

NOTICE OF OFFICE OF MANAGEMENT AND BUDGET ACTION

Date 08/08/2016

Department of Commerce
National Oceanic and Atmospheric Administration

FOR CERTIFYING OFFICIAL: Jennifer Jessup

FOR CLEARANCE OFFICER: Jennifer Jessup

In accordance with the Paperwork Reduction Act, OMB has taken action on your request received 08/08/2016

ACTION REQUESTED: Revision of a currently approved collection

TYPE OF REVIEW REQUESTED: Regular

ICR REFERENCE NUMBER: 201608-0648-001

AGENCY ICR TRACKING NUMBER:

TITLE: NMFS Implementation of International Trade Data System

LIST OF INFORMATION COLLECTIONS: See next page

OMB ACTION: Approved without change

OMB CONTROL NUMBER: 0648-0732

The agency is required to display the OMB Control Number and inform respondents of its legal significance in accordance with 5 CFR 1320.5(b).

EXPIRATION DATE: 08/31/2019

DISCONTINUE DATE:

BURDEN:	RESPONSES	HOURS	COSTS
Previous	0	0	0
New	30,099	1,466	22,530
Difference			
Change due to New Statute	0	0	0
Change due to Agency Discretion	30,099	1,466	22,530
Change due to Agency Adjustment	0	0	0
Change due to PRA Violation	0	0	0

TERMS OF CLEARANCE:

OMB Authorizing Official: Dominic J. Mancini
Acting Deputy Administrator,
Office Of Information And Regulatory Affairs

List of ICs			
IC Title	Form No.	Form Name	CFR Citation
Permits (current requirement for HMS and AMLR, new for TTVP)	NA	International trade permit form	
Dataset submission			50 CFR 300 Subpart M
Admissibility documents	NA, NA , NA, NA, NA, NA, NA, NA, NA, NA	Fisheries Certificate of Origin, Bigeye tuna reexport certificate, bigeye tun statistical document, Southern Bluefin Tuna catch monitoring document, Southern Bluefin tuna re-export form, Bluefin tuna catch documentg, Swordfish statistical document, Swordfish re-export certificate, Bluefin tuna re-export certificate	

PAPERWORK REDUCTION ACT SUBMISSION

Please read the instructions before completing this form. For additional forms or assistance in completing this form, contact your agency's Paperwork Clearance Officer. Send two copies of this form, the collection instrument to be reviewed, the supporting statement, and any additional documentation to: Office of Information and Regulatory Affairs, Office of Management and Budget, Docket Library, Room 10102, 725 17th Street NW, Washington, DC 20503.

1. Agency/Subagency originating request	2. OMB control number b. <input type="checkbox"/> None a. _____ - _____
3. Type of information collection (<i>check one</i>) a. <input type="checkbox"/> New Collection b. <input type="checkbox"/> Revision of a currently approved collection c. <input type="checkbox"/> Extension of a currently approved collection d. <input type="checkbox"/> Reinstatement, without change, of a previously approved collection for which approval has expired e. <input type="checkbox"/> Reinstatement, with change, of a previously approved collection for which approval has expired f. <input type="checkbox"/> Existing collection in use without an OMB control number For b-f, note Item A2 of Supporting Statement instructions	4. Type of review requested (<i>check one</i>) a. <input type="checkbox"/> Regular submission b. <input type="checkbox"/> Emergency - Approval requested by _____ / _____ / _____ c. <input type="checkbox"/> Delegated
7. Title	5. Small entities Will this information collection have a significant economic impact on a substantial number of small entities? <input type="checkbox"/> Yes <input type="checkbox"/> No
8. Agency form number(s) (<i>if applicable</i>)	6. Requested expiration date a. <input type="checkbox"/> Three years from approval date b. <input type="checkbox"/> Other Specify: _____
9. Keywords	10. Abstract
11. Affected public (<i>Mark primary with "P" and all others that apply with "x"</i>) a. ___ Individuals or households d. ___ Farms b. ___ Business or other for-profit e. ___ Federal Government c. ___ Not-for-profit institutions f. ___ State, Local or Tribal Government	12. Obligation to respond (<i>check one</i>) a. <input type="checkbox"/> Voluntary b. <input type="checkbox"/> Required to obtain or retain benefits c. <input type="checkbox"/> Mandatory
13. Annual recordkeeping and reporting burden a. Number of respondents _____ b. Total annual responses _____ 1. Percentage of these responses collected electronically _____ % c. Total annual hours requested _____ d. Current OMB inventory _____ e. Difference _____ f. Explanation of difference 1. Program change _____ 2. Adjustment _____	14. Annual reporting and recordkeeping cost burden (<i>in thousands of dollars</i>) a. Total annualized capital/startup costs _____ b. Total annual costs (O&M) _____ c. Total annualized cost requested _____ d. Current OMB inventory _____ e. Difference _____ f. Explanation of difference 1. Program change _____ 2. Adjustment _____
15. Purpose of information collection (<i>Mark primary with "P" and all others that apply with "X"</i>) a. ___ Application for benefits e. ___ Program planning or management b. ___ Program evaluation f. ___ Research c. ___ General purpose statistics g. ___ Regulatory or compliance d. ___ Audit	16. Frequency of recordkeeping or reporting (<i>check all that apply</i>) a. <input type="checkbox"/> Recordkeeping b. <input type="checkbox"/> Third party disclosure c. <input type="checkbox"/> Reporting 1. <input type="checkbox"/> On occasion 2. <input type="checkbox"/> Weekly 3. <input type="checkbox"/> Monthly 4. <input type="checkbox"/> Quarterly 5. <input type="checkbox"/> Semi-annually 6. <input type="checkbox"/> Annually 7. <input type="checkbox"/> Biennially 8. <input type="checkbox"/> Other (describe) _____
17. Statistical methods Does this information collection employ statistical methods <input type="checkbox"/> Yes <input type="checkbox"/> No	18. Agency Contact (person who can best answer questions regarding the content of this submission) Name: _____ Phone: _____

19. Certification for Paperwork Reduction Act Submissions

On behalf of this Federal Agency, I certify that the collection of information encompassed by this request complies with 5 CFR 1320.9

NOTE: The text of 5 CFR 1320.9, and the related provisions of 5 CFR 1320.8(b)(3), appear at the end of the instructions. *The certification is to be made with reference to those regulatory provisions as set forth in the instructions.*

The following is a summary of the topics, regarding the proposed collection of information, that the certification covers:

- (a) It is necessary for the proper performance of agency functions;
- (b) It avoids unnecessary duplication;
- (c) It reduces burden on small entities;
- (d) It used plain, coherent, and unambiguous terminology that is understandable to respondents;
- (e) Its implementation will be consistent and compatible with current reporting and recordkeeping practices;
- (f) It indicates the retention period for recordkeeping requirements;
- (g) It informs respondents of the information called for under 5 CFR 1320.8(b)(3):
 - (i) Why the information is being collected;
 - (ii) Use of information;
 - (iii) Burden estimate;
 - (iv) Nature of response (voluntary, required for a benefit, mandatory);
 - (v) Nature and extent of confidentiality; and
 - (vi) Need to display currently valid OMB control number;
- (h) It was developed by an office that has planned and allocated resources for the efficient and effective management and use of the information to be collected (see note in Item 19 of instructions);
- (i) It uses effective and efficient statistical survey methodology; and
- (j) It makes appropriate use of information technology.

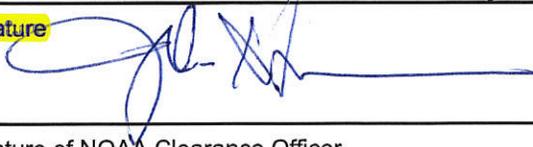
If you are unable to certify compliance with any of the provisions, identify the item below and explain the reason in Item 18 of the Supporting Statement.

Signature of Senior Official or designee

Date

Agency Certification (signature of Assistant Administrator, Deputy Assistant Administrator, Line Office Chief Information Officer, head of MB staff for L.O.s, or of the Director of a Program or StaffOffice)

Signature



Date

10/23/15

Signature of NOAA Clearance Officer

Signature

Date

SUPPORTING STATEMENT
NMFS Implementation of International Trade Data System
OMB CONTROL NO. 0648-0732

A. JUSTIFICATION

1. Explain the circumstances that make the collection of information necessary.

This is a resubmission with the final rule referenced below.

As part of a final rule, RIN 0648-AX63, the National Marine Fisheries Service (NMFS) is revising procedures for United States (U.S.) importers and exporters to file documentation for certain fishery products to meet requirements of the SAFE Port Act of 2006, the Magnuson-Stevens Fishery Conservation and Management Act, other applicable statutes, and obligations that arise from U.S. participation in regional fishery management organizations (RFMOs). Specifically, through its implementation of the International Trade Data System (ITDS), NMFS will establish a new electronic International Fisheries Trade Permit (IFTP) that would integrate the collection of fisheries trade documentation under three existing monitoring programs with approved information collections: Antarctic Marine Living Resources (AMLR) (50 CFR 300 Subpart G, OMB Control No. 0648-0194), Highly Migratory Species (50 CFR 300 Subpart M, OMB Control No. 0648-0040 and OMB Control No. 0648-0327), and the Tuna Tracking and Verification Program (50 CFR Part 216, OMB Control No. 0648-0335). The rule will consolidate existing NMFS international trade permits for regulated seafood products subject to these three programs, expand the scope of the permit requirement to additional seafood products covered under the Tuna Tracking and Verification Program, stipulate data and documentation which must be provided electronically to U.S. Customs and Border Protection (CBP) to determine admissibility, and address recordkeeping requirements in light of the changes.

Under this integration, NMFS will require annually renewable permits for the import, export and/or re-export of certain regulated seafood commodities. The permit pertains to fishery products that are subject to trade monitoring programs of RFMOs and/or subject to documentation requirements under domestic laws. These trade monitoring programs enable the U.S. to identify and/or exclude certain fisheries products that do not meet the criteria for admissibility to U.S. markets, including products resulting from illegal, unregulated, and unreported (IUU) fishing activities.

2. Explain how, by whom, how frequently, and for what purpose the information will be used. If the information collected will be disseminated to the public or used to support information that will be disseminated to the public, then explain how the collection complies with all applicable Information Quality Guidelines.

As a result of domestic authorities and/or multilateral agreements, NMFS has implemented a number of monitoring programs that collect information from industry regarding the origin of certain fishery products. The purpose of these programs is to determine the admissibility of the products in accordance with the specific criteria of the trade measure or documentation requirement in effect. NMFS trade monitoring programs cover tunas, swordfish, billfish, shark fins, Patagonian and Antarctic toothfish, Antarctic krill and certain other fishery products under the authority of the [High Seas Driftnet Fisheries Enforcement Act](#). Generally, these trade monitoring programs require anyone who intends to import, export, and/or re-export covered

species to: obtain a permit from NMFS, obtain documentation on the flag-nation authorization for the harvest from foreign exporter, and submit this information to NMFS. Depending on the commodity, specific information may also be required, for example, the flag state of the harvesting vessel, the ocean area of catch, the fishing gear used, the harvesting vessel name, and details and authorizations related to harvest, landing, transshipment and export.

In most cases, these monitoring programs require the importer to submit documentation that provides catch and/or other statistical information to NMFS, while other relevant information on the inbound shipments is provided by the dealer, importer, shipper, carrier, or customs broker to CBP by electronic means. NMFS reviews and reconciles the information reported by importers with the information obtained from CBP and, where applicable, from the relevant RFMO or harvesting or exporting/re-importing nation to determine if the admissibility requirements have been satisfied. If the documentation is incomplete, fraudulent or missing, or if the shipment is not admissible given its ocean area of harvest, flag country of the harvesting vessel, harvesting vessel or the circumstances under which it was harvested, entry into U.S. commerce may be prohibited for that shipment and the shipment may be subject to forfeiture. In addition, the importer or other responsible party may be subject to enforcement action. Likewise, U.S. exporters must provide similar documentation for use by other importing nations.

As an ITDS partner government agency, access to the Automated Customs Environment (ACE) portal maintained by CBP and ITDS data has improved NMFS' ability to evaluate trends and potential problems with seafood imports, including potential cases of seafood fraud (e.g., tariff code misspecification), or imports lacking proper documentation. ACE has helped NMFS communicate with the seafood industry to educate importers and brokers on documentation requirements. It has also helped NMFS target enforcement resources using a risk management approach and has improved the Agency's ability to intercept illegal shipments by providing access to real time information on shipments coming into U.S. ports of entry. NMFS anticipates that ITDS integration will overall result in reduced reporting burden for the seafood industry, reduced data processing time for government, increased compliance with product admissibility requirements, and faster admissibility decisions, and more effective enforcement.

With the establishment under this rule of a consolidated IFTP, NMFS will collect information required for an IFTP electronically via a portal website. After receiving an IFTP, the importer or exporter (in most cases working through a customs broker) will provide CBP with a required data set and electronically attach any required additional documents so that CBP and NOAA authorities will be able to determine whether said shipment is eligible for entry into, or exit from, the United States.

Although the information collected is not expected to be disseminated directly to the public, it may be used in the development or review of fishery management plans and associated regulatory documents, and summarized and provided to RFMOs to fulfill the requirements of international trade monitoring requirements. The information is subject to NOAA's Information Quality Guidelines. NMFS will retain control over the information and safeguard it from improper access, modification, and destruction, consistent with NOAA standards for confidentiality, privacy, and electronic information. Should NMFS decide to disseminate the information, it will be subject to quality control measures and pre-dissemination review pursuant to [Section 515 of Public Law 106-554](#).

3. Describe whether, and to what extent, the collection of information involves the use of automated, electronic, mechanical, or other technological techniques or other forms of information technology.

Under the rule, NMFS will require that information necessary to obtain and annually renew the newly established IFTP be submitted electronically via a NMFS website. IFTP holders will then be required to submit a data set electronically to CBP in conjunction with the filing of the CBP entry summary (CBP Form 7501, OMB Control No. 1651-0022). The NMFS data set information is currently collected via paper documents; this proposed rule will amend existing regulations to require that trade documentation be submitted electronically to CBP via the ACE portal.

4. Describe efforts to identify duplication.

As it will consolidate information currently provided under three separate programs (Tuna Tracking and Verification Program, AMLR Trade Program, and the HMS International Trade Program), the newly established IFTP should reduce overall information collection burdens on industry, particularly for applicants who currently apply for international trade permits in multiple programs.

5. If the collection of information involves small businesses or other small entities, describe the methods used to minimize burden.

Since most of the respondents are considered small businesses, separate requirements based on size of business will not be developed. Only the minimum data required to determine admissibility and satisfy RFMO reporting requirements will be requested.

6. Describe the consequences to the Federal program or policy activities if the collection is not conducted or is conducted less frequently.

If the collection is not conducted, the Secretary of Commerce will not be able to meet the mandates of the laws described above in Question 1. The United States would not be able to implement RFMO trade monitoring program requirements, which could result in U.S. fishermen and fish dealers being excluded from business opportunities.

7. Explain any special circumstances that require the collection to be conducted in a manner inconsistent with OMB guidelines.

Collection of information will be made in a manner consistent with OMB guidelines.

8. Provide information on the PRA Federal Register Notice that solicited public comments on the information collection prior to this submission. Summarize the public comments received in response to that notice and describe the actions taken by the agency in response to those comments. Describe the efforts to consult with persons outside the agency to obtain their views on the availability of data, frequency of collection, the clarity of instructions and recordkeeping, disclosure, or reporting format (if any), and on the data elements to be recorded, disclosed, or reported.

An Advanced Notice of Proposed Rulemaking was published on May 8, 2009 (74 FR 21615) which solicited public comments. Consultations were also held during 2009 with industry groups including the Customs Electronic Systems Action Committee (CESAC), National Fisheries Institute, and the Trade Support Network. CESAC, Fedex Trade Networks, and Boston Sword and Tuna provided comments and we have taken these comments into account when drafting the proposed rule.

A proposed rule, RIN 0648-AX63, was published on December 29, 2015, soliciting public comment. NMFS also conducted three outreach webinars during the proposed rule's 60-day public comment period (December 29, 2015-February 29, 2016) where NMFS staff explained the provisions of the proposed rule and comments on the rule were solicited from the public.

NMFS received 6 public comments on the proposed rule. Comments were received from the National Customs Brokers and Forwarders Association of America (NCBFAA), Traffic/World Wildlife Fund/Oceana, Bumble Bee Seafoods, Tri Marine Management Company LLC, and two individuals potentially affected by new requirements in this rule.

Comments were received on the following issues: data submission requirements, trade permits and the importer of record, de minimis levels and informal entries, use of the term "non-consumption", the need to move away from paper-based documentation, the need for capacity development, the need to apply traceability to all species, reduced data set reporting under the Tuna Trade Verification Program (TTVP), bi-weekly reporting requirements, administrative/financial burdens imposed by the rule, data sets for importations of frozen cooked tuna loins and tuna products in airtight containers, and overlap with the proposed seafood import monitoring program. NMFS addressed the comments received on the proposed rule in the "responses" section of the final rule. The consolidated trade permit was generally well received and viewed as a burden reducing mechanism.

No changes to the information collection or the burden estimates have been made in the final rule in response to comments on the proposed rule.

Comments on the proposed rule germane to this information collection and NMFS responses are copied below:

Data Elements

Comment: NCBFAA noted that although the data submission requirements under the proposed rule are not new, this data has not previously been required to be submitted at the time of entry/release. They noted that submitting data at the time of entry/release not only increases processing costs for the importer, but also raises the potential for disruptions as the data moves

through the ACE pipeline to CBP and NMFS. NCBFAA questioned the need for NMFS to collect all data elements at the time of entry/release and asked whether NMFS' requirements could be met if the information was provided via the entry summary which may be filed electronically within 10 days after entry/release. NCBFAA noted that moving these data submission requirements to entry summary would provide much needed flexibility for importers and customs brokers to handle complex entries without slowing down trade and would suit NMFS needs since NMFS would not be able to review data until after entry/release.

Response: NMFS believes that submission of data at the time of entry/release is necessary to ensure only admissible products are permitted entry into the U.S. market. Allowing data entry for these three programs after product has been admitted into the United States would make efforts to interdict problematic entries extremely difficult. NMFS also emphasizes that it is only requiring the minimum amount of data necessary to determine whether a product is admissible at the time of entry be provided as a data set at the time of entry. This approach should expedite the release of product associated with the three NMFS trade monitoring programs.

Permits and the Importer of Record

Comment: NCBFAA noted it does not object to consolidating the existing trade permits as proposed in the rule; however they noted that in some instances, particularly along land borders, customs brokers serve as the importer of record. NCBFAA stated its view that the IFTP should not be required for an importer of record who is not a beneficial party in interest, such as a customs broker. NCBFAA therefore suggested the rule be modified to clarify that the permit obligation, and associated recordkeeping and reporting requirements, belong to the "beneficial party in interest" which should be defined as a party with a financial interest in the imported goods such as the owner, purchaser, or distributor of the merchandise.

Response: NMFS believes it is important for enforcement purposes that the importer of record, regardless of whether said importer has a direct financial interest in the imported goods, be the responsible party accountable in the event of a shipment entry problem. Thus, the IFTP obligation and associated recordkeeping and reporting requirements in this rule will reside with the importer of record. Related to this, NMFS clarifies that entities not resident in the United States are not eligible to apply for the IFTP. Nonresident importers must have a U.S. resident agent apply for the IFTP and have the customs broker provide the resident agent's permit number in the entry data. NMFS has clarified the regulatory text at 50 CFR 300.322 (a) accordingly.

"De Minimis" Levels and Informal Entries

Comment: NCBFAA noted that the rule does not address NMFS requirements with regard to Informal Entries (valued at \$2,500) or Ssection 321 entries (shipments of "de minimis value", increased from \$200 to \$800 by Ssection 601 of the Trade Facilitation and Trade Enforcement Act, Pub. Law 114-376). NCBFAA noted that with the de minimis threshold raised to \$800, the practice of breaking commercial shipments into lower-value increments will likewise increase, in effect allowing these imports to bypass the more formalized requirements of entry processing. NCBFAA stated its view that NMFS needs to address how it will meet this contingency.

Response: NMFS' requirement with regard to Informal Entries will be the same as those for all other entries, namely all entries associated with the HTS codes corresponding to the three

seafood import monitoring programs will need to supply message sets and documentation into ACE via the Document Imaging System (DIS). Similarly, de minimis shipments corresponding to the relevant HTS codes which are valued at less than \$800 will also need to supply message sets and documentation into ACE via the DIS to ensure that no inadmissible products are granted entry into the U.S. market. NMFS therefore amended 50 CFR 300.324(b) to clarify that de minimis imports are also subject to the prohibition on importing fish or fish products regulated under 50 CFR 300 Subpart Q without a valid IFTP or without submitting complete and accurate information.

Need to Move Away from Paper-based Documentation

Comment: Traffic et al. conveyed its understanding that in the initial phases of ITDS implementation, document image scans will be used to transmit the catch documentation forms. Traffic et al. stated its view that the key goal must be to eventually move away from paper-based documentation, including imaged documents, to truly electronic data. Traffic et al. stated its hope that NMFS, in conjunction with CBP, will put in place systems to receive all information in a truly electronic format, at least before the implementation date of the proposed seafood import monitoring program or at some set time thereafter, noting that the value of this system, in terms of real-time verification and compliance risk assessment, cannot be achieved without that change.

Response: Many of the paper-based catch documentation forms referenced in this comment are created by regional fishery management organizations (RFMOs) or arrangements that are comprised of different member countries including the United States. ITDS therefore cannot be made fully electronic until action in this direction is taken by the relevant RFMOs or arrangements. For example, the TTVP requires certification from tuna captains from all over the world, including many that fish in remote artisanal fisheries where Internet connectivity is not commonplace, even today. Having said that, however, NMFS agrees it is important to move to a fully electronic system as soon as the relevant international catch documentation schemes go electronic. NMFS notes that the Commission for the Conservation of Antarctic Marine Living Resources (CCAMLR) and the International Convention for the Conservation of Atlantic Tunas (ICCAT) have moved in the direction of electronic catch documentation systems which have simplified import/export processes for the trade and NMFS expects this trend in other organizations in the future.

TTVP “Reduced Data Set” Reporting

Comment: Bumble Bee noted that the proposed rule includes provisions for the submission of a “reduced data set” for domestic canners who import frozen tuna loins with the stated objective of preventing duplicative report for companies that submit monthly reports associated with the TTVP. Bumble Bee further noted that the recently released Seafood Import Monitoring Program proposed rule also references collection of data via a “NMFS message set” but the content, specific format, and timing to begin reporting of both the “reduced data set” and the “NMFS message set” appear to be yet defined. Bumble Bee urged that the rollout of the “reduced data set” reporting proposed in the ITDS implementation rule not be implemented prior to the rollout of the “NMFS Message Set” reporting requirement in the Seafood Import Monitoring Program proposed rule and that NMFS ensures that content and data serve both purposes. Bumble Bee stated its view that implementing a “reduced data set” reporting requirement under the ITDS implementation rule to meet the needs of the TTVP and then implementing another data

requirement shortly thereafter to meet traceability reporting requirements seems wasteful and will create additional burden on the trade.

Response: As noted in the NMFS ITDS implementation guidelines (see <http://www.cbp.gov/sites/default/files/documents/ACE%20NMFS%20PGA%20MS%20Guidelines%20-%20July%202022%202015.pdf>), for cannery processed products that are imported into the U.S. Customs District from American Samoa and for imports of frozen cooked tuna loins, not in airtight containers, not in oil and with contents over 6.8 kilograms from any country of origin, certain data usually or otherwise required at the time of filing need not be submitted if provided to NMFS under the regulations found at 50 CFR 216.93(d)(2). Under these specific conditions, NMFS will only require a “reduced data set” consisting of an acknowledgement that an electronic image is associated with the shipment and also that the document images of the NOAA Form 370(s) associated with the shipment have been uploaded into the CBP DIS. Given the different timelines for implementation of these two regulations, it will not be possible to implement requirements simultaneously; however, NMFS will make every effort to avoid creating additional burdens on the trade in the course of implementing these programs.

Bi-Weekly Reporting Requirements

Comment: Bumble Bee stated its understanding that under the proposed rule, companies who that are part of the TTVP would now need to obtain an IFTP. Bumble Bee does not object to this requirement, but noted that in the reporting and recordkeeping requirements in section 300.183 of the proposed rule that biweekly reporting is required for holders of the IFTP. Bumble Bee requested confirmation that the existing bi-weekly reporting requirements associated with the IFTP will remain limited to species currently part of the HMS ITP, with the existing reporting exemption for bigeye tuna destined for canneries.

Response: NMFS confirms that that the bi-weekly reporting requirements associated with the HMS ITP will remain limited to species currently part of that program and the reporting exemption for bigeye tuna destined for canneries harvested by either purse seiners or pole and line (bait) vessels will continue. The regulations pertaining to this exemption were inadvertently removed during a previous rulemaking and have been restored in the regulatory text at 50 CFR 300.183(a)(4). NMFS would also like to clarify that under this rule, documentation such as the HMS ITP biweekly dealer reports, which are not required at the time of entry/export, will continue to be provided to the HMS ITP office and are not submitted to CBP via the ACE portal.

Administrative/financial Burdens Imposed by Rule

Comment: Tri Marine voiced its concern about the administrative and financial burden the proposed rule may pose and that this aspect was not adequately addressed in the Regulatory Flexibility Act section of the proposed rule. Tri Marine noted that direct and indirect costs associated with new requirements under the rule are difficult to determine at this time and that the primary beneficiary of the efficiencies gained would be U.S. government agencies and companies that also trade in non-TTVP species/products. Tri Marine encouraged NMFS to reconsider options that best mitigate potential economic impacts to industries that trade exclusively in TTVP species while still achieving desired outcomes. Tri Marine agrees TTVP companies should be required to obtain the IFTP, but without a fee and with minimal filing burden. Tri Marine suggested updated templates for the NOAA 370 form and Captain’s Statements should be designed that readily integrate into ACE. Tri Marine noted information

required should always include the market name and scientific names of all species used in the product, not only simplified names such as light meat tuna that can mask the actual inputs used. Tri Marine encouraged NMFS to engage with the FDA to change the standard of identity for canned tuna to require the species name of all inputs be provided on canned tuna labels.

Response: In formulating its preferred alternative for this rulemaking, NMFS made best efforts to balance potential economic impacts on the trade with the rulemaking's desired outcomes. NMFS believes extending the IFTP requirement to TTVP-related companies to be both the most equitable and effective alternative among those presented in the proposed rule. The cost of the IFTP is only \$30 and is calculated solely based upon the administrative cost to NMFS of issuing the permit. Requiring the permit for all three programs also allows NMFS to easily notify permit holders of any changes to the relevant regulations or import monitoring program procedures. NMFS appreciates the suggestion to update templates for the TTVP 370 form and Captain's Statements for improved integration with ACE and will work with CBP to consider this suggestion further. Although the comment regarding market and scientific names is outside the scope of this rulemaking, NMFS will convey this comment to FDA for its consideration.

Data Sets for Importations of Frozen Cooked Tuna Loins and Tuna Products in Airtight Containers

Comment: Tri Marine recognized the proposed rule allows for a reduced data set for imports of 1) frozen cooked tuna loins used in cannery operations and 2) tuna products in airtight containers manufactured in American Samoa. Although Tri Marine agreed with the intent to prevent duplicative reporting and apply this to imports from American Samoa, it opposed reduced data collection from frozen loin importers. Tri Marine noted that tuna cans from American Samoa are typically produced from fish delivered directly to canneries from the fishing vessel allowing direct traceability from the vessel to processing line to finished product. Tuna loins imported into the United States, however, are typically caught in distant waters, transshipped onto carrier vessels, offloaded into foreign ports, trucked to large cold stores, transferred to foreign processing facilities and then shipped by container vessel to the United States where they are stored and undergo final secondary processing, all of which makes traceability more challenging. Tri Marine therefore recommends more rigorous data sets be required for imported tuna loins.

Response: These comments are germane to the chain of custody requirements proposed under the Seafood Import Monitoring Program (81 FR 6210) rather than under this ITDS implementation rule. However, NMFS notes that the reduced data set applies to all U.S. tuna canning facilities in order to reduce duplication of data elements required under 50 CFR 219.93(d)(2). NMFS will take these comments into consideration when formulating its final rule for the Seafood Import Monitoring Program.

9. Explain any decisions to provide payments or gifts to respondents, other than remuneration of contractors or grantees.

No payments or gifts are made.

10. Describe any assurance of confidentiality provided to respondents and the basis for assurance in statute, regulation, or agency policy.

As stated on relevant forms, the information collection will be considered confidential as required by section 402(b) of the [Magnuson-Stevens Act](#), 16 U.S.C. 1881a(b), and [NOAA](#)

[Administrative Order 216-100](#). Where other information collection authorities apply (e.g. Atlantic Tunas Convention Act, Antarctic Marine Living Resources Convention Act), information collected will be handled in compliance with agency filing and retention policy.

11. Provide additional justification for any questions of a sensitive nature, such as sexual behavior and attitudes, religious beliefs, and other matters that are commonly considered private.

There are no questions of a sensitive nature.

12. Provide an estimate in hours of the burden of the collection of information.

Using information from the most recent PRA submissions for the three programs, the number of permit holders and respondents associated with this information collection can be summarized as follows:

HMS ITP holders = 241 (OMB Control No. 0648-0327)

AMLR dealer permit holders = 80 (OMB Control No. 0648-0194)

TTVP respondents = 430 for Fisheries Certificate of Origin (OMB Control No. 0648-0335)

Adding the above number of permit holders results in an estimate of 751 applicants for the new International Fisheries Trade Permit (IFTP). The actual number of IFTP holders may be smaller since some importers participate in more than one of the above programs.

1. Permits (current requirement for HMS and AMLR, new for TTVP):

Current Burden Estimates:

HMS ITP (OMB Control No.0648-0327): 241 respondents, 5 minutes per response, total annual burden of 20 hours at cost of \$300@\$15/hour labor cost.

AMLR dealer import or re-export permit applications (OMB Control No.0648-0194): 80 respondents, 15 minutes per response, total annual burden of 20 hours at cost of \$700@\$35/hour.

TTVP (OMB Control No.0648-0335) is N/A as a permit is not currently required.

Total current burden for issuing permits under the three programs is 40 hours at cost of \$1,000.

New Burden Estimate:

HMS ITP: 241 respondents, 5 minutes per response, total annual burden of 20 hours at cost of \$300@\$15/hour (Unchanged).

AMLR: 80 respondents, 5 minutes per response, total annual burden of 7 hours at a cost of \$105@\$15/hour. (Hours reduced by 13, cost decrease of \$595)

TTVP: With the introduction of a permit requirement for this program, there would be 430 respondents, 5 minutes per response, annual burden of 36 hours at a cost of \$540@15/hour

(Increase of 36 hours and \$540).

Overall burden estimate for new permit will be 751 respondents x 5 minutes per response, annual burden of 63 hours at cost of \$939@\$15/hour.

Since the current permit burden is 40 hours at a cost of \$1,000, the overall net change in burden will be an increase of 23 hours with a small decrease in overall costs of \$61 as uniform hourly rates are used to calculate prospective rates for all three programs (the AMLR current rate is \$35/hr whereas the hourly rate of \$15/hr is used for all three programs for the prospective IFTP burden).

2. Data Set Submission (new requirement for all 3 programs)

For the requirement to submit an electronic data set to CBP to determine admissibility (done in conjunction with the submission of the CBP Form 7501 entry summary), estimates for the data set submissions can be summarized as follows: the number of affected fisheries transactions per year (approximately 15,460 across the three programs—TTVP=13,000, AMLR=640, HMS=2,000 (figure taken from OMB Control No. 0648-0040)) should be multiplied by the estimated one minute required per electronic entry. The time required is estimated at only one minute since the number of elements to be entered electronically in the data set is few (between 5-10 items) and the information needed for the data set should be readily available from the importer of record. The total number of response hours would be 335 hours at a cost of \$3,915@\$15/hour.

3. Admissibility Documents Submission (current requirement for all 3 programs):

Current Burden Estimates:

HMS ITP (OMB Control No. 0648-0040): 708 responses, response time varying, annual burden of 234 hours at a labor cost of \$3,510@15/hour.

AMLR (OMB Control No. 0648-0194): No burden hours/cost associated with the use of Electronic-Dissostichus Catch Document for U.S. toothfish importers.

TTVP (OMB Control No. 0648-0335): 13,000 responses x 25 minutes per response, annual burden of 5,417 hours at a labor cost of \$81,255@\$15/hour.

The current total burden for the three programs is 5,651 hours at a cost of \$84,765,@\$15/hour.

New Burden Estimates:

The burden estimate for the electronic submission of admissibility documents for the three programs under the new information collection for the international fisheries trade permit will be as follows:

For HMS ITP: 708 responses, 5 minutes per response, annual burden of 59 hours at cost of \$885@\$15/hour.

For AMLR: No burden associated with the use of E-DCD for toothfish importers (No change).

For TTVP: 13,000 responses X 5 minutes per response, annual burden of 1,083 hours at a cost of \$16,245@15/hour (Decrease of 4,334 hours and \$65,010 due to change from paper-based to electronic submissions).

Overall burden estimate for the electronic submission of documents to determine admissibility would be 13,708 responses x 5 minutes per response, annual burden of 1,142 hours at cost of \$17,130@\$15/hour (overall decrease of 4,509 hours and \$67,626 in labor costs).

The burden estimate for all three requirements under this rule is as follows:

1. For IFTP issuance: Net increase of 23 hours and net decrease in cost of \$61@t\$15/hour.
2. For electronic data set submission: Increase of 261 hours at a cost of \$3,915@\$15/hour.
3. For submission of admissibility documents: Net decrease of 4,509 hours and \$67,626@\$15/hour.

Thus, when overall total new burdens under the three requirements under this rule are compared to current burdens, the new burdens will result in an overall net burden decrease of 4,225 hours and \$63,650 in labor costs.

The current burdens will be removed from the three collections with the next actions for those OMB Control Nos., and the new burden will be the total for this collection:

Permits: 751 responses and 63 hours

Dataset submission: 15,640 responses and 261 hours

Admissibility documents: 13,708 responses and 1,142 hours.

13. Provide an estimate of the total annual cost burden to the respondents or record-keepers resulting from the collection (excluding the value of the burden hours in Question 12 above).

Current HMS ITP Fee=\$25 per permit X 241=\$6,275

Current AMLR Dealer Permit Fee=0

Current TTVP Permit Fee=N/A

Since we have calculated a fee of \$30 per permit to cover administrative expenses associated with issuing the annual IFTP permits, the total annual cost burden to respondents would be 751 importers X \$30 = \$22,530. This will result in a net annual cost burden increase of \$16,255.

14. Provide estimates of annualized cost to the Federal government.

The cost for issuing the IFTP will be covered by an administrative cost recovery fee of \$30 per permit, and thus there will be no cost to the Federal government. Customs and Border Protection has allocated funds for its Automated Commercial Environment portal that will be used to handle the submission of data sets and admissibility documents.

15. Explain the reasons for any program changes or adjustments.

This is a new information collection.

16. For collections whose results will be published, outline the plans for tabulation and publication.

Not applicable.

17. If seeking approval to not display the expiration date for OMB approval of the information collection, explain the reasons why display would be inappropriate.

Not applicable.

18. Explain each exception to the certification statement.

There are no exceptions. Not applicable.

B. COLLECTIONS OF INFORMATION EMPLOYING STATISTICAL METHODS

This collection does not employ statistical methods.

Renewals

Permit Numbers:

Search

Keywords:

Tools

- USCG Vessel DB Lookup
- GC Sanctions Check
- OLE VMS Check
- Do Not Permit List
- Decreased Persons Inquiry
- USPS Address Verification
- Letter Generator

Online Form Definition

PROPERTIES CONDITIONS SECTIONS CONTROL

Select the sections and fields to be included in the form by placing a check mark in the appropriate boxes.

Form Code: FEPP-8
Form: FISHERIES INTERNATIONAL TRADE PERMIT APPLICATION FORM

Forms

- Owner of Permit (Bus)
 - Owner of Business (2nd)
 - Business Extended Attributes
- Owner of Permit (Bus)
 - Owner of Business (2nd)
 - Owner of Permit (2nd)
 - Agent of Permit (Bus)
 - Owner of Business (2nd)
 - Dealer License Information
 - Permit Extended Attributes
- Vessel
 - Owner of Vessel (Bus)
 - Owner of Vessel (2nd)
 - Foreign Vessel Flag
 - Foreign Vessel Owner
 - Foreign Vessel Operator
 - Operator of Vessel (2nd)
 - Operator of Vessel (Bus)
 - Owner of Business (2nd)
 - Sanction
 - Home Harmsal Safety
 - Pop Office Use Only
 - Authorized Gear
 - Vessel Processor
 - Owner of Vessel (Bus)
 - Owner of Vessel (2nd)
 - Captain Of Vessel
 - Appointee Of Permit

SECTION: Business Owner of Permit Display sequence: 1.1

Section Title: (max: 500 chars)
UNITED STATES BASED BUSINESS INFORMATION

Instructions/Remarks: (max: 4000 chars)
Enter the requested information.

Repeating Section: Check if the applicant can provide multiple entries of this section (i.e. multiple owners)

Conditional Section: Check if certain conditions must be met for this section to be displayed

This section (Business Owner of Permit) will be displayed if answers provided by the applicant match the answers to the questions defined below:

Q: Is the applicant a(n):

A: Business based in the United States
 Business based in a foreign nation
 Individual based in the United States
 Individual based in a foreign nation

Section Fields	Type	Req	Opt	Min	Max	Seq	Mark
Business Name	Text	<input checked="" type="checkbox"/>	<input type="checkbox"/>		50		
Date of Incorporation	Date	<input checked="" type="checkbox"/>	<input type="checkbox"/>		25		
Business Tax Identification Number (EIN)	Text	<input checked="" type="checkbox"/>	<input type="checkbox"/>		30		
DUNS Number	Text	<input checked="" type="checkbox"/>	<input type="checkbox"/>		40		
BUSINESS ORGANIZATION TYPE	Low	<input checked="" type="checkbox"/>	<input type="checkbox"/>		50		
Address of Record	Set	<input checked="" type="checkbox"/>	<input type="checkbox"/>		60		
Phone of Record	Set	<input checked="" type="checkbox"/>	<input type="checkbox"/>		70		
E-mail of Record	Text	<input checked="" type="checkbox"/>	<input type="checkbox"/>		80		
US IMPORTER NUMBER	Text	<input checked="" type="checkbox"/>	<input type="checkbox"/>		90		
Contact Person	Text	<input checked="" type="checkbox"/>	<input type="checkbox"/>		100		
Cell Phone of Record	Set	<input type="checkbox"/>	<input type="checkbox"/>				
FOREIGN ADDRESS OF RECORD	Set	<input type="checkbox"/>	<input type="checkbox"/>				
Fax of Record	Set	<input type="checkbox"/>	<input type="checkbox"/>				
Temporary Business Mailing Address	Set	<input type="checkbox"/>	<input type="checkbox"/>				
Temporary/Alternate Address	Set	<input type="checkbox"/>	<input type="checkbox"/>				
Temporary/Alternate Fax	Set	<input type="checkbox"/>	<input type="checkbox"/>				
Temporary/Alternate Phone	Set	<input type="checkbox"/>	<input type="checkbox"/>				
Temporary/Alternate cell phone	Set	<input type="checkbox"/>	<input type="checkbox"/>				
Articles of Incorporation on file	Low	<input type="checkbox"/>	<input type="checkbox"/>				
BUSINESS OWNER NAME	Text	<input type="checkbox"/>	<input type="checkbox"/>				
Business Related Comments	Text	<input type="checkbox"/>	<input type="checkbox"/>				
Business e-mail address	Text	<input type="checkbox"/>	<input type="checkbox"/>				
CONTACT PERSON TITLE	Low	<input type="checkbox"/>	<input type="checkbox"/>				
Citizenship	Low	<input type="checkbox"/>	<input type="checkbox"/>				
Contact Person Role	Text	<input type="checkbox"/>	<input type="checkbox"/>				
Data Load Identifier	Number	<input type="checkbox"/>	<input type="checkbox"/>				
ELIGIBLE OWNER	Low	<input type="checkbox"/>	<input type="checkbox"/>				

127.0.0.1:7101/NPS_BOF/bof_form_def/nps_maintain_form_sections_inc.jsp

fisheries permits

User: SERGIYADOLAI BOLYCHEVADOLAI
Role: SYSTEM ADMINISTRATOR (1A)

TESTING ENVIRONMENT (UAT) v 1.413

Online Form Definition

Form Code: FTTP-8
Form: FISHERIES INTERNATIONAL TRADE PERMIT APPLICATION FORM

Select the sections and fields to be included in the form by placing a check mark in the appropriate boxes.

Form

- Owner of Permit (Bus)
- Owner of Business (2nd)
- Business Extended Attributes
- Owner of Permit (Bus)
- Owner of Business (2nd)
- Owner of Permit (2nd)
- Owner of Permit (2nd)
- Agent of Permit (Bus)
- Owner of Business (2nd)
- Dealer License Information
- Permit Extended Attributes
- Vessel**
 - Owner of Vessel (Bus)
 - Owner of Vessel (2nd)
 - Foreign Vessel Flag
 - Foreign Vessel Owner
 - Foreign Vessel Operator
 - Operator of Vessel (2nd)
 - Operator of Vessel (Bus)
 - Owner of Business (2nd)
 - Sanction
 - Marine Harmful Safety
 - Pop Office Use Only
 - Authorized User
 - Vessel Processor**
 - Owner of Vessel (Bus)
 - Owner of Vessel (2nd)
 - Captain of Vessel
 - Appoint of Permit

SECTION: Business Extended Attributes Display sequence: 2.13

Section Title: (max 800 chars)

ADDITIONAL FACILITIES

Instructions/Remarks: (max 4000 chars)
Enter the requested information.

Repeating Section: Check if the applicant can provide multiple entries of this section (ex. multiple owners)

Conditional Section: Check if certain conditions must be met for this section to be displayed

This section (Business Extended Attributes) will be displayed if answers provided by the applicant match the answers to the questions defined below:

Q: Is the applicant a(n):

A: Business based in the United States
 Business based in a foreign nation
 Individual based in the United States
 Individual based in a foreign nation

Section Fields

Field Name	Type	Req	Min	Max	Seq	Dep	Mark
PURCHASED/RECEIVED LOCATION NAME	Text	<input checked="" type="checkbox"/>		30			
PURCHASED/RECEIVED LOCATION TELEPHONE NUMBER	Set	<input checked="" type="checkbox"/>		30			
PURCHASED/RECEIVED LOCATION	Set	<input checked="" type="checkbox"/>		30			
PURCHASED/RECEIVED LOCATION FAX NUMBER	Set	<input checked="" type="checkbox"/>		40			

Save Cancel



Renewals

Permit Numbers: [] [Go]

Search

Keywords: [SEARCH] [Go]

Use Permit Filtering

Tools

- USCG Vessel DB Lookup
- GC Sanctions Check
- OLE VMS Check
- Do Not Permit List
- Deceased Persons Inquiry
- USPS Address Verification
- Letter Generator

Online Form Definition

Select the sections and fields to be included in the form by placing a check mark in the appropriate boxes.

Form Code: FETP-8
Form: FISHERIES INTERNATIONAL TRADE PERMIT APPLICATION FORM

Form

- Owner of Permit (Bus)
 - Owner of Business (2nd)
 - Business Extended Attributes
 - Owner of Permit (Bus)
 - Owner of Business (2nd)
 - Owner of Permit (2nd)
 - Agent of Permit (Bus)
 - Owner of Business (2nd)
 - Dealer License Information
 - Permit Extended Attributes
 - Vessel
 - Owner of Vessel (Bus)
 - Owner of Vessel (2nd)
 - Foreign Vessel Flag
 - Foreign Vessel Owner
 - Foreign Vessel Operator
 - Operator of Vessel (Bus)
 - Operator of Vessel (2nd)
 - Sanction
 - Marine Harmful Safety
 - Pop. Office Use Only
 - Authorized Gear
 - Vessel Processor
 - Owner of Vessel (Bus)
 - Owner of Vessel (2nd)
 - Captain of Vessel
 - Appoint of Permit

SECTION: Business Owner of Permit Display sequence: 1,2

Section Title: (max: 500 chars)
FOREIGN BASED BUSINESS INFORMATION

Instructions/Remarks: (max: 4000 chars)
Enter the requested information.

Repeating Section: Check if the applicant can provide multiple entries of this section (ex. multiple owners)

Conditional Section: Check if certain conditions must be met for this section to be displayed

This section (Business Owner of Permit) will be displayed if answers provided by the applicant match the answers to the questions defined below:

Q: Is the applicant a(n):

A:

- Business based in the United States
- Business based in a foreign nation
- Individual based in the United States
- Individual based in a foreign nation

Section Fields	Type	Req	Min	Max	Seq	Dep	Mark
Business Name	Text	<input checked="" type="checkbox"/>		10			
Date of Incorporation	Date	<input checked="" type="checkbox"/>		30			
DUNS Number	Text	<input checked="" type="checkbox"/>		30			
BUSINESS ORGANIZATION TYPE	Text	<input checked="" type="checkbox"/>		40			
OTHER BUSINESS TYPE	Text	<input checked="" type="checkbox"/>		50			
E-mail of Record	Text	<input checked="" type="checkbox"/>		60			
FOREIGN ADDRESS OF RECORD (NON-GLOBAL)	Text	<input checked="" type="checkbox"/>		70			
FOREIGN PHONE NUMBER OF RECORD (NON-GLOBAL)	Text	<input checked="" type="checkbox"/>		80			
US IMPORTER NUMBER	Text	<input checked="" type="checkbox"/>		90			
FOREIGN FAX NUMBER OF RECORD (NON-GLOBAL)	Text	<input checked="" type="checkbox"/>		100			
Contact Person	Text	<input checked="" type="checkbox"/>		110			
CONTACT PERSON TITLE	Text	<input checked="" type="checkbox"/>					
Contact Person Role	Text	<input checked="" type="checkbox"/>					

[Save] [Cancel]

Renewals

Permit Numbers: [] [Go]

Search

Keywords: [] [Go]

Tools

- USCG Vessel DB Lookup
- GC Sanctions Check
- OLE VMS Check
- Do Not Permit List
- Deceased Persons Inquiry
- USPS Address Verification
- Letter Generator

Online Form Definition

Form Code: FEFP-8
 Form: FISHERIES INTERNATIONAL TRADE PERMIT APPLICATION FORM

Select the sections and fields to be included in the form by placing a check mark in the appropriate boxes.

Form

- Owner of Permit (Bus)
- Owner of Business (2nd)
- Business Extended Attributes
- Owner of Permit (Bus)
- Owner of Business (2nd)
- Owner of Permit (Ind)
- Owner of Business (2nd)
- Agent of Permit (Bus)
- Owner of Business (2nd)
- Dealer License Information
- Permit Extended Attributes
- Vessel**
- Owner of Vessel (Bus)
- Owner of Vessel (Ind)
- Foreign Vessel Flag
- Foreign Vessel Owner
- Foreign Vessel Operator
- Operator of Vessel (2nd)
- Operator of Vessel (Bus)
- Owner of Business (2nd)
- Sanction
- Marine Mammal Safety
- Pop Office Use Only
- Authorized Gear
- Vessel Processor**
- Owner of Vessel (Bus)
- Owner of Vessel (Ind)
- Captain of Vessel
- Appoint of Permit

SECTION: Owner of Permit Display sequence: 1,4

Section Title: (max: 500 chars)

FOREIGN BASED INDIVIDUAL INFORMATION

Instructions/Remarks: (max: 4000 chars)

Enter the requested information.

Repeating Section: Check if the applicant can provide multiple entries of this section (ex. multiple owners)

Conditional Section: Check if certain conditions must be met for this section to be displayed

This section (Owner of Permit) will be displayed if answers provided by the applicant match the answers to the questions defined below:

Q: Is the applicant a(n):

A:

- Business based in the United States
- Business based in a foreign nation
- Individual based in the United States
- Individual based in a foreign nation

Section Fields	Type	Req	Min	Max	Seq	Seq	Seq	Seq	Mark
Full Name	Set	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	10				
Date of Birth	Date	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	30				
E-mail of Record	Text	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	30				
US IMPORTER NUMBER	Text	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	40				
FOREIGN ADDRESS OF RECORD	Set	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>					
FOREIGN FAX NUMBER OF RECORD	Set	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>					
FOREIGN PHONE NUMBER OF RECORD	Set	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>					
Relationship to Vessel owner or Managing Owner	Text	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>					

[Save] [Cancel]

Renewals

Permit Numbers:

Search

Keywords:

Tools

- USCG Vessel DB Lookup
- GC Sanctions Check
- OLE VMS Check
- Do Not Permit List
- Deceased Persons Inquiry
- USPS Address Verification
- Letter Generator

Online Form Definition

Properties | Conditions | Sections | Control

Select the sections and fields to be included in the form by placing a check mark in the appropriate boxes.

Form Code: FEPP-8
Form: FISHERIES INTERNATIONAL TRADE PERMIT APPLICATION FORM

Sections

- Owner of Permit (Bus)
 - Owner of Business (2nd)
 - Business Extended Attributes
 - Owner of Permit (Bus)
 - Owner of Business (2nd)
 - Owner of Permit (2nd)
 - Agent of Permit (Bus)
 - Owner of Business (2nd)
 - Dealer License Information
 - Permit Extended Attributes
- Vessel
 - Owner of Vessel (Bus)
 - Owner of Vessel (2nd)
 - Foreign Vessel Flag
 - Foreign Vessel Owner
 - Foreign Vessel Operator
 - Operator of Vessel (2nd)
 - Owner of Business (2nd)
 - Sanction
 - Home Harmsal Safety
 - Pop Office Use Only
 - Authorized Gear
 - Vessel Processor
 - Owner of Vessel (Bus)
 - Owner of Vessel (2nd)
 - Captain Of Vessel
 - Appoint Of Permit

SECTION: Business Agent Of Permit Display sequence: 1.5

Section Title: (max: 500 chars)
UNITED STATES REGISTERED BUSINESS AGENT INFORMATION

Instructions/Remarks: (max: 4000 chars)
Enter the requested information.

Repeating Section: Check if the applicant can provide multiple entries of this section (ex. multiple owners)

Conditional Section: Check if certain conditions must be met for this section to be displayed

This section (Business Agent Of Permit) will be displayed if answers provided by the applicant match the answers to the questions defined below:

Q: Is the applicant a(n):

A:

- Business based in the United States
- Business based in a foreign nation
- Individual based in the United States
- Individual based in a foreign nation

Section Fields

Field Name	Type	Req	Opt	Min	Max	Seq	More...
Business Name	Text	<input checked="" type="checkbox"/>	<input type="checkbox"/>		50		
Date of Incorporation	Date	<input checked="" type="checkbox"/>	<input type="checkbox"/>		25		
Business Tax Identification Number (EIN)	Text	<input checked="" type="checkbox"/>	<input type="checkbox"/>		30		
DUNS Number	Text	<input checked="" type="checkbox"/>	<input type="checkbox"/>		40		
Address of Record	Set	<input checked="" type="checkbox"/>	<input type="checkbox"/>		50		
Phone of Record	Set	<input checked="" type="checkbox"/>	<input type="checkbox"/>		60		
E-mail of Record	Text	<input checked="" type="checkbox"/>	<input type="checkbox"/>		70		
Contact Person	Text	<input checked="" type="checkbox"/>	<input type="checkbox"/>		80		
UNITED STATES CUSTOMS DISTRICT	Low	<input checked="" type="checkbox"/>	<input type="checkbox"/>		90		
Call Phone of Record	Set	<input type="checkbox"/>	<input type="checkbox"/>				
FOREIGN ADDRESS OF RECORD	Set	<input type="checkbox"/>	<input type="checkbox"/>				
Fax of Record	Set	<input type="checkbox"/>	<input type="checkbox"/>				
Temporary Business Mailing Address	Set	<input type="checkbox"/>	<input type="checkbox"/>				
Temporary/Alternate Address	Set	<input type="checkbox"/>	<input type="checkbox"/>				
Temporary/Alternate Fax	Set	<input type="checkbox"/>	<input type="checkbox"/>				
Temporary/Alternate Phone	Set	<input type="checkbox"/>	<input type="checkbox"/>				
Temporary/Alternate cell phone	Set	<input type="checkbox"/>	<input type="checkbox"/>				
Articles of Incorporation on File	Low	<input type="checkbox"/>	<input type="checkbox"/>				
BUSINESS ORGANIZATION TYPE	Low	<input type="checkbox"/>	<input type="checkbox"/>				
BUSINESS OWNER NAME	Text	<input type="checkbox"/>	<input type="checkbox"/>				
Business Related Comments	Text	<input type="checkbox"/>	<input type="checkbox"/>				
Business e-mail address	Text	<input type="checkbox"/>	<input type="checkbox"/>				
CONTACT PERSON TITLE	Low	<input type="checkbox"/>	<input type="checkbox"/>				
Citizenship	Low	<input type="checkbox"/>	<input type="checkbox"/>				
Contact Person Role	Text	<input type="checkbox"/>	<input type="checkbox"/>				
Data Load Identifier	Number	<input type="checkbox"/>	<input type="checkbox"/>				
ELIGIBLE OWNER	Low	<input type="checkbox"/>	<input type="checkbox"/>				

Renewals
Permit Numbers: [] [Go]

Search
Keywords: [] [Go]
[] Use Permit Filtering

Tools
USCG Vessel DB Lookup
GC Sanctions Check
OLE VMS Check
Do Not Permit List
Deceased Persons Inquiry
USPS Address Verification
Letter Generator

Online Form Definition

PROPERTIES CONDITIONS SECTIONS CONTROL

Select the sections and fields to be included in the form by placing a check mark in the appropriate boxes.

Form Code: FIFP-8
Form: FISHERIES INTERNATIONAL TRADE PERMIT APPLICATION FORM

- Forms
 - Owner of Permit (Bus)
 - Owner of Business (2nd)
 - Business Extended Attributes
 - Owner of Permit (Bus)
 - Owner of Business (2nd)
 - Owner of Permit (Ind)
 - Owner of Permit (Ind)
 - Agent of Permit (Bus)
 - Owner of Business (2nd)
 - Dealer License Information
 - Permit Extended Attributes
 - Vessel
 - Owner of Vessel (Bus)
 - Owner of Vessel (2nd)
 - Foreign Vessel Flag
 - Foreign Vessel Owner
 - Foreign Vessel Operator
 - Operator of Vessel (2nd)
 - Operator of Vessel (Bus)
 - Owner of Business (2nd)
 - Sanction
 - Marine Mammal Safety
 - Pop Office Use Only
 - Authorized Gear
 - Vessel Processor
 - Owner of Vessel (Bus)
 - Owner of Vessel (2nd)
 - Captain of Vessel
 - Appointee of Permit

SECTION: Dealer License Information Display sequence: 1,8

Section Title: (max 500 chars)
FEDERAL OR STATE DEALER LICENSES

Instructions/Remarks: (max 4000 chars)
Enter the requested information.

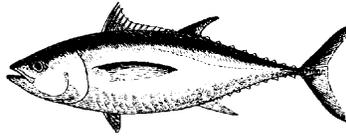
Repeating Section: Check if the applicant can provide multiple entries of this section (ex. multiple owners)
 Conditional Section: Check if certain conditions must be met for this section to be displayed

Section Fields
DEALER LICENSE INFORMATION

Type	UI	UI	UI	UI	UI	More...
Group	☑	☑	☑	☑	☑	☑
	☑	☑	☑	☑	☑	☑

Save Cancel

Fisheries Certificate of Origin



U.S. DEPARTMENT OF COMMERCE
 NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION
 NATIONAL MARINE FISHERIES SERVICE

1. Customs Entry Identification

 Customs Entry Number (11 digits)

 Date of Entry

2. Exporter (Name and Address)

Telephone Number: _____

3. Importer (Name and Address)

Telephone Number: _____

4. DESCRIPTION OF FISH

U.S. Tariff Schedule Number, Species Description, and Product Form	Weight (kg.)	Ocean Area	Fishing Gear	Vessel Flag	Trip Dates Begin-End	Vessel Name

5. DOLPHIN SAFE STATUS - check the statement that applies.

A. The tuna or tuna products described herein are not certified to be dolphin safe and contain no marks or labels that indicate otherwise.

B. The tuna or tuna products described herein are certified to be dolphin safe:

(1) Tuna not harvested with a purse seine net, and not harvested in any fishery that has been identified by the Assistant Administrator as causing a regular and significant mortality or serious injury to dolphins, with valid documentation by the captain of the vessel and, where applicable, by either a qualified and authorized observer or by an authorized representative of a nation participating in the observer program, certifying that no dolphins were killed or seriously injured in the sets or other gear deployments in which the tuna were caught. See instructions. **Certification(s) attached.**

(2) Tuna harvested using a purse seine net outside the Eastern Tropical Pacific Ocean (ETP), in any fishery for which the Assistant Administrator has not determined that there is a regular and significant association occurring between dolphins and tuna, with valid documentation by the captain of the vessel, certifying that no purse seine net was intentionally deployed on or used to encircle dolphins during the fishing trip and no dolphins were killed or seriously injured in the sets in which the tuna were caught and, where applicable, documentation by either a qualified and authorized observer or by an authorized representative of a nation participating in the observer program, certifying that no purse seine net was intentionally deployed on or used to encircle dolphins during the fishing trip and that no dolphins were killed or seriously injured in the sets in which the tuna were caught. See instructions. **Certification(s) attached.**

(3) Tuna harvested by a purse seine vessel outside the ETP in a fishery in which the Assistant Administrator has determined there is a regular and significant association occurring between dolphins and tuna, with valid documentation by an authorized observer and by the captain of the vessel, certifying that no purse seine net was intentionally deployed on or to encircle dolphins during the fishing trip and no dolphins were killed or seriously injured in the sets in which the tuna were caught. See instructions. **Certification(s) attached.**

(4) Tuna harvested in the ETP by a purse seine vessel having a carrying capacity of 400 short tons (362.8 mt) or less, with valid documentation by the captain of the vessel and, where applicable, by either a qualified and authorized observer or by an authorized representative of a nation participating in the observer program, certifying that no dolphins were killed or seriously injured in the sets in which the tuna were caught. See instructions. **Certification(s) attached.**

(5) Tuna harvested in the ETP by a purse seine vessel of more than 400 short tons (362.8 mt) carrying capacity, with valid documentation signed by a representative of the appropriate IDCP-member nation certifying that: (1) there was an IDCP-approved observer on board the vessel during the entire trip; (2) no purse seine net was intentionally deployed on or to encircle dolphins during the fishing trip and no dolphins were killed or seriously injured in the sets in which the tuna were caught; and (3) listing the numbers for the associated Tuna Tracking Forms which contain the captain's and observer's certifications. **IDCP Member Nation Certification attached.**

6. EXPORTER CERTIFICATION - I certify that the above information is complete, true, and correct to the best of my knowledge and belief.

Exporter Name (Print or Type) _____

Signature and Date: _____

7. HIGH SEAS DRIFTNET CERTIFICATION - For fish or fish products harvested by, or exported from, a designated large-scale driftnet nation. I attest that the fish or fish products described herein were not harvested by a large-scale driftnet on the high seas.

Name and title of Government Representative (Print or Type) _____

Signature and Date _____

8. IMPORTER/PROCESSOR ENDORSEMENT

(Name and Address) _____

Signature and Date _____

GENERAL INFORMATION – The information requested on this form is necessary to substantiate the origin and method of harvest of tuna and certain other fish products as required by 50 CFR Part 216.24(f). This form is required for all tuna and tuna products entered into the United States under an HTS number listed in 216.24(f)(2)(i) or (ii) and for any fish exported from a large-scale driftnet nation entered under any of the HTS numbers listed in 216.24(f)(2). This form may also be used to document U.S. domestic landings and shipments of certain tuna and tuna products as required by 50 CFR Part 216.92(a). The information submitted on the form will be used to determine whether or not the listed shipment will be allowed entry into the United States. The information provided will be treated as confidential in accordance with NOAA Administrative Order 216-100. **MAIL A COPY OF EACH FORM AND ATTACHMENTS, WITHIN 10 DAYS OF THE SHIPMENT'S ENTRY INTO U.S. COMMERCE, TO: TUNA TRACKING & VERIFICATION PROGRAM, NOAA FISHERIES, P.O. BOX 32469, LONG BEACH, CA 90832-2469.** Questions concerning the use of the form may be directed to (562) 980-4030. This form may be downloaded from the Internet at <http://swr.nmfs.noaa.gov/noaa370.htm> and reproduced as necessary.

INSTRUCTIONS.

1. CUSTOMS ENTRY IDENTIFICATION - Importer must enter the shipment's assigned U.S. Customs Entry Number and the projected date of entry (day/month/year, e.g., 12/Dec/2012)
2. EXPORTER - Enter company name and address of exporter and contact phone number of responsible company representative.
3. IMPORTER - Enter name and address of importer or consignee and contact phone number of responsible company representative.
4. DESCRIPTION OF FISH -

U.S. HARMONIZED TARIFF SCHEDULE NUMBER, SPECIES DESCRIPTION AND PRODUCT FORM - Enter U.S. HTS Number of fish or fish product, the species description and product form in English. HTS numbers are listed in 50 CFR 216.24(f)(2). Only shipments from countries that use large-scale driftnets are required to be accompanied by this form for other species of fish and fish products. **This form is not required for fresh tuna.**

WEIGHT - Enter the total net weight of the shipment **in kilograms.**

OCEAN AREA OF CATCH - Enter the ocean area in which the fish contained in this shipment were harvested:

- | | |
|---|---|
| EA - Eastern Atlantic (east of 45° W. longitude) | WA - Western Atlantic (west of 45° W. longitude) |
| IND - Indian Ocean | CAR - Caribbean Sea |
| NP - North Pacific Ocean (north of 40° N. latitude) | OTH - Other- Describe Area _____ |
| ETP - Eastern Tropical Pacific (east of 160° W. longitude, between 40° N. and 40° S. latitude) | |
| SP - South Pacific Ocean (west of 160° W. longitude, south of 15° S. latitude and east of 160° W. longitude, south of 40° S. latitude) | |
| WP - Western Pacific Ocean (west of 160° W. longitude and north of 15° S. latitude) | |

FISHING GEAR - Enter gear used to harvest fish

- | | |
|--|--|
| PL - Pole and Line, Hook and Line | DN - Large Scale Driftnet (High Seas) |
| PS - Purse Seine Net | LL - Longline |
| OTH - Other Type. Describe _____ | GN - Gillnet less than 1.5 miles (2.4 km) in total length |

VESSEL FLAG - Enter the country under whose laws the fishing vessel operated, or for certified charter vessels, enter the country that accepted responsibility for the vessel's fishing operations.

TRIP DATES - Enter the **exact** beginning and ending dates (day/month/year, e.g., 12/Dec/2012) of the fishing trip during which the described shipment of fish was harvested.

VESSEL NAME - Enter the name of the **fishing vessel.**

5. DOLPHIN SAFE STATUS - Must be completed for all tuna or tuna products. Check only **one** appropriate statement. Use a separate form if more than one statement applies (e.g., the shipment contains tuna caught using multiple gear types). For 5(B)(1),(2), (3), and (4), where documentation by either a qualified and authorized observer or by an authorized representative of a nation participating in the observer program, certifying that no dolphins were killed or seriously injured in the sets or other gear deployments in which the tuna were caught and, for 5B(2) and (3), that no purse seine net was intentionally deployed on or used to encircle dolphins during the fishing trip in which the tuna were caught is required, a notice will be published in the Federal Register and also publicized on the Internet at <http://swr.nmfs.noaa.gov> that identifies the fisheries where such documentation is required. Any requirement for an observer statement or statement by an authorized representative applies **in addition** to the requirement for documentation by the captain, certifying that no dolphins were killed or seriously injured in the sets or other gear deployments in which the tuna were caught.
6. EXPORTER CERTIFICATION - Must be signed and dated (day/month/year, e.g., 12/Dec/2012) by a responsible official of the export company listed in block 2.
7. HIGH SEAS DRIFTNET CERTIFICATION - If the shipment includes fish harvested by vessels of, or exported from a nation identified by NOAA in a Federal Register notice as a nation fishing with large-scale driftnets, a responsible government official of the harvesting nation must certify here.
8. IMPORTER/PROCESSOR ENDORSEMENT - Each importer or processor who takes custody of the shipment must sign and date the form to certify that the form and attached documentation accurately describe the shipment of fish that they accompany. Use an additional form if more than one importer/processor endorsement is required.

Public reporting burden for this collection of information is estimated to average 25 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the above address. Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.

DOCUMENT NUMBER		ICCAT SWORDFISH RE-EXPORT CERTIFICATE		
RE-EXPORT SECTION:				
1. RE-EXPORTING COUNTRY/ENTITY/FISHING ENTITY				
2. POINT OF RE-EXPORT				
3. DESCRIPTION OF IMPORTED FISH				
Product Type(*)		Net Weight	Flag country/	Date
F/FR	RD/GG/DR/FL/ST/OT	(Kg)	Entity/Fishing entity	of Import
4. DESCRIPTION OF FISH FOR RE-EXPORT				
Product Type(*)		Net Weight		
F/FR	RD/GG/DR/ST/FL/OT	(Kg)		
* F=FRESH, FR=Frozen, RD=Round, GG=Gilled and Guttled, DR=Dressed, ST=Steak, FL=Fillet OT=Other(Describe the type of product)				
5. RE-EXPORTER CERTIFICATION: For export to countries/entities or fishing entities that have adopted the ICCAT alternative minimum size for swordfish, the exporter must certify that the listed Atlantic swordfish are greater than 15 kg (33 lb.) or if pieces, the pieces were derived from a swordfish weighing >15 kg.				
I certify that above information is complete, true and correct to the best of my knowledge and belief.				
Name	Company Name	Address	Signature	Date License # (if applicable)
6. GOVERNMENT VALIDATION: I validate that above information is complete, true and correct to the best of my knowledge and belief.				
Name & Title	Organization		Signature	Date
IMPORT SECTION:				
7. IMPORT CERTIFICATION: I certify that above information is complete, true and correct to the best of my knowledge and belief.				
Importer Certification (Intermediate Country / Entity / Fishing Entity)				
Name	Address	Signature	Date	License #(if applicable)
Importer Certification (Intermediate Country / Entity / Fishing Entity)				
Name	Address	Signature	Date	License #(if applicable)
Importer Certification (Intermediate Country / Entity / Fishing Entity)				
Name	Address	Signature	Date	License #(if applicable)
Final Point of Import				
City	State/Province	Country/Entity/Fishing Entity		

NOTE: If a language other than English is used in completing this form, please add the English translation on this document.

ICCAT SWORDFISH RE-EXPORT CERTIFICATE INSTRUCTION SHEET

DOCUMENT NUMBER: Block for the issuing Country/Entity/Fishing Entity to designate a Country/Entity/Fishing Entity coded document number.

(1) RE-EXPORTING COUNTRY/ENTITY/FISHING ENTITY

Fill in the name of the Country/Entity/Fishing Entity which re-exports the swordfish in the shipment and issued this Certificate. According to the ICCAT Recommendation, only the re-exporting Country/Entity/Fishing Entity can issue this Certificate.

(2) POINT OF RE-EXPORT

Identify the City/State Province and Country/Entity/Fishing Entity from which the swordfish was re-exported.

(3) DESCRIPTION OF IMPORTED FISH

The exporter must provide, to the highest degree of accuracy, the following information: NOTE: One row should describe one product type. (1) Product type: Identify the type of product being shipped as either FRESH or FROZEN, and in ROUND, GILLED AND GUTTED, DRESSED, STEAK, FILLET or OTHER form. For OTHER, describe the type of products in the shipment. (2) Net weight: Net product weight in kilograms. (3) Flag Country/Entity/Fishing Entity: the name of the Country/Entity/Fishing Entity of the vessel that harvested the swordfish in the shipment. (4) Date of import: Imported date.

(4) DESCRIPTION OF FISH FOR RE-EXPORT

The exporter must provide, to the highest degree of accuracy, the following information: NOTE: One row should describe one product type. (1) Product type: Identify the type of product being shipped as either FRESH or FROZEN, and in ROUND, GILLED AND GUTTED, DRESSED, STEAK, FILLET or OTHER form. For OTHER, describe the type of products in the shipment. (2) Net weight: Net product weight in kilograms.

(5) RE-EXPORTER CERTIFICATION

The person or company re-exporting the swordfish shipment must provide his/her name, company name address, signature, date the shipment was re-exported, and re-exporter's license number (if applicable).

(6) GOVERNMENT VALIDATION

Fill in the name and full title of the official signing the Certificate. The official must be in the employment of the competent government authority of the re-exporting Country/Entity/Fishing Entity appearing on the Certificate, or a person or institution authorized to validate such certificates by the competent government authority. The substitutional measure described in paragraphs A-D of the *Resolution by ICCAT Concerning Validation by a Government Official of the Bluefin Statistical Document*, adopted by the Commission in 1993 may be applied to the above requirements for the validations in this Swordfish Statistical Document Program.

(7) IMPORTER CERTIFICATION

The person or company that imports swordfish must provide his/her name, company name, address, signature, date the swordfish was imported, license number (if applicable) and re-exported final point of import. This includes imports into intermediate Countries/Entities/Fishing Entities. For fresh and chilled products, the signature of the importer may be substituted by a person of a customs clearance company when the authority for signature is properly accredited to it by the importer.

RETURN A COPY OF THE COMPLETED CERTIFICATE TO: NMFS National Seafood Inspection Laboratory, P.O. Drawer 1207, Pascagoula, MS 39568-1207. OMB # 0648-0040 EXPIRES 1/31/14



Re-Export ← Or → Export after Landing of Domestic Product (tick only one)

Within this form, the term "Export" includes both exports and re-exports

Full Shipment ← Or → Partial Shipment (tick only one)

Form Number of Preceding Document (Catch Monitoring Form, or Re-Export/Export After Landing of Domestic Product Form)	
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EXPORT SECTION

Exporting State/Fishing Entity	Point of Export		
	City	State or Province	State/Fishing Entity

Name of Processing Establishment (if applicable)	Address of Processing Establishment (if applicable)

Catch Tagging Form Document Numbers (if applicable)

Description of Fish from previous CDS Document			
Flag State/Fishing Entity	Date of previous Import/Landing		
Product: F (Fresh) / FR (Frozen)	Type: RD/GGO/ GGT/DRO/DRT/ FL/OT*	Weight (kg)	Total Number of whole Fish (including RD/ GGO/ GGT/ DRO/ DRT)
* For Other (OT): Describe the type of product			

Description of Fish being Exported			
Product: F (Fresh) / FR (Frozen)	Type: RD/GGO/ GGT/DRO/DRT/ FL/OT*	Weight (kg)	Total Number of whole Fish (including RD/GGO/ GGT/ DRO/ DRT)
* For Other (OT): Describe the type of product			

Destination (State/Fishing Entity)

Certification by Exporter: I certify that the above information is complete, true and correct to the best of my knowledge and belief.

Name	Signature	Date	Licence No. / Company Name

Validation by Authority: I validate that the above information is complete, true and correct to the best of my knowledge and belief.

Name and Title	Signature
	Date



IMPORT SECTION

Final Point of Import		
City	State or Province	State/Fishing Entity

Certification by Importer: I certify that the above information is complete, true and correct to the best of my knowledge and belief.

Name	Address	Signature	Date

NOTE: The organization/person which validates the Export section shall verify the copy of the original CCSBT CDS Document. Such a verified copy of the original CCSBT CDS document must be attached to the Re-export/Export after Landing of Domestic Product (RE) Form. When SBT is Exported, all verified copies of concerned forms must be attached.



This form must accompany all re-exports of SBT and all exports of SBT that have previously been landed as domestic product, and a copy must be provided to the issuing State/Fishing Entity.

One REEF Form must be issued for:

- Each CMF that was previously landed as domestic product but is now being exported, OR
- Each REEF shipment that was imported and is being re-exported, together with a copy of its previously associated REEF(s) and CMF(s).

In addition, each REEF form must be accompanied by a copy of the associated Catch Monitoring Form and copies of any previously issued Re-Export/Export after Landing of Domestic Product Forms for the SBT being exported.

This form is not required for the "first" export of the particular SBT if those SBT are being landed for the sole purpose of exporting. In this circumstance, only a Catch Monitoring Form needs to be created and accompany the product.

If a language other than one of the CCSBT official languages (English and Japanese) is used in completing the form, please add the English or Japanese translation on the Document. The Re-export/Export after Landing of Domestic Product Form has 2 main sections: (1) Export; and (2) Import.

Within this form, the term "Export" includes both Exports and Re-exports.

The top of the form contains 4 additional pieces of information that must always be completed. These are -

Re-Export or Export after Landing of Domestic Product: Tick only one box to indicate whether this is a Re-Export, or an Export after Landing of Domestic Product.

Document Number: Enter the unique document number that was allocated by the State/Fishing Entity of origin for this form.

Full Shipment or Partial Shipment: Tick only one box to specify whether this Information is for a full or partial shipment. A Full shipment is where all the SBT in the preceding document are being exported.

Form number of Preceding Document: Enter the unique Document Number of the CDS form that precedes this. (Catch Monitoring Form or Re-Export/Export after Landing of Domestic Product Form).

EXPORT SECTION

Exporting State/Fishing Entity: Enter the Exporting State/Fishing Entity.

Point of Export: Enter the City, State or Province, and State/Fishing Entity of the point of Export.

Name of Processing Establishment (If applicable): Enter the full name of the Processing establishment (only required if further processing has taken place since the preceding CDS form).

Address of Processing Establishment (If applicable): Enter the full Address of the Processing establishment (only required if further processing has taken place since the preceding CDS form).

Catch Tagging Form Document Number(s) (if applicable): Enter the Document number of any Catch Tagging Forms that are associated with this form. This will be a subset of the Catch Tagging Forms that were recorded on the previous CDS document. The subset should include the Catch Tagging Forms for all whole SBT (including RD,GG,DR etc) that are being exported on this form. If no whole SBT are being exported, this field can be left empty.

Description of Fish from previous CDS Document

This section must describe all the SBT from the previous CDS document.

Flag State/ Fishing Entity: Enter the original catch/harvest Flag State/Fishing Entity.

Date of previous Import/Landing: Enter the date (dd/mm/yyyy) of the import or landing from the previous CDS document.

NOTE: Each row shall describe only one product type

Product: Enter the product as either FRESH (F) or FROZEN (FR).

Type: Enter the type code from the table below that most closely matches the type of the SBT. For OT, describe the type of product, and specify a conversion factor.

CODE	NAME	DESCRIPTION
RD	Round	SBT Without any processing
GGO	Gilled and Gutted - Tail on	Processed with gills and gut removed. Operculae (gill plates/covers) and dorsal, pelvic and anal fins may or may not be removed
GGT	Gilled and Gutted - Tail off	Processed with gills, gut and tail removed. Operculae (gill plates/covers) and dorsal, pelvic and anal fins may or may not be removed
DRO	Dressed - Tail on	Processed with gills, gut, operculae (gill plates/covers) and head removed. Dorsal, pelvic and anal fins may or may not be removed
DRT	Dressed - Tail off	Processed with gills, gut, operculae (gill plates/covers), head and tail removed. Dorsal, pelvic and anal fins may or may not be removed
FL	Fillet	Processed further than DRT, with the trunk cut into fillets
OT	Other	None of the above

Weight(kg): Enter the weight (kg) of the fish.

Total Number of whole Fish (including RD,GGO,GGT,DRO,DRT): Enter the number of fish that remain whole. A fish remains whole despite cleaning, gilling and gutting, freezing, removing fins, operculae (gill plates/covers) and tail and removing the head or parts of the head. A fish is no longer considered to be whole if it has undergone processes such as filleting or loining.

Other: Describe the type of product (if type is Other).

Description of Fish being Exported

This Export of SBT must be described, the the highest degree of accuracy using the following information.

NOTE: Each row shall describe only one product type.

Product: Enter the product being exported as either FRESH (F) or FROZEN (FR).

Type: Enter the type code from the table of 'Types' in the previous section of these instructions that most closely matches the type of the SBT. For OT, describe the type of product, and specify a conversion factor.

Weight(kg): Enter the weight (kg) of the fish being Exported.

Total Number of whole Fish (including RD,GGO,GGT,DRO,DRT): Enter the number of fish that remain whole. A fish remains whole despite cleaning, gilling and gutting, freezing, removing fins, operculae (gill plates/covers) and tail and removing the head or parts of the head. A fish is no longer considered to be whole if it has undergone processes such as filleting or loining.

Other: Describe the type of product (if type is Other).

Destination (State/Fishing Entity): Enter the State/Fishing Entity to which the southern bluefin tuna is being exported.

Certification and Validation

Certification by Exporter: The Exporter¹ must provide his/her name, signature, date (dd/mm/yyyy) and either the exporter license number or the exporter company name to certify the information provided in relation to the export shipment (i.e. that the form correctly records what is being exported). If the exporter does not have a licence number or exporter company name, then they should write their own name in this field.

Validation by Authority: Enter the name and full title of the official² signing the document, together with the signature of the official, date (dd/mm/yyyy) and official seal.

¹ The individual certifying as 'Exporter' must be an appropriate authority approved by the exporting company to make this declaration on the company's behalf, but it must not be the same individual as the authority validating the export.

² The official must be in the employment of, or delegated by, the competent authority of the State/Fishing Entity that exported the SBT appearing on the document. The Member, Co-operating Non-Member or Other State/Fishing Entity Cooperating in the CDS which utilizes a delegated entity shall submit a certified copy of such delegation to the Executive Secretary.



• **IMPORT SECTION**

Final Point of Import

City: Enter the City of Import.

State or Province: Enter the State or Province of Import.

State/Fishing Entity: Enter the State/Fishing Entity of Final Import.

Certification

Certification by Importer: The person or company that imports southern bluefin tuna must provide his/her/its name, address, signature, date (dd/mm/yyyy) on which the southern bluefin tuna was imported. For fresh and chilled product, the signature of the importer may be substituted by a person of a customs clearance company when the authority for signature is properly accredited to it by the importer.

UNITED STATES BLUEFIN TUNA CATCH DOCUMENT

Document No. _____

1. CATCH INFORMATION

A. VESSEL

	ATVP NO:			
	NAME:		FLAG: US	ICCAT RECORD NO.:

B. CATCH DESCRIPTION

	LANDING DATE (ddmmyy):		AREA:	West Atlantic
	NO. FISH:		AVG WT (KG):	
	TOTAL WT (KG):		GEAR:	

C. GOVT. VALIDATION

	NAME OF AUTHORITY:		TITLE:		SEAL
	SIGNATURE:		DATE:		

2. TAG INFORMATION & PRODUCT DESCRIPTION

TAG #:	WT (KG):	Product Type		Product Type Descriptions
		F/FR	RD/GG/DR/FL/OT	
ABT - - - - -				F = Fresh
ABT - - - - -				FR = Frozen
ABT - - - - -				
ABT - - - - -				RD = Round
ABT - - - - -				GG = Gilled&Gutted
ABT - - - - -				DR = Dressed
ABT - - - - -				FL = Fillet
ABT - - - - -				OT = Other
ABT - - - - -				
ABT - - - - -				

3. TRADE INFORMATION

A. EXPORTER/SELLER

	COMPANY:		LICENSE NO:	
	ADDRESS:		STREET	CITY STATE OR PROVINCE
	NET WT (kg):		SIGNATURE:	

B. POINT OF EXPORT/DEPARTURE:

	CITY:		STATE:		DESTINATION COUNTRY:
	COUNTRY:	USA	DATE:		

C. TRANSPORTATION DESCRIPTION (ensure documents are available at import)

D. GOVT VALIDATION

	NAME OF AUTHORITY:		TITLE:		SEAL
	SIGNATURE:		DATE:		

E. IMPORTER/BUYER

	COMPANY:		LICENSE NO:	
	ADDRESS:		STREET	CITY STATE OR PROVINCE
	SIGNATURE:			

F. POINT OF IMPORT

	CITY:		STATE OR PROVINCE:	
	COUNTRY:		DATE:	

United States Bluefin Tuna Catch Document

Reporting Instructions for Bluefin Tuna Exports

Bluefin tuna exporters must fill out this form for each vessel's exported catch. Complete the document on the reverse side by entering the requested data in the appropriate data fields following the instructions below. (*See the bottom of this instruction sheet for Pacific bluefin tuna*). The document must be filled out completely prior to exporting bluefin tuna in accordance with 50 C.F.R. 300.185. The completed original document must accompany the exported consignment.

Submit a copy of the completed document to the National Marine Fisheries Service (NMFS) at one of the following addresses: by email to: BFTreporting@noaa.gov; by FAX to: 228-762-7144; or by mail to: Tuna/Swordfish Reporting, 3209 Frederic Street, Pascagoula, MS 39567. NMFS must receive a copy of the document within 24 hours of export.

FORM INSTRUCTIONS

Document Number: Use the following formula to generate a document number: *USYear - International Trade Permit (ITP) number* (numerals only, do not include the "SE" prefix)- *sequential number*. For example, an exporter with the ITP number SE 10543 who is exporting the first bluefin for the year 2008 would use the following doc. number: US2008-10543-1.

1. Catch Information (*NOTE: It may be necessary to obtain this information from the Atlantic Tunas Dealer Permit holder who first purchased the bluefin tuna.*)

A. Vessel: Enter the name of the vessel that captured the bluefin tuna and the vessel's Atlantic Tuna Vessel Permit (ATVP) number. For vessels with a length greater than 24 m, enter the ICCAT record number (see website <http://www.iccat.int/vesselsrecord.asp>)

B. Catch Description: Enter the Landing Date (date bluefin tuna was brought to dock and sold to Atlantic Tunas Dealer Permit holder), Area of catch, Gear type (choose from table below), Number of Fish entered on this form, Total Weight in kilograms of fish product entered on this form, and calculate average weight = (total weight/number of fish).

GEAR TYPES					
HAND	–	handline	LL	–	longline
RR	–	rod and reel	PS	–	purse seine
HARP	–	harpoon	TRAP	–	trap

2. Tag Information and Product Description

Enter the tag number for each bluefin tuna in the space provided. Enter the product type (choose from list on front of form) for each tagged fish and its weight in kilograms. If product type is "other" enter "OT" and a one-word description (*e.g., OT-toro*).

3. Trade Information

A. Exporter/Seller: Enter the exporting company's name and International Trade Permit number, address, the total weight of bluefin tuna listed on this form, and sign once the form is printed.

B. Point of Departure/Export: enter the point of departure including city, state, and date of export. ***Enter the destination (country) of the export.***

C. Transportation Description: Ensure that a copy of official transportation documentation (*e.g., airway bill*) is received by the importer, along with this form.

D. Government Validation: If bluefin tuna are tagged, leave this section blank (government validation is waived for tagged U.S. Atlantic and Pacific bluefin tuna). For untagged Pacific bluefin tuna, government validation is required. For validation, FAX the completed form to the NMFS-authorized validation official at: 866/252-3751. Be sure to specify return fax number and phone number. If your validated form has not been returned within 2 hours, call the validation official at 843-724-6425.

E. Importer/Buyer; and, F. Point of Import: leave blank – this section will be filled out by the foreign importer.

Pacific bluefin tuna exports: only the following fields are required: "Document Number," "Area" under "Catch Information," "Weight" and "Product Type" under "Tag Information and Product Description," and all "Trade Information" fields, including "Government Validation."

The following information is provided to you as required by the Paperwork Reduction Act (PRA) of 1995 (PL 104-13): Under the provisions of the PRA and the Privacy Act of 1974 (PL 93-579), you are advised that disclosure of the information requested on the United States bluefin tuna catch document is mandatory for the purpose of managing the bluefin tuna fishery. The data is used to monitor trade of Atlantic bluefin tuna. Reporting burden for the collection of information is estimated to average 5 minutes per completed form, including time for reviewing instructions, searching existing data sources, gathering and maintaining data needed, and completing and reviewing the information. Pursuant to Section 402(b) of the Magnuson-Stevens Act, and consistent with NOAA Administrative Order 216-100 (Confidentiality of Fisheries Statistics), the Agency does not release confidential information submitted in compliance with provisions of the Act, other than in aggregate form and under circumstances required or authorized by law. Whenever data are requested or released to the general public, the Agency ensures that information on the pecuniary business activity of a dealer is not identified. **Because you have been provided with a currently valid OMB control number for a collection of information subject to the requirements of the Paperwork Reduction Act you are required to respond to, or be subject to penalty for failing to comply with, this collection of information. Send comments regarding this burden estimate or suggestions for reducing this burden to: NMFS, Highly Migratory Species Division, 55 Great Republic Drive, Gloucester, MA 01930-2298.**

1. DOCUMENT NUMBER		ICCAT BLUEFIN TUNA RE-EXPORT CERTIFICATE		
2. RE-EXPORT SECTION:				
RE-EXPORTING COUNTRY/ENTITY/FISHING ENTITY				
POINT OF RE-EXPORT				
3. DESCRIPTION OF IMPORTED BLUEFIN TUNA				
Product Type <i>F/FR RD/GG/DR/FL/OT</i>	Net Weight (kg)	Flag CPC	Date of import	BCD No.
4. DESCRIPTION OF BLUEFIN TUNA FOR RE-EXPORT				
Product Type <i>F/FR RD/GG/DR/FL/OT</i>	Net Weight (kg)	Corresponding BCD number		
F=Fresh, FR=Frozen, RD=Round, GG=Gilled & Guttled, DR=Dressed, FL=Fillet, OT=Others (Describe the type of product:)				
STATE OF DESTINATION:				
5. RE-EXPORTER STATEMENT:				
I certify that the above information is complete, true and correct to the best of my knowledge and belief.				
Name	Address	Signature	Date	
6. GOVERNMENT VALIDATION:				
I validate that the above information is complete, true and correct to the best of my knowledge and belief.				
Name & Title	Signature	Date	Government Seal	
7. IMPORT SECTION				
IMPORTER STATEMENT:				
I certify that the above information is complete, true and correct to the best of my knowledge and belief.				
Importer Certification				
Name	Address	Signature	Date	
Final Point of Import: City State/Province CPC				

NOTE: IF A LANGUAGE OTHER THAN ENGLISH IS USED IN COMPLETING THIS FORM, PLEASE ADD THE ENGLISH TRANSLATION ON THIS DOCUMENT.

Note: Valid transport document and copies of the BCDs shall be attached.

U.S. RE-EXPORT CERTIFICATE for BLUEFIN TUNA FORM INSTRUCTIONS

These instructions are for re-export of product previously imported to the United States.

Document Number: Block for coded document number. The document number will be filled in by the National Marine Fisheries Service at the time of government validation (see number 6, below).

(1) Re-exporting Country

Fill in the name of the Country (USA) that is re-exporting the bluefin tuna in the shipment.

(2) Point of Re-Export

Identify the City and State from which the bluefin tuna will be re-exported.

(3) Description of Imported Bluefin Tuna

Provide the following information, using one row per product type for Atlantic bluefin tuna and one row per BCD for

Pacific bluefin tuna. (*Product type is not required for Pacific bluefin tuna*). (a) Product type: Identify the type of product imported as either FRESH or FROZEN and in ROUND, GILLED AND GUTTED, DRESSED, FILLET or OTHER form. For OTHER, describe the type of products in the shipment. (b) Net weight: Net weight (kg) per product

for Atlantic bluefin tuna and per BCD for Pacific bluefin tuna. (c) Flag CPC of imported product: enter the country of

the vessel that landed the product; (d) Date of import to United States; (e) Bluefin tuna catch document (BCD) number(s) of imported product.

(4) Description of Re-Exported Bluefin Tuna

Provide the following information, with one row per product type for Atlantic bluefin tuna and one row per BCD for Pacific bluefin tuna. (*Product type is not required for Pacific bluefin tuna*). (a) Product type: Identify the type of product being shipped as either FRESH or FROZEN, and in ROUND, GILLED AND GUTTED, DRESSED FILLET,

or OTHER form. For OTHER, describe the type of products in the shipment. (b) Net weight: Net weight (kg) per product for Atlantic bluefin tuna and per BCD for Pacific bluefin tuna. (c) Corresponding BCD numbers of re-exported

product. (d) State of destination - the country which will receive the re-export.

(5) Re-Export Certification

The person or company re-exporting the bluefin tuna shipment must provide his/her name, address, signature, the date

the shipment was re-exported, and the re-exporter's International Trade Permit number.

(6) Government Validation

FAX the completed form to the NMFS-authorized validation official at: 866/252-3751. Be sure to specify return fax and phone numbers. If your validated, numbered form with the total shipment weight entered in Box 6 has not been returned within 2 hours, call the validation official at 843/724-6425.

(7) Import Section

Leave blank – Box 7 will be completed by the final importer.

REPORTING INSTRUCTIONS

(1) The original re-export certificate should accompany the shipment. Remember to attach the corresponding BCDs.

(2) Send a copy of the completed re-export certificate and corresponding BCDs to: NMFS Northeast Regional Office,

One Blackburn Drive, Gloucester, MA 01930; FAX 978-281-9340, or BFTReporting@noaa.gov.

(3) If the re-exported bluefin tuna are NOT tagged, then the U.S. re-exporter *must also send copies of this re-export certificate to the ICCAT Secretariat and government authority of the importing nation*. These addresses are available

online at <http://www.nmfs.noaa.gov/sfa/hms/ITP/index.htm>.

OMB # 0648-0040 EXP 1/31/2014

DOCUMENT
NUMBER

DOCUMENT NUMBER		IATTC BIGEYE TUNA RE-EXPORT CERTIFICATE		
RE-EXPORT SECTION:				
1.RE-EXPORTING COUNTRY / ENTITY / FISHING ENTITY				
2.POINT OF RE-EXPORT				
3.DESCRPTION OF IMPORTED FISH				
F/FR	Product Type(*) RD/GG/DR/FL/OT	Net Weight (Kg)	Flag country/ Entity/Fishing Entity	Date of Import
4.DESCRPTION OF FISH FOR RE-EXPORT				
F/FR	Product Type(*) RD/GG/DR/FL/OT	Net Weight (Kg)		
* F=FRESH, FR=Frozen, RD=Round, GG=Gilled and Guttred, DR=Dressed, FL=Fillet OT=Other(Describe the type of product)				
5.RE-EXPORTER CERTIFICATION: <u>I certify that the above information is complete, true and correct to the best of my knowledge and belief.</u>				
Name/Company Name Address Signature Date License Number (if applicable)				
6.GOVERNMENT VALIDATION: <u>I validate that the above information is complete, true and correct to the best of my knowledge and belief.</u>				
Name & Title Signature Date Government Seal				
IMPORT SECTION:				
7.IMPORTER CERTIFICATION: <u>I certify that the above information is complete, true and correct to the best of my knowledge and belief.</u>				
Importer Certification (Intermediate Country / Entity / Fishing Entity)				
Name Address Signature Date License # (if applicable)				
Importer Certification (Intermediate Country / Entity / Fishing Entity)				
Name Address Signature Date License # (if applicable)				
Importer Certification (Intermediate Country / Entity / Fishing Entity)				
Name Address Signature Date License # (if applicable)				
Final Point of Import				
City _____ State/Province _____ Country / Entity / Fishing Entity				

NOTE: If a language other than English is used in completing this form. Please add the English translation on this document.

INSTRUCTIONS

DOCUMENT NUMBER: Block for the issuing Country/Entity/Fishing Entity to designate a Country/Entity/Fishing Entity coded document number.

(1) RE-EXPORTING COUNTRY/ENTITY/FISHING ENTITY

Fill in the name of the Country/Entity/Fishing Entity which re-exports the bigeye tuna in the shipment and issued this Certificate. According to the Resolution, only the re-exporting Country/Entity/Fishing Entity can issue this Certificate.

(2) POINT OF RE-EXPORT

Identify the City/State Province and Country/Entity/Fishing Entity from which the bigeye tuna was re-exported.

(3) DESCRIPTION OF IMPORTED FISH

The exporter must provide, to the highest degree of accuracy, the following information: NOTE: One row should describe one product type. (1) Product type: Identify the type of product being shipped as either FRESH or FROZEN, and in ROUND, GILLED AND GUTTED, DRESSED, FILLET or OTHER form. For OTHER, describe the type of products in the shipment. (2) Net weight: Net product weight in kilograms. (3) Flag Country/Entity/Fishing Entity: the name of the Country/Entity/Fishing Entity of the vessel that harvested the bigeye tuna in the shipment. (4) Date of import: Imported date.

(4) DESCRIPTION OF FISH FOR RE-EXPORT

The exporter must provide, to the highest degree of accuracy, the following information: NOTE: One row should describe one product type. (1) Product type: Identify the type of product being shipped as either FRESH or FROZEN, and in ROUND, GILLED AND GUTTED, DRESSED FILLET or OTHER form. For OTHER, describe the type of products in the shipment. (2) Net weight: Net product weight in kilograms.

(5) RE-EXPORTER CERTIFICATION

The person or company re-exporting the bigeye tuna shipment must provide his/her name, address, signature, date the shipment was re-exported, and re-exporter's license number (if applicable).

(6) GOVERNMENT VALIDATION

Fill in the name and full title of the official signing the Certificate. The official must be employed by a competent government authority of the re-exporting Country/Entity/Fishing Entity appearing on the Certificate, or other individual or institution authorized to validate such certificates by the competent government authority.

(7) IMPORTER CERTIFICATION

The person or company that imports bigeye tuna must provide his/her name, address, signature, date the bigeye tuna was imported, license number (if applicable) and re-exported final point of import. This includes imports into intermediate Countries/Entities/Fishing Entities. For fresh and chilled products, the signature of the importer may be substituted by a person of a customs clearance company when the authority for signature is properly accredited to it by the importer.

RETURN A COPY OF THE COMPLETED CERTIFICATE TO: (the name of the office of the competent authority of the re-exporting Country/Entity/Fishing Entity).



Catch Tagging Form Document Numbers

CATCH / HARVEST SECTION - Tick and complete only one part

For Wild Fishery Name of Catching Vessel _____ Registration Number _____ Flag State/Fishing Entity _____

Or

For Farmed SBT CCSBT Farm Serial Number _____ Name of Farm _____
Document Number(s) of associated Farm Stocking (FS) Form(s) _____

Description of Fish						
Product: F (Fresh) / FR (Frozen)	Type: RD/GGO/GGT/DRO/DRT/FL/OT*	Month of Catch/Harvest (mm/yy)	Gear Code	CCSBT Statistical Area	Net Weight (kg)	Total Number of whole Fish (including RD/GGO/GGT/DRO/DRT)
* For Other (OT): Describe the Type of Product				* For Other (OT): Specify Conversion Factor		

Name of Processing Establishment (if applicable) _____ Address of Processing Establishment (if applicable) _____

Validation **by Authority (not required for exports transhipped at sea)**: I validate that the above information is complete, true and correct to the best of my knowledge and belief.

Name and Title	Signature	
	Date	

OFFICIAL SEAL

INTERMEDIATE PRODUCT DESTINATION SECTION - (only for transhipments and/or exports) - tick and complete required part(s)

Transhipment Certification **by Master of Fishing Vessel**: I certify that the catch/harvest information is complete, true and correct to the best of my knowledge and belief.

Name		Date		Signature	
------	--	------	--	-----------	--

Name of Receiving Vessel		Registration Number		Flag State/Fishing Entity	
--------------------------	--	---------------------	--	---------------------------	--

And / Or

Certification **by Master of Receiving Vessel**: I certify that the above information is complete, true and correct to the best of my knowledge and belief.

Name		Date		Signature	
------	--	------	--	-----------	--

Signature **of Observer (only for transhipment at sea)**:

Name		Date		Signature	
------	--	------	--	-----------	--

Export **Point of Export*** _____ **Destination (State/Fishing Entity)** _____

City		State or Province		State/Fishing Entity	
------	--	-------------------	--	----------------------	--

* For transhipments on the high seas, enter the CCSBT Statistical Area instead of State/Fishing Entity and leave other fields blank.

Certification **by Exporter**: I certify that the above information is complete, true and correct to the best of my knowledge and belief.

Name		Licence No. / Company Name		Date		Signature	
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Validation **by Authority**: I validate that the above information is complete, true and correct to the best of my knowledge and belief.

Name and Title	Signature	
	Date	

OFFICIAL SEAL

FINAL PRODUCT DESTINATION SECTION - tick and complete only one destination

Landing of Domestic Product for Domestic sale. Certification of Domestic Sale: I certify that the above information is complete, true and correct to the best of my knowledge and belief.

Name	Address	Date	Signature	Type: RD/GGO/GGT/DRO/DRT/FL/OT	Weight (kg)

Import **Final Point of Import** _____

City		State or Province		State/Fishing Entity	
------	--	-------------------	--	----------------------	--

Certification **by Importer**: I certify that the above information is complete, true and correct to the best of my knowledge and belief.

Name	Address	Date	Signature	Type: RD/GGO/GGT/DRO/DRT/FL/OT	Weight (kg)



INSTRUCTION SHEET

This form is to be issued by the State/Fishing Entity that holds the national quota allocation against which these SBT have been taken.

This form (CMF) must accompany all transshipments, landings of domestic product, exports, imports and re-exports of SBT, and a copy of this form must be provided to the issuing State/Fishing Entity. The only exception is that exportation/import of fish parts other than the meat (i.e. head, eyes, roe, guts, tails) may be allowed without this document. Please note that:

- For farms, Farm Stocking Form(s) must have been produced by the State/Fishing Entity for all SBT on the CMF and the document number of those Farm Stocking Form(s) must be recorded on the CMF.
- Catch Tagging Form(s) must have been completed for all SBT on the CMF and copies must be provided to the issuing State/Fishing Entity. The document numbers of these Catch Tagging Form(s) must be recorded on the CMF.

If a language other than one of the CCSBT official languages (English and Japanese) is used in completing the form, please add the English or Japanese translation on the Document. The Catch Monitoring Form has 3 main sections: (1) Catch/Harvest; (2) Intermediate Product Destination; and (3) Final Product Destination. The Catch/Harvest and Final Product Destination sections must always be completed. However, the Intermediate Product Destination section only needs to be completed if the product is being exported and/or transhipped.

The top of the form contains 2 additional pieces of information that must always be completed. These are:

Document Number: Enter the unique document number that was allocated by the State/Fishing Entity of origin for this form.

Catch Tagging Form Document numbers: Enter the unique document number of each Catch Tagging Form that is associated with this form. If there is insufficient room to enter all document numbers, enter this information on a separate page and attach that page.

CATCH HARVEST SECTION - tick and complete only one part

Tick the box to specify whether the catch is for the Wild fishery or for Farmed SBT.

You must complete the part of the Catch Harvest section corresponding to the box that you ticked, and then complete the remainder of the section.

For Wild Fishery - This should only be completed for the wild fishery (not for farmed SBT)

Name of Catching Vessel: Enter the name of the catching vessel.

Registration Number: Enter the Registration Number of the catching vessel.

Flag State / Fishing Entity: Enter the Flag State or Fishing Entity of the catching vessel.

For Farmed SBT- This should only be completed for farmed SBT

CCSBT Farm Serial Number: Enter the serial number of the farm as recorded on the CCSBT list of authorised farms.

Name of Farm: Enter the Name of the Farm.

Document number(s) of the Associated Farm Stocking (FS) Form(s): Enter the unique document number of each Farm Stocking Form that is associated with this form. The Farm Stocking Form Number(s) associated with this form should all be for fish stocked in the same fishing season. If there is insufficient room to enter all document numbers, enter this information on a separate page and attach that page.

Description of Fish

All SBT described in this section must be sent to the Final Product Destination. Split shipments are not permitted, so if SBT are to go to two or more different destinations, a separate Catch Monitoring Form must be completed for the catch sent to each destination.

The shipment of SBT must be described, to the highest degree of accuracy using the following information.

NOTE: One row shall describe one product type

Product: Enter the type of product being shipped as either FRESH (F) or FROZEN (FR).

Type: Enter the type code from the table below that most closely matches the type of the SBT. For OT, describe the type of product, and specify a conversion factor.

CODE	NAME	DESCRIPTION
RD	Round	SBT Without any processing
GGO	Gilled and Gutted - Tail on	Processed with gills and gut removed. Operculae (gill plates/covers) and dorsal, pelvic and anal fins may or may not be removed
GGT	Gilled and Gutted - Tail off	Processed with gills, gut and tail removed. Operculae (gill plates/covers) and dorsal, pelvic and anal fins may or may not be removed
DRO	Dressed - Tail on	Processed with gills, gut, operculae (gill plates/covers) and head removed. Dorsal, pelvic and anal fins may or may not be removed
DRT	Dressed - Tail off	Processed with gills, gut, operculae (gill plates/covers), head and tail removed. Dorsal, pelvic and anal fins may or may not be removed
FL	Fillet	Processed further than DRT, with the trunk cut into fillets
OT	Other	None of the above

Month of Catch/Harvest (mm/yy): Enter the month and year of harvest of the southern bluefin tuna; in the case of farmed fish, this applies to the month of kill, not the month of initial harvest.

Gear code: Identify the gear type that was used to harvest the southern bluefin tuna using the list below; for OTHER TYPE, describe the type of gear. In the case of farmed fish, write "FARM".

GEAR CODE	GEAR TYPE
BB	Baitboat
GILL	Gillnet
HAND	Handline
HARP	Harpoon
LL	Longline
MWT	Mid-water Trawl
PS	Purse Seine
RR	Rod and Reel
SPHL	Sport Handline
SPOR	Sport Fisheries Unclassified
SURF	Surface Fisheries Unclassified
TL	Tended Line
TRAP	Trap
TROL	Troll
UNCL	Unspecified Method
OT	Other Type



INSTRUCTION SHEET

CATCH HARVEST SECTION - (continued)

CCSBT Statistical Area: Enter the area in which the southern bluefin tuna were harvested using the main CCSBT Statistical Areas (1 to 10 and 14 to 15) or the other CCSBT Statistical Areas (11 to 13) where there is no corresponding main area. In the case of farmed fish, it is not necessary to fill in this column. A map showing the statistical areas is provided on page 3 of these instructions.

Net Weight (kg): Enter the net product weight in kilograms. In the case of farmed SBT, this is the weight at the time of harvest from the farm (as opposed to when originally caught)

Total Number of whole Fish (including RD, GGO, GGT, DRO, DRT): Enter the number of fish that remain whole. A fish remains whole despite cleaning, gilling and gutting, freezing, removing fins, operculae (gill plates/covers) and tail and removing the head or parts of the head. A fish is no longer considered to be whole if it has undergone processes such as filleting or loining.

For Other (OT) Describe the type of product: If Product Type is Other (OT), then describe the product.

For Other (OT) Specify the Conversion Factor: If Product Type is Other (OT) then specify the Conversion Factor to be used to convert this weight to a whole weight equivalent.

Name of Processing Establishment (if applicable): Enter the name of the establishment that processed the southern bluefin tuna (if applicable).

Address of Processing Establishment (if applicable): Enter the address of the establishment that processed the southern bluefin tuna (if applicable).

Validation

Validation by Authority (not required for exports transhipped at sea): If this is not an export being transhipped at sea, enter the name and full title of the official¹ signing the document, together with the signature of the official, date (dd/mm/yyyy) and official seal. For SBT transhipped at sea and then landed domestically, validation should occur at the point of domestic landing (i.e. after transhipment).

INTERMEDIATE PRODUCT DESTINATION SECTION - only for transhipments and/or exports - tick and complete required part(s)

This section only needs to be completed if the product is being exported and/or transhipped.

Tick the box to specify whether the product is being transhipped or exported. If this is both a transhipment and an export then tick both boxes.

You must then complete the parts of the intermediate product destination section corresponding to the box(es) that you ticked.

Transhipment

Certification by Master of Fishing Vessel: In the case of all transhipments, the master of the fishing vessel shall complete this section, with his/her full name, signature and date (dd/mm/yyyy) to certify that the form correctly records the catch/harvest information.

The next part should be completed by the Master of the vessel receiving the southern bluefin tuna.

Name of Receiving Vessel: Enter the name of the Receiving vessel.

Registration Number: Enter the Registration Number of the Receiving vessel.

Flag State / Fishing Entity: Enter the Flag State or Fishing Entity of the Receiving vessel.

Certification by Master of Receiving Vessel: The master of the receiving vessel shall complete this section, with his/her full name, signature and date (dd/mm/yyyy) to certify that the form correctly records the fish that were transferred to the receiving vessel.

Signature of Observer (only for transhipments at sea): If a transhipment is covered by the CCSBT Resolution on Establishing a Program for Transhipment by Large-Scale Fishing Vessels (i.e. is transhipped at sea), then the observer must enter his/her full name, signature and date (dd/mm/yyyy). If there were any discrepancies between the observed transhipment and the information recorded on the Catch Monitoring Form, the observer's transhipment report should document those discrepancies.

Export

Point of Export

City: Enter the City of Export.

State or Province: Enter the State or Province of Export.

State/Fishing Entity: Enter the State/Fishing Entity of Export. For transhipments on the high seas, enter the CCSBT Statistical Area where the transhipment occurred and leave other fields blank.

Destination

State/Fishing Entity: Enter the State/Fishing Entity to which the southern bluefin tuna is being exported.

Certification by Exporter: The Exporter² must provide his/her name, signature, date (dd/mm/yyyy) and either the exporter license number or the exporter company name to certify the information provided in relation to the export shipment (i.e. that the form correctly records what is being exported). If the exporter does not have a licence number or exporter company name, then they should write their own name in this field.

Validation by Authority: Enter the name and full title of the official¹ signing the document, together with the signature of the official, date (dd/mm/yyyy) and official seal.

FINAL PRODUCT DESTINATION SECTION - tick and complete only one Destination

Tick the box to specify whether the final destination of the product is for landing of domestic product or for import.

You must then complete the part of the final product destination section corresponding to the box that you ticked.

Landing of Domestic Product for Domestic Sale

Certification of domestic sale: The initial person or company that receives southern bluefin tuna from a domestic vessel for the purpose of domestic sale must provide his/her/its name, address, date (dd/mm/yyyy) on which the southern bluefin tuna was landed/received, signature, type³, and weight (kg) of the complete domestic landing of SBT.

Import

Final Point of Import

City: Enter the City of Import.

State or Province: Enter the State or Province of Import.

State/Fishing Entity: Enter the State/Fishing Entity of Final Import.

Certification by Importer: The person or company that imports southern bluefin tuna must provide his/her/its name, address, date (dd/mm/yyyy) on which the southern bluefin tuna was imported, signature, type³, and weight (kg). For fresh and chilled product, the signature of the importer may be substituted by a person of a customs clearance company when the authority for signature is properly accredited to it by the importer.

¹ The official must be in the employment of, or delegated by, the competent authority of the Member, or Co-operating Non-Member that issued the Catch Monitoring Form. The Member or Co-operating Non-Member which utilizes a delegated entity shall submit a certified copy of such delegation to the Executive Secretary.

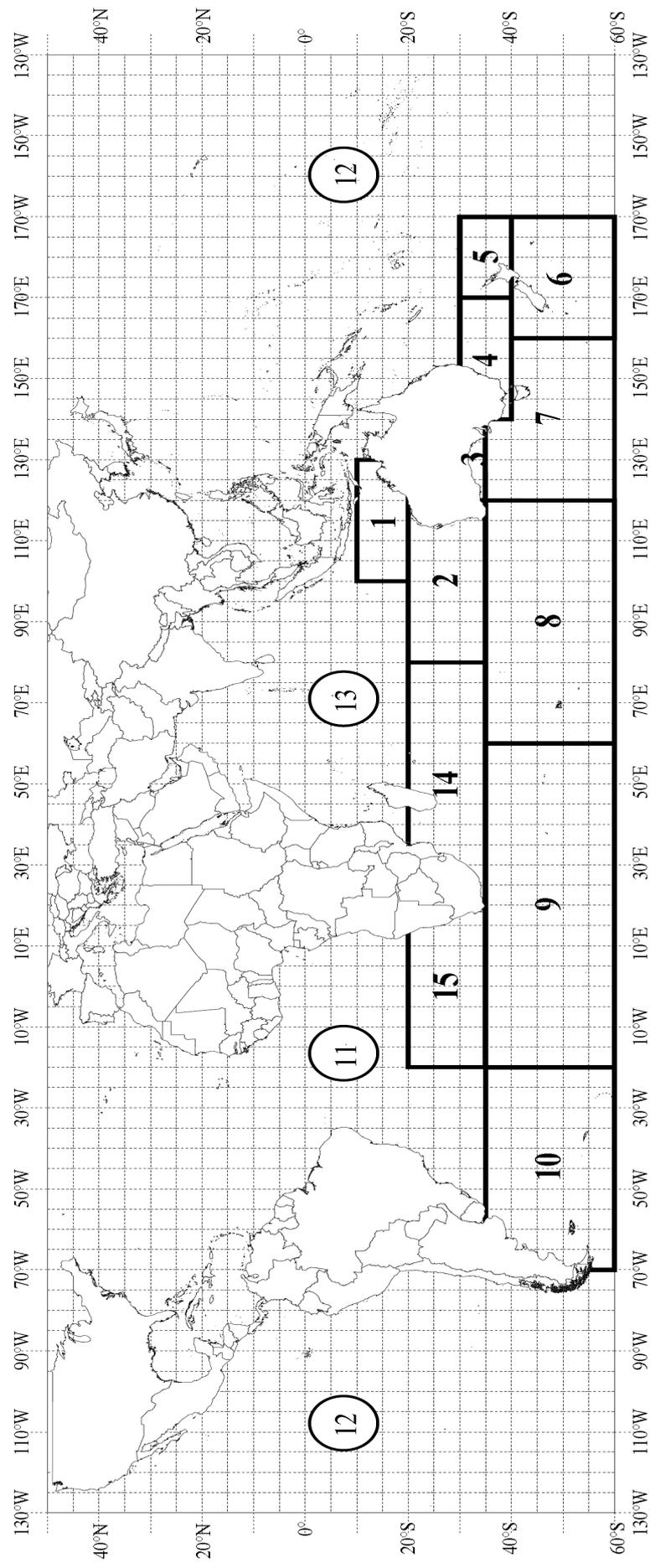
² The individual certifying as 'Exporter' must be an appropriate authority approved by the exporting company to make this declaration on the company's behalf, but it must not be the same individual as the authority validating the export.

³ A list of types is provided in the 'Description of Fish' section.



INSTRUCTION SHEET

• Map of CCSBT Statistical Areas



DOCUMENT NUMBER	IATTC BIGEYE TUNA STATISTICAL DOCUMENT		
EXPORT SECTION			
1. FLAG OF COUNTRY/ENTITY/FISHING ENTITY			
2. NAME OF VESSEL AND REGISTRATION NUMBER (when available)			
3. TRAPS (if applicable)			
4. POINT OF EXPORT (City, State / Province, Country / Entity / Fishing Entity)			
5. AREA OF CATCH (check one of the following) (a) EPO(East of 150°W) (b) the rest of Pacific (c) Atlantic (d) Indian * In case of (b), (c) or (d) checked, the item 6 and 7 below do not need to be filled out.			
6. DESCRIPTION OF FISH			
Product Type (*1)		Gear Code(*2)	Net Weight
F/FR	D/GG/DR/FL/OT		(Kg)
*1= F=Fresh, FR=Frozen, RD=Round, GG=Gilled and Guttled, DR=Dressed, FL=Fillet OT=Other, describe the type of product			
*2= When the Gear Code is OT, describe the type of gear, _____			
7. EXPORTER CERTIFICATION <u>I certify that the above information is complete, true, and correct to the best of my knowledge and belief.</u>			
Name	Company name	Address	Signature Date License Number (if applicable)
8. GOVERNMENT VALIDATION <u>I validate that information listed above is complete, true, and correct to the best of my knowledge and belief.</u>			
Total weight of the shipment	_____ Kg		
Name & Title	Signature	Date	Government Seal
IMPORT SECTION:			
IMPORTER CERTIFICATION <u>I certify that the above information is complete, true, and correct to the best of my knowledge and belief.</u>			
Importer Certification (Intermediate Country / Entity / Fishing Entity)			
Name	Address	Signature	Date License # (if applicable)
Importer Certification (Intermediate Country / Entity / Fishing Entity)			
Name	Address	Signature	Date License # (if applicable)
Final Point of Import			
City	State/Province	Country / Entity / Fishing Entity	

NOTE: If a language other than English is used in completing this form. Please add the English translation on this document.

INSTRUCTIONS

DOCUMENT NUMBER: Block for the issuing Country to designate a country coded Document Number.

(1) FLAG COUNTRY/ENTITIES/FISHING ENTITIES: Fill in the name of the country of the vessel that harvested the bigeye tuna in the shipment and issued this Document. According to the Resolution, only the flag state of the vessel that harvested the bigeye tuna in the shipment or, if the vessel is operating under a charter arrangement, the exporting state can issue this Document.

(2) NAME OF VESSEL AND REGISTRATION NUMBER (when available): Fill in the name and registration number of the vessel that harvested the bigeye tuna in the shipment.

(3) TRAPS (if applicable): Fill in the name of the trap that harvested the bigeye tuna in the shipment.

(4) POINT OF EXPORT: Identify the City, State or Province, and Country from which the bigeye tuna was exported.

(5) AREA OF CATCH: Check the area of catch. (If (b), (c) or (d) checked, items 6 and 7 below do not need to be filled out.)

(6) DESCRIPTION OF FISH: The exporter must provide, to the highest degree of accuracy, the following information. **NOTE:** One row should describe one product type

(1) Product Type: Identify the type of product being shipped as either FRESH or FROZEN, and in ROUND, GILLED AND GUTTED, DRESSED, FILLET or OTHER form. For OTHER, describe the type of products in the shipment.

(2) Gear Code: Identify the gear type which was used to harvest the bigeye tuna using the list below. For OTHER TYPE, describe the type of gear, including farming.

(3) Net product weight in kilograms.

(7) EXPORTER CERTIFICATION: The person or company exporting the bigeye tuna shipment must provide his/her name, company name, address, signature, date the shipment was exported, and dealer license number (if applicable).

(8) GOVERNMENT VALIDATION: Fill in the name and full title of the official signing the Document. The official must be employed by a competent authority of the flag state government of the vessel that harvested the bigeye tuna appearing on the Document or other individual or institution authorized by the flag state. When appropriate, this requirement is waived according validation of the document by a government official, or if the vessel is operating under a charter arrangement, by a government official or other authorized individual or institution of the exporting state. The total weight of the shipment shall also be specified in this block.

(9) IMPORTER CERTIFICATION: The person or company that imports bigeye tuna must provide his/her name, address, signature, date the bigeye was imported, license number (if applicable), and final point of import. This includes imports into intermediate countries. For fresh and chilled products, the signature of the importer may be substituted by a person of a customs clearance company when the authority for signature is properly accredited to it by the importer.

GEAR CODE:

<i>GEAR CODE</i>	<i>GEAR TYPE,</i>
BB	BAITBOAT
GILL	GILLNET
HAND	HANDLINE
HARP	HARPOON
LL	LONGLINE
MWT	MID-WATER TRAWL
PS	PURSE SEINE
RR	ROD AND REEL
SPHL	SPORT HANDLINE
SPOR	SPORT FISHERIES UNCLASSIFIED
SURF	SURFACE FISHERIES UNCLASSIFIED
TL	TENDED LINE
TRAP	TRAP
TROL	TROLL
UNCL	UNSPECIFIED METHODS
OT	OTHER TYPE

RETURN A COPY OF COMPLETED DOCUMENT TO: (the name of the office of the competent authority of the flag state).

DOCUMENT NUMBER	ICCAT SWORDFISH STATISTICAL DOCUMENT
EXPORT SECTION:	
1. FLAG COUNTRY/ENTITY/FISHING ENTITY	
2. DESCRIPTION OF VESSEL (if applicable)	
Vessel Name	Registration Number
LOA (m)	ICCAT Record No. (if applicable)
3. POINT OF EXPORT:	
CITY, STATE OR PROVINCE	COUNTRY/ENTITY/FISHING ENTITY _____
4. AREA OF CATCH (Check one of the following)	
(a) North Atlantic (b) South Atlantic (c) Mediterranean (d) Pacific (e) Indian	
* In case of (d) or (e) is checked, the items 5 and 6 below do not need to be filled out.	
5. DESCRIPTION OF FISH	
Product Type ^a	Time of Harvest
F/FR RD/GG/DR/FL/OT	(mm/yy)
	Gear Code ^b
	Net Weight
	(kg)
^a F=Fresh, FR=Frozen, RD=Round, GG=Gilled & Gutted, DR=Dressed, FL=Fillet, ST=Steak, OT=Others (Describe the type of product: _____)	
^a When the Gear Code is OT, describe the type of gear: _____	
6. EXPORTER CERTIFICATION: For export to countries that have adopted the ICCAT alternative minimum size for swordfish the exporter must certify that the listed Atlantic swordfish are greater than 15 kg(33lb.) or if pieces, the pieces were derived from a swordfish weighing >15kg.	
I certify that the above information is complete, true and correct to the best of my knowledge and belief.	
Name	Address
Signature	Date
License # (if applicable)	
7. GOVERNMENT VALIDATION:	
I validate that the above information is complete, true and correct to the best of my knowledge and belief.	
Total weight of the shipment: _____ kg	
Name & Title	Signature
Date	Government Seal
IMPORT SECTION	
8. IMPORTER CERTIFICATION:	
I certify that the above information is complete, true and correct to the best of my knowledge and belief.	
Importer Certification (Intermediate Country/Entity/Fishing Entity)	
Name	Address
Signature	Date
License # (if applicable)	
Importer Certification (Intermediate Country/Entity/Fishing Entity)	
Name	Address
Signature	Date
License # (if applicable)	
Importer Certification (Final Destination of Shipment)	
Name	Address
Signature	Date
License # (if applicable)	
Final Point of Import: City _____ State/Province _____ Country/Entity/Fishing Entity _____	

NOTE: IF A LANGUAGE OTHER THAN ENGLISH IS USED IN COMPLETING THIS FORM, PLEASE ADD THE ENGLISH TRANSLATION ON THIS DOCUMENT.

ICCAT SWORDFISH STATISTICAL DOCUMENT INSTRUCTION SHEET

Pursuant to the 2001 ICCAT recommendation, swordfish imported into the territory of a Contracting Party or upon first entry into a regional economic organization must be accompanied by an ICCAT Swordfish Statistical Document (SWD) beginning January 1, 2003. Swordfish dealers who export or import swordfish from all ocean areas will be required to complete the appropriate sections of the SWD. Only complete and valid documents will guarantee that shipments of swordfish will be allowed to enter the custom territory of Contracting Parties (e.g., Japan, Canada, U.S., Spain, etc.). Improperly documented swordfish shipments (i.e., the SWD is either missing from the shipment, incomplete, invalid or falsified) will be considered illegitimate and contrary to ICCAT conservation efforts. Entry of improperly documented swordfish will be suspended (PENDING RECEIPT OF A PROPERLY COMPLETED DOCUMENT) into the customs territory of a Contracting Party or subject to administrative or other sanctions.

Please use the instructions below as a guide to complete the sections that apply to Exporters, Importers, and Government Validation. If a language other than English is used for description, please add an English translation either on the SWD or on a separate paper. Note: if a swordfish product is exported directly from the harvesting Country/Entity/Fishing Entity to a Contracting Party, without going through an intermediate Country/Entity/Fishing Entity, all fish can be identified on one document. However, if the swordfish product is exported through an intermediate Country/Entity/Fishing Entity (i.e., a Country/Entity/Fishing Entity other than the Country/Entity/Fishing Entity which is the final destination of the product), separate documents must be prepared for fish destined for different final destinations, or only one fish may be identified on a document to cope with any possible separation in an intermediate Country/Entity/Fishing Entity. Import of swordfish parts other than meat (i.e., heads, eyes, roe, guts, tails) may be allowed entry without an accompanying SWD.

DOCUMENT NUMBER: This block is for the issuing Country/Entity/Fishing Entity to designate a country coded Document Number.

(1) FLAG COUNTRY/ENTITY/FISHING ENTITY - Fill in the name of the Country/Entity/Fishing Entity of the vessel that harvested the swordfish in the shipment and issued this Document. According to the ICCAT Recommendation, only the flag state of the vessel that harvested the swordfish in the shipment, or, if the vessel is operating under a chartering arrangement, the exporting state, can issue this Document.

(2) DESCRIPTION OF VESSEL (if applicable): Fill in the name, registration number, length overall (LOA) and ICCAT Record number of the vessel that harvested the swordfish in the shipment.

(3) POINT OF EXPORT - Identify the City and State or Province, and country/entity/fishing entity from which the swordfish was exported.

(4) AREA OF CATCH - Check the area of catch. (In case of (d) or (e) checked, items 4 and 5 need not be completed).

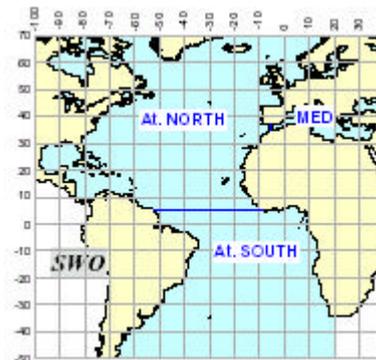
(5) DESCRIPTION OF FISH - The exporter must provide, to the highest degree of accuracy, the following information. (NOTE: One row should describe one product type.) (1) Product type: Identify the type of product being shipped as either FRESH or FROZEN, and in ROUND, GILLED AND GUTTED, DRESSED, FILLET or OTHER form. For OTHER, describe the type of products in the shipment; (2) Time of Harvest: Fill in the time of harvest (month and year) of the swordfish in the shipment; (3) Gear Code: Identify the gear type which was used to harvest the swordfish using the listed codes; (5) Net weight: Net product weight in kilograms.

(6) EXPORTER CERTIFICATION - The person or company exporting the swordfish shipment must provide his/her name, signature, address, date the shipment was exported, and dealer license number (if applicable). For countries that have adopted the ICCAT alternative minimum size for swordfish the exporter must certify that the listed Atlantic swordfish are greater than 15 kg (33 lb.) or if pieces, the pieces were derived from a swordfish weighing >15 kg.

(7) GOVERNMENT VALIDATION - Fill in name and full title of the official signing the SWD. The official must be employed by a competent authority of the flag state government of the vessel that harvested the swordfish appearing on the SWD or other individual or institution authorized by the flag state or if the vessel is operating under a charter arrangement, by a government official or other authorized individual or institution of the exporting state. Net weight must also be certified and recorded. The substitutional measure described in paragraphs A-D of the *Resolution by ICCAT concerning Validation by a Government Official of the Bluefin Tuna Statistical Document* [93-2], adopted by the Commission in 1993, may be applied to the above requirements for the validations in this Swordfish Statistical Document Program.

(8) IMPORTER CERTIFICATION - The person or company that imports swordfish must provide their name, signature, address, date the swordfish was imported, license number (if applicable) and final point of import. This includes imports into intermediate countries, entities or fishing entities. For fresh and chilled products, signature of the importer may be substituted by person of a custom clearance company when the authority of signature is properly accredited to the company.

GEAR CODE	GEAR TYPE
BB	Baitboat
GILL	Gillnet
HAND	Handline
HARP	Harpoon
LL	Longline
MWT	Mid-water trawl
PS	Purse seine
RR	Rod and reel
SPHL	Sport handline
SPOR	Sport fisheries, unclassified
SURF	Surface fisheries, unclassified
TL	Tended line
TRAP	Trap
TROL	Troll
UNCL	Unspecified methods
OT	Other type: Describe the type of gear



Original completed document must accompany exported shipment. Retain a copy for your records. The original (imports) or a copy (exports) must be postmarked and mailed, or faxed, within 24 hours of import:

NMFS, National Seafood Inspection Laboratory, P.O. Drawer 1207, Pascagoula, MS 39568-1207.

FAX 978/281-9340. This form is approved under OMB information collection number 0648-0040. Expiration Date 1/31/2014.