOA and BSAI under 50 CFR 679 and under 402(a) of the Magnuson-Stevens Act (16 U.S.C. 1801, et seq.); 3) Responses to this information request are confidential under section 402(b) of the Magnuson-Stevens Act as amended in 2006. It is also confidential under NOAA Administrative Order 216-100, which sets forth procedures to protect confidentiality of fishery statistics.

Instructions
APPLICATION FOR
FEDERAL PROCESSOR PERMIT (FPP)

NOTE: For the Federal Processor Permit application to be considered complete, all fees due to NMFS per § 679.55 must be paid.

A **Federal Processor Permit (FPP)** is required before the manager of a shoreside processor, stationary floating processor (SFP), or a community quota entity (CQE) floating processor may receive or process groundfish harvested in the Gulf of Alaska (GOA) or Bering Sea and Aleutian Islands Management Area (BSAI) (see 50 CFR 679.4(f)).

With the exception of plants receiving only Bering Sea pollock (American Fisheries Act and Western Alaska Community Development Quota), all shoreside and stationary floating plants possessing an FPP are included in the partial observer coverage category and must pay the ex-vessel value-based fee to NMFS for their observer coverage. NMFS would deploy observers directly and plant operators would no longer contract with observer providers for their coverage.

An FPP permit holder who has incurred a fee liability must pay the fee to NMFS by **February 15** of the year following the calendar year in which the landing was made. Full payment of the observer fee liability is required before NMFS will issue a new or renewed FPP.

An FPP is in effect for one year, running from March 1 through the last day of February.

Processing or to process means the preparation of, or to prepare, fish or crab to render it suitable for human consumption, industrial uses, or long-term storage, including but not limited to cooking, canning, smoking, salting, drying, freezing, or rendering into meal or oil, but does not mean icing, bleeding, heading, or gutting (see 50 CFR 679.2).

GENERAL INFORMATION

NOTE: It is important that all blocks are completed and all necessary documents are attached. Failure to answer any of the questions, provide attachments, or to sign the application could result in delays in the processing of your application.

- ◆ Complete a separate application for each processor. Application forms and instructions are also available on the NMFS, Alaska Region web site at www.alaskafisheries.noaa.gov/ram.
- ◆ A copy of the United States Coast Guard (USCG) Abstract of Title or Certificate of Documentation must be included with this application if ownership has changed or if a Federal Processor Permit has never been issued for this processor.
- ◆ Type or print legibly in ink.
- ◆ Retain a copy of the completed application for your records.

Non-electronic FPP applications may be submitted by:

Mail to: NMFS, Alaska Region
Restricted Access Management (RAM) Program
P.O. Box 21668

Juneau, AK 99802

Deliver to: Federal Building
709 W. 9th Street, Suite 713
Juneau, AK 99801

Or fax to: 907-586-7354
Applications may be faxed to RAM; however, permits cannot and will not be faxed back.

Electronic FPP applications may be submitted online at:

<https://alaskafisheries.noaa.gov/webapps/coopaccounts/Login>

If the application is submitted to NMFS online, the NMFS Person ID, password, and transfer key take the place of a signature and certify that all information is true, correct, and complete.

If you have questions about these permits, please call RAM toll free at 800-304-4846 (select option 2) or 907-586-7202 (select option 2) or e-mail your questions to RAM.Alaska@noaa.gov. View our website at www.alaskafisheries.noaa.gov;

SPECIAL HANDLING OF PERMITS

Electronic FPP

Upon acceptance of the fee and renewal application by NMFS for an FPP, the manager must print a paper copy of the new FPP and maintain this copy for inspection.

Non-electronic FPP

Please allow at least ten working days for your application to be processed. Do not wait until right before an opening to apply for your permit, as you may not receive it on time. Items will be sent by first class mail, unless you provide alternate instructions for NMFS to send by a faster method than regular mail.

eLandings

A shoreside processor, SFP, or CQE floating processor that is issued an FPP under 50 CFR part 679.4(f) must use eLandings or other NMFS-approved software to daily record and report groundfish. For more information on eLandings, see <http://www.alaskafisheries.noaa.gov/elandings/faq.htm#ecr>.

COMPLETING THE APPLICATION

TYPE OF FPP REGISTRATION

1. Indicate the category of processor: Shoreside Processor, SFP, or CQE Floating Processor

NOTE: ATTACH a copy of the USCG Abstract of Title or Certificate of Documentation with this application if ownership of the vessel used as a SFP listed in Block B or CQE floating processor listed in Block D has changed or if an FPP has never been issued using this vessel.

2. Indicate the type of permit request: New FPP, Renew FPP, or Amend FPP.

NOTE: The FPP must be renewed annually.

3. If this application is to renew or amend an existing FPP, **submit ONLINE**, and please provide your current FPP number.

BLOCK A -- OWNER INFORMATION

1. Enter the full name of the owner of the vessel used as an SFP listed in Block B, CQE floating processor listed in Block D, or the owner of the shoreside processor listed in Block C.

NOTE: If there is more than one owner, list the principal owner first. The permit will be issued to the first owner listed, with an *et al.* notation. The permit will be issued to the owner of the SFP, shoreside processor, or CQE floating processor -- not to operators or lessees.

2. Enter NMFS person ID.
- 3-4. Enter your complete **permanent** business mailing address, including street or P.O. Box number, state, and zip code. Your permit will be sent to this address. If you want the permit sent to somewhere other than to the permanent address, indicate temporary address and include street or P.O. Box number, city, state, and zip code.
- 5-7. Enter the business telephone number, business fax number, including area code, and business e-mail address. It is very important that you provide a number where we can contact you, or where we can leave messages for you. If questions arise concerning your application, and we are unable to contact you, issuance of your permit will be delayed.
8. Enter the name of the company, if other than the owner, that manages the operations of the SFP, shoreside processor, or CQE floating processor.

BLOCK B -- STATIONARY FLOATING PROCESSOR (SFP) INFORMATION

Complete this block if you are requesting an FPP for an SFP.

1. Enter the complete vessel name as displayed in the official documentation.
2. Check whether or not the vessel is a vessel of the United States.
3. Enter the USCG documentation number (example: 566722).
4. Enter the 5-digit State of Alaska Department of Fish & Game (ADF&G) vessel registration number (example: 51233).
5. Enter the ADF&G Processor Code.
6. Enter the vessel's length overall (LOA) in feet and registered length in feet.

The **LOA** of a vessel means the centerline longitudinal distance, rounded to the nearest foot, measured between: (1) the outside foremost part of the vessel visible above the waterline, including bulwarks, but excluding bowsprits and similar fittings or attachments, and (2) the outside aftermost part of the vessel visible above the waterline including bulwarks, but excluding rudders, outboard motor brackets, and similar fittings or attachments (50 CFR 679.2).

7. Enter registered gross tonnage (U.S. tons) and net tonnage (U.S. tons) as stated in the official documentation.

8. Enter the shaft horsepower.
9. Enter the home port of vessel (city and state) as recorded in the official documentation.
10. GOA Inshore Processing Endorsement.
SFPs that wish to receive a GOA inshore processing endorsement must complete this question.

GOA Inshore Processing Endorsement. A GOA inshore processing endorsement is required in order to process Eastern GOA inshore Pacific cod and GOA inshore pollock. SFPs that hold an inshore processing endorsement are prohibited from processing GOA pollock and Eastern GOA Pacific cod in more than one single geographic location during a fishing year and are also prohibited from operating as a catcher/processor in the BSAI. Vessels holding the GOA inshore processing endorsement face additional operating restrictions (see 50 CFR 679.7).

Once issued, a GOA inshore processing endorsement cannot be rescinded for the duration of a fishing year. It may be changed for the next fishing year by submitting an application for permit amendment prior to the beginning of that fishing year.

For more information on the inshore/offshore regulations, contact Sustainable Fisheries Division toll free at 800-304-4846 (select option #3) or 907-586-7228.

BLOCK C -- SHORESIDE PROCESSOR INFORMATION

Complete this block if you are requesting an FPP for a shoreside processor.

- 1-2. Enter the name of the shoreside processor and ADF&G processor code.
3. Enter the business address including street or P.O. box number, city, state, and zip code.
Indicate whether this is a permanent or temporary address.
4. Enter the physical location where the plant, at which the owner of shoreside processor in Block A, question 1, is operating. **DO NOT USE POST OFFICE BOX NUMBERS.**
5. Indicate if this processor is replacing a previous processing business at this facility.
If YES, enter name of previous processor.
6. Indicate if there are multiple processing businesses using this facility.
If YES, list the names of those other processing businesses.
7. Indicate if the applicant in Block A owns the plant at which the shoreside processor listed in Block C, question 3, is operating.
- 8-10. Enter the business telephone number and business fax number, including area code, and business e-mail address. It is very important that you provide a number where we can contact you, or where we can leave messages for you. If questions arise concerning your application, and we are unable to contact you, issuance of your permit will be delayed.

BLOCK D – CQE FLOATING PROCESSOR INFORMATION

Complete this block if you are requesting an FPP for a CQE Floating Processor.

1. Enter the complete vessel name as displayed in the official documentation.

2. Check whether or not the vessel is a vessel of the United States.
3. Enter the USCG documentation number (example: 566722).
4. Enter the 5-digit State of Alaska Department of Fish & Game (ADF&G) vessel registration number (example: 51233).
5. Enter the ADF&G Processor Code.
6. Enter the vessel's length overall (LOA) in feet and registered length in feet.

The **LOA** of a vessel means the centerline longitudinal distance, rounded to the nearest foot, measured between: (1) the outside foremost part of the vessel visible above the waterline, including bulwarks, but excluding bowsprits and similar fittings or attachments, and (2) the outside aftermost part of the vessel visible above the waterline including bulwarks, but excluding rudders, outboard motor brackets, and similar fittings or attachments (50 CFR 679.2).

7. Enter registered gross tonnage (U.S. tons) and net tonnage (U.S. tons) as stated in the official documentation.
8. Enter the shaft horsepower.
9. Enter the home port (city and state) as recorded in the official documentation.
10. Requirements for CQE Floating Processor

A CQE Floating Processor endorsement is required for vessels processing Pacific cod within the marine municipal boundaries of CQE communities in the Western or Central GOA. Eligible vessels are limited to Federally licensed vessels not meeting the definition of an SFP that have not harvested groundfish off Alaska in the same calendar year. A vessel meeting these requirements that wishes to receive a GOA CQE floating processor endorsement must check the box.

Vessels are prohibited from holding both a GOA CQE Floating Processor endorsement and a GOA Mothership endorsement during the same calendar year. Once issued, a GOA inshore processing endorsement cannot be rescinded for the duration of a fishing year.

BLOCK E -- APPLICANT'S SIGNATURE

Non-electronic Submittal

The owner or agent of the owner of the shoreside processor, SFP, or CQE floating processor must sign and date the application certifying that all information is true, correct, and complete to the best of his or her knowledge and belief. If the owner is a company, the agent of the owner must sign and date the application and attach authorization.

Electronic Submittal

The owner or agent of the owner of the shoreside processor, SFP, or CQE floating processor must log into the system and by using the owner's NMFS ID and password and submitting the FPP application, the owner certifies that all information is true, correct, and complete.