NOTICE OF OFFICE OF MANAGEMENT AND BUDGET ACTION

Date 04/23/2013

Department of Commerce
National Oceanic and Atmospheric Administration

FOR CERTIFYING OFFICIAL: Simon Szykman
FOR CLEARANCE OFFICER: Jennifer Jessup

In accordance with the Paperwork Reduction Act, OMB has taken action on your request received 04/23/2013

ACTION REQUESTED: Revision of a currently approved collection
TYPE OF REVIEW REQUESTED: Regular
ICR REFERENCE NUMBER: 201304-0648-007
AGENCY ICR TRACKING NUMBER:
TITLE: Southeast Region Permit Family of Forms
LIST OF INFORMATION COLLECTIONS: See next page

OMB ACTION: Approved without change
OMB CONTROL NUMBER: 0648-0205
The agency is required to display the OMB Control Number and inform respondents of its legal significance in accordance with 5 CFR 1320.5(b).

EXPIRATION DATE: 11/30/2014 DISCONTINUE DATE:

BURDEN:	RESPONSES	HOURS	COSTS
Previous	21,980	3,927	694,185
New	12,877	6,041	490,634
Difference			
Change due to New Statute	0	0	0
Change due to Agency Discretion	-8,837	-295	0
Change due to Agency Adjustment	-266	2,409	-203,551
Change due to PRA Violation	0	0	0

TERMS OF CLEARANCE:

OMB Authorizing Official: Dominic J. Mancini
Acting Deputy Administrator,
Office Of Information And Regulatory Affairs

List of ICs			
IC Title	Form No.	Form Name	CFR Citation
Dealer permits	NA	Federal Permit Application for an Annual Dealer Permit	50 CFR 622.4
Vessels fishing in the Exclusive Economic Zone, including Golden Tilefish endorsement on EEZ app	NA, NA	Federal Fisheries Application for Vessels Fishing for Wreckfish off the S. Atlantic Coast, Federal Permit Application for Vessels Fishing in the EEZ	50 CFR 622.4
Annual landings report for Gulf of Mexico Shrimp	NA	Gulf Of Mexico Shrimp Landings Report	50 CFR 622.4
Live rock permitting and reporting	NA, NA, NA, NA	Aquaculture Site Evaluation Report, Notice of intent of harvest of aquacultured live rock, Aquacultured Live Rock Deposit Harvest Report, Federal Permit Application for the Harvest of Aquacultured Live Rock	50 CFR 622.4
Rock Shrimp VMS annual maintenance, manufacturer fees and and position transmission costs			50 CFR 622.4
Dolphin/wahoo operator cards	NA	Federal Permit Application for Southeast Region Vessel Operator Card for Dolphin/Wahoo or Rock Shrimp	50 CFR 622.4
Fishing in Colombian Treaty Waters Vessel Permit Application	NA	Federal Permit/Certificate Application to Fish in Colombian Treaty Waters	50 CFR 622.4
Vessel permit transfers and notarizations using applicable permit application form			50 CFR 622.4
Golden crab permittees zone transit notification			50 CFR 622.17
Traps (golden crab, reef fish, snapper/grouper, spiny lobster) - notifications of authorization for retrieval or of lost/stolen traps			50 CFR 622.4

PAPERWORK REDUCTION ACT SUBMISSION

Please read the instructions before completing this form. For additional forms or assistance in completing this form, contact your agency's Paperwork Clearance Officer. Send two copies of this form, the collection instrument to be reviewed, the supporting statement, and any additional documentation to: Office of Information and Regulatory Affairs, Office of Management and Budget, Docket Library, Room 10102, 725 17th Street NW, Washington, DC 20503.

1. Agency/Subagency originating request
DOC/NOAA/NMFS

2. OMB control number b. [ ] None
a. 0648 - 0205

3. Type of information collection (*check one*)
a. [ ] New Collection
b. [✔] Revision of a currently approved collection
c. [✔] Extension of a currently approved collection
d. [ ] Reinstatement, without change, of a previously approved collection for which approval has expired
e. [ ] Reinstatement, with change, of a previously approved collection for which approval has expired
f. [ ] Existing collection in use without an OMB control number
For b-f, note Item A2 of Supporting Statement instructions

4. Type of review requested (*check one*)
a. [✔] Regular submission
b. [ ] Emergency - Approval requested by ____/____/____
c. [ ] Delegated

5. Small entities
Will this information collection have a significant economic impact on a substantial number of small entities? [ ] Yes [✔] No

6. Requested expiration date
a. [✔] Three years from approval date b. [ ] Other Specify:____/____

7. Title Southeast Region Permit Family of Forms

8. Agency form number(s) (*if applicable*)

9. Keywords "Fisheries", "Fishing", "Fishery", and "Fishing Vessels"

10. Abstract

Participants in the federally-regulated fisheries in the Exclusive Economic Zone of the South Atlantic, Gulf of Mexico, and Caribbean are required to obtain federal permits under the existing permit program for the specific Fishery Management Plans of each region. National Oceanic and Atmospheric Administration (NOAA), National Marine Fisheries Service (NMFS) needs information from the applications and associated data collections to identify fishing vessels/dealers/participants, properly manage the fisheries, and generate fishery-related data.

11. Affected public (*Mark primary with "P" and all others that apply with "x"*)
a. x Individuals or households d. ___ Farms
b. p Business or other for-profit e. ___ Federal Government
c. ___ Not-for-profit institutions f. ___ State, Local or Tribal Government

12. Obligation to respond (*check one*)
a. [ ] Voluntary
b. [ ] Required to obtain or retain benefits
c. [✔] Mandatory

13. Annual recordkeeping and reporting burden
a. Number of respondents 11,706
b. Total annual responses 12,878
1. Percentage of these responses collected electronically ____%
c. Total annual hours requested 6,042
d. Current OMB inventory 3,927
e. Difference 2,115
f. Explanation of difference
1. Program change -295
2. Adjustment 2,409

14. Annual reporting and recordkeeping cost burden (*in thousands of dollars*)
a. Total annualized capital/startup costs 0
b. Total annual costs (O&M) 488
c. Total annualized cost requested 488
d. Current OMB inventory 694
e. Difference -206
f. Explanation of difference
1. Program change 0
2. Adjustment -206

15. Purpose of information collection (*Mark primary with "P" and all others that apply with "X"*)
a. ___ Application for benefits e. x Program planning or management
b. ___ Program evaluation f. ___ Research
c. ___ General purpose statistics g. p Regulatory or compliance
d. ___ Audit

16. Frequency of recordkeeping or reporting (*check all that apply*)
a. [ ] Recordkeeping b. [ ] Third party disclosure
c. [ ] Reporting
1. [✔] On occasion 2. [ ] Weekly 3. [ ] Monthly
4. [ ] Quarterly 5. [ ] Semi-annually 6. [✔] Annually
7. [ ] Biennially 8. [ ] Other (describe) ____

17. Statistical methods
Does this information collection employ statistical methods
[ ] Yes [✔] No

18. Agency Contact (person who can best answer questions regarding the content of this submission)
Name: Anik Clemens
Phone: (727) 551-5611

19. Certification for Paperwork Reduction Act Submissions

On behalf of this Federal Agency, I certify that the collection of information encompassed by this request complies with 5 CFR 1320.9

NOTE: The text of 5 CFR 1320.9, and the related provisions of 5 CFR 1320.8(b)(3), appear at the end of the instructions. *The certification is to be made with reference to those regulatory provisions as set forth in the instructions.*

The following is a summary of the topics, regarding the proposed collection of information, that the certification covers:

(a) It is necessary for the proper performance of agency functions;

(b) It avoids unnecessary duplication;

(c) It reduces burden on small entities;

(d) It used plain, coherent, and unambiguous terminology that is understandable to respondents;

(e) Its implementation will be consistent and compatible with current reporting and recordkeeping practices;

(f) It indicates the retention period for recordkeeping requirements;

(g) It informs respondents of the information called for under 5 CFR 1320.8(b)(3):

- (i) Why the information is being collected;
- (ii) Use of information;
- (iii) Burden estimate;
- (iv) Nature of response (voluntary, required for a benefit, mandatory);
- (v) Nature and extent of confidentiality; and
- (vi) Need to display currently valid OMB control number;

(h) It was developed by an office that has planned and allocated resources for the efficient and effective management and use of the information to be collected (see note in Item 19 of instructions);

(i) It uses effective and efficient statistical survey methodology; and

(j) It makes appropriate use of information technology.

If you are unable to certify compliance with any of the provisions, identify the item below and explain the reason in Item 18 of the Supporting Statement.

Signature of Senior Official or designee	Date

Agency Certification (signature of Assistant Administrator, Deputy Assistant Administrator, Line Office Chief Information Officer, head of MB staff for L.O.s, or of the Director of a Program or StaffOffice)	
Signature signed by Emily Menashes (A)	Date 01/10/2013
Signature of NOAA Clearance Officer	
Signature BRABSON.SARAH.1365710488 Digitally signed by BRABSON.SARAH.1365710488 DN: c=US, o=U.S. Government, ou=DoD, ou=PKI, ou=OTHER, cn=BRABSON.SARAH.1365710488 Date: 2013.05.10 16:54:24 -04'00'	Date

SUPPORTING STATEMENT
SOUTHEAST REGION PERMIT FAMILY OF FORMS
OMB CONTROL NO. 0648-0205

A. JUSTIFICATION

1. Explain the circumstances that make the collection of information necessary.

This is a resubmission, with the final rule (RIN 0648-BB58, Amendment 18B to the Snapper-Grouper Fishery off the Southern Atlantic States), of a request for a comprehensive revision. There are no changes to the information collection requirements since the first submission, due to public comment or for any other reason.

If implemented, this rule would establish a longline endorsement program for the commercial golden tilefish component of the snapper-grouper fishery; establish initial eligibility requirements for a golden tilefish longline endorsement; establish an appeals process; allocate the commercial golden tilefish annual catch limit (ACL) among gear groups (under OMB Control No. 0648-0603); establish a procedure for the transfer of golden tilefish endorsements; modify the golden tilefish trip limits; and establish a trip limit for commercial fishermen who do not receive a golden tilefish longline endorsement. The intent of this rule is to reduce overcapacity in the commercial golden tilefish component of the snapper-grouper fishery.
The Southeast Region covers the eight coastal states of North Carolina, South Carolina, Georgia, Florida, Alabama, Mississippi, Louisiana and Texas, the inland states of Arkansas, Iowa, Kansas, Kentucky, Missouri, Nebraska, New Mexico, Oklahoma and Tennessee, as well as the Commonwealth of Puerto Rico and the United States (U.S.) Virgin Islands. The Sustainable Fisheries Division, Southeast Regional Office, National Marine Fisheries Service (NMFS), is entrusted with the conservation, management, and protection of marine fishery resources inhabiting federal waters off the southeastern U.S. from North Carolina through Texas and Puerto Rico and the U.S. Virgin Islands. The Sustainable Fisheries Division is the Southeast Region's focal point for implementing NMFS' primary legislative authority for fisheries management and research, the Magnuson-Stevens Fishery Conservation and Management Act (Magnuson-Stevens Act/MSA), as amended by the Sustainable Fisheries Act (SFA).

The Sustainable Fisheries Division works directly with the Southeast Region's three fishery management councils established by Congress to perform the mandates of the Magnuson-Stevens Act. These mandates are accomplished through fishery management plans for marine finfish and crustaceans that support important commercial and recreational fisheries in the Gulf of Mexico (GOM), South Atlantic Ocean, and Caribbean Sea and consider conservation and management issues, sociological and economic issues, and regulatory issues. Functions and activities required to fulfill this and other responsibilities as specified in the Magnuson-Stevens Act include: providing guidance on fisheries management; providing technical assistance and advise in preparing fishery management plans (FMPs) in accordance with national standard guidelines and other applicable laws; coordinating public review and compilation of comments; initiating Secretarial review of FMPs and amendments; drafting regulations and Federal Register

notices, as well as reviewing and responding to comments received during rulemaking; FMP implementation; and monitoring.

A major component of fisheries management in the Southeast Region is the permit system and the information collected by these permits. The permit/endorsement system has the following uses:

a. Registration of actual and/or potential fishing vessels/dealers.
b. Collection of data relevant to the characteristics of both vessels and (potential) fishermen.
c. Secure compliance (e.g., do not issue permits until unpaid penalties have been collected and reporting requirements are fulfilled).
d. Provide a mailing list for the dissemination of regulatory information.
e. Register participants for fisheries with special restrictions/limited access.
f. Provide sample frames for data collection.
g. Permit purchase information for fleet economic analyses.

Accordingly, numerous FMPs and Amendments have been developed by the Southeast Region which requires the collection of information for purposes of proper implementation of these rules. Regulations implementing the FMPs and their collection of information appear at 50 CFR 300, 50 CFR 635, 50 CFR 640, and 50 CFR 622.

The proposed rule, RIN 0648-BB58, Amendment 18B, would revise OMB Control No. 0648-0205 by adding check boxes to the Federal Permit Application Form for a new golden tilefish longline endorsement, renewing such an endorsement, or transferring such an endorsement.

Abbreviations used in the following table: Gulf of Mexico (GOM), South Atlantic (SA), Exclusive Economic Zone (EEZ)

Activity	Fishery	Form	Regulation Citation
Commercial Vessel Monitoring System Installation	SA Rock Shrimp	Checklist	50 CFR 622.4
Commercial Vessel Monitoring System Maintenance	SA Rock Shrimp	No Form	50 CFR 622.4
Notification of Lost or Stolen Traps	SA Golden Crab; Caribbean Spiny Lobster	No Form – Notification	50 CFR 622.17 50 CFR 622.6
Notification of Authorization for Trap Retrieval	GOM Reef Fish; SA Snapper-Grouper; SA Golden Crab	No Form – Written Notification of Authorization	50 CFR 622.4
Zone Transit Notification	SA Golden Crab	No Form – Notification	50 CFR 622.17
Annual Landings Report	GOM Shrimp	GOM Shrimp Federal Permit Reporting Form	50 CFR 622.4

Activity	Fishery	Form	Regulation Citation
Annual Dealer Permit	SA Dolphin Wahoo, Shark, Domestic Swordfish, SA Snapper-Grouper, SA Wreckfish, SA Rock Shrimp, SA Golden Crab, GOM Reef Fish	Federal Permit Application for an Annual Dealer Permit	50 CFR 622.4 50 CFR 635.4
Permit for Vessel Fishing for Wreckfish off the SA	Wreckfish off the SA	Federal Permit Application for Vessels Fishing for Wreckfish off the SA States	50 CFR 622.4 50 CFR 622.15
Permits for Operators of Vessels Fishing for Dolphin/Wahoo or Rock Shrimp	Atlantic Dolphin/Wahoo, South Atlantic Rock Shrimp	Federal Permit Application for Southeast Region Issued Operator Cards	50 CFR 622.4
Permits for Fishing in the EEZ	**Commercial:** Atlantic Dolphin Wahoo, Spiny Lobster, Spanish Mackerel, SA Rock Shrimp (Carolina zone), GOM Shrimp, King Mackerel, Gillnet for King Mackerel, GOM Reef Fish, Eastern GOM Reef Fish long line, SA Rock shrimp (SA EEZ), SA Snapper-Grouper (225 and Unlimted), Swordfish (directed, incidental and handgear), Shark (directed and incidental), Atlantic Tuna (longline), SA Golden Crab, SA Black Sea Bass pot endorsement, SA Golden Tilefish longline endorsement, HMS Caribbean fisheries (Small Boat) **Charter/Headboat for:** SA Coastal Migratory Pelagics, SA Snapper-Grouper, SA Dolphin/Wahoo, GOM Coastal Migratory Pelagics, GOM Reef Fish	Federal Permit Application for Vessels Fishing in the EEZ	50 CFR 622.4 50 CFR 622.15 50 CFR 622.17 50 CFR 622.40 50 CFR 635.4 50 CFR 640.4
Reef Fish Permit Consolidation	GOM Commercial Reef Fish	Form to Consolidate Commercial Reef Fish Permits	50 CFR 622.4
Transfer Notarization	All Fisheries with Allowable Transfer of Permits	Applicable Permit, License, or Endorsement	50 CFR 622.4

Activity	Fishery	Form	Regulation Citation
Change of Information for Permit Holders	All Fisheries	Change of Information Form for Federal Fisheries Permits	50 CFR 622.4 50 CFR 635.4 50 CFR 640.4
Colombian Treaty Water	Fishing in Colombian Treaty Waters	Federal Permit/Certificate Application to Fish in Columbian Treaty Waters	50 CFR 300.120 (Subpart H)
Aquacultured Live Rock	Live Rock	Federal Permit Application for the Harvest of Aquacultured Live Rock	50 CFR 622.4, COE GP SAJ-71, MOA between NMFS and COE
Aquacultured Live Rock	Live Rock	Aquaculture Site Evaluation Report	50 CFR 622.4, COE GP SAJ-71, MOA between NMFS and COE
Aquacultured Live Rock	Live Rock	Report for the Deposit or Harvest of Aquacultured Live Rock	50 CFR 622.4, COE GP SAJ-71, MOA between NMFS and COE
Aquacultured Live Rock	Live Rock	Notice of Intent to Harvest Aquacultured Live Rock	50 CFR 622.4, COE GP SAJ-71, MOA between NMFS and COE
Fishery Bulletin Option Postcard*	All Fisheries	Fishery Bulletin Option Postcard	

* The Fishery Bulletin Option Postcard was a one-time collection requirement, and the burden for this requirement is being deleted as part of the adjustments in this revision.

2. <u>Explain how, by whom, how frequently, and for what purpose the information will be used. If the information collected will be disseminated to the public or used to support information that will be disseminated to the public, then explain how the collection complies with all applicable Information Quality Guidelines</u>.

The information requested is used by various offices of NMFS, Regional Fishery Management Council staff, the U.S. Coast Guard, U.S. Army Corp of Engineers, and state fishery agencies under contract to NMFS to develop, implement and monitor fishery management strategies. Analyses and summarizations of data are used by NMFS, the Regional Councils, the Departments of State and Commerce, Office of Management and Budget (OMB), the fishing industry, Congressional staff and the public to answer questions about the nature of the Nation's fishery resources.

Golden Tilefish Longline Endorsements

The proposed rule, RIN 0648-BB58, Amendment 18B, would establish a longline endorsement program for the commercial golden tilefish component of the snapper-grouper fishery. The endorsement program would limit participation and reduce excess capacity in the golden tilefish component of the fishery. The eligibility criteria for a golden tilefish longline endorsement includes: possession of a valid South Atlantic Unlimited Snapper-Grouper Permit on the

effective date of the final rule implementing Amendment 18B and having an average golden tilefish landings of at least 5,000 lb (2,268 kg), gutted weight, for the best 3 years within the period 2006 through 2011. The number of South Atlantic Unlimited Snapper-Grouper permit holders that would be expected to meet these criteria is 23. Those South Atlantic snapper-grouper vessel owners who qualify for a golden tilefish longline endorsement would check an endorsement box when renewing a Federal Permit Application Form and once the new permit was received, they would also have the documentation allowing transfer of the endorsement. Endorsement are issued annually or more frequently at the request of the applicant if changes are necessary. See also "Fishing in the EEZ" below.

Commercial Vessel Monitoring System Installation Checklist:
The South Atlantic Rock Shrimp fishery is required to install and maintain Vessel Monitoring Systems (VMS) on commercially permitted vessels. The Checklist is required to ensure proper installation and operation of the VMS system. Rock shrimp vessels must complete a form supplied by NMFS verifying that a VMS unit has been installed on the vessel and is operational. The form requests information regarding vessel name, Federal permit number, vessel documentation or state registration number, information (name, address, and telephone number) on the installing dealer, date of installation, serial number of unit, and e-mail address of vessel. The form also requests responses to questions regarding whether or not the unit is operational, if operating instructions have been provided to the vessel owner, and if the vessel owner has been trained on use of the VMS unit by the vendor. Once the form has been completed, the vessel owner or authorized representative signs and dates the form, and returns it to the address listed on the form. In addition, in order to ensure VMS unit connectivity, all vessel owners required, or choosing to use, VMS units would be required to call NMFS Office of Law Enforcement (OLE) for the Northeast Region (NER) to confirm connectivity of new and replacement VMS units (currently, there are no estimated new VMS or replacement units).

Notification of Lost or Stolen Traps and /or Notification of Authorization for Trap Retrieval:
Vessel and permit holders are required to notify NMFS when a trap is lost, stolen, or being retrieved for inventory purposes.

Zone Transit Notification:
For a person aboard a fishing vessel to fish for Golden Crab in either the Northern Zone, the Middle Zone or the Southern Zone, a Golden Crab (South Atlantic EEZ) permit is required. In order to transit a non-permitted zone a Zone Transit Notification Form must be completed.

Coupons for Tracking Individual Transferable Quota (ITQ):
A dealer may receive a wreckfish only from a vessel for which a commercial permit for wreckfish has been issued, as required under § 622.4(a)(2)(vii). A dealer must receive the "Fish House" part of ITQ coupons in amounts totaling the eviscerated weight of the wreckfish received; enter the permit number of the vessel from which the wreckfish were received, enter the date the wreckfish were received, enter the dealer's permit number, and sign each such "Fish House" part; and submit all such parts with the dealer reports required by § 622.5(c)(5)(i). An owner or operator of a vessel and a dealer must make available to an authorized officer all ITQ coupons in his or her possession upon request.

Annual landings report:
The owner or operator of a vessel for which a Federal commercial vessel permit for Gulf shrimp has been issued must annually report the permitted vessel's total annual landings of shrimp and value, by species, on a form provided by the Southeast Fisheries Science Center's Science and Research Director (SRD). Compliance with this reporting requirement is required for permit renewal.

Annual Dealer Permit:
Identification of dealer/processors is needed to obtain first purchase information on landings to evaluate the biological, economic, and social implications of management measures. The Magnuson-Stevens Act, Regulatory Flexibility Act, and Executive Orders 12866 and 12131 require the determination of these facts.

Vessel Fishing for Wreckfish off the South Atlantic:
Annually, on or about March 1, the Regional Administrator (RA) will provide each Wreckfish shareholder with a list of all wreckfish shareholders and their percentage shares, reflecting share transactions on forms received through February 15. Annually by April 15, the RA will provide each dealer who holds a dealer permit for wreckfish, as required under § 622.4(a)(4), with a list of vessels for which wreckfish permits have been issued, as required under §622.4(a)(2)(vii). Annually, by April 15, the RA will provide each wreckfish shareholder with a list of dealers who have been issued dealer permits for wreckfish. From April 16 through January 14, updated lists will be provided when required. Updated lists may be obtained at other times or by a person who is not a wreckfish shareholder or wreckfish dealer permit holder by written request to the RA.

Operators of vessels fishing for Dolphin/Wahoo or Rock shrimp:
For a person aboard a fishing vessel to fish for rock shrimp in Federal waters from the Virginia/North Carolina border to the East Coast of Florida, either a Rock Shrimp (South Atlantic EEZ) permit or a South Atlantic Rock Shrimp (Carolinas EEZ) permit is required. A vessel may not be issued both a Rock Shrimp (South Atlantic EEZ) permit and a Rock Shrimp (Carolinas Zone) permit simultaneously. If a vessel has a Rock Shrimp (South Atlantic EEZ) permit, which is valid (not expired) as of the date of this application, and the vessel owner is applying for a Rock Shrimp (Carolinas Zone) permit on the same vessel, the Rock Shrimp (South Atlantic EEZ) permit must first be transferred from the vessel or surrendered to NMFS for a person aboard a fishing vessel to fish for rock shrimp in Federal waters from the Virginia/North Carolina border to the East Coast of Florida, either a Rock Shrimp (South Atlantic EEZ) permit or a South Atlantic Rock Shrimp (Carolinas EEZ) permit is required.

A vessel must be issued an Atlantic dolphin/wahoo commercial permit to be eligible for exemption from the bag and possession limits for dolphin/wahoo in the Atlantic EEZ or to sell dolphin-wahoo harvested in the Atlantic EEZ. The permit will only be valid if there is someone on the vessel that has a valid Vessel Operator Permit Card issued by the Southeast Regional Office of NMFS or by the Northeast Regional Office of NMFS.

Fishing in the EEZ:
The vessel permit application form is used to collect vessel information. Permits are issued annually or more frequently at the request of the applicant if changes are necessary. There is one vessel permit application form that is used for both new vessels that are applying for a vessel permit and for previously permitted vessels that want to renew their vessel permit.

In section 1 of the permit application, information such as name and address of the owner is used to identify the applicant and legal ownership of the vessel. This requirement is essential in the use of permits as a fisheries enforcement tool. For example, violations of catch regulations may result in the suspension of a vessel's permit. Since a corporation may own several vessels, identification of ownership on the application form allows NMFS to sanction the company as well as the individual vessel or vessel operator for repeated violations of regulations. This information is also used to provide a mailing list, and because some forms of delivery require a mailing address.

A USCG documentation number, or state registration number when appropriate, serves to further identify an individual vessel. This number is especially useful in tracking permit histories to past owners, assuring that qualified vessels obtain proper moratorium permits. That history becomes more and more important as additional fisheries undergo moratoriums limiting the access of participants.

Telephone numbers are required to assist NMFS in processing the application. Possessing a telephone number for an applicant enables questions to be resolved more efficiently and inexpensively than via correspondence, thus facilitating timely issuance of the permits. Home and principal port information provides managers with information on the distribution of fishing effort and fishing communities--vital components in evaluation of socio-economic impacts of fishing regulations.

The vessel information requested in section 2 of the initial application is required for evaluation of fishing power and capacity and is used by fishery economists and researchers to estimate the impacts of the fishing fleet on a resource and perform other studies as appropriate. Information from this database is used frequently throughout the year as studies are needed. The fishery information requested in section 3 is used by NMFS, the Councils, and other fishery research and management organizations to evaluate the placement, qualifications, and fishing methods of participants in the various fisheries. This information defines the type of permit issued to an applicant and which restrictions apply to that type of permit.

Section 3 includes "Limited Access/Moratorium Permits and Endorsements," a Black Sea Bass Pot Endorsement as a permit type. Applicants check boxes on the Federal Permit Application Form for permit number, renewal, or transfer of a Black Sea Bass Pot Endorsement.

Section 4, Section 5, and Section 6, require that Gulf Reef Fish IFQ participants must be either a U.S. Citizen or permanent resident alien, and check a box to indicate as such.

Section 4 of the permit application, and section 5 of the renewal application, requires that the permit holder sign and date the application. A signature is required on all application forms for

legal accountability and protection of the applicant.

Section 8 of the permit application, checkbox on the Federal Permit Application Form for purchased Floy Tags. Floy Tags are issued for both Sea Bass pots and Golden Crab traps. *Additionally, Section 3 of the permit application will include a checkbox on the Federal Permit Application Form for a new South Atlantic Golden Tilefish Endorsement, renewal, or transfer of the golden tilefish endorsement (average 1 minute per response). The estimated number of golden tilefish longline endorsement participants for 2012 is 23.*

Reef Fish Permit Consolidation:
A person who has been issued multiple commercial vessel permits for Gulf reef fish and wants to consolidate some or all of those permits, and the landings histories associated with those permits, into one permit must submit a completed permit consolidation application to the RA. The permits consolidated must be valid, non-expired permits and must be issued to the same entity. After consolidation, such a person would have a single permit, and the permits that were consolidated into that permit will be permanently terminated.

Transfer notarization:
Transfer notification application must be accompanied by the original permit and a copy of a signed bill of sale or equivalent acquisition papers. In those cases where a permit, license, or endorsement is transferable, the seller must sign the back of the permit, license, or endorsement and have the signed transfer document notarized.

Change of Information for permit holders:
The owner or operator of a vessel with a permit, a person with a coral permit, a person with an operator permit, or a dealer with a permit must notify the RA within 30 days after any change in the application information specified. This information includes: name, address, telephone number, date the business was formed, and other identifying information of the business. The permit is void if any change in the information is not reported within 30 days.

Colombian Treaty Waters:
This Federal permitting requirement is part of the negotiated treaty with Columbia that permits U.S. vessels to fish in Columbia waters.

Aquacultured Live Rock:
The purpose of this data collection is to collect information on types and quantities of live rock that are harvested. Although these data are collected by some state fishery agencies (notably Florida), it is important to collect this information from harvesters with a Federal permit that are not from a state that requires regular permitting.

Fishery Bulletin Option Postcard:
The purpose of this data collection was to collect information from Southeast Region permit holders and non-permit holders via postcard as to whether they would like to receive Fishery

Bulletins in the mail or electronically, or both. This was a one-time collection requirement and the burden hours will be removed through this revision.

These data serve as input for a variety of uses, such as: biological analyzes and stock assessments; E.O. 12291 regulatory impact analyzes; quota and allocation selections and monitoring; economic profitability profiles; trade and import tariff decisions; allocations of grant funds among states; identify ecological interactions among species. NMFS would be significantly hindered in its ability to fulfill the majority of its scientific research and fishery management missions without these data. It is anticipated that the information collected will be disseminated to the public or used to support publicly disseminated information. As explained in the preceding paragraphs, the information gathered has utility. NMFS retains control over the information and safeguards it from improper access, modification, and destruction, consistent with NOAA standards for confidentiality, privacy, and electronic information. The information collection is designed to yield data that meet all applicable information quality guidelines. Prior to dissemination, the information will be subjected to quality control measure and a pre-dissemination review pursuant to Section 515 of the Public Law 106-554.

3. Describe whether, and to what extent, the collection of information involves the use of automated, electronic, mechanical, or other technological techniques or other forms of information technology.

Regarding the permitting data collection, the Southeast Region's Web site (http://sero.nmfs.noaa.gov/permits/permits.htm) allows the public to obtain a copy of the permit application, which can be downloaded and completed electronically, printed and mailed. The Southeast Region Permits Team has switched from a non-web client-server application (R-base) to a relational database web application (PIMS), and is actively investigating opportunities to implement online application capabilities.

4. Describe efforts to identify duplication.

The Magnuson-Stevens Act's operational guidelines require each FMP to evaluate existing state and federal laws that govern the fisheries in question, and the findings are made part of each FMP. Each Fishery Management Council membership is comprised of state and federal officials responsible for resource management in their area. These two circumstances identify other collections that may be gathering the same or similar information. In addition, each FMP undergoes extensive public comment periods where potential applicants review the proposed permit application requirements. Therefore, NMFS is confident it is aware of similar collections if they exist. The other information proposed to be collected is not being collected elsewhere; therefore, this data collection would not cause duplication.

5. If the collection of information involves small businesses or other small entities, describe the methods used to minimize burden.

Because all applicants are considered small businesses or small entities, separate requirements based on size of business have not been developed. Only the minimum data to meet the current and future needs of NMFS's fisheries management are requested from the vessel owners.

6. Describe the consequences to the Federal program or policy activities if the collection is not conducted or is conducted less frequently.

The Southeast Region's three fishery management councils established by Congress are expected to fulfill the mandates of the Magnuson-Stevens Act. These mandates are accomplished through fishery management plans for marine finfish and crustaceans that support important commercial and recreational fisheries in the GOM, South Atlantic Ocean, and Caribbean Sea and consider conservation and management issues, sociological and economic issues, and regulatory issues. Functions and activities required to fulfill this and other responsibilities as specified in the Magnuson-Stevens Act include: providing guidance on fisheries management; providing technical assistance and advise in preparing FMPs in accordance with national standard guidelines and other applicable laws; coordinating public review and compilation of comments; initiating Secretarial review of FMPs and amendments; drafting regulations and Federal Register Notices, as well as reviewing and responding to comments received during rulemaking; FMP implementation; and monitoring.

A major component of fisheries management in the Southeast Region is the permit system and the information collected by these permits. Without the collection of this information, mandates developed through fishery management plans cannot be fulfilled.

7. Explain any special circumstances that require the collection to be conducted in a manner inconsistent with OMB guidelines.

There are no special circumstances that require the collection to be conducted in a manner inconsistent with OMB guidelines.

8. Provide information on the PRA Federal Register Notice that solicited public comments on the information collection prior to this submission. Summarize the public comments received in response to that notice and describe the actions taken by the agency in response to those comments. Describe the efforts to consult with persons outside the agency to obtain their views on the availability of data, frequency of collection, the clarity of instructions and recordkeeping, disclosure, or reporting format (if any), and on the data elements to be recorded, disclosed, or reported.

A proposed rule, 0648-BB58, was published on December 19, 2012 (77 FR 75093), describing program changes to this information collection and requesting public comment. No comments on the information collection requirements were received, only on the concept of the endorsement itself, and the allocations for the applicable species, overall and per gear fishery.

9. Explain any decisions to provide payments or gifts to respondents, other than remuneration of contractors or grantees.

There are no payments or other remunerations to respondents.

10. Describe any assurance of confidentiality provided to respondents and the basis for assurance in statute, regulation, or agency policy.

As stated on the forms, all data submitted under the proposed collection will be handled as confidential material in accordance with the MSA, Section 402b, and NOAA Administrative Order 216-100, Protection of Confidential Fishery Statistics.

This information collection is included in a comprehensive NMFS Permits and Registrations System of Records Notice, COMMERCE/NOAA #19, Permits and Registrations for U.S. Federally Regulated Fisheries, published 04/17/2008 and effective 06/11/2008.

11. Provide additional justification for any questions of a sensitive nature, such as sexual behavior and attitudes, religious beliefs, and other matters that are commonly considered private.

No questions of a sensitive nature are asked.

12. Provide an estimate in hours of the burden of the collection of information.

Current estimated burden hours for this collection of information total 6,041 hours, increased from 3,927 hours. See table below for current respondents, responses and hours.

Form/Notification /Endorsement	Total Number of Respondents	Annual Number of Responses	Time per Response	Total Time Hours
Rockfish Commercial Vessel Monitoring System Installation	0	0	10 minutes	0
Rockfish Commercial Vessel Monitoring System Maintenance	168	168	2 hours	336
Notification of Lost or Stolen Traps	2	2	5 minutes	10 minutes (0 in ROCIS)
Notification of Authorization Trap Retrieval	7	7	15 minutes	1.75 hours (2 hours)
Zone Transit Notification	5	5	5 minutes	25 minutes, rounded up to 1 in ROCIS
Annual Landings Report Gulf of Mexico Shrimp	2500	2500	5 minutes	208 hours
Annual Dealer Permit	426	426	20.3 minutes*	144 hours
Permit for Vessel Fishing for Wreckfish off the S. Atlantic	7	7	30 minutes	3.5 (4) hours
Three-Year Permits for Operators of vessels fishing for Dolphin/Wahoo or Rock shrimp (annualized)	614	614	25 minutes	256 hours
Permits for Fishing in the EEZ	7,412	7,412	40 minutes	4,941 hours
Reef Fish Permit Consolidation	0	0	5 minutes	0
Transfer notarization	1,710	1,710	5 minutes	143 hours
Change of Information for permit holders	0	0	5 minutes	0
Colombian Treaty Waters	5	5	30 minutes	2.5 (3) hours
Aquacultured Live Rock Harvest Application	18	18	5 minutes	1.5 (2) hours
Aquacultured Live Rock Site Evaluation	1	1	45 minutes	1
Aquacultured Live Rock Deposit	1	1	5 minutes	0

Form/Notification /Endorsement	Total Number of Respondents	Annual Number of Responses	Time per Response	Total Time Hours
Notice of Intent to Harvest Aquacultured Live Rock	1	1	5 minutes	0
Royal Red Shrimp Application	0	0	22 minutes	0
Fishery Bulletin Option Postcard	0	0	0	0
Total	**12,877****	**12,877**		**6,041**

*Spreading burden for new email accounts over all respondents.
**11,706 unduplicated: respondents for vessel permits, dealer permits, operator permits and aquaculture.

13. <u>Provide an estimate of the total annual cost burden to the respondents or record-keepers resulting from the collection (excluding the value of the burden hours in Question 12 above)</u>.

The total annual cost burden for this collection of information is $490, 634, decreased from the most recently approved total of $694,185.

EEZ fishery vessel permit fees: 7,312 x ($25 + $17*) (*$10 for each additional permit/endorsement: estimate 1.7 additional fisheries) = 307,104 plus Highly Migratory Species Commercial Caribbean Small Boat permit (HMS CCSB) - $2,500 (100 responses x $25)	$309,604
Floy tags for Black Sea Bass Pot Endorsement holders (27 participants x 35 tags x $1.80) – sent with permit application fees	$1,701
Operator card permit fees (614 x $50)	$30,700
Aquacultured live rock permit fees (18 x $31)	$558
Wreckfish permit fees (7 x $50)	$350
Dealer permit fees 426 x ($50 + $20) ($12.50 for each additional fishery: estimate 1.6 additional fisheries)	$29,820
Rock Shrimp VMS Manufacturer Monthly Fee x 12: (168 vessels x $30.5/mo x 12 mo)	$61,488
Rock Shrimp VMS Transmission Costs: (168 vessels x $200/yr)	$33,600
Transfer notarization (1,710 x $10)	$17,100
Mail Costs	$5,713
TOTAL	**$490,634**

These numbers were provided as of November 2012.

14. <u>Provide estimates of annualized cost to the Federal government</u>.

There are currently no costs to the government beyond regular staff hours: 38,364 hours (3 hours per response) at a cost to the government of $15/hour, or $579,510.

15. <u>Explain the reasons for any program changes or adjustments.</u>

Program Change:
The Fishery Bulletin Option postcard was a one-time collection; **8,837 responses and 295 hours are removed.** There was no associated recordkeeping/reporting cost.

Adjustments: There is a net decrease of 266 responses and a net increase of 2,409 burden hours in addition to the program change above.

The number of EEZ fishing permits and endorsements issued as of November 2012 is 7,412, decreased from 8,072. Wreckfish permits also decreased from 10 to 7. However, Dolphin/Wahoo or Rock Shrimp Operator Permit Applications increased to 614 from 421, and NMFS estimates 1,710 transfer notarizations on an annual basis instead of 1,506.

There have also been several increases to estimated response times, based on respondent feedback:

- For the Federal Permit Application Form for Fishing in the EEZ, average time is 40 minutes instead of 20 minutes – including renewals.

- The Wreckfish Permit Application and the Columbian Treaty Water Application Forms are now estimated to average 30 minutes per response instead of 20 minutes
- Dolphin/Wahoo or Rock Shrimp Permit Applications are now estimated to average 25 minutes per response instead of 20 minutes.

There is expected to be one Live Rock site evaluation added, for a total of 45 minutes (1 hour)

There is a **net decrease** of **$203,551 (adjustments only)--** from $694,185 to $490,634**,** due to adjustments made by the Southeast Regional Office to update the number of permit holders and fees found in the responses to Question 13.

16. <u>For collections whose results will be published, outline the plans for tabulation and publication</u>.

The results from this collection are not planned for statistical publication, although NOAA Fisheries may distribute the results of the observations for general information.

17. <u>If seeking approval to not display the expiration date for OMB approval of the information collection, explain the reasons why display would be inappropriate</u>.

Not Applicable.

18. <u>Explain each exception to the certification statement.</u>

Not Applicable.

B. COLLECTIONS OF INFORMATION EMPLOYING STATISTICAL METHODS

This collection does not employ statistical methods.

OMB Control No. 0648-0205 Expiration Date: 10/31/2014

U.S. DEPT OF COMMERCE, NOAA
NMFS PERMITS OFFICE, F/SER14
263 13th Avenue South
St. Petersburg, FL 33701
Toll Free 877/376-4877 (8:00 am - 4:30 pm ET)
727/824-5326 (8:00 am - 4:30 pm ET)
http://sero.nmfs.noaa.gov

FEDERAL PERMIT/CERTIFICATE APPLICATION TO FISH IN COLOMBIAN TREATY WATERS

FOR OFFICE USE ONLY

Reviewer's Initials and Date

Sanction Case Number if Sanctioned

Expiration Date

Application ID

FOR OFFICE USE ONLY

REMEMBER TO SEND A COPY of your current (not expired) United States Coast Guard (USCG) Certificate of Documentation. If we have a copy of your USCG Certificate of Documentation on file, it must not be expired. Do not send your original. We cannot accept a bill of sale.

1. VESSEL INFORMATION

USCG DOCUMENTATION NUMBER

TOTAL HORSEPOWER **YEAR BUILT** **LENGTH (FEET)**

VESSEL NAME

Crew Size - Total number of crew, Including the Captain

HULL COLOR **SUPERSTRUCTURE COLOR**

NAME OF COMPANY THAT BUILT THE VESSEL

INTERNATIONAL RADIO CALL SIGN

HOLD or FISH BOX CAPACITY (Pounds of Harvest) How many pounds of product can you bring to the dock when full?

DO YOU HAVE SAILS? ☐ YES ☐ NO

HULL IDENTIFICATION or IMO NUMBER

HAILING PORT CITY

HAILING PORT COUNTY OR PARISH **HAILING PORT STATE**

GROSS TONS **NET TONS**

HULL MATERIAL

- ☐ FIBERGLASS
- ☐ STEEL
- ☐ WOOD
- ☐ CEMENT
- ☐ OTHER _____

FUEL DATA

- ☐ DIESEL
- ☐ GASOLINE
- ☐ OTHER (DESCRIBE)

FUEL CAPACITY - TOTAL GALLONS

PRODUCT STORAGE (check all that apply)

- ☐ ON ICE IN HOLD, FISH BOX, ICE CHEST, COOLER ETC.,
- ☐ FREEZER
- ☐ LIVE WELL

SECTION 2. INDIVIDUAL VESSEL OWNER(S) AND LESSEE INFORMATION

Copy this page as needed to provide the required information on all persons that own or lease this vessel.

Does your USCG Documentation or State Registration show the vessel owner as a person or persons?	YES - Use this Page for the vessel owner(s).	NO - Skip this Page go to the next page.

1) Please complete section 2a of this page for the owner of the vessel as shown on the USCG Certificate of Documentation or, if not documented, on the state registration certificate. If the vessel is jointly owned, please enter the information for the managing (primary) owner.
2) Complete the section 2b of this page for a joint owner if the vessel is jointly owned by more than one person. If the vessel is leased, complete section 2b for the person that is leasing the vessel from the vessel owner. You must submit information on each joint owner and for each lessee. If you need more spaces for additional owners or lessees, copy the blank form or provide the required information on a separate sheet of paper.
3) Place an "X" in the Mailing Recipient block to indicate who will receive the permit and all related information. Please only mark one box.
4) If your vessel's state registration does not list all owners of the vessel, also provide a copy of the vessel's title, or other documentation from the appropriate state agency that identifies all vessel owners.

Section 2a - Vessel Owner as shown on the USCG Certificate of Documentation, or for Undocumented vessels, the State Registration.

If the Documentation or State Registration shows one person's name as the owner, list it here.

☐ **MAILING RECIPIENT** All mail about this permit will go to the person listed in this section.

Mr/Mrs/Ms	Last Name	First Name	Middle Name	Suffix - JR,SR,etc.

Tax ID # (SSN)	Date of Birth (MM/DD/YYYY)	Area Code	Phone Number

Mailing Address	Apt/Suite #	City	State	County/Parish	Zip Code	Country

Street Address (PO Box not acceptable)	Apt/Suite #	City	State	County/Parish	Zip Code	Country
☐ Check box if same as Mailing Address						

Answer questions 1 and 2 before moving on to section 3.

1. Does your USCG Documentation or State registration show more than one person as the vessel owner?	YES - Use this Page for the vessel owner(s).	NO - Do not fill out this section unless the vessel is leased.
2. Is a person or persons leasing this vessel from the vessel owner		

YES - Use section 2b for the vessel lessee(s) information.	NO - It is a business, Skip section 2b and put lessee information in section 3b.	NO - Skip section 2b.

Section 2b - Vessel Lessee OR Additional Vessel Owner as shown on the USCG Certificate of Documentation or for undocumented vessels, the State Registration.

If the USCG Documentation, State Registration or title shows more than one person as the owner, provide the second person's information here. If there are more than two persons, photocopy this blank page to provide additional information.

For LEASED Vessels: Lease Start Date: _____ Lease End Date: _____

☐ **MAILING RECIPIENT** All mail about this permit will go to the person listed in this section.
Mail is automatically sent to the lessee per regulations.

Mr/Mrs/Ms	Last Name	First Name	Middle Name	Suffix - JR,SR,etc.

Tax ID # (SSN)	Date of Birth (MM/DD/YYYY)	Area Code	Phone Number

Mailing Address	Apt/Suite #	City	State	County/Parish	Zip Code	Country

Street Address (PO Box not acceptable)	Apt/Suite #	City	State	County/Parish	Zip Code	Country
☐ Check box if same as Mailing Address						

SECTION 3. BUSINESS VESSEL OWNER AND LESSEE INFORMATION

Copy this page as needed to provide the required information on all businesses that own or lease this vessel.

Does your USCG Documentation or State Registration show a business as the vessel owner? | YES - Use this Page for the vessel owner(s). | NO - Go back to the previous page.

Is a business leasing this vessel from the vessel owner? | YES - Use section 5b for the vessel lessee(s). | NO - There is no lease involved - skip section 5b.

1) Please complete section 3a if the owner is a business as shown on the USCG Certificate of Documentation or, if not documented, on the state registration certificate. If the vessel is jointly owned by more than one business, please enter the information for the managing (primary) owner in section 3a.
2) Complete section 3b of this page if a business is a joint owner of the vessel. OR if the vessel is leased by a business, provide the information for the business that is leasing the vessel from the vessel owner. You must submit information on each joint owner and for each lessee. If you need more spaces for additional owners or lessees, copy the blank form or provide the required information on a separate sheet of paper.
3) Place an "X" in the Mailing Recipient block to indicate who will receive the permit and all related information. Please only mark one box.
4) If your vessel's state registration does not list all owners of the vessel, also provide a copy of the vessel's title, or other documentation from the appropriate state agency that identifies all vessel owners.

Section 3a - Vessel Owner as shown on the USCG Certificate of Documentation, or for Undocumented vessels, the State Registration.

If the Documentation or State Registration shows one business name as the owner, list it here. If there are two business's that own the vessel, list the managing business in section 5a and the second business in section 5b.

☐ **MAILING RECIPIENT** All mail about this permit will go to the business listed in section 5a.

Registered Name of Business

Tax ID # (FEIN) | **Date Business Formed (MM/DD/YYYY)** | **Area Code** | **Phone Number**

Mailing Address | **Apt/Suite #** | **City** | **State** | **County/Parish** | **Zip Code** | **Country**

Street Address (PO Box not acceptable) | **Apt/Suite #** | **City** | **State** | **County/Parish** | **Zip Code** | **Country**

☐ Check box if same as Mailing Address

Section 3b - Vessel Lessee or Additional Vessel Owner as shown on the USCG Certificate of Documentation or for Undocumented vessels, the State Registration.

If the Documentation, State Registration or Title shows more than one business as the owner, provide the second owner's information here. If there are more than two businesses, photocopy the blank page to provide additional information.

For LEASED Vessels: Lease Start Date: | Lease End Date:

☐ **MAILING RECIPIENT** All mail about this permit will go to the business listed in section 5b. Mail is automatically sent to the lessee as required by regulations.

Registered Name of Business

Tax ID # (FEIN) | **Date Business Formed (MM/DD/YYYY)** | **Area Code** | **Phone Number**

Mailing Address | **Apt/Suite #** | **City** | **State** | **County/Parish** | **Zip Code** | **Country**

Street Address (PO Box not acceptable) | **Apt/Suite #** | **City** | **State** | **County/Parish** | **Zip Code** | **Country**

☐ Check box if same as Mailing Address

SECTION 4 . OFFICER/SHAREHOLDER INFORMATION FOR BUSINESS(ES) THAT OWN OR LEASE THE VESSEL

This page must be filled out if the owner or the lessee of the vessel is a business.
Copy this page as needed to provide information on all persons that are officers/shareholders of the business shown in Section 5.

Complete this section for each officer or partner associated with the business that owns or leases the vessel. You must provide the information for all officers shown on your most recent annual report.

Owner or lessee of the vessel: ☐ Owner ☐ Lessee

Business name: _____ Federal Tax ID # _____

All individuals associated with the above-named vessel owner or lessee must be included in this application. Photocopy this page or attach additional sheets as necessary to list all officers, directors, shareholders, and registered agents of the business. Provide their name, Social Security Number, address, phone number, date of birth, and position held in business.

Position held - check ALL that apply

☐ President/CEO ☐ Vice President ☐ Secretary ☐ Treasurer ☐ Director/Manager ☐ Shareholder ☐ Other

Mr/Mrs/Ms	Last Name	First Name	Middle Name	Suffix - JR,SR,etc.

Mailing Address	Apt/Suite #	City	State	County/Parish	Zip Code	Country

Street Address (PO Box not acceptable)	Apt/Suite #	City	State	County/Parish	Zip Code	Country
☐ Check box if same as Mailing Address						

Tax ID # (SSN)	Date of Birth	Area Code	Phone Number

Position held - check ALL that apply

☐ President/CEO ☐ Vice President ☐ Secretary ☐ Treasurer ☐ Director/Manager ☐ Shareholder ☐ Other

Mr/Mrs/Ms	Last Name	First Name	Middle Name	Suffix - JR,SR,etc.

Mailing Address	Apt/Suite #	City	State	County/Parish	Zip Code	Country

Street Address (PO Box not acceptable)	Apt/Suite #	City	State	County/Parish	Zip Code	Country
☐ Check box if same as Mailing Address						

Tax ID # (SSN)	Date of Birth	Area Code	Phone Number

Section 5. ADDITIONAL INFORMATION

PRINCIPAL PORT OF LANDING OF THE FISH TO BE TAKEN FROM COLOMBIAN TREATY WATERS:

PRIMARY SPECIES OF FISH TO BE TAKEN FROM COLOMBIAN TREATY WATERS:

PRIMARY GEAR TO BE USED IN COLOMBIAN TREATY WATERS:

Section 6. SIGNATURE FOR APPLICATION - REQUIRED

The undersigned certifies under penalty of perjury that the foregoing information is true and correct (28 USC 1746; 18 USC 1621; 18 USC 1001, 16 USC 1857).

Please note: If the vessel listed in Section 1 is leased, the applicant who signs below must be an individual named as a lessee in Section 2, or an officer or shareholder of the lessee as listed in Section 4. If the vessel listed in Section 1 is not leased, the applicant must be an individual named as an owner in Section 2, or an officer or shareholder of the owner as listed in Section 4.

Applicant Signature		Position in Company		Date	
Print Name					

Public reporting burden for this collection of information is estimated to average 30 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other suggestions for reducing this burden to: PRA Officer, National Marine Fisheries Service, F/SER2, 263 13th Avenue South, St. Petersburg, FL 33701.

The National Marine Fisheries Service requires this information for the conservation and management of marine fishery resources. The data reported will be used to develop, implement, and monitor fishery management activities for a variety of other uses. Responses to this collection are required to obtain or retain a fisheries permit under the Magnuson - Stevens Act. Name and address information will be released via a NOAA website. All other data submitted will be handled as confidential material in accordance with NOAA Administrative Order 216-100, Protection of Confidential Fishery Statistics. Notwithstanding any other provisions of the law, no person is required to respond to, nor shall any person be subjected to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB

U.S. DEPT OF COMMERCE, NOAA
NMFS PERMITS OFFICE, F/SER14
263 13th Avenue South
St. Petersburg, FL 33701-5505
727/824-5326 (8 am - 4:30 pm ET)
1-877-376-4877 Toll Free
http://sero.nmfs.noaa.gov

FEDERAL APPLICATION FOR SOUTHEAST REGION ISSUED OPERATOR CARD

REQUIRED FOR ATLANTIC DOLPHIN/WAHOO AND/OR SOUTH ATLANTIC ROCK SHRIMP

OMB Control No. 0648-0205 Expiration Date: 10/31/2014

Reviewer's Initials and Date:	
Check or Money Order Number:	
Expiration Date:	

FOR OFFICE USE ONLY

FEE: $50.00
REPLACEMENT CARD: $18.00

Make check or money order payable to U.S. Treasury
Application Fees are Non-Refundable

Application ID
FOR OFFICE USE ONLY

GENERAL INSTRUCTIONS: (1) Operator cards are required by either the operator OR a crewmember of a commercial vessel or charter vessel/headboat fishing for Atlantic Dolphin and/or Wahoo and/or South Atlantic Rock Shrimp. Atlantic Dolphin/Wahoo permits and South Atlantic Rock Shrimp Permits are not valid unless the operator or a crewmember holds a valid Operator card when underway fishing for Dolphin, Wahoo and/or Rock Shrimp in the Atlantic EEZ. (2) Applications must be legible; illegible applications will be returned. Application Fee is payable to the U.S. TREASURY by check or money order and is Non- Refundable. FAILURE TO COMPLY WITH THESE INSTRUCTIONS MAY RESULT IN DELAY OR DENIAL OF AN OPERATOR CARD.

APPLICATION INSTRUCTIONS: All blanks in Section 1 must be filled in. Use Section 2 only if you have a mailing address that is different from the street address required in Section 1. Information is required for all categories in Section 3, including your telephone number. Please list a number where you can be reached or a message left for you if we have any questions. You must provide your federal tax identification number (SSN). You must provide two (2) recent (less than 1 year old) passport style photos in 2 inch X 2 inch size. The photos must have a plain white background and your face must be unobstructed by sunglasses, hats, scarves, etc. Vision correcting glasses are permitted. Do not staple, glue, tape, paperclip or otherwise attach the photos to the application.

SECTION 1 - VESSEL OPERATOR (CARD OWNER) INFORMATION

LAST NAME | FIRST NAME | MIDDLE NAME | Suffix (Sr., Jr. II, etc)

STREET ADDRESS (NO POST OFFICE BOX ADDRESSES WILL BE ACCEPTED)

CITY | STATE | COUNTY | ZIP CODE | COUNTRY

AREA CODE | TELEPHONE NUMBER

SECTION 2 - MAILING ADDRESS - ONLY IF DIFFERENT FROM STREET ADDRESS GIVEN IN SECTION 1

MAILING ADDRESS | CITY | STATE | COUNTY | ZIP CODE | COUNTRY

SECTION 3 - IDENTIFYING INFORMATION

DATE OF BIRTH (MM/DD/YYYY) | Tax ID # (SSN) | BIRTH PLACE (CITY, STATE, COUNTRY)

SEX: ☐ MALE ☐ FEMALE

EYE COLOR: ☐ BROWN ☐ BLUE ☐ GREY ☐ GREEN ☐ HAZEL ☐ Other _____

HAIR COLOR: ☐ BROWN ☐ BLACK ☐ GREY ☐ WHITE ☐ BLONDE ☐ RED ☐ Other _____

If you are clean shaven or balding, indicate your actual hair color

WEIGHT (LBS) | HEIGHT (FEET - INCHES)

SECTION 4 - SIGNATURE

Applicant Signature | Print Name | Date

Public reporting burden for this collection of information is estimated to average 25 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other suggestions for reducing this burden to PRA Officer, National Marine Fisheries Service, F/SER2, 263 13th Avenue South, St. Petersburg, FL 33701.

The National Marine Fisheries Service requires this information for the conservation and management of marine fishery resources. The data reported will be used to develop, implement, and monitor fishery management activities for a variety of other uses. Responses to this collection are required to obtain or retain a fisheries permit under the Magnuson - Stevens Act. Confidential name and address information will be released via a NOAA Fisheries website. All other data submitted will be handled as confidential material in accordance with NOAA Administrative Order 216-100, Protection of Confidential Fishery Statistics. Notwithstanding any other provisions of the law, no person is required to respond to, nor shall any person be subjected to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.

OMB Control No. 0648-0205 Expiration Date: 10/31/2014

U.S. DEPT OF COMMERCE, NOAA
NMFS PERMITS OFFICE, F/SER14
263 13th Avenue South
St. Petersburg, FL 33701
Toll Free 877/376-4877 (8:00 am - 4:30 pm ET)
727/824-5326 (8:00 am - 4:30 pm ET)
http://sero.nmfs.noaa.gov

FEDERAL PERMIT APPLICATION FOR VESSELS FISHING IN THE EXCLUSIVE ECONOMIC ZONE (EEZ)

Application ID

FOR OFFICE USE ONLY

FOR OFFICE USE ONLY

Reviewer's Initials and Date	
Permit Check or Money Order Number and Amount	
Floy Tag Check or Money Order Number and Amount	
Sanction Case Number if Sanctioned	
Non Compliance Hold Date	
Non Compliance Cleared Date	
Expiration Date(s)	

REMEMBER TO SEND A COPY of your current (not expired) United States Coast Guard (USCG) Certificate of Documentation. If this vessel is not documented, send a copy of your state vessel registration. If we have a copy of your documentaion or state registration on file, it must not be expired. Do not send your original. We cannot accept a bill of sale. If your vessel's state registration does not list all owners of the vessel, also provide a copy of the vessel's title, or other documentation from the appropriate state agency that identifies all vessel owners.

SECTION 1 - VESSEL INFORMATION

OFFICIAL NUMBER FROM USCG CERTIFICATE OF DOCUMENTATION (if the vessel is documented)

STATE REGISTRATION NUMBER (as applicable)

VESSEL NAME

HULL IDENTIFICATION or IMO NUMBER

HAILING PORT CITY

HAILING PORT COUNTY OR PARISH

HAILING PORT STATE

USCG DOCUMENTED VESSELS ONLY

GROSS TONS

NET TONS

YEAR BUILT

LENGTH (FEET)

TOTAL HORSEPOWER

Crew Size - Including the Captain

HOLD or FISH BOX CAPACITY (Pounds of Harvest) How many pounds of product can you bring to the dock when full?

HULL MATERIAL

- ☐ FIBERGLASS
- ☐ STEEL
- ☐ WOOD
- ☐ CEMENT
- ☐ OTHER

FUEL DATA

- ☐ DIESEL
- ☐ GASOLINE
- ☐ OTHER (DESCRIBE)

FUEL CAPACITY - TOTAL GALLONS

PRODUCT STORAGE (check all that apply)

- ☐ ON ICE IN HOLD, FISH BOX, ICE CHEST, COOLER ETC.,
- ☐ FREEZER
- ☐ LIVE WELL

PASSENGER CAPACITY DATA FOR CHARTER/ HEADBOAT VESSELS ONLY

- ☐ UNINSPECTED VESSEL - "6-PACK"
- ☐ USCG INSPECTED VESSEL (Specify Passenger Capacity as listed on the USCG Certificate of Inspection, not including Capt. and Crew) You must provide a copy of your valid USCG COI for renewals and transfers.

This vessel is used MOSTLY for (select only 1)

- ☐ Commercial Fishing
- ☐ Headboat
- ☐ Charter

For Shark and Swordfish Directed and Incidental Permit Applicants Only: Does your vessel fish with, or carry onboard, either longline or gillnet gear?

☐ Yes ☐ No

Reminder: If yes, include a copy of your "Protected Species Release, Disentanglement, and Identification Workshop Certificate".

SECTION 2. OPEN ACCESS PERMITS and ENDORSEMENTS

INSTRUCTIONS: Find the permits in the left column and mark the check box beside that fishery to indicate what transaction(s) you want.

OPEN ACCESS COMMERCIAL PERMITS	NEW	RENEWAL
COMMERCIAL ATLANTIC DOLPHIN/ WAHOO (ADW)	☐	☐
SPINY LOBSTER (LC) (Not required for the EEZ off Florida)	☐	☐
SPINY LOBSTER TAILING (LT) (You must have an LC permit OR provide your FL SPL information below to obtain this permit)	☐	☐
SPANISH MACKEREL (SM)	☐	☐
ROCK SHRIMP (CAROLINAS ZONE) (RSCZ)	☐	☐
SOUTH ATLANTIC PENAEID SHRIMP (SPA)	☐	☐
GULF ROYAL RED SHRIMP ENDORSEMENT (GRRS) (You must have a valid Gulf of Mexico Shrimp (SPGM) permit to obtain this endorsment.)	☐	☐
HMS COMMERCIAL CARIBBEAN SMALL BOAT (CCSB)	☐	☐

FOR LOBSTER TAILING PERMIT APPLICANTS ONLY:

LOBSTER TAILING APPLICANTS: To obtain a lobster tailing permit you must possess a Florida SPL with Restricted Species and Crawfish endorsements. If you do not have a Florida SPL with Restricted Species and Crawfish Endorsements, you must possess or simultaneously obtain a valid Federal Spiny Lobster (LC) permit.

You must provide a copy of your Florida SPL if you do not have a Federal Spiny Lobster (LC) permit

Saltwater Products License Number		Crawfish Endorsement Number	
Saltwater Products License Expiration date			

The South Atlantic Snapper-Grouper complex (commercial and charter) includes Snappers, Groupers, Tilefishes, Seabasses, Hogfish, Jacks, Grunts, Porgies, Spadefishes, Triggerfishes and Wreckfish. For a detailed list, refer to Table 4 of Appendix A to 50 CFR 622.

The South Atlantic Coastal Migratory Pelagic group consists of King Mackerel, Cerro Mackerel, Spanish Mackerel, Cobia and Little Tunny.

OPEN ACCESS CHARTER/HEADBOAT PERMITS	NEW	RENEWAL
ATLANTIC CHARTER/ HEADBOAT FOR DOLPHIN/ WAHOO (CDW)	☐	☐
SOUTH ATLANTIC CHARTER/ HEADBOAT FOR COASTAL MIGRATORY PELAGICS (CHS)	☐	☐
SOUTH ATLANTIC CHARTER/ HEADBOAT FOR SNAPPER-GROUPER (SC)	☐	☐

Applicants that want a Highly Migratory Species (HMS) permit for Tunas (other than Atlantic Tuna Longline) for either Charter or Recreational Angling should call 1-888-USA-TUNA or visit https://hmspermits.noaa.gov. An HMS permit is required when fishing for Atlantic Tunas (Atlantic Bluefin, Bigeye, Yellowfin, Albacore and Skipjack), Blue Marlin, White Marlin, Striped Marlin, Sailfish, Spearfish, Swordfish and Sharks.

SECTION 3. LIMITED ACCESS/ MORATORIUM PERMITS and ENDORSEMENTS

INSTRUCTIONS: Find the permits you want in the left column and mark the check box beside that fishery to indicate what transaction(s) you want.

-The Gulf of Mexico Reef Fish complex (commercial and charter) includes Snappers, Groupers, Tilefishes, Wrasses, Jacks, Triggerfishes, etc. For a detailed list, refer to Table 3 of Appendix A to 50CFR622.
-The Gulf of Mexico Coastal Migratory Pelagic group (charter) consists of Dolphin, Bluefish, King Mackerel, Cerro Mackerel, Spanish Mackerel, Cobia and Little Tunny.
-The South Atlantic Snapper Grouper complex (commercial and charter) includes Snappers, Groupers, Tilefishes, Seabasses, Wrasses, Grunts, Jacks, Porgies, Spadefishes, Triggerfishes and Wreckfish. For a detailed list, refer to Table 4 of Appendix A to 50CFR622.

LIMITED ACCESS/MORATORIUM COMMERCIAL PERMITS	PERMIT NUMBER	TRANSFER	RENEWAL
KING MACKEREL (KM)		☐	☐
GILLNET FOR KING MACKEREL (GN)		☐	☐
GULF OF MEXICO SHRIMP (SPGM)		☐	☐
GULF OF MEXICO REEF FISH (RR)		☐	☐
EASTERN GULF OF MEXICO REEF FISH BOTTOM LONG LINE ENDORSEMENT (RRLE) You must possess a valid Commercial Gulf Reef Fish (RR) permit to obtain this endorsement.		☐	☐
ROCK SHRIMP (SOUTH ATLANTIC EEZ) (RSLA)		☐	☐
SOUTH ATLANTIC UNLIMITED SNAPPER-GROUPER (EXCLUDING WRECKFISH) (SG1)		☐	☐
SOUTH ATLANTIC 225 LB TRIP LIMIT SNAPPER-GROUPER (EXCLUDING WRECKFISH) (SG2)		☐	☐
SOUTH ATLANTIC SEA BASS POT ENDORSEMENT (SBPE)		☐	☐
SOUTH ATLANTIC GOLDEN TILEFISH ENDORSEMENT (GTFE)		☐	☐
SWORDFISH DIRECTED (SFD)		☐	☐
SWORDFISH HANDGEAR (SFH)		☐	☐
SWORDFISH INCIDENTAL (SFI)		☐	☐
SHARK DIRECTED (SKD)		☐	☐
SHARK INCIDENTAL (SKI)		☐	☐
ATLANTIC TUNA LONGLINE (ATL) You must possess valid shark and swordfish permits (SFI, SKI, SFD, SKD) to obtain this permit.		☐	☐
SOUTH ATLANTIC GOLDEN CRAB (GC)		☐	☐

LIMITED ACCESS/MORATORIUM CHARTER/ HEADBOAT PERMITS	PERMIT NUMBER	TRANSFER	RENEWAL
GULF OF MEXICO CHARTER/HEADBOAT FOR COASTAL MIGRATORY PELAGIC FISH (CHG)		☐	☐
GULF OF MEXICO CHARTER/HEADBOAT FOR REEF FISH (RCG)		☐	☐
HISTORICAL CAPTAIN GULF OF MEXICO CHARTER/HEADBOAT FOR COASTAL MIGRATORY PELAGIC FISH (HCHG)		☐	☐
HISTORICAL CAPTAIN GULF OF MEXICO CHARTER/HEADBOAT FOR REEF FISH (HRCG)		☐	☐

SECTION 4. INDIVIDUAL VESSEL OWNER(S) AND LESSEE INFORMATION

Copy this page as needed to provide the required information on all persons that own or lease this vessel.

Does your USCG Documentation or State Registration show the vessel owner as a person or persons?	YES - Use this Page for the vessel owner(s).	NO - Skip this Page go to the next page.

1) Please complete section 4a of this page for the owner of the vessel as shown on the USCG Certificate of Documentation or, if not documented, on the state registration certificate. If the vessel is jointly owned, please enter the information for the managing (primary) owner.
2) Complete the section 4b of this page for a joint owner if the vessel is jointly owned by more than one person. If the vessel is leased, complete section 4b for the person that is leasing the vessel from the vessel owner. You must submit information on each joint owner and for each lessee. If you need more spaces for additional owners or lessees, copy the blank form or provide the required information on a separate sheet of paper.
3) Place an "X" in the Mailing Recipient block to indicate who will receive the permit and all related information. Please only mark one box.
4) If your vessel's state registration does not list all owners of the vessel, also provide a copy of the vessel's title, or other documentation from the appropriate state agency that identifies all vessel owners.

Section 4a - Vessel Owner as shown on the USCG Certificate of Documentation, or for Undocumented vessels, the State Registration.

If the Documentation or State Registration shows one person's name as the owner, list it here.

☐ **MAILING RECIPIENT** All mail about this permit will go to the person listed in this section.

Is this person a United States Citizen or or permanent resident alien? ☐ YES ☐ NO

Mr/Mrs/Ms	Last Name	First Name	Middle Name	Suffix - JR,SR,etc.

Tax ID # (SSN)	Date of Birth (MM/DD/YYYY)	Area Code	Phone Number

Mailing Address	Apt/Suite #	City	State	County/Parish	Zip Code	Country

Street Address (PO Box not acceptable)	Apt/Suite #	City	State	County/Parish	Zip Code	Country
☐ Check box if same as Mailing Address						

Answer questions 1 and 2 before moving on to section 5.

1. Does your USCG Documentation or State registration show more than one person as the vessel owner?	YES - Use this Page for the vessel owner(s).	NO - Do not fill out this section unless the vessel is leased.
2. Is a person or persons leasing this vessel from the vessel owner		

YES - Use section 4b for the vessel lessee(s) information.	NO - It is a business, Skip section 4b and put lessee information in section 5b.	NO - Skip section 4b.

Section 4b - Vessel Lessee OR Additional Vessel Owner as shown on the USCG Certificate of Documentation or for undocumented vessels, the State Registration.

If the USCG Documentation, State Registration or title shows more than one person as the owner, provide the second person's information here. If there are more than two persons, photocopy this blank page to provide additional information.

For LEASED Vessels: Lease Start Date: _____ Lease End Date: _____

☐ **MAILING RECIPIENT** All mail about this permit will go to the person listed in this section. Mail is automatically sent to the lessee per regulations.

Is this person a United States Citizen or permanent resident alien? ☐ YES ☐ NO

Mr/Mrs/Ms	Last Name	First Name	Middle Name	Suffix - JR,SR,etc.

Tax ID # (SSN)	Date of Birth (MM/DD/YYYY)	Area Code	Phone Number

Mailing Address	Apt/Suite #	City	State	County/Parish	Zip Code	Country

Street Address (PO Box not acceptable)	Apt/Suite #	City	State	County/Parish	Zip Code	Country
☐ Check box if same as Mailing Address						

SECTION 5. BUSINESS VESSEL OWNER AND LESSEE INFORMATION

Copy this page as needed to provide the required information on all businesses that own or lease this vessel.

Does your USCG Documentation or State Registration show a business as the vessel owner? | YES - Use this Page for the vessel owner(s). | NO - Go back to the previous page.

Is a business leasing this vessel from the vessel owner? | YES - Use section 5b for the vessel lessee(s). | NO - There is no lease involved - skip section 5b.

1) Please complete section 5a if the owner is a business as shown on the USCG Certificate of Documentation or, if not documented, on the state registration certificate. If the vessel is jointly owned by more than one business, please enter the information for the managing (primary) owner in section 5a.
2) Complete section 5b of this page if a business is a joint owner of the vessel. OR if the vessel is leased by a business, provide the information for the business that is leasing the vessel from the vessel owner. You must submit information on each joint owner and for each lessee. If you need more spaces for additional owners or lessees, copy the blank form or provide the required information on a separate sheet of paper.
3) Place an "X" in the Mailing Recipient block to indicate who will receive the permit and all related information. Please only mark one box.
4) If your vessel's state registration does not list all owners of the vessel, also provide a copy of the vessel's title, or other documentation from the appropriate state agency that identifies all vessel owners.

Section 5a - Vessel Owner as shown on the USCG Certificate of Documentation, or for Undocumented vessels, the State Registration.

If the Documentation or State Registration shows one business name as the owner, list it here. If there are two business's that own the vessel, list the managing business in section 5a and the second business in section 5b.

☐ **MAILING RECIPIENT** All mail about this permit will go to the business listed in section 5a.

Is this business a U.S. Corporation, a U.S. partnership, or other business entity established under the laws of the United States or any State of the United States? ☐ YES ☐ NO

Registered Name of Business

Tax ID # (FEIN) | **Date Business Formed (MM/DD/YYYY)** | **Area Code** | **Phone Number**

Mailing Address | **Apt/Suite #** | **City** | **State** | **County/Parish** | **Zip Code** | **Country**

Street Address (PO Box not acceptable) | **Apt/Suite #** | **City** | **State** | **County/Parish** | **Zip Code** | **Country**

☐ Check box if same as Mailing Address

Section 5b - Vessel Lessee or Additional Vessel Owner as shown on the USCG Certificate of Documentation or for Undocumented vessels, the State Registration.

If the Documentation, State Registration or Title shows more than one business as the owner, provide the second owner's information here. If there are more than two businesses, photocopy the blank page to provide additional information.

For LEASED Vessels: Lease Start Date: | Lease End Date:

☐ **MAILING RECIPIENT** All mail about this permit will go to the business listed in section 5b. Mail is automatically sent to the lessee as required by regulations.

Is this business a U.S. Corporation, a U.S. partnership, or other business entity established under the laws of the United States or any State of the United States? ☐ YES ☐ NO

Registered Name of Business

Tax ID # (FEIN) | **Date Business Formed (MM/DD/YYYY)** | **Area Code** | **Phone Number**

Mailing Address | **Apt/Suite #** | **City** | **State** | **County/Parish** | **Zip Code** | **Country**

Street Address (PO Box not acceptable) | **Apt/Suite #** | **City** | **State** | **County/Parish** | **Zip Code** | **Country**

☐ Check box if same as Mailing Address

SECTION 6 . OFFICER/SHAREHOLDER INFORMATION FOR BUSINESS(ES) THAT OWN OR LEASE THE VESSEL

This page must be filled out if the owner or the lessee of the vessel is a business.
Copy this page as needed to provide information on all persons that are officers/shareholders of the business shown in Section 5.

Complete this section for each officer or partner associated with the business that owns or leases the vessel. You must provide the information for all officers shown on your most recent annual report. If your business is structured as a corporation, you are required to identify all shareholders in the corporation that own at least 1% of the shares. Also provide the percentage of all corporate shares held by each shareholder. Please mark the box indicating there are minor shareholders if you have sharesholders that individually hold less than 1% of the business shares. The total of all entries must be 100 percent.

Owner or lessee of the vessel: ☐ Owner ☐ Lessee

Business name: _____ Federal Tax ID # _____

All individuals associated with the above-named vessel owner or lessee must be included in this application. Photocopy this page or attach additional sheets as necessary to list all officers, directors, shareholders, and registered agents of the business. Provide their name, Social Security Number, address, phone number, date of birth, and position held in business.

Position held - check ALL that apply

☐ President/CEO ☐ Vice President ☐ Secretary ☐ Treasurer ☐ Director/Manager ☐ Shareholder ☐ Other

Percent (%) of Corporation Held _____

Is this business entity a United States Citizen or permanent resident alien? ☐ YES ☐ NO

Mr/Mrs/Ms	Last Name	First Name	Middle Name	Suffix - JR,SR,etc.

Mailing Address	Apt/Suite #	City	State	County/Parish	Zip Code	Country

Street Address (PO Box not acceptable)	Apt/Suite #	City	State	County/Parish	Zip Code	Country
☐ Check box if same as Mailing Address						

Tax ID # (SSN)	Date of Birth	Area Code	Phone Number

Position held - check ALL that apply

☐ President/CEO ☐ Vice President ☐ Secretary ☐ Treasurer ☐ Director/Manager ☐ Shareholder ☐ Other

Percent (%) of Corporation Held _____

Is this person a United States Citizen or permanent resident alien? ☐ YES ☐ NO

Mr/Mrs/Ms	Last Name	First Name	Middle Name	Suffix - JR,SR,etc.

Mailing Address	Apt/Suite #	City	State	County/Parish	Zip Code	Country

Street Address (PO Box not acceptable)	Apt/Suite #	City	State	County/Parish	Zip Code	Country
☐ Check box if same as Mailing Address						

Tax ID # (SSN)	Date of Birth	Area Code	Phone Number

Minor Shareholder information

☐ MINOR SHAREHOLDERS - Check here if one or more shareholders individually holds shares that is less than 1% of the total shares of the business(es)

☐ TOTAL PERCENTAGE (%) of the business shares held by minor shareholder(s)

SECTION 7 - HISTORICAL CAPTAIN OR DESIGNATED OPERATOR (INCOME QUALIFIER)

Provide information on the person who is the Historical Captain for Gulf of Mexico Charter/Headboat Historical Captain permit(s). Also provide info if the Designated Operator (income qualifier) is not the vessel owner or lessee (as designated in section 4 or 5), or listed in section 6 of this application.

Please complete this section of the application only if you are applying for:

* A Gulf of Mexico Charter/Headboat permit with a Historical Captain provision (renewal or transfer),

* A King Mackerel, Spanish Mackerel, Reef Fish, or Commercial Spiny Lobster permit for which you have met the income qualification requirement by using the fishing income of a designated operator. This designataed operator is neither a vessel owner or lessee as listed in Section 4 or 5 of this application, nor is an officer or a shareholder of a business listed in Section 6 of this application.

For all other applications, section 7 should be blank. This will be the case for most applications.

This person is a (check all that apply):

☐ Historical Captain for Gulf of Mexico Charter/Headboat for Reef Fish

☐ Historical Captain for Gulf of Mexico Charter/Headboat for Coastal Migratory Pelagic Fish

☐ Designated Operator (Income Qualifier other than Permit Holder) for:

A Historical Captain MUST sign Section 9 as the applicant.

A Designated Operator MUST sign Section 9 as the operator along with the applicant.

Check all that apply: ☐ Commercial King Mackerel ☐ Commercial Spiny Lobster ☐ Spanish Mackerel ☐ Reef Fish

Mr/Mrs/Ms	Last Name	First Name	Middle Name	Suffix - JR,SR,etc.

Mailing Address	Apt/Suite #	City	State	County/Parish	Zip Code	Country

Street Address (PO Box not acceptable)	Apt/Suite #	City	State	County/Parish	Zip Code	Country
☐ Check box if same as Mailing Address						

Tax ID # (SSN)	Date of Birth (MM/DD/YYYY)	Area Code	Phone Number

SECTION 8 - SEA BASS POTS OR GOLDEN CRAB TRAPS

COMPLETE THIS SECTION ONLY IF YOU HOLD A SEA BASS POT ENDORSEMENT WITH A SOUTH ATLANTIC SNAPPER-GROUPER PERMIT OR if YOU HAVE GOLDEN CRAB TRAPS. TAGS ARE REQUIRED FOR ALL POTS/TRAPS (SEE NOTE).

Trap and Pot information is required. Each pot or trap must have the commercial vessel permit number permanently affixed so as to be easily distinguished, located and identified. For Sea Bass Pots, an identification tag for this purpose must be ordered through the NMFS Southeast Regional Office from Floy Tag. For Golden Crab Traps, an identification tag for this purpose may (but is not required to) be ordered through the NMFS Southeast Regional Office from Floy Tag - tags for Golden Crab traps may be obtained from a different source through your efforts and expense.

Tag cost is $1.80 per tag made payable by check or money order to Floy Tag, Inc.

I need tags for: Sea Bass Pots Golden Crab Traps

What color are your buoys for Sea Bass Pots or Golden Grab Traps? Color

List an existing buoy color code for ANY other trap or pot fishery. Color

South Atlantic Sea Bass Pot/Golden Crab Trap Information Number of Pots/Traps

You are allowed a MAXIMUM of 35 Sea Bass Pots.

Pot or Trap Height (inches) Pot or Trap: Length (inches) Pot ot Trap Width (inches) Mesh size (inches) Height Mesh size (inches) Width

Payment Reminder: All applications must include payment of a non-refundable application fee in the form of a check or money order made payable to the U.S. Treasury. The fee required is $25.00 for the first permit or endorsement and $10.00 for each additional permit or endorsement requested with each application. Checks returned for insufficient funds will result in the issuance of a Notice of Intent to Annul the permit(s) for which the check was submitted as payment. Further non-payment will result in the eventual annulment of the permits(s).

FEE SCHEDULE FOR PERMITS AND ENDORSEMENTS:

1: $25	2: $35	3: $45	4: $55	5: $65	6: $75	7: $85	8: $95	9: $105
10: $115	11: $125	12: $135	13: $145	14: $155	15: $165	16: $175	17: $185	18: $195
19: $205	20: $215	21: $225	22: $235	24: $245				

SECTION 9 - SIGNATURE FOR APPLICATION - REQUIRED

The undersigned certifies under penalty of perjury that the foregoing information is true and correct (28 USC 1746; 18 USC 1621; 18 USC 1001, 16 USC 1857). Further, the undersigned certifies that if a spiny lobster tailing permit is requested, the applicant routinely fishes commercially in Federal waters on trips of up to 48 hours or more and that such fishing activity requires the separation of the tail and carapace to maintain quality product.

Please note: If the vessel listed in Section 1 is leased, the applicant who signs below must be an individual named as a lessee in Section 4, or an officer or shareholder of the lessee as listed in Section 5. If the vessel listed in Section 1 is not leased, the applicant must be an individual named as an owner in Section 4, or an officer or shareholder of the owner as listed in Section 6.

Applicant Signature		Position in Business		Date	
Print Name		Designated Operator Signature		Date	

SECTION 10 - INCOME QUALIFICATION AFFIDAVIT FOR INCOME QUALIFIED PERMITS

An Income Qualification Affidavit is now accepted as proof of meeting permit income qualification requirements. This signed Income Qualification Affidavit is required with every application to renew or transfer an income qualified permit (as listed below).
Knowingly supplying false information or willfully overvaluing any fishing income for the purpose of obtaining a permit is a violation of Federal law punishable by a fine and/or imprisonment.

Commercial Spiny Lobster
50CFR640.4(A)(2) An applicant must provide the following information: (vi) A sworn statement by the applicant for a vessel permit certifying that at least 10 percent of his or her earned income was derived from commercial fishing, that is, sale of the catch, during the calendar year preceding the application.

King Mackerel
50CFR622.4(a)(iii) To obtain or renew a commercial vessel permit for king mackerel, at least 25 percent of the applicant's earned income, or at least $10,000, must have been derived from commercial fishing (i.e., harvest and first sale of fish) or from charter fishing during one of the 3 calendar years preceding the application.

Spanish Mackerel
50CFR622.4(a)(iv) To obtain or renew a commercial vessel permit for Spanish mackerel, at least 25 percent of the applicant's earned income, or at least $10,000, must have been derived from commercial fishing (i.e., harvest and first sale of fish) or from charter fishing during one of the 3 calendar years preceding the application.

The following information applies to my income qualification for the following fisheries:

☐ Commercial Spiny Lobster ☐ King Mackerel ☐ Spanish Mackerel

I, _____, hereby declare under penalty of perjury that the foregoing information is true and correct. (28 USC 1746; 18 USC 1621; 18 USC 1001; 16 USC 1857). I agree to provide the necessary documentation to prove that I met the earned income requirement when so requested by the National Marine Fisheries Service.

Executed on _____(date signed).

Printed Name_____ Signature _____

Business Name (if Applicable)_____Type of business (If Applicable)_____

Position In Business (if Applicable)_____

Public reporting burden for this collection of information is estimated to average 20 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other suggestions for reducing this burden to: PRA Officer, National Marine Fisheries Service, F/SER2, 263 13th Avenue South, St. Petersburg, FL 33701.

The National Marine Fisheries Service requires this information for the conservation and management of marine fishery resources. The data reported will be used to develop, implement, and monitor fishery management activities for a variety of other uses. Responses to this collection are required to obtain or retain a fisheries permit under the Magnuson - Stevens Act. Name and address information will be released via a NOAA website. All other data submitted will be handled as confidential material in accordance with NOAA Administrative Order 216-100, Protection of Confidential Fishery Statistics. Notwithstanding any other provisions of the law, no person is required to respond to, nor shall any person be subjected to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.

Instructions for the Federal Permit Application for Vessels Fishing in the Exclusive Economic Zone (EEZ)

Rev 12/06/2012

General Instructions:

In addition to the instructions provided herein, applicants with specific questions are encouraged to contact the Permits Office at (727) 824-5326 or toll free at (877) 376-4877 between 8:00 a.m. and 4:30 p.m. ET, and to consult the U.S. Code of Federal Regulations whose guidance for application requirements, permit eligibilities, and related information will always prevail. (Incomplete or illegible applications will be returned.)

1. Complete all applicable sections of this application form. Incomplete or illegible applications will be returned. Applications should be typed, or hand printed and should be filled out in ink.

2. The application fee is **$25 for one fishery and $10 for each additional fishery** and is **non-refundable**. A check or money order payable to the **U.S. TREASURY** must accompany each application. There is a fee schedule on page 8 of the application and in these instructions to assist you in determining the total amount to pay for your permits.

3. Mail the application, payment, and all required supporting documentation to: **National Marine Fisheries Service (F/SER14), 263 13th Avenue South, St. Petersburg, FL 33701.** Questions may be telephoned to our toll free number at (877) 376-4877 or 727/824-5326 between 8 am - 4:30pm ET. If you would like your permit and associated documents returned to you overnight, enclose a completed, pre-paid air bill and envelope, complete with your physical street delivery address (non US Post Office services do not deliver to PO Boxes), telephone number, and account number or major credit card number with the expiration date. Please note, using the prepaid overnight delivery option does not expedite permit processing. It only expedites delivery of your completed permit package.

4. If transferring a Gulf of Mexico charter/headboat vessel permit, a copy of a **valid** U.S. Coast Guard Operator of Uninspected Passenger Vessel License (commonly referred to as a 6-pack license) or a valid U.S. Coast Guard Masters License must be provided, along with any other requested information. A **valid** U.S. Coast Guard Certificate of Inspection must be provided if passenger capacity of the vessel is greater than 6 passengers. A valid temporary Certificate of Inspection will be accepted.

5. Each vessel issued a Rock Shrimp (South Atlantic) or a Rock Shrimp (Carolinas Zone) permit or an Atlantic Dolphin/Wahoo (charter/ headboat or commercial) permit, when at sea or offloading, is required to have on board at least one person who has a Federal operator permit card issued by the NMFS Southeast Regional Office or the NMFS Northeast Regional Office.

6. Requests to renew or transfer permits will not be processed until all required reporting requirements (e.g., logbooks, the MRIP For-Hire telephone survey, etc) have been met. Noncompliance with any reporting requirement will preclude processing of all permit transaction requests. To avoid delays, ensure you comply with all reporting requirements in a timely manner and in advance of any permit application requests. Send your logbook report(s) to **National Marine Fisheries Service, Research Management Division, Logbook Program, P.O. Box 491500, Key Biscayne, FL 33149-9915.** Questions concerning your reporting requirements should be phoned to the Research Management Division at 305/361-4581. We cannot renew or transfer your permit(s) until all reporting requirements (e.g., logbook submission) are met.

7. An Income Qualification Affidavit is now accepted as proof of meeting permit income qualification requirements. A signed Income Qualification Affidavit will be required with every application to renew or transfer an income qualified permit. The blank Income Qualification Affidavit is included on page 8 of this application.

8. Applications to transfer permits for which the Federal Regulations restrict transfers to certain family members or to a vessel with certain characteristics may be required to submit documentation to verify their eligibility for such transfers. The applicable United States Code of Federal Regulations is available on line at http://sero.nmfs.noaa.gov/regulations/reg.htm

In accordance with Federal regulations, any change in your permit information must be reported in writing to NMFS within 30 days of the change.

APPLICATION SECTION 1 Unless otherwise exempted by the application form, complete **all** portions of Section 1. Enter the Official Number and the length of the vessel as they appear on the U.S. Coast Guard Certificate of Documentation; or if not documented, the state registration certificate. If applying for a Highly Migratory Species commercial swordfish or shark permit issued without a vessel, write “NO VESSEL” in the field for USCG Official Number. For charter/headboats that are inspected, list the greatest passenger capacity listed on the USCG Certificate of Inspection. A vessel’s passenger capacity does not include the captain and crew.

APPLICATION SECTIONS 2 AND 3
Indicate the fishery and transaction type for each permit requested in this application. If you are applying for a Lobster Tailing Permit, you must also have a Spiny Lobster Permit OR a valid (unexpired) State of Florida Saltwater Products License with a valid (unexpired) Crawfish Endorsement. You must provide the information and a copy of your SPL. Your SPL may be issued to either the vessel OR the permit holder.

APPLICATION SECTIONS 4 AND 5
Enter the information about the vessel **"owner"** as shown on the U.S. Coast Guard Certificate of Documentation or, if not documented, on the state registration certificate. The vessel owner may be a person (or persons) OR a business. If there is more than one vessel owner shown on the USCG documentation or the vessel is titled to more than one person, provide the required information for all listed owners in Section 4. If additional space is needed, photocopy the blank page as many times as is necessary to provide information on all vessel owners and lessees.

Address (mailing AND street address if different) and telephone information is required for all owners and lessees. If the owner is an individual (or individuals), provide the owner's date of birth and enter the Social Security Number (taxpayer ID information) in Section 4. If the entity shown as the **"owner"** is a business, provide the date that the business was filed or the partnership was formed and the business's Federal Tax ID number (taxpayer ID information) in Section 5. If the business is found to be in an INACTIVE status, permits will not be issued.

If the vessel is leased, also provide information on all vessel lessees. If lessee is an individual, provide the owner's date of birth and enter the Social Security Number (taxpayer ID information) in Section 4. If the **"lessee"** is a business or partnership, provide the date that the business was filed or the partnership was formed and the business's Federal Tax ID number (taxpayer ID information) in Section 5. If the business is found to be in an INACTIVE status, permits will not be issued.

If the vessel is leased, provide a copy of the signed (both parties) and dated lease agreement (see the Renewal and Initial (New) Issuance of permits section (below) of these instructions for lease requirements).

If any entities named as a vessel owner or for leased vessels named as vessel lessee are also an income qualifier for permits associated with this application, indicate the permits for which their income has been used for income qualification and submit a signed Income Qualification Affidavit.

APPLICATION SECTION 6
If the application is for a vessel that is owned by a business entity, then information on the owner's officers/ shareholders is required. Information on all officers/shareholders associated with the vessel owners and/or lessees is required. If additional space is needed, please photocopy the blank page as many times as is necessary to provide information on all officers/shareholders associated with the vessel owners and lessees.

PLEASE NOTE: *you are no longer required to submit articles of incorporation/organization or an annual report for businesses that hold permits, own or lease vessels.*

If any individuals named as an officer or shareholder of the vessel owner, or for leased vessels named as an officer or shareholder of the vessel lessee, are also an income qualifier for permits associated with this application, indicate the permits for which their income has been used for income qualification, and submit a signed Income Qualification Affidavit.

If the business holds IFQ shares, indicate what percentage of the business each person listed owns. The percentages reported must equal 100%.

APPLICATION SECTION 7
Complete this section ***only*** for applications that include:

- Gulf of Mexico Charter/Headboat permits with a Historical Captain provision, or
- King Mackerel, Spanish Mackerel, Reef Fish, and/or Commercial Spiny Lobster permit which has been income qualified using the fishing income of a Designated Operator who is neither a vessel owner or lessee as listed in Section 4 or 5 of this application, nor is an officer of a business that owns or leases the vessel, as listed in Section 6 of this application.

For all other applications, this section should remain blank.

APPLICATION SECTION 8 Complete this section only if you fish with pots in the snapper-grouper fishery or traps in the golden crab fishery off the southern Atlantic states. A separate check or money order for pot or trap tags ($1.50 per tag) payable to FLOY TAG INC. must be provided. **Pot Tags are required for use in the snapper-grouper fishery**. Trap Tags are optional for the golden crab fishery. Tags will be mailed directly from Floy Tag Inc., and will not be sent with the permit package.

APPLICATION SECTION 9 The application must be signed and dated by the vessel owner or for leased vessels by the vessel lessee. For vessels owned or leased by a business, an officer or shareholder of the business must sign and date the application. If a Designated Operator is used, that person must also sign in this section.

APPLICATION SECTION 10 An Income Qualification Affidavit is now accepted as proof of meeting permit income qualification requirements. The affidavit is a signed promise, under penalty of perjury, that the applicant meets the federal income qualification requirements for the income qualified permit(s) and will provide such income documentation if NMFS requests such documentation. You are not required to provide tax or income documents unless we specifically ask for them. A signed Income Qualification Affidavit is required with every application to renew an income qualified permit.

RENEWAL AND INITIAL (NEW) ISSUANCE OF PERMITS

- All permit renewal and first issuance requests must include, as a minimum: an application, the appropriate fees, and a copy of the unexpired U.S. Coast Guard Certificate of Documentation or state registration certificate. Please note - we cannot accept a bill of sale as documentation or registration.

- If the vessel is leased/rented/leased to own, include a copy of the current lease agreement. The lease must state who is leasing what vessel (identified by USCG Documentation or if not documented, then state registration number) to whom, what date the lease begins and what specific date the lease expires. The lease must run for a minimum of 7 months, and if you anticipate a long term arrangement, let the lease run for a number of years. Both the vessel owner(s) and the lessee(s) must sign and date the lease agreement.

- To ensure that your renewal goes as quickly and smoothly as possible, send your logbook report(s) to **National Marine Fisheries Service, Research Management Division, Logbook Program, P.O. Box 491500, Key Biscayne, FL 33149-9916**. Questions concerning your reporting requirements should be phoned to the Research Management Division at 305/361-4581. We cannot renew your permit(s) until all logbook reporting requirements are met.

TRANSFERS

- All permit transfer requests must include, as a minimum: an application, the original permits with appropriate signatures on the back, the appropriate fees, and a copy of the unexpired U.S. Coast Guard Certificate of Documentation or state registration certificate.

- SIGNATURES ON PERMITS**:** provide the **valid** (not expired) **ORIGINAL (not a copy)** transferable permit(s) with the signatures of the seller on the reverse of the permit.
  - If the sellers are a joint ownership, ALL persons that sell the permit MUST sign the permit.
  - The seller's signature(s) must be notarized.
  - **Print** the name of the new permit holder (individual(s) or business) as the receiving vessel permit owner.
  - If transferring to a different vessel owned by the same entity (person or business), no signatures are required unless the permit(s) is a Gulf of Mexico Charter/Headboat Permit(s).
  - Signatures are required to transfer Gulf of Mexico Charter/Headboat Permit(s) to certify that you have removed the charter/headboat permit sticker from the old vessel.
  - Permits sold by a business must be signed by an officer or shareholder of the business. The correct format must include the signature, the person's position in the business and the company name, for example:
    John Doe, President, ABC Fishing Inc.

- Applications for the transfer of any Gulf of Mexico Charter/Headboat permits: If the vessel transferring to is a USCG inspected vessel with a USCG Certificate of Inspection (COI), you must provide a copy of the vessel's unexpired COI. If no COI is provided, the vessel will be automatically considered to be a "6 pack." It is no longer required to provide a copy of your USCG Master's or Operator of Uninspected Vessel License.

- Gulf of Mexico Charter/Headboat permits (including Historical Captain permits) may not be transferred to a vessel that has a larger U.S. Coast Guard passenger capacity rating than the permit's baseline passenger rating. For example, a 10 passenger permit may not be transferred to a vessel with a COI with a highest rating of 12 passengers. Permits with a 6 passenger rating (6 pack) may only be transferred to an uninspected vessel (another "6 pack" vessel).

- Upon transfer of a Gulf of Mexico moratorium Coastal Migratory Pelagic Charter/Headboat permit and/or Gulf of Mexico moratorium Reef Fish Charter/Headboat permit, the Federal Charter/Headboat **decal must be removed** from your vessel.

- New owners of Limited Access/Moratorium permits must meet the earned income requirement not later than the first full calendar year after the issuance of the permit.

- Transfer of Atlantic SHARK or SWORDFISH or TUNA permits for swordfish handgear or swordfish and shark directed permits and Atlantic Tuna Long Line permits are subject to vessel size increase limitations.

- An ATLANTIC TUNA LONGLINE permit must be transferred to either a vessel that already has shark and swordfish permits assigned to it, or must be transferred along with shark and swordfish permits to a vessel that has none of these

permits. Any vessel size and horsepower limits will apply.

- If the vessel is leased/rented/leased to own, include a copy of the current lease agreement. Both the vessel owner(s) and the lessee(s) must sign and date the lease agreement. The lease must state the beginning and ending dates of the lease term. **Expired permits may not be transferred.**

- The following permits and endorsements are NOT transferable:

Charter
South Atlantic Charter/headboat for Coastal Migratory Pelagics
South Atlantic Charter/headboat for Snapper-Grouper
Atlantic Charter/headboat for Dolphin/Wahoo

Commercial
Atlantic Dolphin/Wahoo - Commercial
Spiny Lobster
Spiny Lobster Tailing
Spanish Mackerel
Rock Shrimp (Carolinas Zone)
Gulf of Mexico Royal Red Shrimp Endorsement
HMS Caribbean Commercial Small Boat

GENERAL INFORMATION ABOUT THE SOUTH ATLANTIC GOLDEN CRAB FISHERY

- The South Atlantic EEZ is divided into three fishing zones as follows: (i) northern zone; (ii) middle zone; and (iii) southern zone. Permits have been issued for a single zone. **Effective May 3, 2002, through May 3, 2005**, a vessel with a documented overall length greater than 65 ft with a southern zone permit may fish in that zone (except in the subzone – see below), **and may also fish in the northern zone without changes to the vessel permit; such vessel may discontinue fishing in the northern zone and return to fish in the southern zone without changes to the vessel permit.** A vessel with a permit to fish for golden crab in the northern zone or the middle zone will continue to be authorized to fish only in that zone. A vessel may possess golden crab only in a zone in which it is authorized to fish under this measure, except that other zones may be transited if the vessel notifies NMFS, Office of Enforcement, Southeast Region, St. Petersburg, FL, by telephone (727-570-5344) in advance of the fishing trip.
- **The historical (5,000-lb.) catch requirement for renewing a commercial vessel permit for golden crab is no longer in effect.**
- Renewal of your vessel's golden crab permit requires submission of all reports to National Marine Fisheries Service, Research Management Division, Logbook Program, P.O. Box 491500, Key Biscayne FL 33149-9916 as required under the Magnuson-Stevens Act; and you must not be subject to a sanction or denial. **During the year 2002 and subsequent years when an application is required, a commercial vessel permit will not be renewed if the permit is revoked or if NMFS does not receive the required application for renewal by June 30 of that year (i.e., within six months after the permit's expiration date of December 31 each year). Your permit's expiration date is shown on the permit.**
- **To obtain a permit for the middle or southern zone via transfer, the documented length overall of the replacement vessel may not exceed the documented overall length, or aggregate documented overall lengths, of the replaced vessel(s) by more than 20 percent.** The owner of a vessel permitted for the middle or southern zone who has requested that NMFS transfer that permit to a smaller vessel (i.e., downsized) may subsequently request NMFS transfer that permit to a vessel of a length calculated from the length of the permitted vessel immediately prior to downsizing. **There are no vessel size limitations to obtain a permit for the northern zone via transfer.**

GENERAL INFORMATION ABOUT THE SOUTH ATLANTIC GOLDEN CRAB FISHERY (cont.)

- **A small-vessel sub-zone is established within the southern zone bounded on the north by 24°15' North latitude, on the south by 24°07' North latitude, on the east by 81°22' West longitude, and on the west by 81°56' West longitude. No vessel with a documented length overall greater than 65 ft may fish for golden crab in this sub-zone. A vessel with a documented length overall of 65 ft or less that is permitted for the southern zone may fish for golden crab only in this sub-zone.**
-

DUPLICATE OR REPLACEMENT PERMITS

To request replacement of lost permits the USCG Official Number and/or the State Registration Number fields of Section 1 must be completed. Section 2 and/or Section 3 must be completed to indicate which duplicate permit(s) are requested. The application must be signed in Section 9 by a permit owner. A check or money order for a total of $18 payable to the U.S. Treasury must accompany the application.

FEES

Fees for permit or endorsement renewal, initial issuance, and transfers are $25 for the first permit or endorsement and $10 for each additional permit or endorsement.

1 = $25	2 = $35	3 = $45	4 = $55	5 = $65	6 = $75	7 = $85
8 = $95	9 = $105	10= $115	11= $125	12= $135	11= $145	14= $155
15= $165	16= $175	17= $185	18= $195	19= $205	20= $215	21= $225
22= $235	23= $245	24= $255	25= $265			

EARNED INCOME OR GROSS SALES REQUIREMENTS FOR COMMERCIAL PERMITS

	KING MACKEREL	**SPANISH MACKEREL**	**SPINY LOBSTER**
Percentage of earned income	At least 25%	More than 25%	At least 10%
Gross sales alternative	More than $10,000 in sales of fish	More than $10,000 in sales of fish	N/A
Source of earned income	Commercial, charter, or headboat	Commercial, charter, or headboat	Sale of catch
Time frame for qualification	One of three years prior to application	One of three years prior to application	Year prior to application

The following fisheries permits do not have an income qualification requirement:

COMMERCIAL

Atlantic Dolphin/Wahoo - Commercial
Shark Directed or Incidental
Rock Shrimp (Carolinas Zone)
Swordfish Directed, Incidental, or Handgear
Rock Shrimp (South Atlantic EEZ)
Spiny Lobster Tailing
South Atlantic Unlimited Snapper-Grouper excluding Wreckfish
South Atlantic 225 lb. Trip Limit Snapper-Grouper excluding Wreckfish
South Atlantic Snapper-Grouper Sea Bass Pot Endorsement
South Atlantic Snapper-Grouper Golden Tilefish Endorsement
Gulf of Mexico Shrimp
Gulf of Mexico Royal Red Shrimp Endorsement
Eastern Gulf of Mexico Reef Fish Bottom Long Line Endorsement
Atlantic Tuna Longline
South Atlantic Penaeid Shrimp
Golden Crab
HMS Commercial Caribbean Small Boat

CHARTER/HEADBOAT

Atlantic Dolphin/Wahoo – Charter/Headboat
South Atlantic Coastal Migratory Pelagic Fish Charter/Headboat
South Atlantic Charter for Snapper-Grouper
Gulf of Mexico Coastal Migratory Pelagic Fish Charter/Headboat
Gulf of Mexico Reef Fish Charter/Headboat

An Income Qualification Affidavit is now accepted as proof of meeting permit income qualification requirements. A signed Income Qualification Affidavit will be required with every application to renew an income qualified permit.

KNOWINGLY SUPPLYING FALSE INFORMATION OR WILLFULLY OVERVALUING ANY FISHING INCOME FOR THE PURPOSE OF OBTAINING A PERMIT IS A VIOLATION OF FEDERAL LAW PUNISHABLE BY A FINE AND/OR IMPRISONMENT.

Public reporting burden for this collection of information is estimated to average 40 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other suggestions for reducing this burden to: PRA Officer, National Marine Fisheries Service, F/SER2, 263 13th Avenue South, St. Petersburg, FL 33701.

The National Marine Fisheries Service requires this information for the conservation and management of marine fishery resources. The data reported will be used to develop, implement, and monitor fishery management activities for a variety of other uses. Responses to this collection are required to obtain or retain a fisheries permit under the Magnuson - Stevens Act. Non-confidential information may be released via a NOAA Fisheries website. Non-confidential information means: Name, Street Address, City, State, Zip Code, Effective Date of Permit, Permit Types, Vessel Name, Vessel Identification Number, and in the case of a "for hire" vessel the Passenger Capacity, or individual, corporate and lease holders of permits. All other data submitted will be handled as confidential material in accordance with NOAA Administrative Order 216-100, Protection of Confidential Fishery Statistics. Notwithstanding any other provisions of the law, no person is required to respond to, nor shall any person be subjected to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.

ACTIVITIES FOR WHICH PERMITS ARE REQUIRED

OPEN ACCESS PERMITS (New permits may be issued; these permits may NOT be transferred)

ATLANTIC DOLPHIN-WAHOO CHARTER VESSEL/HEADBOAT- For a person aboard a vessel that is operating as a charter vessel/headboat to fish for or possess a dolphin-wahoo in or from Federal waters in the Atlantic, an Atlantic dolphin-wahoo charter vessel/headboat permit must be issued to that vessel. There are no earned income or landing requirements for this permit. An owner of a charter vessel/headboat who desires to sell dolphin is required to obtain a dolphin-wahoo commercial vessel permit (see below) in addition to the charter vessel/headboat permit. (The sale of wahoo harvested in the Atlantic EEZ by a vessel operating as a charter vessel/headboat is not allowed.) The permit will only be valid if there is someone on the vessel that has a valid Vessel Operator Permit Card issued by the Southeast Regional Office of NMFS or by the Northeast Regional Office of NMFS.

ATLANTIC DOLPHIN-WAHOO COMMERCIAL - A vessel must be issued an Atlantic dolphin-wahoo commercial permit to be eligible for exemption from the bag and possession limits for dolphin-wahoo in the Atlantic EEZ or to sell dolphin-wahoo harvested in the Atlantic EEZ. The permit will only be valid if there is someone on the vessel that has a valid Vessel Operator Permit Card issued by the Southeast Regional Office of NMFS or by the Northeast Regional Office of NMFS.

SOUTH ATLANTIC COASTAL MIGRATORY PELAGIC CHARTER OR SNAPPER-GROUPER CHARTER - For a person aboard a vessel that is operating as a charter vessel or headboat to fish for or possess a coastal migratory pelagic fish or snapper-grouper in or from Federal waters off the southern Atlantic states, a charter permit must be issued to the vessel and be on board. These permits do NOT allow for the sale of fish caught under these permits.

SOUTH ATLANTIC PENAEID SHRIMP - For a person aboard a trawler to fish for Penaeid shrimp (brown, pink, or white shrimp) in the South Atlantic EEZ or possess penaeid shrimp in or from the South Atlantic EEZ, a valid (not expired) commercial vessel permit for South Atlantic penaeid shrimp must have been issued to the vessel and must be on board.

GULF OF MEXICO ROYAL RED SHRIMP ENDORSEMENT – For a person aboard a vessel to fish for royal red shrimp in the Gulf of Mexico EEZ or possess royal red shrimp in the Gulf of Mexico EEZ, a valid vessel permit for Gulf of Mexico shrimp **and** a royal red shrimp endorsement must have been issued to the vessel and must be on board. This endorsement is not transferable.

SPANISH MACKEREL - For a person aboard a vessel in Federal waters to be eligible for exemption from the bag limits and to fish under a commercial allocation for Spanish mackerel, a commercial permit must be issued to the vessel and be on board. At time of application income criteria must be submitted. *If applying for a Spanish mackerel permit, earned income or gross sales requirement must be met at time of application. See Earned Income or Gross Sales Requirements for Commercial Permits on page 4 of these instructions.*

SPINY LOBSTER - For a person aboard a vessel in Federal waters off of Texas, Louisiana, Mississippi, or Alabama to be exempt from the daily catch and possession limit, a commercial spiny lobster permit must be issued to the vessel and be on board. Florida's requirements apply to a vessel fishing in Federal waters off Florida. The daily bag or possession limit for spiny lobster in or from the EEZ of the southern Atlantic states other than Florida (North Carolina, South Carolina, and Georgia), is two per person for recreational and commercial fishing year round. To possess a separated spiny lobster tail in or from Federal waters, a tail-separation permit must be issued to the vessel and be on board. Tail separation permits may only be obtained for vessels that, due to the nature of the fishing, are required to remain at sea for 48 hours or longer. To obtain a lobster tailing permit, you must possess a Florida Saltwater Products License (SPL) or if you do not have a Florida SPL, you must possess or simultaneously obtain a valid Federal Spiny Lobster (LC) permit. *If applying for a commercial spiny lobster permit, the income requirement must be met at time of application. See Earned Income Requirements for Commercial Permits on page 4 of these instructions.*

SOUTH ATLANTIC ROCK SHRIMP (CAROLINAS ZONE) - For a person aboard a fishing vessel to fish for rock shrimp in Federal waters from the Virginia/North Carolina border to the East Coast of Florida, either a Rock Shrimp (South Atlantic EEZ) permit or a South Atlantic Rock Shrimp (Carolinas EEZ) permit is required.
A vessel may not be issued both a Rock Shrimp (South Atlantic EEZ) permit and a Rock Shrimp (Carolinas Zone) permit simultaneously. If a vessel has a Rock Shrimp (South Atlantic EEZ) permit, which is valid (not expired) as of the date of this application, and the vessel owner is applying for a Rock Shrimp (Carolinas Zone) permit on the same vessel, the Rock Shrimp (South Atlantic EEZ) permit must first be transferred from the vessel or surrendered to NMFS.

CARIBBEAN TUNAS, SWORDFISH and SHARKS - For a person aboard a vessel LESS THAN OR EQUAL TO 45 feet in registered length to fish for or possess, with the intention to sell, bigeye, albacore, yellowfin, and skipjack tunas; swordfish; and allowable shark species in the U.S. Caribbean EEZ (Puerto Rico and the U.S. Virgin Islands), a valid (not expired) HMS Commercial Caribbean Small Boat (CCSB) commercial vessel permit must have been issued to the vessel and must be on board. The vessel may not have a CCSB permit and be issued any other valid tuna, swordfish and/or shark permits assigned to it at the same time.

LIMITED ACCESS/MORATORIUM PERMITS (new permits will NOT be issued; these permits MAY be transferred)
Please note that charter permits DO NOT allow you to sell fish caught on a charter.

SOUTH ATLANTIC ROCK SHRIMP (SOUTH ATLANTIC EEZ) - For a person aboard a fishing vessel to fish for rock shrimp in Federal waters from Georgia and the east coast of Florida, a Rock Shrimp (South Atlantic EEZ) permit is required. For a person aboard a fishing vessel to fish for rock shrimp in Federal waters from the Virginia/North Carolina border to the East Coast of Florida, either a Rock Shrimp (South Atlantic EEZ) permit or a South Atlantic Rock Shrimp (Carolinas EEZ) permit is required. To transfer a Rock Shrimp (South Atlantic EEZ) permit, the original valid (not expired) permit must be signed and notarized as required, and returned with the application and all applicable documents. **Permits must be renewed within one year of the expiration date – expired permits may not be transferred*.**

A vessel may not be issued both a Rock Shrimp (South Atlantic EEZ) permit and a Rock Shrimp (Carolinas Zone) permit simultaneously. If a vessel has been issued a Rock Shrimp (Carolinas Zone) permit, which is valid (not expired) as of the date of this application, and the vessel owner is applying for a Rock Shrimp (South Atlantic EEZ) permit on the same vessel, the Rock Shrimp (Carolinas Zone) permit must first be surrendered to the NMFS. Similarly, if a vessel has been issued a Rock Shrimp (South Atlantic EEZ) permit, which is valid (not expired) as of the date of this application, and the vessel owner is applying for a Rock Shrimp (Carolinas Zone) permit on the same vessel, the Rock Shrimp (South Atlantic EEZ) permit must first be transferred from the vessel or surrendered to the NMFS.

SOUTH ATLANTIC SNAPPER-GROUPER UNLIMITED and 225-LB (EXCLUDING WRECKFISH PERMITS) - For a person aboard a vessel in Federal waters to be eligible for exemption from the bag limits, a valid commercial permit must be issued to the vessel and be on board. Permits for the South Atlantic snapper-grouper fishery are under a limited entry program. NMFS will not issue new South Atlantic Snapper Grouper Unlimited or 225-lb permits.

• A vessel owner intending to obtain a South Atlantic Snapper Grouper unlimited permit must transfer existing valid (not expired) permit(s) from another vessel. NMFS will transfer a single Snapper Grouper Unlimited permit only to the permit holder's immediate family (e.g. mother, father, brother, sister, son, daughter, or spouse). *A vessel owner intending to obtain a South Atlantic Snapper Grouper unlimited permit from a permit holder who is not in the vessel owner's immediate family must obtain and exchange two such permits for one permit to be issued*.

• South Atlantic snapper-grouper (excluding wreckfish) 225-lb trip limit permits are only transferable to a vessel owned or leased by the same permit holder. To transfer a Snapper Grouper permit, submit the original valid permit along with an application and all applicable documents.

For all South Atlantic Snapper-Grouper permit transfers, the original valid (not expired) permit(s) must be returned with the application and all applicable documents. **Permits must be renewed within one year of the expiration date – expired permits may not be transferred***.

SOUTH ATLANTIC SEA BASS POT ENDORSEMENT - For a person aboard a vessel in Federal waters to use a South Atlantic Sea Bass pot, in addition to a South Atlantic Snapper Grouper Unlimited permit, an endorsement to the commercial South Atlantic Snapper-Grouper permit must be issued to the vessel and be on board. Endorsements for the South Atlantic Sea Bass pots are under a limited entry program. NMFS will not issue any new endorsements. A vessel owner intending to obtain a South Atlantic Sea Bass Pot Endorsement must transfer an existing valid or renewable endorsement from another vessel. To transfer an endorsement, submit the original valid or renewable endorsement (with the seller's notarized signature) along with an application and all applicable documents. **NMFS must receive an application to renew or transfer a South Atlantic Sea Bass Pot Endorsement within one year of the endorsement's expiration date or the endorsement will become non-renewable and non-transferable.**

SOUTH ATLANTIC GOLDEN TILEFISH ENDORSEMENT - For a person aboard a vessel in Federal waters to use longline gear for South Atlantic Golden Tilefish, in addition to a South Atlantic Snapper Grouper Unlimited permit, an endorsement to the commercial South Atlantic Snapper-Grouper permit for Golden Tilefish must be issued to the vessel and be on board. Endorsements for the Golden Tilefish are under a limited entry program. NMFS will not issue any new endorsements. A vessel owner intending to obtain a South Atlantic Golden Tilefish Endorsement must transfer an existing valid or renewable endorsement from another vessel. To transfer an endorsement, return the original valid or renewable endorsement (with the seller's notarized signature) along with an application and all applicable documents. **NMFS must receive an application to renew or transfer a South Atlantic Golden Tilefish Endorsement within one year of the endorsement's expiration date or the endorsement will become non-renewable and non-transferable.**

SOUTH ATLANTIC GOLDEN CRAB - For a person aboard a fishing vessel to fish for Golden Crab in either the Northern Zone, the Middle Zone or the Southern Zone, a Golden Crab (South Atlantic EEZ) permit is required. To transfer a Golden Crab (South Atlantic EEZ) permit, the original valid (not expired) permit must be signed and notarized as required and returned with the application and all applicable documents. **Permits must be renewed within 6 months of the expiration date – expired permits may not be transferred*.**

LIMITED ACCESS/MORATORIUM PERMITS (CONTINUED)

GULF OF MEXICO CHARTER/ HEADBOAT FOR COASTAL MIGRATORY PELAGICS - For a person aboard a vessel that is operating as a charter vessel or headboat to fish for or possess a coastal migratory pelagic fish in or from Federal waters in the Gulf of Mexico, a moratorium charter permit must be issued to the vessel and be on board. Permits for Gulf coastal migratory pelagic charter are under a moratorium. No new vessel may be permitted except by transfer of a valid (not expired) permit. To transfer a Gulf of Mexico Charter/Headboat for Coastal Migratory Pelagic fish permit, the original valid permit must be signed and notarized as required, and returned with an application and all applicable documents. **Permits must be renewed within one year of the expiration date – expired permits may not be transferred*.**

GULF OF MEXICO CHARTER/ HEADBOAT FOR REEF FISH - For a person aboard a vessel that is operating as a charter vessel or headboat to fish for or possess a reef fish in or from Federal waters of the Gulf of Mexico, a moratorium charter permit must be issued to the vessel and be on board. Permits for Gulf of Mexico reef fish charter are under a moratorium. No new vessel may be permitted except by transfer of a valid (not expired) permit. To transfer a Gulf of Mexico Charter/ Headboat for Reef Fish permit, the original valid (not expired) permit must be signed and notarized as required and, returned with an application and all applicable documents. **Permits must be renewed within one year of the expiration date– expired permits may not be transferred*.**

GULF OF MEXICO COMMERCIAL REEF FISH - As a prerequisite to sell reef fish in or from Federal waters and to be eligible for exemption from the bag limits, a commercial permit must be issued to the vessel and be on board. Permits for the commercial reef fish fishery are under a limited entry program. No new vessels may be permitted except by transfer of a valid (not expired) permit. To transfer a Gulf of Mexico Reef Fish permit, the original valid (not expired) permit must be signed and notarized as required, and returned with the application and all applicable documents. **Permits must be renewed within one year of the expiration date – expired permits may not be transferred***. Please note: Gulf of Mexico Reef Fish Permits may only be renewed or transferred on vessels that have an installed, activated and operational VMS (Vessel Monitoring System).

EASTERN GULF REEF FISH BOTTOM LONGLINE ENDORSEMENT - For a person aboard a vessel, for which a valid (not expired) commercial vessel permit for Gulf reef fish had been issued, to use a bottom longline for Gulf reef fish in the Gulf EEZ east of 85°30' W. long., a valid eastern Gulf reef fish bottom longline endorsement must have been issued to the vessel and must be on board. This endorsement must be renewed annually and may only be renewed if the associated vessel has a valid commercial vessel permit for Gulf Reef Fish or both the endorsement and permit are renewed concurrently. The owner of a vessel with a valid reef fish bottom longline endorsement may transfer that endorsement to an owner of a vessel that has a valid commercial vessel permit for Gulf reef fish. To transfer an Eastern Gulf of Mexico Reef Fish Bottom Long Line Endorsement, the original valid endorsement must be signed and notarized as required, returned with the application and all applicable documents. **Endorsements must be renewed within one year of the expiration date – expired endorsements may not be transferred*.**

GULF OF MEXICO SHRIMP - As of March 26, 2007, permits for the Gulf of Mexico shrimp fishery are under a moratorium program. For a person aboard a vessel to fish for or shrimp in the Gulf of Mexico EEZ or possess shrimp in or from the Gulf of Mexico EEZ, a valid commercial vessel permit for Gulf of Mexico shrimp must have been issued to the vessel and must be on board. To transfer a Gulf of Mexico shrimp permit, the original valid permit must be signed and notarized as required and, returned with the application and all applicable documents. **Permits must be renewed within one year of the expiration date– expired permits may not be transferred*.**

KING MACKEREL - For a person aboard a vessel in Federal waters to be eligible for exemption from the bag limits and to fish under a commercial allocation for king mackerel, a commercial permit must be issued to the vessel and be on board. Permits for King Mackerel are under a limited entry program. No new vessel may be permitted except by transfer of a valid (not expired) permit. To transfer a King Mackerel permit, the original valid (not expired) permit must be signed and notarized as required, and returned with the application and all applicable documents. **Permits must be renewed within one year of the expiration date– expired permits may not be transferred***.

GILLNET FOR KING MACKEREL - For a person aboard a vessel in Federal waters to use a run-around gillnet for King Mackerel in the southern Florida west coast subzone, a commercial gillnet permit for King Mackerel must be issued to the vessel and be on board. Gillnet permits for King Mackerel are under a limited entry program. No new vessel may be permitted except by transfer of a valid (not expired) permit. Gillnet permits for King Mackerel may only be transferred to an immediate family member (mother, father, husband, wife, son, daughter, brother, sister). To transfer a gillnet for King Mackerel permit, the original valid (not expired) permit must be signed and notarized as required, and returned with the application and all applicable documents. **Permits must be renewed within one year of the expiration date– expired permits may not be transferred***.

SHARK - As a prerequisite to sell a shark in or from Federal waters and to be eligible for exemption from the bag limits, a commercial permit must be issued to the vessel and be on board. Permits for the shark fishery are under a limited entry program. No new vessels may be permitted except by transfer of a valid (not expired) limited entry permit. To transfer a shark permit, the original valid permit must be signed and notarized as required, and returned with the application and all applicable documents. **Permits must be renewed within one year of the expiration date – expired permits may not be transferred***.

OMB No. 0648-0205 Form Approval Expires: 10/31/2014

U.S. DEPT OF COMMERCE, NOAA
NMFS PERMITS OFFICE, F/SER14
263 13th Avenue South
St. Petersburg, FL 33701
Toll Free 877/376-4877 (8:00 am - 4:30 pm ET)
727/824-5326 (8:00 am - 4:30 pm ET)
http://sero.nmfs.noaa.gov

FEDERAL PERMIT APPLICATION FOR VESSELS FISHING FOR WRECKFISH OFF THE SOUTH ATLANTIC STATES

Application ID

FOR OFFICE USE ONLY

FOR OFFICE USE ONLY

Reviewer's Initials and Date	
Permit Check or Money Order Number and Amount	
Sanction Case Number if Sanctioned	
Non Compliance Hold Date	
Non Compliance Cleared Date	
PERMIT NUMBER	
Expiration Date	

REMEMBER TO SEND A COPY of your current (not expired) United States Coast Guard (USCG) Certificate of Documentation. If this vessel is not documented, send a copy of your state vessel registration. If we have a copy of your documentaion or state registration on file, it must not be expired. Do not send your original. We cannot accept a bill of sale. If your vessel's state registration does not list all owners of the vessel, also provide a copy of the vessel's title, or other documentation from the appropriate state agency that identifies all vessel owners.
- Please provide the following required information.
- The applicatlon fee is $50.00, replacement fee is $18.00. Fees are payable as Check or Money Order made out to the U.S. Treasury.

April 16, 2012- January 14, 2013

1. VESSEL INFORMATION

OFFICIAL NUMBER FROM USCG CERTIFICATE OF DOCUMENTATION (if the vessel is documented)

STATE REGISTRATION NUMBER (as applicable)

VESSEL NAME

HULL IDENTIFICATION or IMO NUMBER

HAILING PORT CITY

HAILING PORT COUNTY OR PARISH **HAILING PORT STAT**

USCG DOCUMENTED VESSELS ONLY
GROSS TONS **NET TONS**

YEAR BUILT **LENGTH (FEET)** **TOTAL HORSEPOWER**

Crew Size - Including the Captain

HOLD or FISH BOX CAPACITY (Pounds of Harvest)

HULL MATERIAL
- FIBERGLASS
- STEEL
- WOOD
- CEMENT
- OTHER _____

FUEL DATA
- DIESEL
- GASOLINE
- OTHER (DESCRIBE)

FUEL CAPACITY - TOTAL GALLONS

PRODUCT STORAGE (check all that apply)
- ON ICE IN HOLD, FISH BOX, ICE CHEST, COOLER ETC.,
- FREEZER
- LIVE WELL

Form Revision 12/18/2012

2. VESSEL OWNER AND/OR LESSEE INFORMATION

1) Please complete Section 2 on this page for the owner of the vessel (that issued to fish for wreckfish to be sold on this certificate) as shown on the USCG Certificate of Documentation or, if not documented, on the state registration certificate. If the vessel is jointly owned, please enter the information for the managing (primary) owner. If the owner is a business, enter the Federal ID number and date the business was filed. If the owner is an individual, enter the Social Security Number.
2) Complete the bottom part of Section 2 for a joint owner if the vessel is jointly owned by more than one owner, OR if the vessel is leased, for the entity that is leasing the vessel from the vessel owner. You must submit information on each joint owner and for each lessee. If you need more spaces for additional owners or lessees, copy this page blank first or provide the required information on a separate sheet of paper.
3) If your vessel's state registration does not list all owners of the vessel, also provide a copy of the vessel's title, or other documentation from the appropriate state agency that identifies all vessel owners.

Vessel Owner as shown on the USCG Certificate of Documentation, or for undocumented vessels, the State Registration.

Check one ☐ INDIVIDUAL or SOLE PROPRIETORSHIP ☐ JOINT OWNERSHIP ☐ PARTNERSHIP ☐ CORPORATION ☐ OTHER _____

☐ Mailing Recipient - Mark this box if you want this entity to receive all mail concerning this permit; mark only one person

Mr/Mrs/Ms	Last Name or Name of Business	First Name	Middle Name	Suffix - JR,SR,etc.

Mailing Address	Apt/Suite #	City	State	County/parish	Zip Code	Country

Physical Address	Apt/Suite #	City	State	County/parish	Zip Code	Country
☐ Check box if same as Mailing Address						

Tax ID # (Federal Tax ID or SSN)	Date of Birth or Date Business Filed (MM/DD/YYYY	Area Code	Phone Number

Second Vessel Owner as shown on the USCG Certificate of Documentation or State Registration, or Vessel Lessee.
This section is required only if the vessel is jointly owned and/or if the vessel is leased from the owner.
Photocopy this page if more room is needed.

Check one ☐ INDIVIDUAL or SOLE PROPRIETORSHIP ☐ JOINT OWNERSHIP ☐ PARTNERSHIP ☐ CORPORATION ☐ OTHER _____

☐ Mailing Recipient - Mark this box if you want this entity to receive all mail concerning this permit; mark only one person

Mr/Mrs/Ms	Last Name or Name of Business	First Name	Middle Name	Suffix - JR,SR,etc.

Mailing Address	Apt/Suite #	City	State	County/parish	Zip Code	Country

Physical Address	Apt/Suite #	City	State	County/parish	Zip Code	Country
☐ Check box if same as Mailing Address						

Tax ID # (Federal Tax ID or SSN)	Date of Birth or Date Business Filed (MM/DD/YYYY	Area Code	Phone Number

1) Please complete section 3 on this page for the Wreckfish Shareholder. If the Wreckfish Shareholder is a business, enter the Federal ID number and date the business was filed. If the owner is an individual, enter the Social Security Number and date of birth.
2) Complete the bottom part of section 3 for a joint shareholder owner if the shares are jointly held by more than one person.

3. WRECKFISH SHAREHOLDER INFORMATION

Shareholder's Certificate Number

First Wreckfish Shareholder

Mr/Mrs/Ms | **Last Name or Name of Business** | **First Name** | **Middle Name** | **Suffix - JR,SR,etc.**

Mailing Address | **Apt/Suite #** | **City** | **State** | **County/parish** | **Zip Code** | **Country**

Physical Address | **Apt/Suite #** | **City** | **State** | **County/parish** | **Zip Code** | **Country**

☐ Check box if same as Mailing Address

Tax ID # (Federal Tax ID or SSN) | **Date of Birth or Date Business Filed (MM/DD/YYYY** | **Area Code** | **Phone Number**

Second Wreckfish Shareholder

Mr/Mrs/Ms | **Last Name or Name of Business** | **First Name** | **Middle Name** | **Suffix - JR,SR,etc.**

Mailing Address | **Apt/Suite #** | **City** | **State** | **County/parish** | **Zip Code** | **Country**

Physical Address | **Apt/Suite #** | **City** | **State** | **County/parish** | **Zip Code** | **Country**

☐ Check box if same as Mailing Address

Tax ID # (Federal Tax ID or SSN) | **Date of Birth or Date Business Filed (MM/DD/YYYY** | **Area Code** | **Phone Number**

4. OFFICER/SHAREHOLDER INFORMATION FOR WRECKFISH SHAREHOLDERS

Please copy this page as needed to provide information on all persons or businesses that own or lease this vessel.

If this shareholder's certificate is owned by a business, then complete this section for each officer or partner associated with the business. You must provide the information for all officers that are shown on your most recent annual report. If your business is structured as a corporation, you are required to identify all shareholders that own at least 1% of the shares, as well as the percentage of all shares in the corporation held by each shareholder.Please mark the box indicating there are minor shareholders if you have shareholders that individually hold less than 1% of thshares of the company. The total of all entries must be 100 percent unless you have minor shareholders.

Business name: _____ Federal Tax ID # _____

All individuals associated with the above-named vessel owner or lessee must be included in this application. Photocopy this page or attach additional sheets as necessary to list all officers, directors, shareholders, and registered agents of the business. Provide their name, Social Security Numbers, address, phone number, date of birth, and position held in business.

Position held - check ALL that apply

☐ President/CEO ☐ Vice President ☐ Secretary ☐ Treasurer ☐ Director/Manager ☐ Shareholder ☐ Other

Percent (%) of Corporation Held _____

Mr/Mrs/Ms	Last Name	First Name	Middle Name	Suffix - JR,SR,etc.

Mailing Address	Apt/Suite #	City	State	County/parish	Zip Code	Country

Physical Address	Apt/Suite #	City	State	County/parish	Zip Code	Country
☐ Check box if same as Mailing Address						

Tax ID # (SSN)	Date of Birth	Area Code	Phone Number

Position held - check ALL that apply

☐ President/CEO ☐ Vice President ☐ Secretary ☐ Treasurer ☐ Director/Manager ☐ Shareholder ☐ Other

Percent (%) of Corporation Held _____

Mr/Mrs/Ms	Last Name	First Name	Middle Name	Suffix - JR,SR,etc.

Mailing Address	Apt/Suite #	City	State	County/parish	Zip Code	Country

Physical Address	Apt/Suite #	City	State	County/parish	Zip Code	Country
☐ Check box if same as Mailing Address						

Tax ID # (SSN)	Date of Birth	Area Code	Phone Number

☐ MINOR SHAREHOLDERS - Check here if one or more shareholders individually holds shares that is less than 1% of the total shares of the company.

☐ TOTAL PERCENTAGE (%) of the company shares held by minor shareholder(s)

SECTION 5. CERTIFICATION AND SIGNATURE OF APPLICANT. If the wreckfish shareholder is not the vessel owner, the undersigned certifies and documents that the vessel owner or operator is an employee, contractor or agent of the shareholder.

Shareholder's Signature _____ Date _____

Print Name _____

INSTRUCTIONS

For a person aboard a vessel to fish for and possess wreckfish in federal waters of the South Atlantic, a commercial vessel permit for wreckfish must be issued to the vessel. To obtain a wreckfish permit, one must be a wreckfish individual transferable quota (ITQ) shareholder, an employee, agent or contractor to a wreckfish shareholder. The ITQ program requires that all wreckfish must be sold to a commercial dealer with a wreckfish dealer permit. Anyone operating under the ITQ program would also be required to have a federal commercial permit for South Atlantic snapper-grouper to be able to harvest wreckfish in excess of the bag limit and to sell wreckfish. If a person has a wreckfish permit, but no commercial permit for South Atlantic snapper-grouper species, that person cannot sell wreckfish and must adhere to the aggregate snapper-grouper bag limit, which includes wreckfish**.**

General Instructions:

In addition to the instructions provided herein, applicants with specific questions are encouraged to contact the Permits Office at (727) 824-5326 or toll free at (877) 376-4877 between 8:00 a.m. and 4:30 p.m. ET, and to consult the U.S. Code of Federal Regulations whose guidance for application requirements, permit eligibilities, and related information will always prevail. (Incomplete or illegible applications will be returned.)

1. Complete all applicable sections of this application form. Incomplete or illegible applications will be returned. Applications should be typed, or hand printed and should be filled out in ink.

2. The application fee is **$50** and is **non-refundable**. A check or money order payable to the **U.S. TREASURY** must accompany each application. The fee for a duplicate is $18.00.

3. Mail the application, payment, and all required supporting documentation to: **National Marine Fisheries Service (F/SER14), 263 13th Avenue South., St. Petersburg, FL 33701.** Questions may be telephoned to our toll free number at (877) 376-4877 or 727/824-5326 between 8 am - 4:30pm ET. If you would like your permit and associated documents returned to you overnight upon completion of processing, enclose a completed, pre-paid FEDERAL EXPRESS air bill, complete with your street delivery address (FEDEX does not deliver to PO Boxes), telephone number, and your FEDEX account number or major credit card number with the expiration date. Please note, using the prepaid overnight delivery option does not expedite permit processing, it can only expedite delivery upon completion of processing.

APPLICATION SECTION 1: Unless otherwise exempted by the application form, complete **all** portions of Section 1. Enter the Official Number and the length of the vessel as they appear on the U.S. Coast Guard Certificate of Documentation; or if not documented, the state registration certificate.

APPLICATION SECTION 2: Enter the information of the person(s)/business shown as the owner on the U.S. Coast Guard Certificate of Documentation or, if not documented, from the state registration certificate. If there is more than one vessel owner shown on the USCG documentation or the vessel is titled to more than one person, provide the required information for all listed owners. If additional space is needed, please photocopy the blank page as many times as is necessary to provide information on all vessel owners and lessees.

If the owner is an individual, provide the owner's date of birth and enter the individual's taxpayer ID information (Social Security Number). If the owner is a corporation or partnership, provide the date that the corporation was filed or the partnership was formed and the company's Federal Tax ID number (taxpayer ID information). If corporations are in an INACTIVE status, permits will not be issued.

APPLICATION SECTION 3: Enter the information of the person(s)/business that is the Wreckfish Shareholder. All information is required. If the shareholder is an individual, provide the shareholder's date of birth and enter the Social Security Number (taxpayer ID information). If more than one person holds the shares jointly, provide all information for all additional shareholders. If the shareholder is a corporation or partnership, provide the date that the corporation was filed or the partnership was formed and the company's Federal Tax ID number (taxpayer ID information). If corporations are in an INACTIVE status, permits will not be issued.

APPLICATION SECTION 4: If the application is for shares that are owned by corporation, partnership, or other business entity, then information on the wreckfish shareholder's officers/ company shareholders is required. Information on all officers/ company shareholders associated with the wreckfish shareholder is required. If additional space is needed, please photocopy the blank page as many times as is necessary to provide information on all officers/shareholders associated with the vessel owners and lessees.

APPLICATION SECTION 5: The application must be signed and dated by the wreckfish shareholder. For corporate owned shares, an officer or shareholder of the company must sign and date the application.

Public reporting burden for this collection of information is estimated to average 30 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other suggestions for reducing this burden to PRA Officer, National Marine Fisheries Service, F/SER2, 263 13th Avenue South, St. Petersburg, FL 33701.

The National Marine Fisheries Service requires this information for the conservation and management of marine fishery resources. The data reported will be used to develop, implement, and monitor fishery management activities for a variety of other uses. Responses to this collection are required to obtain or retain a fisheries permit under the Magnuson - Stevens Act. Confidential name and address information will be released via a NOAA Fisheries website. All other data submitted will be handled as confidential material in accordance with NOAA Administrative Order 216-100, Protection of Confidential Fishery Statistics. Notwithstanding any other provisions of the law, no person is required to respond to, nor shall any person be subjected to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.

KNOWINGLY SUPPLYING FALSE INFORMATION OR WILLFULLY OVERVALUING ANY FISHING INCOME FOR THE PURPOSE OF OBTAINING A PERMIT IS A VIOLATION OF FEDERAL LAW PUNISHABLE BY A FINE AND/OR IMPRISONMENT.

OMB No. 0648-0205 Form Approval Expires: 10/31/2014

U.S. DEPT OF COMMERCE, NOAA
NMFS PERMITS OFFICE, F/SER14
263 13th Avenue South
St. Petersburg, FL 33701
727/824-5326 (8:00 am - 4:30 pm ET)
877/376-4877 toll free (8:00 am - 4:30 pm ET)
http://sero.nmfs.noaa.gov

FEDERAL PERMIT APPLICATION FOR AN ANNUAL DEALER PERMIT

Application ID

FOR OFFICE USE ONLY

FOR OFFICE USE ONLY

Reviewer's Initials and Date	
Check or Money Order Number and Amount	
Sanction Case Number if Sanctioned	
SERO Dealer Number	
Expiration Date	

1. DEALER INFORMATION

Dealer entity is (check one): ☐ INDIVIDUAL or SOLE PROPRIETORSHIP ☐ PARTNERSHIP ☐ CORPORATION ☐ OTHER _____

If the dealer is a partnership, corporation, or other business entity provide the business name, Federal Tax ID number, and date the business was filed.

Name of Partnership, Corporation, or Business	Federal Tax ID Number	Date business was filed (MM/DD/YYYY)

If the dealer is an Individual or Sole Proprietorship complete the following information - name, Social Security Number (SSN), and date of birth:

Mr/Mrs/Ms	Last Name	First Name	Middle Name	Suffix: JR,SR, etc.

Tax ID # (SSN)	Date of Birth (MM/DD/YYYY)	Area Code	Phone Number

2. DEALER CONTACT INFORMATION

Mailing Address	Apt/Suite #	City	State	County/parish	Zip Code	Country

Street Address (PO Box not acceptable)	Apt/Suite #	City	State	County/parish	Zip Code	Country
☐ Check box if same as Mailing Address						

Area Code	Phone Number

3. Permits

INSTRUCTIONS: Indicate which permit(s) and transaction(s) you are applying for. Find the fishery in the left column and mark the check box beside that fishery to indicate what transaction you want.

	New	Renewal		New	Renewal
Atlantic Dolphin/Wahoo (DDW)	☐	☐	South Atlantic Wreckfish (WD)	☐	☐
Shark (SK)	☐	☐	South Atlantic Rock Shrimp (RSD)	☐	☐
Domestic Swordfish (SD)	☐	☐	South Atlantic Golden Crab (GCD)	☐	☐
South Atlantic Snapper-Grouper Excluding Wreckfish (SGD)	☐	☐	Gulf of Mexico Reef Fish (RD)	☐	☐

4. COMPANY OFFICER and SHAREHOLDER INFORMATION

Complete this section only if the Dealer listed in Section 1 is a Corporation, Partnership, or other business entity. If the Dealer listed in Section 1 is an individual or sole proprietorship, skip this section. Please copy this page as needed to provide information on all persons associated with the Dealer.

Please complete this section for **each** officer or partner associated by partnership, corporation, or other business relationship to the Dealer listed in Section 1.

Position held:

☐ President/CEO ☐ Vice President ☐ Secretary ☐ Treasurer ☐ Director/Manager ☐ Agent ☐ Other

Mr/Mrs/Ms **Last Name** **First Name** **Middle Name** **Suffix - Jr,Sr,etc**

Mailing Address **Apt/Suite #** **City** **State** **County/parish** **Zip Code** **Country**

Street Address (PO Box not acceptable) **Apt/Suite #** **City** **State** **County/parish** **Zip Code** **Country**

☐ Check box if same as Mailing Address

SSN **Date of Birth (MM/DD/YYYY)** **Area Code** **Phone Number**

Position held:

☐ President/CEO ☐ Vice President ☐ Secretary ☐ Treasurer ☐ Director/Manager ☐ Agent ☐ Other

Mr/Mrs/Ms **Last Name** **First Name** **Middle Name** **Suffix - Jr,Sr,etc**

Mailing Address **Apt/Suite #** **City** **State** **County/parish** **Zip Code** **Country**

Street Address (PO Box not acceptable) **Apt/Suite #** **City** **State** **County/parish** **Zip Code** **Country**

☐ Check box if same as Mailing Address

SSN **Date of Birth (MM/DD/YYYY)** **Area Code** **Phone Number**

5. RECEIVING FACILITIES

INSTRUCTIONS: List the names and street addresses for all facilities where product is received (fish is off loaded from the fishing vessels). Please copy this page as needed to provide information on all facilities where fish are received.

Business Name | **Area Code** | **Phone Number**

Street Address (PO Box not acceptable) | **Apt/Suite #** | **City** | **State** | County/parish | **Zip Code** | **Country**

Business Name | **Area Code** | **Phone Number**

Street Address (PO Box not acceptable) | **Apt/Suite #** | **City** | **State** | County/parish | **Zip Code** | **Country**

Business Name | **Area Code** | **Phone Number**

Street Address (PO Box not acceptable) | **Apt/Suite #** | **City** | **State** | County/parish | **Zip Code** | **Country**

Business Name | **Area Code** | **Phone Number**

Street Address (PO Box not acceptable) | **Apt/Suite #** | **City** | **State** | County/parish | **Zip Code** | **Country**

Business Name | **Area Code** | **Phone Number**

Street Address (PO Box not acceptable) | **Apt/Suite #** | **City** | **State** | County/parish | **Zip Code** | **Country**

Business Name | **Area Code** | **Phone Number**

Street Address (PO Box not acceptable) | **Apt/Suite #** | **City** | **State** | County/parish | **Zip Code** | **Country**

Business Name | **Area Code** | **Phone Number**

Street Address (PO Box not acceptable) | **Apt/Suite #** | **City** | **State** | County/parish | **Zip Code** | **Country**

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6. State Wholesaler Licenses

Complete the following and provide a copy of each state wholesaler's license held by the dealer.

State Wholesaler License Number :		State Issued By		State Wholesaler License Number:		State Issued By	
State Wholesaler License Number:		State Issued By		State Wholesaler License Number:		State Issued By	
State Wholesaler License :		State Issued By		State Wholesaler License Number:		State Issued By	
State Wholesaler License Number:		State Issued By		State Wholesaler License Number:		State Issued By	
State Wholesaler License Number:		State Issued By		State Wholesaler License Number:		State Issued By	

Other Federal permits or licenses held (issued from a Federal permit office outside of the Southeast Region).

7. SIGNATURE

The undersigned certifies under penalty of perjury that the foregoing information is true and correct (28 U.S.C. section 1746; 18 U.S.C. section 1621; 18 U.S.C. section 1001).

Please note: The applicant who signs below must be the dealer identified in Section 1 unless the dealer is a partnership, corporation, or other business entity, in which the applicant must be an individual named as an officer or shareholder of the business as listed in Section 4.

Applicant Signature

Date

Printed Name

Position in Company (if applicable)

Payment Reminder:

All applications must include payment of a non-refundable application fee in the form of a check or money order made payable to the U.S. Treasury. The fee required is $50.00 for the first fishery and $12.50 for each additional fishery requested with this application.

Public reporting burden for this collection of information is estimated to average 20 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other suggestions for reducing this burden to PRA Officer, National Marine Fisheries Service, F/SER2, 263 13th Avenue South, St. Petersburg, FL 33701.

The National Marine Fisheries Service requires this information for the conservation and management of marine fishery resources. The data reported will be used to develop, implement, and monitor fishery management activities for a variety of other uses. Responses to this collection are required to obtain or retain a fisheries permit under the Magnuson - Stevens Act. Name and address information will be released via a NOAA website. All other data submitted will be handled as confidential material in accordance with NOAA Administrative Order 216-100, Protection of Confidential Fishery Statistics. Notwithstanding any other provisions of the law, no person is required to respond to, nor shall any person be subjected to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.

Instructions for the Federal Application for an Annual Dealer Permit

ACTIVITIES FOR WHICH PERMITS ARE REQUIRED

DOLPHIN/WAHOO	Under 50 CFR 622.4, a dealer who receives Atlantic dolphin/wahoo harvested in the EEZ off the Atlantic states (Maine through the East Coast of Florida) must obtain an annual dealer permit.
GOLDEN CRAB (South Atlantic)	Under 50 CFR 622.4, a dealer who receives South Atlantic golden crab harvested in the EEZ off the Southern Atlantic states must obtain an annual dealer permit.
REEF FISH (Gulf of Mexico)	Under 50 CFR 622.4, a dealer who receives reef fish harvested from the EEZ of the Gulf of Mexico must obtain an annual dealer permit.
ROCK SHRIMP (South Atlantic)	Under 50 CFR 622.4, a dealer who receives rock shrimp harvested in the EEZ off the Southern Atlantic states must obtain an annual dealer permit.
SNAPPER-GROUPER (South Atlantic)	Under 50 CFR 622.4, a dealer who receives **South Atlantic** snapper-grouper, excluding wreckfish, harvested in the EEZ off the Southern Atlantic states must obtain an annual dealer permit.
SHARK	Under 50 CFR 635.4, a dealer who receives sharks from the Western North Atlantic Ocean including the Gulf of Mexico and the Caribbean Sea must obtain an annual dealer permit.
SWORDFISH	Under 50 CFR 635.4, a dealer who receives from a U.S. vessel a swordfish harvested from the Atlantic Ocean or Gulf of Mexico must obtain an annual domestic dealer permit.
WRECKFISH (South Atlantic)	Under 50 CFR 622.4(a)(4), a dealer who receives a wreckfish harvested from the **South Atlantic** must obtain an annual dealer permit.

INSTRUCTIONS

Complete the following sections, as applicable:

SECTION 1 & 2 Print or type the name of the business and address as shown on your business license. If the applicant is a business, print or type the Federal Tax ID number assigned to your business by the Internal Revenue Service (taxpayer ID information) if one has been assigned. If applicant is an individual, enter the Social Security Number (taxpayer ID information).

SECTION 3 Select the fisheries for which you are applying.

SECTION 4 If the application is for a dealer that a corporation, partnership, or other business entity owns, then information on the dealer's officers/ shareholders is required. If additional space is needed, please photocopy the blank page as many times as is necessary to provide information on all officers/shareholders associated with the dealer.

SECTION 5 Complete this section for each physical location where fish are received. Note: A Post Office Box is not acceptable as a physical location where fish are received.

SECTION 6 Provide the state wholesale license for each state in which the dealer has a facility. Also, please provide the permit number of any Federal permits issued, for example, a dealer permit issued by the NMFS Northeast Regional Office (NERO).

SECTION 7 The application must be signed and dated. If the dealer is a corporation, partnership, or other business entity then the applicant must be an officer or shareholder of the dealer.

Additional Instructions:

FEE SCHEDULE FOR DEALER PERMITS:

1=$50	2=$62.50	3+$75.00	4=$87.5	5=$100.00	6=$112.50	7=$125.00	8=$137.50

1. Mail the completed application, copy of state wholesaler's license (if required) for each state in which you operate, and a check or money order made payable to the U.S. TREASURY to: **National Marine Fisheries Service (F/SER14), 263 13th Avenue South., St. Petersburg, FL 33701.** Questions may be telephoned to our toll free number at (877) 376-4877 or 727/824-5326 between 8 am - 4:30pm ET. If you would like your permit and associated documents returned to you overnight upon completion of processing, enclose a completed, pre-paid US Postal Service, UPS or Federal Express air bill, complete with your street delivery address (FEDEX and UPS do not deliver to PO Boxes), telephone number, and for FEDEX or UPS - your FEDEX or UPS account number or major credit card number with the expiration date. Please note, using the prepaid overnight delivery option does not expedite permit processing, it can only expedite delivery upon completion of processing.

States required to submit wholesale license: Alabama, California, Florida, Georgia, Hawaii, Louisiana, Massachusetts, Maryland, Maine, Pennsylvania, Rhode Island, South Carolina, U.S. Virgin Islands and Washington.

2. The application fee is **$50** for the first fishery and **$12.50** for each additional fishery and is **non-refundable**. A check or money order payable to the **U.S. TREASURY** must accompany each application. The flat rate fee to replace lost or damaged permit(s) is **$18**. Complete all lines or sections that apply for the type(s) of fishery(ies) requested. Select only those your business will need. **Certain fisheries require mandatory reporting requirements**.

3. Atlantic Shark Dealers must submit a copy of a valid Atlantic shark identification workshop certificate for each place of business listed on the shark dealer permit. The certificate must be either a SHARK DEALER OWNER - SHARK IDENTIFICATION WORKSHOP CERTIFICATE or a SHARK DEALER PROXY - SHARK IDENTIFICATION WORKSHOP CERTIFICATE. If you have submitted a copy of your certificate(s) and it is not expired, you do not have to resubmit the document(s). Important Note – A SHARK DEALER PROXY CERTIFICATE is only valid for a renewal and will not be accepted for a new permit. For information about Shark Identification Workshop Schedules, contact (301) 713-2347.

In accordance with Federal regulations, any change in your permit information must be reported to the NMFS Regional Administrator within 30 days of the change.

KNOWINGLY SUPPLYING FALSE INFORMATION FOR THE PURPOSE OF OBTAINING A DEALER PERMIT IS A VIOLATION OF FEDERAL LAW PUNISHABLE BY A FINE AND/OR IMPRISONMENT.

OMB Control No. 0648-0205, Expires 10/31/2014

U.S. DEPARTMENT OF COMMERCE
National Oceanic and Atmospheric Administration
National Marine Fisheries Service
Southeast Fisheries Science Center
4700 Avenue U
Galveston, TX 77551

2011

GULF SHRIMP LANDINGS REPORT

INSTRUCTIONS

Please Note: It is **REQUIRED** that the following form be returned in order to be eligible to renew your **FEDERAL PERMIT FOR HARVESTING SHRIMP IN THE EXCLUSIVE ECONOMIC ZONE (EEZ)** of the Gulf of Mexico.

1 Attached is the *Gulf of Mexico Shrimp Federal Permit Reporting Form*. The owner of the federal permit listed is required to report all calendar year 2011 Gulf shrimp landings by the permitted vessel.

2 Please check that the owner and vessel information at the top of the page is correct. If not, please clearly print the correct information in the white space.

3 If the vessel associated with this permit did not land ANY Gulf shrimp in 2011, please check the "Not Shrimping" box, skip the table and sign the form.

4 If the vessel landed ANY Gulf shrimp in 2011, please fill in the table. For each species listed, enter the landings either as:

- **a.** pounds by condition of head off and/or head on OR
- **b.** pounds and/or number of individual bait shrimp AND
- **c.** total ex-vessel value of that species.

Count ALL shrimp landed at ports on the Gulf of Mexico (Texas, Louisiana, Mississippi, Alabama, west coast of Florida including Keys) regardless of where they were caught (including from bays, bayous, State inshore and offshore waters, or Federal waters (EEZ)).

Missing entries in the table will be interpreted as ZERO landings or value in that category in 2011.

5 Sign the Form.

Mail the completed form in the enclosed postage paid Business Reply Envelope *to the following address: NOAA Fisheries Galveston Laboratory, Attention: Rebecca Smith, 4700 Avenue U, Galveston, TX 77551. If you have a question regarding the form, please call (409) 766-3783 between 9 am - 5:30 pm CST.*
[Please DO NOT add any additional postage, certified mail, return receipt request, etc. to the Business Reply Envelope because the US Postal Service will not deliver it to us.]

Incomplete or illegible forms will be returned.

If you have a question regarding the form, please call (409) 766-3783 between 9am - 5:30pm CST.

OMB Control No. 0648-0205, Expires 10/31/2014

2011 Gulf Shrimp Landings Report

Gulf of Mexico Shrimp Federal Permit Reporting Form

Permit # :
Permit Holder Name: Vessel Name:
State Registration # USCG Vessel ID:

Please provide any missing or incorrect information in the above heading.

For the vessel listed above, please report the total shrimp landings in 2011 landed in ports on the Gulf of Mexico, regardless where the shrimp were caught (including from bays, bayous, State inshore and offshore waters, or Federal waters). In the table below, please provide landings by shrimp species and the ex-vessel value of shrimp by species.

☐ **Not Shrimping:** Check box, if the vessel associated with permit **DID NOT land ANY shrimp** in the Gulf of Mexico in 2011.

Shrimp Species	Head OFF Pounds	Head ON Pounds	Bait by Individual	Bait By Pounds	Total Value ($)
Brown	_____	_____	_____	_____	_____
Pink	_____	_____	_____	_____	_____
White	_____	_____	_____	_____	_____
Rock	_____	_____	_____	_____	_____
Seabob	_____	_____	_____	_____	_____
Royal Red	_____	_____	_____	_____	_____
Other Shrimp	_____	_____	_____	_____	_____

Authorized Signature: _____

Public reporting burden for this collection of information is estimated to average 5 minutes per response including the time for reviewing the instructions, searching the existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspects of this burden to Rick Hart, National Marine Fisheries Service, 4700 Ave U, Galveston, Texas 77551. This reporting is required under and is authorized under 50 CFR 622.5(a)(1)(iii). Information submitted will be treated as confidential in accordance with NOAA Administrative Orders. Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection displays a currently valid OMB Control Number. The NMFS requires this information for the conservation and management of marine fishery resources.

KNOWINGLY SUPPLYING FALSE INFORMATION IS A VIOLATION OF FEDERAL LAW PUNISHABLE BY A FINE AND/OR IMPRISONMENT. All data submitted will be handled as confidential material in accordance with **NOAA Administrative Order 216-100, Protection of Confidential Fishery Statistics**.

OMB No. 0648-0205 Form Approval Expires: 10/31/2014

U.S. DEPT OF COMMERCE, NOAA
NMFS PERMITS OFFICE, F/SER14
263 13th Avenue South
St. Petersburg, FL 33701
Toll Free 877/376-4877 (8:00 am - 4:30 pm ET)
727/824-5326 (8:00 am - 4:30 pm ET)
http://sero.nmfs.noaa.gov

FEDERAL PERMIT APPLICATION FOR THE HARVEST OF AQUACULTURED LIVE ROCK

FOR OFFICE USE ONLY
Application ID

FOR OFFICE USE ONLY

Reviewer Initials and Date	
Check or Money Order Number and amount:	
Sanction Case Number if Sanctioned:	
Non Compliance Hold Date:	
Non Compliance Cleared Date:	
New Expiration Date:	
Site Number	

☐ New Application $175.00 ☐ Renewal Application $31.00

1. SITE INFORMATION

Note: Aquacultured Live Rock sites must be circular with a radius not to exceed 117.75 feet (0.019NM). Aquacultured Live Rock permits for sites off the coast of the state of Florida are issued under the U.S. Army Corps Of Engineers (USACE) General Permit SAJ-71 to deposit material. Under SAJ-71, the total acerage of all sites maintained by a single permit holder must not exceed 1.0 acres. Applicants desiring to maintain aquacultured live rock sites with a total area of more than 1.0 acre OR off the coast of a state other than Florida must first obtain permits to deposit material from the USACE.

If applying to obtain a permit for an existing deposition site:

Provide the SITE NUMBER (as assigned by NMFS) an existing site in this box. You need not fill in the other fields within the Site Information section.

If applying for a renewal permit for an established deposition site, check this box if material was deposited on the site during the period of time the last permit for this site was valid ☐

If applying to obtain a permit for a new depostion site:

Provide the depostion site center point, method of determining position, site radius, coast the site is located on, and minimum depth of water at mean low water. Latitude and Longitude must be reported as Degrees-Minutes to the third decimal place (i.e. 24-32.123 N 085-45.456 W)

Latitude Center Point

Longitude Center Point

Method of determining Latitude and Longitude ☐ GPS ☐ DGPS

Radius (not to exceed 117.75 feet) ft.

This site is located off the coast of (state):

Minimum Depth of water over the site at mean low water, reported in feet: ft.

APPLICANT SIGNATURE - I certify that the information provided is complete and correct

Applicant Signature	Date Signed
Printed Name	Position in Company

Public reporting burden for this collection of information is estimated to average 20 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other suggestions for reducing this burden to PRA Officer, Permits Branch, National Marine Fisheries Service, F/SER2, 263 13th Avenue South , St. Petersburg, FL 33701.

The National Marine Fisheries Service requires this information for the conservation and management of marine fishery resources. The data reported will be used to develop, implement, and monitor fishery management activities for a variety of other uses. Responses to this collection are required to obtain or retain a fisheries permit under the Magnuson - Stevens Act. Name and address information will be released via a NOAA Fisheries website. All other data submitted will be handled as confidential material in accordance with NOAA Administrative Order 216-100, Protection of Confidential Fishery Statistics. Notwithstanding any other provisions of the law, no person is required to respond to, nor shall any person be subjected to a penalty for failure to comply with a collection of information subject to the requirements of the Paperwork Reduction Act unless that collection of information displays a currently valid OMB Control Number.

2. PERMIT HOLDER INFORMATION

Please copy this page as needed to provide information on all permit holders.

1) Please complete this section for each permit holder. If the permit holder is a business or partnership, enter the Federal Tax ID number and date the business was formed or partnership was filed. If the permit holder(s) is/are individual(s) enter the Social Security Number(s)(SSN) and date(s) of birth. Complete the Joint Permit Holder information for a second permit holder if the permit is held by more than one individual. If you need more space, copy this form or provide the required information on a separate sheet of paper.
2) Place an "X" in the Mailing Recipient block to indicate who will receive the permit and all related information.

Permit Holder

If the permit holder is an INDIVIDUAL, fill in the personal information (SSN, date of birth, etc.)
If the permit holder is a BUSINESS, fill in the business informaton (Federal Tax ID #, Date Business Filed, Name, etc.)

☐ Mailing Recipient - Mark this box if you want this entity to receive all mail concerning this permit; mark only one person.

Mr/Mrs/Ms	Last Name or Name of Business	First Name	Middle Name	Suffix - JR,SR,etc.

Mailing Address	Apt/Suite #	City	State	County/parish	Zip Code	Country

Physical Address	Apt/Suite #	City	State	County/parish	Zip Code	Country
☐ Check box if same as Mailing Address						

Tax ID # (Employer ID or SSN)	Date of Birth/business filed (MM/DD/YYYY)	Area Code	Phone Number

Joint Permit Holder

Fill out this section only if the permit is jointly held by more than one person. Photocopy this page if needed.

☐ Mailing Recipient - Mark this box if you want this entity to receive all mail concerning this permit; mark only one person.

Mr/Mrs/Ms	Last Name or Name of Business	First Name	Middle Name	Suffix - JR,SR,etc.

Mailing Address	Apt/Suite #	City	State	County/parish	Zip Code	Country

Physical Address	Apt/Suite #	City	State	County/parish	Zip Code	Country
☐ Check box if same as Mailing Address						

Tax ID # (Employer ID or SSN)	Date of Birth/business filed (MM/DD/YYYY)	Area Code	Phone Number

REMINDER: THE APPLICANT MUST SIGN THE APPLICATION ON PAGE 1

3. OFFICER/SHAREHOLDER INFORMATION FOR A BUSINESS/PARTNERSHIP THAT HOLDS THE PERMIT

Please copy this page as needed for all officers/shareholders of the business that holds the permit.

1) Please complete this section for **each** officer or partner associated by partnership, corporation, or other business relationship to the business listed in Section 2. You must provide the information for all officers that are shown on your most recent annual report. If your business is structured as a corporation, you **must** identify all shareholders and the percentage of shares held by each individual. The total of all entries must be 100 percent. Provide the name, address, Social Security Number (SSN), date of birth (DOB) and phone number for each individual.

Business name: _____ Federal Tax ID # _____

Position held

☐ President/CEO ☐ Vice President ☐ Secretary ☐ Treasurer ☐ Director/Manager ☐ Agent ☐ Shareholder ☐ Other

Percent (%) of Corporation Held

Mr/Mrs/Ms	Last Name	First Name	Middle Name	Suffix - JR,SR,etc.

Mailing Address	Apt/Suite #	City	State	County/parish	Zip Code	Country

Physical Address	Apt/Suite #	City	State	County/parish	Zip Code	Country
☐ Check box if same as Mailing Address						

Tax Id # (SSN)	Date of Birth (MM/DD/YYYY)	Area Code	Phone Number

Position held

☐ President/CEO ☐ Vice President ☐ Secretary ☐ Treasurer ☐ Director/Manager ☐ Agent ☐ Shareholder ☐ Other

Percent (%) of Corporation Held

Mr/Mrs/Ms	Last Name	First Name	Middle Name	Suffix - JR,SR,etc.

Mailing Address	Apt/Suite #	City	State	County/parish	Zip Code	Country

Physical Address	Apt/Suite #	City	State	County/parish	Zip Code	Country
☐ Check box if same as Mailing Address						

Tax ID # (SSN)	Date of Birth (MM/DD/YYYY)	Area Code	Phone Number

REMINDER: THE APPLICANT MUST SIGN THE APPLICATION ON PAGE 1

4. VESSEL INFORMATION (all information is required)

INSTRUCTIONS: Provide a copy of the valid, unexpired USCG Certificate of documentation (or state registration if not documented) for each vessel listed. Provide all information for each vessel used to deposit/harvest aquacultured rock at the permitted site. If more forms are needed, photocopy this form and number each additional vessel, or provide the required information on a separate sheet of paper. Each vessel used to harvest or deposit material MUST be listed.

VESSEL 1

OFFICIAL NUMBER FROM USCG CERTIFICATE OF DOCUMENTATION (if the vessel is documented)

STATE REGISTRATION NUMBER (if applicable)

VESSEL NAME

HULL IDENTIFICATION or IMO NUMBER

HAILING PORT CITY

HAILING PORT COUNTY or PARISH

HAILING PORT STATE

PORT OF LANDING CITY

PORT OF LANDING STATE

YEAR BUILT

LENGTH (FEET)

TOTAL HORSEPOWER

Crew Size - Including the Captain

HOLD CAPACITY (Pounds of Harvest)

LIVE WELL CAPACITY (Gallons)

USCG DOCUMENTED VESSELS ONLY

GROSS TONS

NET TONS

HULL MATERIAL

- FIBERGLASS
- STEEL
- WOOD
- CEMENT
- OTHER _____

FUEL TYPE

- DIESEL
- GASOLINE
- OTHER _____

TOTAL FUEL CAPACITY (GALLONS)

Please complete this section for each vessel owner. If the vessel is owned by a business or partnership, enter the Federal Tax ID Number and date the business was fomred or partnership was filed. If the vessel is owned by individual(s) enter the Social Security Number(s) (SSN) and date(s) of birth (DOB).

VESSEL 1 OWNER INFORMATION as shown on the USCG Certificate of Documentation (or State Registration if not documented)

Mr/Mrs/Ms **Last Name or Name of Business** **First Name** **Middle Name** **Suffix: JR,SR, etc.**

Mailing Address **Apt/Suite #** **City** **State** **County/parish** **Zip Code** **Country**

Street Address **Apt/Suite #** **City** **State** **County/parish** **Zip Code** **Country**

☐ Check box if same as Mailing Address

Tax ID # (Employer ID or SSN) **Date of Birth/business filed (MM/DD/YYYY)** **Area Code** **Phone Number**

VESSEL 1 JOINT OWNER INFORMATION as shown on the USCG Certificate of Documentation (if not documented, then State Registration)

Mr/Mrs/Ms **Last Name** **First Name** **Middle Name** **Suffix: JR,SR, etc.**

Mailing Address **Apt/Suite #** **City** **State** **County/parish** **Zip Code** **Country**

Street Address **Apt/Suite #** **City** **State** **County/parish** **Zip Code** **Country**

☐ Check box if same as Mailing Address

Tax ID # (SSN) **Date of Birth (MM/DD/YYYY)** **Area Code** **Phone Number**

Photocopy this page as needed for additional vessels.
REMINDER: THE APPLICANT MUST SIGN THE APPLICATION ON PAGE 1

INSTRUCTIONS FOR THE FEDERAL PERMIT APPLICATION FOR THE HARVEST OF AQUACULTURED LIVE ROCK

General Instructions:
Applicants with specific questions may contact the SER Permits Office at (727) 824-5326 between 8:00 a.m. and 4:30 p.m. ET, Monday through Friday.

1. If obtaining a permit for an existing deposition site, fill out on the first block in section 1, indicating the SITE NUMBER. You do not need to fill in the information on the position location, water depth, etc., since that information would not have changed (because it is the same physical deposition site). If obtaining a permit for a **new** deposition site, do not fill in the SITE NUMBER (because NMFS will assign that), and complete all other sections of Section 1 including the site position, water depth, method of positioning, radius of deposition site, and coast off which the deposition site is located.

2. Fill In all fields in Section 2, 3 & 4 as applicable.

3. The application fee is for a **new permit** is **$175** and is **non-refundable** (**$31 non-refundable fee** for a **permit renewal**). A check or money order payable to the **U.S. TREASURY** must accompany each application. Checks must be dated less than 6 months old at the time of deposit.

4. If applying for permit for a new site, or applying as a new permit holder for an existing site, an Aquaculture Site Evaluation Report (http://sero.nmfs.noaa.gov/permits/permits.htm) **must** accompany each application, along with rock samples of the rock that will be placed at the site. The site evaluation report itself must be completed in order to process a new permit application. Please note that separate documents may be submitted, but may not to be substituted for the site evaluation report. Please do not refer to "accompanying documents" in the site survey.

5. Mail the completed application, the check/money order, completed Aquaculture Site Evaluation Report, sample of rock to be placed at site, and a legible copy of the valid U.S. Coast Guard Certificate of Documentation (if the vessel(s) is not U.S. Coast Guard documented, a legible copy of the valid state registration **NO EXCEPTIONS**) for **each** vessel operated to maintain the site to: **NMFS PERMITS OFFICE (F/SER 14), 263 13th AVENUE SOUTH, ST. PETERSBURG, FL 33701-5055.** NOTE: If you would like your permit and associated documents returned to you via overnight mail, enclose a pre paid FEDERAL EXPRESS or UPS air bill, complete with your delivery address (no PO Box numbers – FEDEX and UPS do not deliver to PO boxes), telephone number, and your FEDEX or UPS account number or credit card number with expiration date.

APPLICATION SECTION 1 If obtaining a permit for a new deposition site, latitude and longitude must be provided in the following format: degrees and minutes to the third decimal place (e.g., 24° 56.789' N 081° 23.456' W). Also provide the method of determining latitude and longitude, mean depth of water over the site, and the state off which the site is located. If obtaining a permit for an existing deposition site, provide the deposition site number as assigned by NFMS.

NOTE: Aquacultured Live Rock permits off the state of Florida are issued under the U.S. Army Corps of Engineers (USACE) General Permit SAJ-71 to deposit material. Under SAJ-71, the total acreage of all sites maintained by a single permit holder must not exceed 1.0 acres. Applicants desiring to maintain sites with a total area of more than 1.0 acre OR off the coast of a state other than Florida must contact their local USACE office (http://www.usace.army.mil/about/Pages/Locations.aspx) to obtain permits to deposit material. Applicants **must** sign and date the application on Page 1.

APPLICATION SECTION 2 Enter information for the person to be listed as the **"Permit Holder"**. For more than one permit holder, fill out the **"Joint Permit Holder"** information (if more than two joint permit holders, copy page as needed). If the **"Permit Holder"** is a business or partnership, enter the Federal Tax ID number and the date that the corporation was filed or the partnership was formed. NOTE: If permit owned by a business or partnership, you **must** fill out Section 3.

APPLICATION SECTION 3 Complete this section only if the permit holder (applicant) is a business, partnership, or corporation. If business is structured as a corporation, you **must** identify all shareholders and the percentage of shares held by each person (NOTE: Total of all entries must be 100 percent).

APPLICATION SECTION 4 Provide information for **all** vessels used to harvest or deposit at the site. Enter vessel information as it appears on the U.S. Coast Guard documentation (or if not USCG documented, valid state registration). (Under Hailing Port, enter the city and state shown as "Hailing Port" on the certificate of documentation.) A copy of the valid USCG Certificate of Documentation (or valid State Registration if not USCG documented) **must** be provided for each vessel listed. NOTE: Application will not be considered complete unless all information is filled out and all copies of documentation/registration are received.

IMPORTANT NOTE: Permit holders **must** provide at least 24 hours notice prior to harvesting aquacultured live rock off of their permitted site. For more information on harvest notification, contact the NMFS Enforcement Office at (727) 824-5344.

U.S. DEPT OF COMMERCE, NOAA
NMFS PERMITS OFFICE, F/SER14
263 13TH Avenue South
St. Petersburg, FL 33701
Toll Free 877/376-4877 8:00 am - 4:30 pm ET
727/824-5326 (8:00 am - 4:30 pm ET)
http://sero.nmfs.noaa.gov

REPORT FOR THE DEPOSIT OR HARVEST OF AQUACULTURED LIVE ROCK

OMB No. 0648-205 Form
Approval expires 10/31/2014

Form revised 11/04/2011

FOR OFFICE USE ONLY

Reviewer Initials and Date Entered	

INSTRUCTIONS: Complete section 1 and either section 2 or 3 with all information. Please sign, date, and print your name in section 4. You must fill out a seperate report for each deposit or harvest activity. Do not list deposits or harvests done on the same date on the same report. You must make a seperate report for each deposit of materials that are from different sources, suppliers and/or geographic origin, even if made on the same date.

1. PERMIT HOLDER INFORMATION

Permit holder as shown on the permit

Site number the material was deposited to or harvested from AQU-

2. DEPOSIT INFORMATION

Name of the source/supplier of the deposited material

Mailing Address	Apt/Suite #	City	State	County/parish	Zip Code	Country

Physical Address	Apt/Suite #	City	State	County/parish	Zip Code	Country
☐ Check box if same as Mailing Address						

Geographic origin of the deposited material

Date Deposited (dd/mm/yyyy)	Average Dimensions (inches) (e.g. 12" x 12")	Total Weight Deposited (Pounds)

3. HARVEST INFORMATION - FILL OUT ONLY IF LANDED OUTSIDE THE STATE OF FLORIDA

NOTE: If landing INSIDE the state of Florida, you must report to the Fisheries Department Monitoring Section of the Florida Fish and Wildlife Research Institute using standard form #33-610 (Marine Fisheries Trip Ticket). Call the trip ticket office at 727/896-8626 for more information.

Name of the purchaser of the harvested material

Mailing Address	Apt/Suite #	City	State	County/parish	Zip Code	Country

Physical Address	Apt/Suite #	City	State	County/parish	Zip Code	Country
☐ Check box if same as Mailing Address						

Date Harvested (mm/dd/yy)	Total Weight Harvested (pounds)	Price Per Pound	Total Dollar Value

4. SIGNATURE

SIGNATURE

PRINTED NAME	POSITION IN COMPANY	DATE

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other suggestions for reducing this burden to PRA Officer, National Marine Fisheries Service, F/SER2, 263 13th Avenue South, St. Petersburg, FL 33701.

The National Marine Fisheries Service requires this information for the conservation and management of marine fishery resources. The data reported will be used to develop, implement, and monitor fishery management activities for a variety of other uses. Responses to this collection are required to obtain or retain a fisheries permit under the Magnuson - Stevens Act. Confidential name and address information will be released via a NOAA Fisheries website. All other data submitted will be handled as confidential material in accordance with NOAA Administrative Order 216-100, Protection of Confidential Fishery Statistics. Notwithstanding any other provisions of the law, no person is required to respond to, nor shall any person be subjected to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.

U.S. DEPT OF COMMERCE, NOAA
NMFS PERMITS OFFICE, F/SER14
263 13th Avenue South
St. Petersburg, FL 33701
(727) 824-5326 (8 am - 4:30 pm ET)
Toll Free (877) 376-4877 (8 am - 4:30 pm ET)
http://sero.nmfs.noaa.gov

AQUACULTURE SITE EVALUATION REPORT

OMB No. 0648-205 Form
Approval expires 10/31/2014

FOR OFFICE USE ONLY

SITE NUMBER

Reviewer Initials and Date

INSTRUCTIONS: Provide the information required on the evaluation form- ALL BLANKS MUST BE FILLED IN. Additional sheets may be used, however all information must be provided on this form. Any additional papers cannot be larger than 11 x 14 inches. When providing a copy of a portion of a nautical chart showing the location of the site, ensure that the chart number, title and edition used are included. Use a chart large enough in scale to show sufficient detail and allow for site location and inspection. All sites are to be a circle with a radius not to exceed 117.75 feet (0.019 NM); or 1.0 acre area.

Site Evaluation prepared for (Aquaculture applicant name)

Aquacultured Live Rock permits off the state of Florida are issued under the U.S. Army Corps Of Engineers (COFE) General Permit SAJ-71 to deposit material. Under SAJ-71, the total acerage of all sites maintained by a single permit holder must not exceed 1.0 acres. Applicants desiring to maintain aquacultured live rock sites with a total area of more than 1.0 acre OR off the coast of a state other than Florida must first obtain permits to deposit material from the USACE.

Latitude and Longitude must be reported as Degrees Minutes to the third decimal place (i.e. 24-32.123 N 085-45.456 W)

Latitude Center Point

Longitude Center Point

Radius (in feet)
(Not to exceed 117.5 ft.)

Method of determining Latitude and Longitude

☐ GPS ☐ DGPS

This site is located off the coast of the state of:

Minimum Depth of water over the site at mean low water.

Description of the location of the site (i.e. 5.5 NM SW of Rock Key and .75 NM east of Sand Shoal)

1. Describe all possible hazards to safe navigation or hindrance to vessel traffic, interference with traditional fishing operations or other public access that may result from aquacultured rock at the site.

2. Describe the naturally occurring bottom habitat at the site:

3. Describe the type, size, amount and origin of the material to be deposited on the site and how it will be distinguishable (method of marking/tagging and description) from the naturally occurring substrate. You must provide a sample of the material.

SIGNATURE

Preparer Signature		Date Signed
Printed Name	Position in Company if Corporation/Business/LLC	
Qualifications/Experience of Preparer		

Public reporting burden for this collection of information is estimated to to be 20 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other suggestions for reducing this burden to PRA Officer,National Marine Fisheries Service, F/SER2, 263 13th Avenue South, St. Petersburg, FL 33701.

The National Marine Fisheries Service requires this information for the conservation and management of marine fishery resources. The data reported will be used to develop, implement, and monitor fishery management activities for a variety of other uses. Responses to this collection are required to obtain or retain a fisheries permit under the Magnuson - Stevens Act. Name and address information will be released via a NOAA Fisheries website. All other data submitted will be handled as confidential material in accordance with NOAA Administrative Order 216-100, Protection of Confidential Fishery Statistics. Notwithstanding any other provisions of the law, no person is required to respond to, nor shall any person be subjected to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.

INSTRUCTIONS FOR AQUACULTURE SITE EVALUATION REPORT

General Instructions:
Applicants with specific questions may contact the SER Permits Office at (727) 824-5326 between 8:00 a.m. and 4:30 p.m. ET, Monday through Friday.

1. A site evaluation report must be submitted by the applicant to the **NMFS, Permit Office F/SER14, 263 13th Avenue South, St. Petersburg, FL 33701**. The report must be prepared by an independent source pursuant to generally accepted industry standards and shall demonstrate that the proposed site:
   a. Is not a hazard to safe navigation or a hindrance to vessel traffic; and
   b. Avoids traditional fishing operations, or other public access; and
   c. Avoids impacts to naturally occurring hard bottom habitat and submerged aquatic vegetation; and
   d. Contains natural underlying substrata that is primarily hard packed sand, hard shell hash, or less than 6-12 inches of sand over rock.

2. A site evaluation report must be done for each application for a new permit - even on established sites. You may include additional information in separate sources, but all information must be provided on this form. Fields 1, 2, and 3 on this form shall not refer to another source for information. Additional information, including photos or videotapes of the proposed site, is encouraged to facilitate review of each application.

3. A sample of the rocks to be deposited must accompany each Site Evaluation report. (NOTE: Rocks may not be placed over naturally occurring reef outcrops, limestone ledges, coral reefs, or vegetated areas. A minimum setback of 50 feet must be maintained from naturally vegetated or hard bottom habitats.)

4. The applicant shall identify the site on a nautical chart in sufficient detail to allow for site inspection, and shall provide accurate coordinates so that the site can be located by Differential Global Positioning System (DGPS) equipment. Site inspection may be required on a case by case basis.

5. Sites off the coast of Florida which individually or cumulatively total more than 1.0 acres will not be authorized unless the applicant has individually obtained and provides a copy of the U.S. Army Corps of Engineers special permit for Depositing Material on such a site.

Fax number: (727) 824-5355

NOTICE OF INTENT TO HARVEST AQUACULTURED LIVEROCK

Name: _____

Business Name: _____
(Owner/Operator)

Address: _____

City: _____ State: _____ Zip: _____

Date of Notification: _____ Time: _____

NOAA/NMFS Receiving Notification: Yes _____ FWCC Receiving Notification: Yes _____

Notification by fax _____ or phone _____ Notification by fax _____ or phone _____

Permit Number of Site to be Harvested: _____ Date of Harvest: _____

Name of Vessel used in Harvest: _____

Official Number of Harvesting Vessel: _____

Date of When Aquacultured Liverock is to be Landed: _____

Port to be Landed: _____

Facility where Landed: _____

COMMENTS:

_____

_____

_____

_____

_____

_____