

# NOTICE OF OFFICE OF MANAGEMENT AND BUDGET ACTION

Date 03/27/2015

Department of Commerce  
National Oceanic and Atmospheric Administration

FOR CERTIFYING OFFICIAL: Jerry Harper  
FOR CLEARANCE OFFICER: Jennifer Jessup

In accordance with the Paperwork Reduction Act, OMB has taken action on your request received 02/18/2015

ACTION REQUESTED: Extension without change of a currently approved collection

TYPE OF REVIEW REQUESTED: Regular

ICR REFERENCE NUMBER: 201502-0648-004

AGENCY ICR TRACKING NUMBER:

TITLE: West Coast Groundfish Trawl Economic Data

LIST OF INFORMATION COLLECTIONS: See next page

OMB ACTION: Approved without change

OMB CONTROL NUMBER: 0648-0618

The agency is required to display the OMB Control Number and inform respondents of its legal significance in accordance with 5 CFR 1320.5(b).

EXPIRATION DATE: 03/31/2018

DISCONTINUE DATE:

BURDEN:	RESPONSES	HOURS	COSTS
Previous	252	2,700	5,040
New	207	2,208	4,140
Difference			
Change due to New Statute	0	0	0
Change due to Agency Discretion	0	0	0
Change due to Agency Adjustment	-45	-492	-900
Change due to PRA Violation	0	0	0

TERMS OF CLEARANCE:

OMB Authorizing Official: Dominic J. Mancini  
Acting Deputy Administrator,  
Office Of Information And Regulatory Affairs

List of ICs

IC Title	Form No.	Form Name	CFR Citation
Economic data collections for catcher vessels, catcher-processors, motherships and first receivers	NA, NA, NA, NA	Catcher/processor economic data survey, Mothership economic data survey, First receiver/shorebased processor economic data survey, Catcher vessel economic data survey	

# PAPERWORK REDUCTION ACT SUBMISSION

**Please read the instructions before completing this form. For additional forms or assistance in completing this form, contact your agency's Paperwork Clearance Officer. Send two copies of this form, the collection instrument to be reviewed, the supporting statement, and any additional documentation to: Office of Information and Regulatory Affairs, Office of Management and Budget, Docket Library, Room 10102, 725 17th Street NW, Washington, DC 20503.**

<p>1. Agency/Subagency originating request</p>	<p>2. OMB control number <span style="float: right;">b. <input type="checkbox"/> None</span>                  a. _____ - _____</p>
<p>3. Type of information collection (<i>check one</i>)</p> <p>a. <input type="checkbox"/> New Collection</p> <p>b. <input type="checkbox"/> Revision of a currently approved collection</p> <p>c. <input type="checkbox"/> Extension of a currently approved collection</p> <p>d. <input type="checkbox"/> Reinstatement, without change, of a previously approved collection for which approval has expired</p> <p>e. <input type="checkbox"/> Reinstatement, with change, of a previously approved collection for which approval has expired</p> <p>f. <input type="checkbox"/> Existing collection in use without an OMB control number</p> <p>For b-f, note Item A2 of Supporting Statement instructions</p>	<p>4. Type of review requested (<i>check one</i>)</p> <p>a. <input type="checkbox"/> Regular submission</p> <p>b. <input type="checkbox"/> Emergency - Approval requested by _____ / _____ / _____</p> <p>c. <input type="checkbox"/> Delegated</p>
	<p>5. Small entities                  Will this information collection have a significant economic impact on a substantial number of small entities? <input type="checkbox"/> Yes <input type="checkbox"/> No</p>
	<p>6. Requested expiration date</p> <p>a. <input type="checkbox"/> Three years from approval date b. <input type="checkbox"/> Other Specify: _____ / _____</p>
<p>7. Title</p>	
<p>8. Agency form number(s) (<i>if applicable</i>)</p>	
<p>9. Keywords</p>	
<p>10. Abstract</p>	
<p>11. Affected public (<i>Mark primary with "P" and all others that apply with "x"</i>)</p> <p>a. <input type="checkbox"/> Individuals or households d. <input type="checkbox"/> Farms</p> <p>b. <input type="checkbox"/> Business or other for-profit e. <input type="checkbox"/> Federal Government</p> <p>c. <input type="checkbox"/> Not-for-profit institutions f. <input type="checkbox"/> State, Local or Tribal Government</p>	<p>12. Obligation to respond (<i>check one</i>)</p> <p>a. <input type="checkbox"/> Voluntary</p> <p>b. <input type="checkbox"/> Required to obtain or retain benefits</p> <p>c. <input type="checkbox"/> Mandatory</p>
<p>13. Annual recordkeeping and reporting burden</p> <p>a. Number of respondents _____</p> <p>b. Total annual responses _____</p> <p>    1. Percentage of these responses collected electronically _____ %</p> <p>c. Total annual hours requested _____</p> <p>d. Current OMB inventory _____</p> <p>e. Difference _____</p> <p>f. Explanation of difference</p> <p>    1. Program change _____</p> <p>    2. Adjustment _____</p>	<p>14. Annual reporting and recordkeeping cost burden (<i>in thousands of dollars</i>)</p> <p>a. Total annualized capital/startup costs _____</p> <p>b. Total annual costs (O&amp;M) _____</p> <p>c. Total annualized cost requested _____</p> <p>d. Current OMB inventory _____</p> <p>e. Difference _____</p> <p>f. Explanation of difference</p> <p>    1. Program change _____</p> <p>    2. Adjustment _____</p>
<p>15. Purpose of information collection (<i>Mark primary with "P" and all others that apply with "X"</i>)</p> <p>a. <input type="checkbox"/> Application for benefits e. <input type="checkbox"/> Program planning or management</p> <p>b. <input type="checkbox"/> Program evaluation f. <input type="checkbox"/> Research</p> <p>c. <input type="checkbox"/> General purpose statistics g. <input type="checkbox"/> Regulatory or compliance</p> <p>d. <input type="checkbox"/> Audit</p>	<p>16. Frequency of recordkeeping or reporting (<i>check all that apply</i>)</p> <p>a. <input type="checkbox"/> Recordkeeping b. <input type="checkbox"/> Third party disclosure</p> <p>c. <input type="checkbox"/> Reporting</p> <p>    1. <input type="checkbox"/> On occasion 2. <input type="checkbox"/> Weekly 3. <input type="checkbox"/> Monthly</p> <p>    4. <input type="checkbox"/> Quarterly 5. <input type="checkbox"/> Semi-annually 6. <input type="checkbox"/> Annually</p> <p>    7. <input type="checkbox"/> Biennially 8. <input type="checkbox"/> Other (describe) _____</p>
<p>17. Statistical methods</p> <p>Does this information collection employ statistical methods</p> <p style="text-align: center;"><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>18. Agency Contact (person who can best answer questions regarding the content of this submission)</p> <p>Name: _____</p> <p>Phone: _____</p>

## 19. Certification for Paperwork Reduction Act Submissions

On behalf of this Federal Agency, I certify that the collection of information encompassed by this request complies with 5 CFR 1320.9

**NOTE:** The text of 5 CFR 1320.9, and the related provisions of 5 CFR 1320.8(b)(3), appear at the end of the instructions. *The certification is to be made with reference to those regulatory provisions as set forth in the instructions.*

The following is a summary of the topics, regarding the proposed collection of information, that the certification covers:

- (a) It is necessary for the proper performance of agency functions;
- (b) It avoids unnecessary duplication;
- (c) It reduces burden on small entities;
- (d) It used plain, coherent, and unambiguous terminology that is understandable to respondents;
- (e) Its implementation will be consistent and compatible with current reporting and recordkeeping practices;
- (f) It indicates the retention period for recordkeeping requirements;
- (g) It informs respondents of the information called for under 5 CFR 1320.8(b)(3):
  - (i) Why the information is being collected;
  - (ii) Use of information;
  - (iii) Burden estimate;
  - (iv) Nature of response (voluntary, required for a benefit, mandatory);
  - (v) Nature and extent of confidentiality; and
  - (vi) Need to display currently valid OMB control number;
- (h) It was developed by an office that has planned and allocated resources for the efficient and effective management and use of the information to be collected (see note in Item 19 of instructions);
- (i) It uses effective and efficient statistical survey methodology; and
- (j) It makes appropriate use of information technology.

If you are unable to certify compliance with any of the provisions, identify the item below and explain the reason in Item 18 of the Supporting Statement.

Signature of Senior Official or designee

Date

Agency Certification (signature of Assistant Administrator, Deputy Assistant Administrator, Line Office Chief Information Officer, head of MB staff for L.O.s, or of the Director of a Program or StaffOffice)

Signature

Date

Signature of NOAA Clearance Officer

Signature

Date

**SUPPORTING STATEMENT  
WEST COAST GROUND FISH TRAWL ECONOMIC DATA COLLECTION  
OMB CONTROL NO. 0648-0618**

**A. JUSTIFICATION**

**1. Explain the circumstances that make the collection of information necessary.**

Individual fishing quotas for the West Coast groundfish trawl fishery, otherwise known as Trawl Rationalization, was implemented in January 2011 by the Pacific Fisheries Management Council (PFMC). The management system changed from a system of two-month trip limits by species, area restrictions, and gear restrictions to an Individual Fishing Quota (IFQ) management regime. In addition to the IFQ management regime, participants in the at-sea whiting program have formed cooperatives for allocating harvest quota for whiting.

The data collection program described in this document is designed to meet the requirements of the PFMC's call for mandatory data collection as part of the implementation of individual fishing quota (IFQ) management in the West Coast groundfish trawl fishery. This mandatory data collection program is needed to meet the monitoring requirements of Section 303A(c)(1)(G) of the [Magnuson-Stevens Act \(MSA\)](#). Requirements are codified in [50 CFR Part 660.114](#).

Participants in the West Coast groundfish trawl fishery, who must have a limited entry permit with a trawl endorsement, account for about 70% of groundfish landings (by revenue) on the West Coast of the United States (U.S.). The fishery includes an at-sea whiting component (vessels that harvest and process whiting at-sea), a shorebased whiting component (vessels that harvest whiting and deliver to shorebased processors), and a non-whiting groundfish component (all non-whiting groundfish is delivered by catcher vessels to first receivers or shorebased processors).

As mandated in the regulations, data are collected from "All owners, lessees, and charterers of a catcher vessel registered to a limited entry trawl endorsed permit at any time in 2011 and beyond", "All owners, lessees, and charterers of a mothership vessel registered to an MS permit at any time in 2011 and beyond", "All owners, lessees, and charterers of a catcher processor vessel registered to a C/P-endorsed limited entry trawl permit at any time in 2011 and beyond", "All owners of a first receiver site license in 2011 and beyond", and "All owners and lessees of a shorebased processor (as defined under "processor" at §660.11, for purposes of EDC) that received round or headed-and-gutted IFQ species groundfish or whiting from a first receiver in 2011 and beyond." To date, data have been collected for 2009-2013. The 2009-2010 data collection serves a baseline for comparison,

In its consideration of an IFQ management regime for the West Coast groundfish trawl fishery, the PFMC enumerated the following goals for the program:

- 1) Provide for a viable, profitable, and efficient groundfish fishery.
- 2) Increase operational flexibility.

- 3) Minimize adverse effects from an IFQ program on fishing communities and other fisheries to the extent practical.
- 4) Promote measurable economic and employment benefits through the seafood catching, processing, distribution elements, and support sectors of the industry.
- 5) Provide quality product for the consumer.
- 6) Increase safety in the fishery

These goals are an important consideration in the development of the economic data collection program, as the Magnuson-Stevens Act (MSA) includes provisions for monitoring the degree to which the goals have been met. Section 303A(c)(1)(G) states that any limited entry permit program (LAPP) shall:

include provisions for the regular monitoring and review by the Council and the Secretary of the operations of the program, including determining progress in meeting the goals of the program and this Act, and any necessary modification of the program to meet those goals, with a formal review 5 years after the implementation of the program and thereafter to coincide with scheduled Council review of the relevant fishery management plan (but no less frequent than once every 7 years).

In order to meet the monitoring requirements of the MSA, it is necessary to continue to have economic data on all catcher vessels, catcher processors, motherships, and first receivers/shoreside processors participating in the West Coast groundfish fishery. This includes (i) catcher vessels (delivering to shoreside processors and/or motherships), (ii) motherships, (iii) catcher-processors, and (iv) first receivers/shorebased processors. A survey form was developed for each of these four groups.

**2. Explain how, by whom, how frequently, and for what purpose the information will be used. If the information collected will be disseminated to the public or used to support information that will be disseminated to the public, then explain how the collection complies with all applicable Information Quality Guidelines.**

*How Information Will Be Used?*

Information received from this survey will continue to be used to calculate economic performance measures and support the regional economic impact model developed by the Northwest Fisheries Science Center (Input-Output Pacific Coast, or IO-PAC). While the calculation of some economic performance measures involves the use of statistical inference to develop values that are representative of the desired population (as even with mandatory data collection, there may be some non-respondents) and the development of other economic performance measures requires the development of economic and econometric models. Examples of economic performance measures based on statistical inference of survey variables include calculation of expenditures for each input, calculation of total costs incurred when operating the vessel (which include not only expenditures for inputs but also other expenditures such as the purchase/leasing of permits and quota), calculation of total revenue earned through operation of the vessel, variable profit (total revenue minus variable costs), vessel operating characteristics (speed and fuel use), crew size, and crew compensation methods (what share of revenue is paid to the crew and which expenses are deducted from landings revenue before the

crew is paid). Performance measures that require the estimation of economic models include efficiency of operation and average cost curves for different length vessels (that provide the level of harvest output at which a specific length vessel minimizes its cost per pound of fish landed).

Many of these performance measures have been estimated using data from the two voluntary cost earnings surveys previously conducted with the limited entry trawl fleet of catcher vessels. Data from the first survey was used to analyze the expected effect of implementing a catch share program regarding the number of vessels, harvesting costs, economic rent, and profits. This analysis has been published in the *Journal of Marine Economics* and provides the economic analysis used in the Environmental Impact Statement being prepared as part of the catch share implementation process.<sup>1</sup>

Data from the voluntary cost earnings surveys of the limited entry trawl fleet has also provided expenditure data used in the Input-Output Model for Pacific Coast Fisheries (IO-PAC) developed by the Northwest Fishery Science Center (NWFSC). Cost earnings survey data provides data on vessel expenditures by cost category (captain, crew, fuel, etc.), which drives the estimates of how changes in landings affect regional economies and coastal economies. Estimates from IO-PAC are used in the PFMC's bi-annual groundfish specifications process, which sets allowable landings levels by groundfish species and gear type. Since the implementation of the EDC Program, the analysis of the groundfish specifications process has been expanded to calculate net benefits in addition to the generation of impact estimates. The data were also used for a tech-memo looking at productivity in catch share programs, and in another analysis for the PFMC analyzing the effects of observer and electronic monitoring costs on net benefits.

While data collected through voluntary surveys of the catcher vessels participating in the limited entry trawl fishery have allowed NWFSC economists to perform analysis used in fisheries management and published in peer reviewed journals, the scope of analysis has been constrained by not having data on catcher processors, motherships, or first receivers/shoreside processors. The mandatory surveys presented in this request will not only allow the type of analysis which has already been performed to be continued, but will allow expanded analysis due to the availability of data for the shore-based processing, the at-sea fleet, as well as the harvesting sector. Because the data collection program covers both pre and post catch share implementation, it will be possible to determine how catch share management has affected the size and distribution of economic benefits from the fishery.

#### *Who Will Use the Data?*

Information collected in this data collection will be used by economists at the NWFSC and their contractors. While some analysis performed by contractors will require that they have access to survey data for individual vessels or processing plants, all identifying information (such as vessel ID, owners name, vessel name, processing plant address, etc.) will be removed from the data before it is provided to contractors hired by the NWFSC. Individual vessel and processing plant data with the identifying variables removed will be distributed to contractors hired by the NWFSC when necessary to complete work required of NMFS. Summaries of the data will be

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<sup>1</sup> See Lian, C., R. Singh, and Q. Weninger, Fleet Restructuring, Rent Generation, and the Design of Individual Fishing Quota Programs: Empirical Evidence from the Pacific Coast Groundfish Fishery, *Marine Resource Economics*, Volume 24, Number 4, January 2010, pp. 329-359 for this analysis.

produced each year and made available to the general public. These summaries will contain aggregated data (with each variable provided to the public based on responses received from at least three distinct economic units).

#### *How Frequently Will the Information be Used?*

Both the economic performance measures and the IO-PAC regional economic model will be updated annually. The NWFSC economists prepare annual reports with summary statistics for cost categories, sources of revenue, quasi-rents, and the share of fishery economic benefits accruing to various economic agents operating within the fishery (vessel owners, captain, crew, processing plant owners, and processing plant employees). These reports are presented to the Pacific Fishery Management Council annually. Information on expenditures by catcher vessels, catcher processors, motherships, and first receivers/shoreside processors will also be incorporated into the NWFSC's IO-PAC regional economic model on an annual basis. The performance measures prepared on an annual basis will also be used to determine if the IFQ program is meeting the goals identified by the PFMC.

Economists at the National Marine Fisheries Service (NMFS) also expect to continue a research program examining the impact of catch share programs on fleet structure, production costs, employment, generation and distribution of economic rent, and regional economic impacts. This work will be done on an on-going basis, with analytical results updated as new data becomes available. Results from this project will be used in the PFMC management process and may be published in peer reviewed journals.

#### *For What Purposes Will Survey Information Be Used?*

Data will be collected to monitor the economic impact of implementing an IFQ management regime as well as to answer other economic questions which require knowledge of the costs, revenues, physical characteristics, and compensation methods used by catcher vessels, catcher processors, motherships, and first receivers/shoreside processors in the West Coast limited entry trawl groundfish fishery. Meeting the monitoring requirements enumerated in section 303A.(c)(1)(G) of the MSA will require NWFSC economists to determine the efficiency of operations, whether operations are exhibiting economies or diseconomies of scale, expenditures on inputs including labor and (for harvesting vessels) fuel, quasi-rents (the difference between revenue and variable costs), and economic impacts on fishing communities. Data collected under this collection will be used in developing the report on catch share management impacts, which is legally mandated after 5 years of catch share management by the MSA. Analysis based on this data will not only meet legal requirements, but will also provide information to guide the PFMC in making decisions regarding revisions to the structure of the catch share system used to manage the West Coast limited entry groundfish trawl fishery.

The National Oceanic and Atmospheric Administration (NOAA) will retain control over the information and safeguard it from improper access, modification, and destruction, consistent with NOAA standards for confidentiality, privacy, and electronic information. See response to Question 10 of this Supporting Statement for more information on confidentiality and privacy. The information collection is designed to yield data that meet all applicable information quality

guidelines. Prior to dissemination, the information will be subjected to quality control measures and a pre-dissemination review pursuant to [Section 515 of Public Law 106-554](#).

**3. Describe whether, and to what extent, the collection of information involves the use of automated, electronic, mechanical, or other technological techniques or other forms of information technology.**

Survey participants will have the option to complete either the paper survey or a web form. Surveys will be mailed to the participants; enclosed in the survey packet will be information about how to submit their data through the web form.

The four EDCs forms (catcher vessels, motherships, catcher processors, and first receivers/shorebased processors) will be posted on the Web site. Summaries of survey results presenting cost, revenue, physical characteristics, and input compensation methods will also be posted annually on the NWFSC Web site once the data are available: [www.nwfsc.noaa.gov/edc](http://www.nwfsc.noaa.gov/edc).

**4. Describe efforts to identify duplication.**

To the best of our knowledge, based on extensive interactions with the survey population and fishery managers regarding this data collection, this is the only source for these data. Extensive review of the forms with owners of catcher vessels, catcher-processors, motherships, and first receivers/shorebased processors has not revealed any questions on the forms which could be answered through the use of existing data sources.

**5. If the collection of information involves small businesses or other small entities, describe the methods used to minimize burden.**

Based on available information, the NMFS believes that all of the catcher vessels in the survey population qualify as small businesses. Existing (non-survey) data sources do not provide information on all potential revenue sources for catcher vessels participating in the West Coast limited entry trawl fishery (in particular, NWFSC staff do not have access to revenue data from Alaska fisheries). However, survey responses to two previous voluntary surveys of catcher vessels delivering to shoreside processors indicate that all of these vessels are small businesses. Revenue data is not available for all operations (West Coast and Alaska) of catcher-processors and motherships. Similarly, revenue data is not currently available for individual shoreside processing plants.

Two methods have been used to minimize survey burden on all members of the survey population, including small businesses. First, survey design has sought to avoid duplication of data collection (discussed in the response to question 4). Second, NMFS economists have met with industry participants to discuss all four forms. These discussions have focused on making questions easily understandable and consistent with the record keeping practices of survey respondents. These meetings with industry participants have resulted in numerous improvements in survey content and clarity.

**6. Describe the consequences to the Federal program or policy activities if the collection is not conducted or is conducted less frequently.**

Failure to conduct this survey would limit NMFS economists to using currently available data in order to monitor the impact of the catch share management. While it would still be possible to monitor changes in revenue earned by catcher vessels, there would be no information available on production costs, the processing sector, motherships, or catcher processors. No evaluation of the economic benefits, distributional impacts, or efficiency effects of catch share management could be made. It would not be possible to evaluate if catch share management was achieving many of the goals identified by the PFMC. As a result, MSA monitoring requirements as stated in section 303(c)(1)(G) could not be met.

In addition, NMFS economists would not be able to produce analysis evaluating the need for modifications to catch share program design and regulation. For example, evaluating the potential need to revise caps on quota share or quota pound accumulation requires knowledge of how much fish vessels of different sizes (lengths) must harvest in order to minimize cost per pound of fish harvested. In order to determine the level of catch at which a vessel minimizes cost per pound, it is necessary to have the cost data collected by this survey. Data from this collection are also necessary to evaluate the distributional consequences of catch share management, and the effects on regional economies. These are issues that fishery managers may wish to address by adjusting the catch share program.

Conducting data collection less frequently would slow the delivery of results from the economic monitoring process. Even with annual data collection, the evaluation results of regulatory changes made at the beginning of 2011 will not be available until the end of 2012. Collection of data for 2011 will not occur until mid-2012 (discussions with industry participants emphasized the importance of collecting economic data after industry participants have prepared data for tax filings), and the data verification and matching (with other data sources which provide data used in conjunction with the cost earnings data collected by this survey) process will take additional time. As a result, the economic impact of a regulatory change that takes effect at the beginning of 2011 is not known until the end of 2012 even with annual data collection. If economic analysis of the cost earnings data indicates unanticipated consequences of the regulatory change, additional time is needed in the PFMC process to discuss and recommend regulatory changes. The consequence of collecting cost earnings data less frequently than the annual basis proposed in this filing is to allow unanticipated consequences of regulatory changes to persist for needlessly extended periods of time.

**7. Explain any special circumstances that require the collection to be conducted in a manner inconsistent with OMB guidelines.**

This information collection is consistent with OMB guidelines.

**8. Provide information on the PRA Federal Register Notice that solicited public comments on the information collection prior to this submission. Summarize the public comments received in response to that notice and describe the actions taken by the agency in response to those comments. Describe the efforts to consult with persons outside the agency to obtain their views on the availability of data, frequency of collection, the clarity of instructions and recordkeeping, disclosure, or reporting format (if any), and on the data elements to be recorded, disclosed, or reported.**

A Federal Register Notice published on October 21, 2014 (79 FR 62940) solicited public comment. No comments were received.

As stated in Question 5, NMFS economists met with industry participants to discuss all four forms prior to the implementation of the Program. Since then, NMFS economists have continued to work with industry participants on the phone, in-person, and at Council meetings.

Changes to the EDC forms often result from communication with participants regarding the types of information collected and how it is collected. Other changes are the result of fishery managers and stakeholders who ask for specific analyses and types of information.

EDC staff work extensively with participants during each data collection year. It is common for participants to contact the EDC program (usually by telephone) to ask about questions that arise while filling out the form, or for more information about the purpose of the data collection. During the initial years of the program, there was a large volume of communications with participants. The frequency of phone calls has decreased over time as the participants have learned how to better complete the forms, and the forms have improved, both in terms of the clarity of instructions and the questions themselves.

Starting with the EDC data collection in 2014:

One example of recent feedback from participants is on the structure of the questions on the catcher vessel form about capitalized expenditures and expenses on fishing gear and on-board equipment. Although we provide definitions and examples of fishing gear and on-board equipment, we heard from many participants that either they did not know the difference or that they do not track those two outlays separately. To respond to that issue, we provided a check box that allows them to record all outlays on fishing gear and on-board equipment on one line rather than trying to split it into two lines.

We also found that there was a data quality issue with the capitalized expenditures and expenses. A common occurrence was to see the same value recorded in multiple places, arising suspicion that there might be double counting. In each of those instances, we would contact the participant for clarification. Participants either did not understand the difference between capitalized expenditures and expenses, or they were confused by the question. We therefore provided additional instructions and restructured the question to make it more clear how the two are related.

Another change was a result of communication with the general public. We came to understand that people are not just interested in the number of jobs available in the industry, but also the

number of people who are employed by the industry. We therefore added a new question to each of the forms to collect that information. We designed the question by speaking to individual participants over the phone.

These changes were approved in the March 2014 change request.

**9. Explain any decisions to provide payments or gifts to respondents, other than remuneration of contractors or grantees.**

No payments or gifts will be provided to survey respondents.

**10. Describe any assurance of confidentiality provided to respondents and the basis for assurance in statute, regulation, or agency policy.**

Responses to this information request are confidential under 402(b) of the Magnuson-Stevens Act (16 U.S.C. 1801, et seq.). They are also confidential under [NOAA Administrative Order 216-100](#), which sets forth procedures to protect the confidentiality of fishery statistics.

The NMFS will not publish any numbers based on fewer than three survey responses in papers, reports, presentations, or other public documents. Nor will a summary statistic be reported if one observation makes up more than 90% of the total. Only NWFSC employees will have access to the survey data with information that can be used to identify individual respondents (vessel ID number, owner name, owner address, etc.). Consultants hired by the NMFS may have access to individual observations in the survey data, but only with all variables removed from the data set that identify the respondent (such as vessel Coast Guard ID, vessel name, vessel owner's name, vessel owner's contact information, processing plant address). In the case of a Freedom of Information Act (FOIA) request for the data, the NMFS will seek to protect the data from release through Exemption 4 by noting the data is confidential and highly sensitive business data.

**11. Provide additional justification for any questions of a sensitive nature, such as sexual behavior and attitudes, religious beliefs, and other matters that are commonly considered private.**

Not Applicable.

**12. Provide an estimate in hours of the burden of the collection of information.**

The survey population for 2014 data (collected in 2015) consists of 146 catcher vessels, 9 catcher processors, 6 motherships, and 46 first receivers/shoreside processors. The 161 catcher vessels, catcher processors and motherships will each need 8 hours to complete the EDC vessel forms, for a total of 1,288 hours and 20 hours is estimated for the 46 first receiver and shorebased processor form, for a total of 920 hours. As a result, one year of data collection will require a total of **2,208 hours** to complete the forms each year.

The burden hours listed on the first receiver form was increased from 8 hours to 20 hours, beginning with the 2013 EDC forms. This was not a result of an increase in the amount of work

required, but rather an adjustment to our estimate based on feedback from participants. This change was approved in March 2014.

**13. Provide an estimate of the total annual cost burden to the respondents or record-keepers resulting from the collection (excluding the value of the burden hours in Question 12 above).**

The only costs not covered in Question 12 are those for making copies of EDC documents (survey respondents are requested to retain a copy of the completed EDC form) and mailing the surveys. The annual cost associated with these activities is assumed to \$20 per respondent, assuming that the form is sent via certified mail. Total annual costs for all respondents will be \$4,140 (207 x \$20).

**14. Provide estimates of annualized cost to the Federal government.**

The NWFSC employs one FTE and two contractors to run the EDC Program. In addition, there is an outside contractor who maintains the web forms and a team of in-house programmers and a database administrator. There are also costs for printing and mailing related to the survey. The total annual costs incurred by the federal government as a result of implementing this survey are thus \$230,000.

**15. Explain the reasons for any program changes or adjustments.**

**Adjustment:**

The only change to the data collection since the last application is the number of participants. There are 45 fewer respondents (34 vessels and 11 first receiver/shorebased processors) than for the previous submission, so there is a reduction of 45 responses and 492 hours. This results in further decrease in recordkeeping/reporting costs, of \$20 x 45 or \$900.

**16. For collections whose results will be published, outline the plans for tabulation and publication.**

NMFS continues to require submission of the EDC by September 1 of each year, as dictated by the regulations. NMFS expects to have a public report with available by the following August 1. This report will summarize information collected on costs by category, earnings by category, vessel and plant physical characteristics, and compensation to labor inputs (crew on vessels and line workers in processing plants). All reported values will be based on responses from at least three different economic entities so as to preserve confidentiality. Additionally, no summary statistic will be reported if one observation makes up more than 90% of the total.

**17. If seeking approval to not display the expiration date for OMB approval of the information collection, explain the reasons why display would be inappropriate.**

Not Applicable.

**18. Explain each exception to the certification statement.**

There are no exceptions.

**B. COLLECTIONS OF INFORMATION EMPLOYING STATISTICAL METHODS**

**1. Describe (including a numerical estimate) the potential respondent universe and any sampling or other respondent selection method to be used. Data on the number of entities (e.g., establishments, State and local governmental units, households, or persons) in the universe and the corresponding sample are to be provided in tabular form. The tabulation must also include expected response rates for the collection as a whole. If the collection has been conducted before, provide the actual response rate achieved.**

*Description of the Respondent Universe*

The survey will be a census of the survey population. As explained in the following paragraphs, the survey population will include 207 entities for the 2014 data collection. There is no sampling of the survey population. Submission of a survey response is mandatory for all members of the survey population.

The survey population for catcher vessels is defined as all owners, lessees, and charterers of a unique catcher vessel registered to a limited entry trawl permit at any time during the survey period for which data is being collected. This definition covers both catcher vessels making shoreside landings and catcher vessels delivering to motherships.

*Survey Population, Survey Sample, and Response Rates*

Table 1 --- Population and Commercial Fish Landings for all West Coast Fishing Engaged Communities (most recent results)

<b>Survey Group (2013)</b>	<b>Population</b>	<b>Sample</b>	<b>Response Rate</b>
Catcher Vessels	149	149	99%
Catcher-Processors	10	10	100%
Motherships	6	6	100%
Shoreside Processors	5	5	92%
Totals (forms sent)	219	219	

**2. Describe the procedures for the collection, including: the statistical methodology for stratification and sample selection; the estimation procedure; the degree of accuracy needed for the purpose described in the justification; any unusual problems requiring specialized sampling procedures; and any use of periodic (less frequent than annual) data collection cycles to reduce burden.**

There is no stratification and sample selection in the survey design. All members of the survey population are being surveyed. There are four different forms that are being used based on whether the respondent operates a catcher vessel, catcher processor, mothership, or first receiver/shore-based processing plant. Within each of these four groups, all members of the

survey population would be required to complete the form.

NMFS needs to measure the economic performance of catcher vessels, motherships, catcher processors, and first receivers/shoreside processors operating in the West Coast groundfish trawl fishery in order to meet legal and regulatory requirements, support fisheries management decision making, and undertake economic research. Currently available cost earnings data from non-survey sources is very limited and does not meet these needs. This survey collect the data that is needed (but not currently available from other sources) to construct key economic performance measures such as quasi-rents, capacity utilization, efficiency, economies of scale, as well as economic models such as regional economic impacting and econometric models. The data gathered and performance measures constructed will be used to address a wide range of issues; these issues include (but are not limited to) the economic effects of the IFQ program on fishery participants, regional economies, and net benefits to the nation, as well as how the distribution of those measure may have changed. While the data will be used to comply with legal and regulatory requirements, these requirements do not specify a level of data accuracy.

Much of the data requested will be used to compute total (or average) revenue, cost (by revenue or cost category as specified in the forms), and quasi-rents (revenues less variable costs). This information is useful in and of itself to help understand the economic condition of the fishery and how it may have changed. Such data summaries are the type of information that fishery managers, participants and the public commonly wish to have provided. These data summaries will also be used in a regional economic impact model that has been developed by the NWFSC. A basic input to this model is the average cost (by cost category) as a percentage of revenue. The output of the regional economic impact model is used by NMFS and the Council to report on the economic contribution of the fishery to regional economies.

To understand the relationships between the quasi-rents (revenue minus variable costs) and the variables we collect that affect total or average quasi-rents, econometric models will be used. NWFSC analysts will use the data contained within the completed EDCs to construct statistical models that characterize the determinants and factors affecting the costs and revenues of vessels, first receivers and processors. The benefit of using statistical models to characterize the relationship between costs or revenues and the factors that influence them is that the models may initially be used to analyze the way in which economic performance changes after the immediate implementation of the program. If the Council makes adjustments to the program at a later date, analysts will be able to observe the changes in quasi-rents not attributable to the factors that have historically been the predominant statistical determinants to draw conclusions about the impact of the adjustments. That is, these statistical techniques can be used to disentangle the influence of particular economic variables on quasi-rents from “policy” or “management” variables that change directly as a result of managers’ choices over policies or regulations. Examples of economic variables would be the prices of fuel, materials, or other inputs used in fishing and processing.

The data collected in the EDCs will be used to develop cost and quasi-rent functions that characterize the relationships between fishing and processing activities and their economic impacts. In order to estimate such functions one needs individual observations on vessel or processor variable costs of operation and gross earnings. These variables will form the basis for the dependent part of the statistical model, while the other data collected on input quantities,

catch, and prices will be used as exogenous variables. The analysts will determine the exact specification of the cost and quasi-rent functions based upon the questions desired by fishery managers, the number of observations available, and the perceived quality or accuracy of the collected data.

**3. Describe the methods used to maximize response rates and to deal with nonresponse. The accuracy and reliability of the information collected must be shown to be adequate for the intended uses. For collections based on sampling, a special justification must be provided if they will not yield "reliable" data that can be generalized to the universe studied.**

While this is a mandatory survey, key measures have been taken to maximize response to the survey and the quality of data provided. First, the survey form has been kept as short as possible while still meeting the needs of NMFS to meet MSA monitoring requirements, provide advice to the NMFS on the economic effects of catch share management, and perform economic research. Second, NMFS economists have held meetings with the owners of catcher vessels, catcher processors, motherships, and shoreside processors to ensure that questions are understandable and ask for data in format that is readily available to survey respondents. Discussions were also held with industry groups at PFMC meetings to solicit additional feedback on forms in an environment where many survey respondents can simultaneously participate in the discussion. Third, the forms will be mailed to members of the survey population soon after the April 15 federal tax filing date. Discussions with industry participants indicated that they would prefer receiving the form after completing their federal tax filing, when much of the financial information needed to complete the form would already be at hand. Information will also be included on how to download forms or enter the data through the EDC web form.

All of these forms have undergone substantial revision as a result of discussions with industry participants and were further improved after consulting with fishermen and processors after the completion of the 2009 and 2010 surveys. These recent modifications include clarifying questions on the forms and aligning the questions with common bookkeeping practices. These clarifications should also facilitate higher response rates. Finally, the NWFSC, NMFS Office of Law Enforcement (OLE), NMFS General Council, and the West Coast Region Permits Office coordinate to notify participants of the requirement to submit the EDC forms. There is an extensive system, involving certified mail, phone calls, emails, and if necessary in-person visits from OLE agents.

The penalties for not complying with this mandatory survey are defined in 50 CFR 660.114 as follows:

Who is required to submit an EDC?	Consequence for failure to submit (In addition to consequences listed below, failure to submit an EDC may be a violation of the MSA.)
Limited entry trawl catcher vessels: All owners, lessees, and charterers of a catcher vessel registered to a limited entry trawl endorsed permit at any time in 2011 and beyond	<p>(A) For permit owner, a limited entry trawl permit application (including MS/CV-endorsed limited entry trawl permit) will not be considered complete until the required EDC for that permit owner associated with that permit is submitted</p> <p>(B) For a vessel owner, participation in the groundfish fishery (including, but not limited to, changes in vessel registration, vessel account actions, or if own QS permit, issuance of annual QP or IBQ pounds) will not be authorized until the required EDC for that owner for that vessel is submitted</p> <p>(C) For a vessel lessee or charterer, participation in the groundfish fishery (including, but not limited to, issuance of annual QP or IBQ pounds if own QS or IBQ) will not be authorized, until the required EDC for their operation of that vessel is submitted.</p>
Mothership and Catcher Processors: All owners, lessees, and charterers of a mothership or catcher processor vessel registered to an MS permit at any time in 2011 and beyond	<p>(A) For permit owner, an MS permit or a C/P-endorsed limited entry trawl permit application will not be considered complete until the required EDC for that permit owner associated with that permit is submitted</p> <p>(B) For a vessel owner, participation in the groundfish fishery (including, but not limited to, changes in vessel registration) will not be authorized until the required EDC for that owner for that vessel is submitted</p> <p>(C) For a vessel lessee or charterer, participation in the groundfish fishery will not be authorized, until the required EDC for their operation of that vessel is submitted.</p>
First receivers/shorebased processors: All owners of a first receiver site license in 2011 and beyond	<p>A first receiver site license application will not be considered complete until the required EDC for that license owner associated with that license is submitted, as specified at §660.140(f)(3), subpart D. See paragraph (b)(4)(ii)(A) of this table.</p> <p>(B) All owners and lessees of a shore-based processor (as defined under “processor” at §660.11, subpart C, for purposes of EDC) that received round or headed-and-gutted IFQ species groundfish or whiting from a first receiver in 2011 and beyond</p>

Since there are mandatory surveys, non-response bias is not expected to be a major issue in data analysis. Nevertheless, those variables which are available for both respondents and non-respondents (such as pounds of landings, revenue from landings, and vessel physical characteristics) will be used to compare respondents and non-respondents in cases where some responses are not available for any reason. Procedures used to test for non-response bias may include two sample t tests for normally distributed variables and permutation tests for any variables found to have a non-normal distribution. In cases where non-response bias is detected, appropriate procedures will be used to adjust survey responses for the non-response bias.

**4. Describe any tests of procedures or methods to be undertaken. Tests are encouraged as effective means to refine collections, but if ten or more test respondents are involved OMB must give prior approval.**

NMFS economists have met with members of the survey populations that will receive the forms. These meetings have included a question by question discussion of draft forms to (1) ensure that the question is clearly understandable and (2) the data requested is readily available to survey respondents. In addition, the forms provided with this filing were distributed to advisory groups at the June 2010 PFMC meeting for discussion.

**5. Provide the name and telephone number of individuals consulted on the statistical aspects of the design, and the name of the agency unit, contractor(s), grantee(s), or other person(s) who will actually collect and/or analyze the information for the agency.**

**Todd Lee, Ph.D.**

Manager, Economic and Social Science Research Program Northwest Fisheries Science Center  
206-302-2436

Conducted the original focus groups, community meetings, regulatory deeming committee meetings, as well as meetings with industry associations, future participants, the Pacific Fishery Management Council (PFMC), and the PFMC advisory meetings. Continues to supervise the EDC Program.

**Erin Steiner, MS**

Economist

Northwest Fisheries Science Center

Runs the EDC Program, including form revisions, QA/QC checks, report writing, report writing, analysis, presentations to PFMC, advisory bodies, and industry.

**Carl Lian, Ph.D.**

Economist

Northwest Fisheries Science Center

206-302-2414

Contributed to the design of the original forms using experience from previous seven years of conducting the voluntary cost earnings survey.

**Jerry Leonard, MS**

Economist

Northwest Fisheries Science Center

206-302-1742

Conducted the original focus groups, community meetings, regulatory deeming committee meetings, as well as meetings with industry associations, future participants, the Pacific Fishery Management Council (PFMC), and the PFMC advisory meetings. Developed business rules for automated quality analysis and quality control of first receiver EDC data.

**Leif Anderson, Ph.D.**

Economist

Northwest Fisheries Science Center

206-302-2403

Participated in original EDC form design, meetings with industry and managers, and field the first round of surveys.

**Abigail Harley**

Contractor

ECS Inc.

206-861-1249

Assists with updates to the forms, communicating with participants, QA/QC, report writing, with a specialization in the at-sea processing sector.

**Marie Guldin,**

Contractor,

Frank Orth & Associates,

206-302-1762

Assists with updates to the forms, communicating with participants, QA/QC, report writing, with a specialization in the shoreside sector.

## Economic Data Collection (EDC) Form



### WEST COAST GROUND FISH LIMITED ENTRY TRAWL CATCHER-PROCESSOR VESSEL 2014

NOAA Fisheries – Northwest Fisheries Science Center

**Who is responsible for submitting:** All owners, lessees, and charterers of a vessel registered to a catcher-processor endorsed limited entry trawl permit at any time in 2014.

**Complete all questions.** If a question is not applicable, write "NA" in the answer box. The survey will not be considered complete unless there is an answer to every question.

**Submit by September 1, 2015.**

*Paper submission:* Completed and signed EDC forms must be mailed and postmarked by, or hand-delivered to NMFS no later than September 1, 2015. Mail or deliver to

Economic Data Collection Program (FRAM Division)  
Northwest Fisheries Science Center  
2725 Montlake Boulevard East  
Seattle, WA 98112

*Web form submission:* Completed EDC web forms must be submitted electronically and the signature page faxed, or hand-delivered, or mailed and postmarked no later than September 1, 2015. Mail or deliver to address above. Fax to (206) 861-8225.

**Retain a copy.** Retain a copy of the completed form.

**More information:** [www.nwfsc.noaa.gov/edc](http://www.nwfsc.noaa.gov/edc).

**Questions:** Visit the website above or contact Erin Steiner at (866) 791-3726 or [NWFSC.EDC@noaa.gov](mailto:NWFSC.EDC@noaa.gov).

#### Public Reporting Burden Statement

Public reporting burden for this collection of information is estimated to take 8 hours per response, including time for reviewing the instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden to Erin Steiner, National Marine Fisheries Service, Northwest Fisheries Science Center, 2725 Montlake Blvd E, Seattle, WA 98112.

#### Additional Information

Before completing this form, please note the following: 1) Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number; 2) This information is mandatory and is required to manage commercial fishing efforts under 50 CFR part 660 and under section 402(a) of the Magnuson-Stevens Act (16 U.S.C. 1801, *et seq.*); 3) Responses to this information request are confidential under 402(b) of the Magnuson-Stevens Act (16 U.S.C. 1801, *et seq.*). They are also confidential under NOAA Administrative Order 216-100, which sets forth procedures to protect the confidentiality of fishery statistics.

## I. Contact Information and Vessel Characteristics

1. Provide the following information about this vessel and its physical characteristics.

Item	Vessel Information
Vessel Name	
USCG Vessel Number	
Home Port	
Length Overall (feet)	
Fuel Capacity (gallons)	
Horsepower of Main Engines	

2. Provide the contact information for the **owner of the catcher-processor vessel**.

Name of Company, Partnership, or Other Business Entity			
Business Mailing Address Street / PO Box		Business Phone (      )	
		Business Fax (      )	
City	State	Zip Code	Business Email

3. If the vessel was leased or bareboat chartered during 2014, provide the contact information for **the lessee or charterer of the catcher-processor vessel**. If necessary, use the last page for additional lessees or charterers.

Name of Company, Partnership, or Other Business Entity			
Business Mailing Address Street / PO Box		Business Phone (      )	
		Business Fax (      )	
City	State	Zip Code	Business Email

4. List the catcher-processor endorsed limited entry trawl permit(s) used with this vessel during 2014 in the **West Coast** whiting fishery (**West Coast** includes Washington, Oregon, and California).

Catcher-Processor Endorsed Permit Number	Permit Owned or Leased? Owned <input type="checkbox"/> Leased <input type="checkbox"/>
Catcher-Processor Endorsed Permit Number (2)	Permit Owned or Leased? Owned <input type="checkbox"/> Leased <input type="checkbox"/>
Catcher-Processor Endorsed Permit Number (3)	Permit Owned or Leased? Owned <input type="checkbox"/> Leased <input type="checkbox"/>

5. Provide the contact information for the **individual completing this report**. If your address, phone, and email are provided in the owner or lessee/charterer information, you do not need to repeat them here but please provide your name and title.

<input type="checkbox"/> Catcher-Processor Vessel <b>Owner</b> (or Designated Representative)			
<input type="checkbox"/> Catcher-Processor Vessel <b>Lessee or Charterer</b> (or Designated Representative)			
Name			Title
Business Mailing Address Street / PO Box		Business Phone (     )	
		Business Fax (     )	
City	State	Zip Code	Business Email

Please proceed to the next page 

If a question is not applicable, write "NA" in the answer box.

6. Answer the following questions related to the most recent marine survey of the vessel.

What was the year of this vessel's last marine survey?	_____ yyyy
What was the <i>market value</i> of this vessel from the survey, rounded to the nearest 100 dollars?	\$ _____
What was the <i>replacement value</i> of this vessel from the survey, rounded to the nearest 100 dollars?	\$ _____
Did the survey values given above include the value of permits associated with this vessel at the time of the survey?	Yes <input type="checkbox"/> No <input type="checkbox"/>
Did the survey values given above include the value of quota associated with this vessel at the time of the survey?	Yes <input type="checkbox"/> No <input type="checkbox"/>
Did the survey values given above include the value of all processing equipment on this vessel at the time of the survey?	Yes <input type="checkbox"/> No <input type="checkbox"/>
Did the survey values given above include the value of all fishing gear on this vessel at the time of the survey?	Yes <input type="checkbox"/> No <input type="checkbox"/>

7. For the remainder of the survey, report values from your 2014 fiscal year. When did this vessel's 2014 fiscal year begin?

____/____/____ mm dd yyyy
------------------------------

*Please report values from your 2014 fiscal year for the remainder of this survey.*

8. Was this vessel hauled out in 2014?

Yes <input type="checkbox"/> No <input type="checkbox"/>
--

9. Provide this vessel's average fuel use per day (for propulsion or other uses) when engaged in each of the following activities in 2014.

- **West Coast** includes Washington, Oregon, and California.
- Fuel use should include all fuels used for propulsion or other uses.

Activity	Fuel Use
Fishing, processing, and steaming in the West Coast whiting fishery	_____ gal/day
Steaming between the West Coast and Alaska	_____ gal/day

10. How many gallons of fuel did this vessel use (for propulsion or other uses) during 2014 in the **West Coast** whiting fishery?

- Exclude activities in Alaska and steaming between the West Coast and Alaska in these responses.

Type of Fuel	Gallons
Diesel	_____ gal
Bunker oil	_____ gal
Fish oil	_____ gal

11. Provide the number of days this vessel was at sea during 2014 in each of the following activities. Please note that there is a special category for days at sea steaming between the West Coast and Alaska. *(This information will be used to allocate some of your expenses and expenditures between the West Coast and Alaska in order to avoid asking more detailed information about the vessel's activities in Alaska).*

- Count partial days as full days

Activity	Days at Sea
Fishing and Processing in the West Coast whiting fishery	_____ days
Steaming in the West Coast whiting fishery	_____ days
Off-loading in the West Coast whiting fishery	_____ days
Steaming between the West Coast and Alaska	_____ days
All Alaska fisheries	_____ days

12. Provide the number of **one-way** trips (count a round trip as 2 one-way trips) this vessel made steaming between the West Coast and Alaska during 2014.

_____ one-way trips
---------------------

13. Provide the average number of processing crew members and the average number of non-processing crew members (including the captain) when the vessel was operating in the **West Coast** whiting fishery during 2014.

- **Processing crew** includes line workers, fishmeal crew, quality control, technicians, cleanup, factory managers, mechanics who work on processing equipment, and combis
- **Non-processing crew** includes deckhands, wheelhouse, galley, and engine room.

Fishery	Average Number of Processing Crew	Average Number of Non-Processing Crew (including captain)
West Coast whiting	_____	_____

14. Provide the total number of individuals who worked for you on this vessel during 2014 while fishing and processing in the **West Coast** whiting fishery.

- This value should represent the number of individuals who worked at any point during the year, rather than the number of positions.
- **Do not include** observers.

_____ individuals on this vessel ( <b>processing crew</b> )
_____ individuals on this vessel ( <b>non-processing crew</b> )

## II. Capitalized Expenditures

15. Provide the 2014 **capitalized expenditures** associated with each of the following categories for this vessel. Note that some capitalized expenditures are for **All Fisheries** the vessel participates in (West Coast, Alaska, and other) and others are for the **West Coast** whiting fishery only. Round all answers to the nearest 100 dollars. (*Capitalized expenditures shared across fisheries will be allocated to the West Coast based on days or tonnage.*)

Capitalized Expenditure Category	Capitalized Expenditures in <b>All Fisheries</b> <i>West Coast, Alaska, and Other</i>	Capitalized Expenditures in <b>West Coast Fisheries Only</b> <i>Washington, Oregon, and California</i>
----------------------------------	--	---

### Vessel and On-board Equipment

- Include the purchase of a new or used vessel, electronics, safety equipment, and machinery not used to harvest or process fish
- Include any major upgrades, repairs, or maintenance to the vessel or equipment
- Exclude fishing gear and processing equipment

New or used vessel and on-board equipment (Regardless of where the vessel fished, enter all expenditures for vessel and on-board equipment under the <b>All Fisheries</b> column)	\$ _____	
---	----------	--

### Processing Equipment

- Exclude all equipment, machines, and buildings based primarily on shore
- Exclude any processing equipment that is not used at least partially in the West Coast whiting fishery
- Include on-board freezers, storage equipment, packing equipment, conveyers, and on-board cargo handling equipment

Processing equipment used <b>only in</b> the West Coast whiting fishery		\$ _____
Processing equipment <b>shared by</b> the West Coast whiting and other fisheries	\$ _____	

### Fishing Gear

- Include nets, cables, doors, and fishing machinery used in the West Coast whiting fishery
- Exclude any fishing gear that is not used at least partially in the West Coast whiting fishery

Fishing gear used <b>only in</b> the West Coast whiting fishery		\$ _____
Fishing gear <b>shared by</b> the West Coast whiting and other fisheries	\$ _____	

## III. Co-op share and Permit Costs

16. Provide the total amount you paid for purchase or lease of co-op shares and catcher-processor endorsed West Coast groundfish limited entry trawl permits during 2014 for use in the **West Coast** whiting fishery.

Purchase or Lease of Co-op shares and Permits	Total Cost
Purchase of co-op shares	\$ _____
Lease of co-op shares	\$ _____
Purchase of catcher-processor endorsed permit	\$ _____
Lease of catcher-processor endorsed permit	\$ _____

#### IV. Annual Expenses

17. Provide the total amount **expensed** during 2014 in each of the categories below. Note that some expenses are for **All Fisheries** (West Coast, Alaska, and other) others are for the **West Coast** whiting fishery only. Round all answers to the nearest 100 dollars.

Expenses Category	Expenses in All Fisheries West Coast, Alaska, and Other	Expenses in West Coast Fisheries Only Washington, Oregon, and California	
Processing crew (include wages, bonuses, benefits, payroll taxes, and unemployment insurance)		\$ _____	
Non-processing crew (include wages, bonuses, benefits, payroll taxes, and unemployment insurance)		\$ _____	
Crew travel not deducted from crew wages		\$ _____	
Observer fees		\$ _____	
Sea State data monitoring		\$ _____	
Co-op membership fees		\$ _____	
Marine Stewardship Council fees		\$ _____	
Fuel and lubrication (do not include steaming between West Coast and Alaska)		\$ _____	
Food		\$ _____	
Non-fish ingredients (additives)		\$ _____	
Packing materials		\$ _____	
Freight to the vessel on supplies		\$ _____	
Other supplies (linens, clothing, cleaning, etc.)		\$ _____	
Communications		\$ _____	
Off-load expenses (cross-dock fees, port tariffs, etc.)		\$ _____	
On-board cargo / product / protection and indemnity insurance		\$ _____	
Fishing gear purchases, upgrades, repairs, and maintenance used <b>only in</b> the West Coast whiting fishery (expensed during 2014)		\$ _____	
Fishing gear purchases, upgrades, repairs, and maintenance <b>shared by</b> the West Coast whiting fishery and Alaska (expensed during 2014)			\$ _____
Processing equipment purchase, repair, and maintenance (expensed in 2014)			\$ _____

17. (Continued)

<b>Expenses Category (continued)</b>	Expenses in <b>All Fisheries</b> <i>West Coast, Alaska, and Other</i>	Expenses in <b>West Coast Fisheries Only</b> <i>Washington, Oregon, and California</i>
Vessel and on-board equipment purchases, upgrades, repairs, or maintenance (expensed during 2014)	\$ _____	
Insurance premium payments (hull and machinery, pollution insurance)	\$ _____	
Moorage	\$ _____	
Lease or bareboat charter of this catcher-processor vessel	\$ _____	
Depreciation (vessel, on-board equipment, fishing gear, processing equipment, and quota share)	\$ _____	

18. Provide the total round weight of all fish processed by this vessel during 2014. Round to the nearest metric ton.  
*(This information will be used to allocate some of your expenditures between the West Coast and Alaska in order to avoid asking more detailed information about the vessel's activity in Alaska.)*

<b>Fishery</b>	<b>Total Round Weight as a Catcher-Processor</b>	<b>Total Round Weight as a Mothership</b>
<b>West Coast</b> whiting fishery (exclude tribal)	_____mt	
<b>All Other</b> fisheries (include tribal)	_____mt	_____mt

Please proceed to the next page 

## V. Annual Earnings

19. Provide the total weight and value of production in the **West Coast** whiting fishery during 2014. Report weights to the nearest metric ton.

- Do not include any additional payment you received to cover any shipping, handling, or storage costs associated with the sale beyond the FOB port of discharge.
- Please include any post-season adjustments for products produced in 2014.
- For products produced in 2014 and held in inventory at the end of the year, estimate the value on the basis of the average price received for similar products sold during the year.
- Include products shipped to other establishments of your company.
- Do not include revenue associated with fish caught in any fishery except the **West Coast** whiting fishery.

Type of Fish	Total Weight of Production	Total Value of Production
Whiting		
Surimi	_____ mt	\$ _____
Fillets	_____ mt	\$ _____
H&G	_____ mt	\$ _____
Round (unprocessed)	_____ mt	\$ _____
Fishmeal	_____ mt	\$ _____
Fish oil	_____ mt	\$ _____
Roe	_____ mt	\$ _____
Minced	_____ mt	\$ _____
Stomachs	_____ mt	\$ _____
Other (specify): _____	_____ mt	\$ _____
Other (specify): _____	_____ mt	\$ _____
Other Species		
All other species on the West Coast	_____ mt	\$ _____

20. Provide the percentage, by value, of all products off-loaded from this catcher-processor vessel in the **West Coast** whiting fishery at each of the following locations. The column should sum to 100%.

Location	Percentage of Total Off-load Value
Seattle	_____ %
Blaine / Bellingham	_____ %
Port Angeles	_____ %
Tacoma	_____ %
Astoria	_____ %
Coos Bay	_____ %
At sea (tramper)	_____ %
Other (specify): _____	_____ %

21. For each of the earnings sources listed below, indicate the income earned during 2014.

Earnings Source	Revenue Received
Sale of West Coast catcher-processor endorsed permits	\$ _____
Lease of West Coast catcher-processor endorsed permits	\$ _____
Sale of co-op shares	\$ _____
Lease of co-op shares	\$ _____
Lease or bareboat charter of this vessel	\$ _____
Insurance settlements	\$ _____

## VI. Certification

22. Read the following statement, and sign and date the box below.

I certify under penalty of perjury that I have reviewed all the information in this form and that it is true and complete to the best of my knowledge.	
Signature	Date signed _____/_____/_____ mm dd yyyy
Print Name	

**Questionnaire Comments:**

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Economic Data Collection (EDC) Form



**WEST COAST GROUND FISH  
LIMITED ENTRY TRAWL  
CATCHER VESSEL  
2014**

NOAA Fisheries – Northwest Fisheries Science Center

**Who is responsible for submitting:** All owners, lessees, and charterers of a catcher vessel registered to a limited entry trawl endorsed permit at any time in 2014.

**Complete all questions.** If a question is not applicable, write "NA" in the answer box. The survey will not be considered complete unless there is an answer to every question.

**Submit by September 1, 2015.**

*Paper submission:* Completed and signed EDC forms must be mailed and postmarked by, or hand-delivered to NMFS no later than September 1, 2015. Mail or deliver to

Economic Data Collection Program (FRAM Division)  
Northwest Fisheries Science Center  
2725 Montlake Boulevard East  
Seattle, WA 98112

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**Retain a copy.** Retain a copy of the completed form

**More information:** [www.nwfsc.noaa.gov/edc](http://www.nwfsc.noaa.gov/edc)

**Questions:** Visit the website above or contact Erin Steiner at (866) 791-3726 or [NWFSC.EDC@noaa.gov](mailto:NWFSC.EDC@noaa.gov)

**Public Reporting Burden Statement**

Public reporting burden for this collection of information is estimated to take 8 hours per response, including time for reviewing the instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden to Erin Steiner, National Marine Fisheries Service, Northwest Fisheries Science Center, 2725 Montlake Blvd E, Seattle, WA 98112.

**Additional Information**

Before completing this form, please note the following: 1) Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number; 2) This information is mandatory and is required to manage commercial fishing efforts under 50 CFR part 660 and under section 402(a) of the Magnuson-Stevens Act (16 U.S.C. 1801, *et seq.*); 3) Responses to this information request are confidential under 402(b) of the Magnuson-Stevens Act (16 U.S.C. 1801, *et seq.*). They are also confidential under NOAA Administrative Order 216-100, which sets forth procedures to protect the confidentiality of fishery statistics.

## I. Contact Information and Vessel Characteristics

1. Provide the following information about this vessel and its physical characteristics.

Item	Vessel Information
Vessel Name	
USCG Vessel Number (if none exists enter State ID)	
Home Port	
Length Overall (feet)	
Fuel Capacity (gallons)	
Total Horsepower of Main Engines	

2. Provide the contact information for the **owner of the vessel in 2014**. Please make sure that the name provided matches the name of the holder of the limited entry trawl permit registered to this vessel in 2014.

Name of Company, Partnership, or Other Business Entity			
Business Mailing Address Street / PO Box		Business Phone (    )	
		Business Fax (    )	
City	State	Zip Code	Business Email

3. List the limited entry groundfish permit(s) registered to this vessel during 2014 in the **West Coast** fisheries (Washington, Oregon, and California) – do NOT include state fishing permits, e.g. shrimp, crab, or salmon.

Limited Entry Permit Number	Permit Owned or Leased? Owned <input type="checkbox"/> Leased <input type="checkbox"/>
Limited Entry Permit Number (2)	Permit Owned or Leased? Owned <input type="checkbox"/> Leased <input type="checkbox"/>
Limited Entry Permit Number (3)	Permit Owned or Leased? Owned <input type="checkbox"/> Leased <input type="checkbox"/>
Limited Entry Permit Number (4)	Permit Owned or Leased? Owned <input type="checkbox"/> Leased <input type="checkbox"/>

4.

a. Was the vessel leased or bareboat chartered during 2014?

Yes <input type="checkbox"/> Continue to question 4b
No <input type="checkbox"/> Skip to question 5 ➔

b. If the vessel was leased or bareboat chartered during 2014, provide the contact information for the **lessee or charterer** of the vessel. If necessary, use the last page for additional lessees or charterers.

Name of Company, Partnership, or Other Business Entity			
Business Mailing Address Street / PO Box		Business Phone (    )	
		Business Fax (    )	
City	State	Zip Code	Business Email

c. Provide the dates the vessel was leased or bareboat chartered in 2014. If necessary, use the last page for additional lessees or charterers.

Begin: ____/____/____ End: ____/____/____ mm    dd    yyyy            mm    dd    yyyy
---

5. Provide the contact information for the **individual completing this report**. If your address, phone, and email are provided in the owner or lessee/charterer information, you do not need to repeat them here but please provide your name and title.

<input type="checkbox"/> Vessel <b>Owner</b> or Designated Representative			
<input type="checkbox"/> Vessel <b>Lessee or Charterer</b> or Designated Representative			
Name		Title	
Business Mailing Address Street / PO Box		Business Phone (    )	
		Business Fax (    )	
City	State	Zip Code	Business Email

6. Did you harvest (catch) any fish (including shellfish) using this vessel during the 2014 calendar year?

Yes <input type="checkbox"/> No <input type="checkbox"/>
--

7. Answer the following questions related to the most recent marine survey of the vessel.

What was the year of this vessel's last marine survey?	_____ yyyy
What was the <i>market value</i> of this vessel from the survey, rounded to the nearest 100 dollars?	\$ _____
What was the <i>replacement value</i> of this vessel from the survey, rounded to the nearest 100 dollars?	\$ _____
Did the survey values given above include the value of permits associated with this vessel at the time of the survey?	Yes <input type="checkbox"/> No <input type="checkbox"/>
Did the survey values given above include the value of quota associated with this vessel at the time of the survey?	Yes <input type="checkbox"/> No <input type="checkbox"/>
Did the survey values given above include the value of all fishing gear on this vessel at the time of the survey?	Yes <input type="checkbox"/> No <input type="checkbox"/>

8. For the remainder of the survey, report values from your 2014 fiscal year. When did this vessel's 2014 fiscal year begin?

____/____/____ mm dd yyyy
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*Please report values related to your operation of this vessel from your 2014 fiscal year for the remainder of this survey.*

9. Did you have this vessel hauled out in 2014?

Yes <input type="checkbox"/> No <input type="checkbox"/>
--

10. Provide this vessel's average fuel use per day, speed, and crew size (not including captain) when engaged in each of the following activities on the **West Coast** (Washington, Oregon, and California) in 2014.
- Fuel use per day should be an average that includes steaming to the fishing grounds, harvesting fish, and steaming back to port, and should include all fuel used for propulsion or other uses.
  - If you did not participate in a particular activity, please write "NA".

Activity	Fuel Use	Speed While Fishing	Crew Size (not including captain)
West Coast whiting trawl gear (not including other groundfish)	_____ gal/day	_____ knots	_____
West Coast groundfish trawl gear (not including whiting)	_____ gal/day	_____ knots	_____
West Coast groundfish fixed gear	_____ gal/day		_____
West Coast shrimp trawl gear	_____ gal/day	_____ knots	_____
West Coast crab	_____ gal/day		_____
West Coast halibut (Pacific or California)	_____ gal/day		_____
West Coast salmon	_____ gal/day	_____ knots	_____
West Coast tuna	_____ gal/day	_____ knots	_____
Steaming between the West Coast and Alaska	_____ gal/day	_____ knots	_____

11. Provide the total number of **individuals** who worked as captain or crew for you on this vessel during 2014 while fishing on the West Coast (Washington, Oregon, and California).
- This value should represent the number of individuals who worked at any point during the year, rather than the number of positions.
  - Do not include observers.

<b>Total number of individuals who worked on this vessel</b>
_____ individuals

12. How many gallons of fuel did this vessel use (for all uses) during 2014 on the **West Coast** (Washington, Oregon, and California)?
- Exclude fuel use for activities related to charter of vessel.
  - Exclude fuel use for activities in Alaska and steaming between the West Coast and Alaska.

Type of Fuel	Gallons
Diesel	_____ gal
Other: _____	_____ gal

13. Provide the number of days you operated this vessel at sea during 2014 in each of the following activities. Please note that there is a special category for days at sea steaming between the West Coast and Alaska. *(This information will be used to allocate some expenses and expenditures between the different fisheries in which the vessel participated.)*

- Count partial days as full days.
- If you did not participate in a particular activity, please write “0”.

Activity	Days at Sea
West Coast whiting trawl gear (not including other groundfish)	_____ days
West Coast groundfish trawl gear (not including whiting)	_____ days
West Coast groundfish fixed gear	_____ days
West Coast shrimp trawl gear	_____ days
West Coast crab	_____ days
West Coast halibut (Pacific or California)	_____ days
West Coast salmon	_____ days
West Coast tuna	_____ days
Other West Coast fisheries	_____ days
West Coast chartering, research, or tendering	_____ days
Alaska chartering, research, or tendering	_____ days
Alaskan fisheries	_____ days
Steaming between the West Coast and Alaska	_____ days

14. Provide the number of **one-way** trips (count a round trip as 2 one-way trips) this vessel made steaming between the West Coast and Alaska during 2014.

_____ one-way trips
---------------------

## ***II. Capitalized Expenditures and Expenses on Vessel and On-board Equipment, Fishing Gear, and Processing Equipment***

### **Vessel and On-board Equipment**

- Include the purchase of a new or used vessel, electronics, safety equipment, and machinery not used to harvest or process fish.
- Include any major upgrades, repairs, or maintenance to the vessel or equipment.
- Exclude fishing gear and processing equipment.

### **Fishing Gear**

- Include nets, doors, traps, pots, cables, and fishing machinery used for the West Coast fisheries.
- Exclude any fishing gear that was only used in Alaska.

### **Processing Equipment**

- Include any equipment used to process or head and gut fish on-board the vessel.

**15.** For accounting purposes, do you track costs on Vessel and On-Board Equipment separately from costs on Fishing Gear?

Yes <input type="checkbox"/> No <input type="checkbox"/>
--

If you answered “No” to Question 15, please record the combined total costs on Vessel and On-Board Equipment and Fishing Gear under Vessel and On-Board Equipment when answering Questions 16 and 17 on the next page.

16. Provide the 2014 **capitalized expenditures** (all expenditures made in 2014 that will be depreciated) associated with each of the following categories for this vessel. Note that some capitalized expenditures are for **All Fisheries** the vessel participates in (West Coast, Alaska, and Other) and others are for **West Coast Fisheries** only (Washington, Oregon, and California).

- If you do not track capitalized expenditures for Vessel and On-Board Equipment separately from Fishing Gear, report the combined expenses under “New or used vessel and on-board equipment” and record “NA” under “Fishing gear used only in West Coast Fisheries”, and “NA” under “Fishing gear shared by West Coast, Alaska and other fisheries”
- Round all answers to the nearest 100 dollars.

<b>Capitalized Expenditure Category</b> (see definitions on page 7)	Capitalized Expenditures in <b>All Fisheries West Coast, Alaska, and Other</b>	Capitalized Expenditures in <b>West Coast Fisheries Only Washington, Oregon, and California</b>
New or used vessel and on-board equipment (Regardless of where the vessel fished, enter all expenditures for vessel and on-board equipment under the <b>All Fisheries</b> column)	\$ _____	
Fishing gear used <b>only in</b> West Coast Fisheries		\$ _____
Fishing gear <b>shared by</b> West Coast, Alaska and other fisheries (if you only fished in the West Coast, enter “NA”)	\$ _____	
Processing equipment used <b>only in</b> West Coast Fisheries		\$ _____
Processing equipment <b>shared by</b> West Coast, Alaska and other fisheries (if you only fished in the West Coast, enter “NA”)	\$ _____	

17. Provide the 2014 **expenses** (expensed during 2014) associated with each of the following categories for this vessel. If you recorded a capitalized expenditure in Question 16, then do not repeat that outlay in this table. Note that some expenses are for **All Fisheries** the vessel participates in (West Coast, Alaska, and Other) and others are for **West Coast Fisheries** only (Washington, Oregon, and California).

- If you do not track expenses for Vessel and On-board Equipment and Fishing Gear separately, report the combined expenses under “Vessel and on-board equipment purchases, upgrades, repairs and maintenance” and record “NA” under “Fishing gear purchases, upgrades, repairs and maintenance used **only in** the West Coast Fisheries”, and “NA” under “Fishing gear purchases, upgrades, repairs and maintenance **shared by** the West Coast, Alaska and other fisheries”
- Round all answers to the nearest 100 dollars.

<b>Expenses Category</b> (see definitions on page 7)	Expenses in <b>All Fisheries West Coast, Alaska, and Other</b>	Expenses in <b>West Coast Fisheries Only Washington, Oregon, and California</b>
Vessel and on-board equipment purchases, upgrades, repairs and maintenance	\$ _____	
Fishing gear purchases, upgrades, repairs and maintenance used <b>only in</b> the West Coast Fisheries		\$ _____
Fishing gear purchases, upgrades, repairs and maintenance <b>shared by</b> the West Coast, Alaska and other fisheries (if you only fished in the West Coast, enter “NA”)	\$ _____	
Processing equipment purchase, repair, and maintenance (expensed during 2014)	\$ _____	

### III. Other Costs

**18. Expenses in West Coast Fisheries:** Provide the 2014 **expenses** (expensed during 2014) associated with each of the following categories while participating in **West Coast** (Washington, Oregon, and California) Fisheries.

- Include all chartering expenses, even if directly reimbursed
- If you do not track expenses for captain and crew separately, report the combined expenses under captain, and put “NA” under Crew
- Round all answers to the nearest 100 dollars.

<b>Expenses Category</b>	<b>Expenses in West Coast Fisheries Only <i>Washington, Oregon, and California</i></b>
Captain (include wages, bonuses, benefits, payroll taxes, and unemployment insurance)	\$ _____
Crew (include wages, bonuses, benefits, payroll taxes, and unemployment insurance)	\$ _____
Crew or captain travel not deducted from wages	\$ _____
Observer fees and electronic monitoring	\$ _____
Fishing association and commission costs	\$ _____
State licensing and Federal permit fees	\$ _____
Fuel and lubrication (do not include steaming between West Coast and Alaska)	\$ _____
Food	\$ _____
Ice	\$ _____
Bait	\$ _____
Off-load expenses (cross-dock fees, port tariffs, hoist fees, etc.)	\$ _____
Freight to the vessel on supplies	\$ _____
Other supplies (cleaning, clothing, safety, etc.)	\$ _____
Communications, including VMS, satellite phone, skymate	\$ _____
Trucking of fish to buyer	\$ _____

**19. Expenses in All Fisheries:** Provide the 2014 expenses (expensed during 2014) associated with each of the following categories while participating in **All Fisheries** (West Coast, Alaska and other).

- Include all chartering expenses, even if directly reimbursed
- Round all answers to the nearest 100 dollars.

<b>Expenses Category</b>	<b>Expenses in All Fisheries West Coast, Alaska, and Other</b>
Insurance premium payments (hull and machinery, protection and indemnity, and pollution insurance)	\$ _____
Moorage	\$ _____
Lease or bareboat charter of this vessel	\$ _____
Total depreciation (vessel, on-board equipment, processing equipment, and quota share) taken during 2014	\$ _____

20. Provide the total amount you paid for lease or purchase of quota shares, quota pounds, and fishing permits during 2014 in the **West Coast limited entry fisheries**. Purchase of quota or permits reflects a permanent transfer, whereas lease implies a temporary transfer. If you did not incur a particular expense, please write "0".

	<b>Purchase Costs</b>	<b>Lease Costs</b>
Quota Shares	\$ _____	\$ _____
Quota Pounds	\$ _____	\$ _____
Limited Entry Trawl Permit	\$ _____	\$ _____
Limited Entry Fixed Gear Permit	\$ _____	\$ _____

21. Provide the total round weight of all fish landings made by this vessel in **Alaska** during 2014. Round to the nearest 100 pounds. *(This information will be used to allocate some of your expenditures between the different fisheries you participate in.)*

_____ lbs in Alaska
---------------------

22. Were any of the fish harvested by this vessel on the **West Coast** (Washington, Oregon, and California) during 2014 processed or headed and gutted on-board?

Yes <input type="checkbox"/> No <input type="checkbox"/>
--

## V. Annual Earnings

23. For each of the earnings sources listed below, indicate the income earned during 2014.

- Landings revenue should include taxes, buyback program fees, and post-season adjustments for fish harvested in 2014.

Earnings Source	Total Revenue
West Coast shoreside landings: <i>this information will be obtained from fish ticket data</i>	
West Coast at-sea deliveries to motherships	\$ _____
Alaska shoreside landings and at-sea deliveries of fish harvested with the vessel	\$ _____
Sale of West Coast limited entry trawl permits	\$ _____
Lease of West Coast limited entry trawl permits	\$ _____
Sale of West Coast limited entry fixed gear permits	\$ _____
Lease of West Coast limited entry fixed gear permits	\$ _____
Sale of West Coast limited entry quota shares	\$ _____
Lease of West Coast limited entry quota shares	\$ _____
Sale of West Coast limited entry quota pounds	\$ _____
Lease of West Coast limited entry quota pounds	\$ _____
Salmon disaster relief payments	\$ _____
Leasing of vessel	\$ _____
Insurance settlements	\$ _____
West Coast chartering, research, or tendering: include direct reimbursements	\$ _____
Alaska chartering, research, or tendering: include direct reimbursements	\$ _____
Other: _____	\$ _____

## VI. Crew Share

24. Did you use a crew share system to pay its crew when operating in the **West Coast groundfish limited entry trawl fishery** during 2014?

Yes <input type="checkbox"/> No <input type="checkbox"/> → Skip to question 26 <a href="#">↻</a>
---

25. Which of the following expenses were deducted from total revenue before calculating the crew share when this vessel operated in the **West Coast groundfish limited entry trawl fishery** during 2014?

Expense Category	Deducted from Crew Share?
Crew or captain travel not deducted from wages	Yes <input type="checkbox"/> No <input type="checkbox"/>
Observer fees and electronic monitoring	Yes <input type="checkbox"/> No <input type="checkbox"/>
Fishing association and commission costs	Yes <input type="checkbox"/> No <input type="checkbox"/>
State licensing and Federal permit fees	Yes <input type="checkbox"/> No <input type="checkbox"/>
Buyback fees	Yes <input type="checkbox"/> No <input type="checkbox"/>
Fuel and lubrication	Yes <input type="checkbox"/> No <input type="checkbox"/>
Food	Yes <input type="checkbox"/> No <input type="checkbox"/>
Ice	Yes <input type="checkbox"/> No <input type="checkbox"/>
Bait	Yes <input type="checkbox"/> No <input type="checkbox"/>
Off-load expenses	Yes <input type="checkbox"/> No <input type="checkbox"/>
Freight to the vessel on supplies	Yes <input type="checkbox"/> No <input type="checkbox"/>
Other supplies	Yes <input type="checkbox"/> No <input type="checkbox"/>
Communications	Yes <input type="checkbox"/> No <input type="checkbox"/>
Trucking of fish to buyer	Yes <input type="checkbox"/> No <input type="checkbox"/>
Insurance premium payments	Yes <input type="checkbox"/> No <input type="checkbox"/>
Lease or charter of this vessel	Yes <input type="checkbox"/> No <input type="checkbox"/>
Quota pounds held at the start of the year	Yes <input type="checkbox"/> No <input type="checkbox"/>
Quota pounds purchased or leased during the year	Yes <input type="checkbox"/> No <input type="checkbox"/>
Quota shares purchased or amortized during the year	Yes <input type="checkbox"/> No <input type="checkbox"/>
Limited entry trawl permit	Yes <input type="checkbox"/> No <input type="checkbox"/>
Other West Coast permit	Yes <input type="checkbox"/> No <input type="checkbox"/>
Other: _____	Yes <input type="checkbox"/> No <input type="checkbox"/>

26. On what percentage of fishing trips did the vessel owner serve as captain in the **West Coast groundfish limited entry trawl fishery** during 2014?

_____ %
---------

27. On trips when the vessel owner **served as captain** in the **West Coast groundfish limited entry trawl fishery**, please indicate the share of net revenue (revenue minus the deductions listed in Question 25) going to the vessel, captain, crew, and if applicable, other.

- The column should sum to 100%.
- If this vessel did not use a crew share system to pay its crew, enter “NA” in each of the boxes below.

Vessel share	_____ %
Captain share	_____ %
Crew share	_____ %
Other_____	_____ %
Other_____	_____ %
Total	100 %

28. On trips when the vessel owner **did not serve as captain** in the **West Coast groundfish limited entry trawl fishery**, please indicate the share of net revenue (revenue minus the deductions listed in Question 25) going to the vessel, captain, crew, and if applicable, other.

- The column should sum to 100%.
- If this vessel did not use a crew share system to pay its crew, enter “NA” in each of the boxes below.

Vessel share	_____ %
Captain share	_____ %
Crew share	_____ %
Other_____	_____ %
Other_____	_____ %
Total	100 %

## VI. Certification

29. Read the following statement, and sign and date the box below.

I certify under penalty of perjury that I have reviewed all the information in this form and that it is true and complete to the best of my knowledge.	
Signature	Date signed ____/____/____ mm    dd    yyyy
Print Name	

**Questionnaire Comments:**

## Economic Data Collection (EDC) Form



### WEST COAST GROUND FISH FIRST RECEIVER AND SHOREBASED PROCESSOR 2014

NOAA Fisheries – Northwest Fisheries Science Center

#### Who is responsible for submitting:

- All owners of a first receiver site license in 2014; or
- All owners and lessees of a shore-based processor (as defined under "processor" at §660.11, subpart C, for purposes of EDC) that received round or headed-and-gutted IFQ species groundfish or whiting from a first receiver in 2014.
- A separate EDC form is required for each processing facility.

**Complete all questions.** If a question is not applicable, write "NA" in the answer box. The survey will not be considered complete unless there is an answer to every question.

#### Submit by September 1, 2015.

*Paper submission:* Completed and signed EDC forms must be mailed and postmarked by, or hand-delivered to NMFS no later than September 1, 2015. Mail or deliver to

Economic Data Collection Program (FRAM Division)  
Northwest Fisheries Science Center  
2725 Montlake Boulevard East  
Seattle, WA 98112

*Web form submission:* Completed EDC web forms must be submitted electronically and the signature page faxed, or hand-delivered, or mailed and postmarked no later than September 1, 2015. Mail or deliver to address above. Fax to (206) 861-8225.

**Retain a copy.** Retain a copy of the completed form.

**More information:** [www.nwfsc.noaa.gov/edc](http://www.nwfsc.noaa.gov/edc).

**Questions:** Visit the website above or contact Erin Steiner at (866) 791-3726 or [NWFSC.EDC@noaa.gov](mailto:NWFSC.EDC@noaa.gov)

#### Public Reporting Burden Statement

Public reporting burden for this collection of information is estimated to take 20 hours per response, including time for reviewing the instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden to Erin Steiner, National Marine Fisheries Service, Northwest Fisheries Science Center, 2725 Montlake Blvd E, Seattle, WA 98112.

#### Additional Information

Before completing this form, please note the following: 1) Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number; 2) This information is mandatory and is required to manage commercial fishing efforts under 50 CFR part 660 and under section 402(a) of the Magnuson-Stevens Act (16 U.S.C. 1801, *et seq.*); 3) Responses to this information request are confidential under 402(b) of the Magnuson-Stevens Act (16 U.S.C. 1801, *et seq.*). They are also confidential under NOAA Administrative Order 216-100, which sets forth procedures to protect the confidentiality of fishery statistics.

## I. Contact Information and Facility Characteristics

1. Provide the buyer identification number issued by the state fish and game agencies associated with this entity or facility. Enter "NA" if this is a processing facility that does not have a buyer identification number.

Processor/Buyer IDs
Washington Department of Fish and Wildlife _____
Oregon Department of Fish and Wildlife _____
California Department of Fish and Game _____

2. Provide the following information about this receiving or processing facility.

Name of Facility		First Receiver Site License Number for 2014	
Business Mailing Address Street / PO Box		Business Phone ( )	
		Business Fax ( )	
City	State	Zip Code	Business Email

3. **Individual completing this report.** If your address, phone, and email are provided above, you do not need to repeat them here, but please provide your name and title.

Name		Title	
Business Mailing Address Street / PO Box		Business Phone ( )	
		Business Fax ( )	
City	State	Zip Code	Business Email

4. Answer the following questions related to the most recent appraisal of this facility.

What was the year of this facility's last appraisal?	_____ yyyy
What was the <i>market value</i> of this facility from the appraisal, rounded to the nearest 100 dollars?	\$ _____
What was the <i>replacement value</i> of the facility from the appraisal, rounded to the nearest 100 dollars?	\$ _____
Did the survey values given above include the value of all processing equipment* contained on-site?	Yes <input type="checkbox"/> No <input type="checkbox"/>

5. For the remainder of the survey, report values from your 2014 fiscal year. When did this facility's 2014 fiscal year begin?

____ / ____ / ____ mm dd yyyy
----------------------------------

*Please report values from your 2014 fiscal year for the remainder of this survey.*

## II. Capitalized Expenditures

6. Provide the 2014 **capitalized expenditures** associated with the facility buildings, machinery, and equipment. Round all answers to the nearest 100 dollars.

Capitalized Expenditure Category	Total Capitalized Expenditures
Capitalized expenditures on buildings (exclude land but include building improvements)	\$ _____
Capitalized expenditures on new and used machinery and equipment (include only equipment used to process, transport <sup>†</sup> on-site, or store <sup>‡</sup> fish on-site)	\$ _____

\* *Processing equipment*: All equipment present at this physical location that is used for preparation or packaging of seafood to render it suitable for human consumption, retail sale, industrial uses or long-term storage, including, but not limited to, cooking, canning, smoking, salting, drying, filleting, freezing, or rendering into meal or oil.

<sup>†</sup> *Transportation equipment*: Equipment such as trucks, forklifts, etc. used to transport seafood within this physical location.

<sup>‡</sup> *Storage equipment*: Equipment present at this physical location for packaging and freezing of seafood.

### III. Employees and Payroll

Provide the following information about the number of employees and wages. Include full and part-time employees and temporary employees working at this facility. The information is requested separately for production workers and all other employees, which are defined below.

7. Provide the number of **production workers** in the following table. **Production workers** include those workers at this facility (up through and including the line-supervisor level) engaged in fabricating, processing, assembling, inspecting, receiving, packing, warehousing, shipping, maintenance, repair, janitorial, product development, or transporting product on-site. If your fiscal year does not follow the calendar year, use the month that corresponds with your fiscal year.

For the week of your fiscal year that includes	Number of production workers (full time, part time, and temporary)	Total Hours Worked
January 12th	_____workers	_____hrs
February 12th	_____workers	_____hrs
March 12th	_____workers	_____hrs
April 12th	_____workers	_____hrs
May 12th	_____workers	_____hrs
June 12th	_____workers	_____hrs
July 12th	_____workers	_____hrs
August 12th	_____workers	_____hrs
September 12th	_____workers	_____hrs
October 12th	_____workers	_____hrs
November 12th	_____workers	_____hrs
December 12th	_____workers	_____hrs

8. Provide the **number of all other employees** in the following table. **All other employees** includes those involved in supervision above line-supervisor level, sales, advertising, credit, collection, installation, cafeteria, recordkeeping, clerical and routine office functions, guard services, executive, purchasing, finance, and legal. If hours are not tracked for salaried employees, please assume a 40-hour work week. If your fiscal year does not follow the calendar year, use the month that corresponds with your fiscal year.

For the week of your fiscal year that includes	Number of all other employees (full time, part time, and temporary)	Total Hours Worked
March 12th	_____employees	_____hrs

9. Provide the total number of **individuals** who worked at this facility during 2014 in production activities and in all other activities.
- This value should represent the number of individuals who worked at any point during the year, rather than the number of positions.
  - **Include** full-time and part-time workers, contract workers, temporary workers, and workers on paid leave.

Labor Category	Total Number of Individuals
Production workers (see definition in Question 7)	_____ individuals
All other employees (see definition in Question 8)	_____ individuals

10. Provide the total 2014 labor expenses for **production workers** and **all other employees**.
- Include wages, bonuses, benefits, payroll taxes, and unemployment insurance.

Labor Category	Total Expenses
Production workers (see definition in Question 7)	\$ _____
All other employees (see definition in Question 8)	\$ _____

#### **IV. Quota Costs**

11. Provide the total **cost** of **quota pounds** and **quota shares** purchased or leased during 2014 in the **West Coast** Groundfish Trawl Catch Share Program. Purchase of quota reflects a permanent transfer, whereas lease implies a temporary transfer.

Purchase or Lease of Quota	Total Costs
Lease of quota pounds	\$ _____
Purchase of quota pounds	\$ _____
Lease of quota shares	\$ _____
Purchase of quota shares	\$ _____

## V. Expenses and Depreciation

12. Provide the total **expenses** on **utilities** at your facility in 2014.

Utility Expense Category	Total Expenses
Electricity	\$ _____
Natural gas	\$ _____
Propane gas for transportation and processing	\$ _____
Other gas (not gasoline)	\$ _____
Water	\$ _____
Sewer, waste, and byproduct disposal	\$ _____

13. Provide the total **expenses** on **rental or lease payments** for this facility.

Rental or Lease Payments	Total Expenses
Rental or lease of buildings, job-site trailers, and other structures (including land)	\$ _____
Rental or lease of processing machinery or equipment	\$ _____

14. Provide total **expenses** on **repair and maintenance** on facility buildings, machinery, and equipment (see definitions on bottom of page 3) expensed in 2014?

Total Repair and Maintenance Expenses	\$ _____
---------------------------------------	----------

15. What was the total **depreciation** for all capital investments on buildings, new and used machinery and equipment (see definitions of equipment on page 3) taken in 2014?

Total Depreciation	\$ _____
--------------------	----------

16. Provide the following information on 2014 **custom processing** of fish you owned that was performed by another processor outside of this facility.

	Total weight of fish supplied to custom processors	Custom Processing Fees Paid
Whiting	_____ lbs	\$ _____
Non-Whiting Groundfish	_____ lbs	\$ _____
Other	_____ lbs	\$ _____

17. Provide the total amount **expensed** during 2014 in each of the categories below for this facility.

Expense Category	Total Expenses
Shoreside monitoring costs	\$ _____
Offloading expenses paid to other facilities	\$ _____
Production supplies (boots, smocks, hairnets, knives, etc.)	\$ _____
Cleaning and custodial supplies	\$ _____
Packing materials	\$ _____
Freight costs for supplies to the facility	\$ _____
Non-fish ingredients (additives)	\$ _____
Off-site product freezing and storage	\$ _____
Insurance payments (property, product, personal liability and fire liability)	\$ _____
Taxes (property and excise)	\$ _____
Licensing fees	\$ _____
Other Specify _____	\$ _____
Other Specify _____	\$ _____

18. Provide the following information about the landing origin of groundfish received at this facility in 2014.

- If this information is not available, place an "NA" in the answer box.
- Do not include fish received that you custom processed for others.

Landing Origin	Total <u>Weight</u> of Groundfish Received	Total <u>Cost</u> of Groundfish Received
<b>Whiting</b>		
West Coast (WA, OR, CA)	_____ lbs	\$ _____
Canada	_____ lbs	\$ _____
Other Specify _____	_____ lbs	\$ _____
<b>Non-whiting groundfish</b>		
West Coast (WA, OR, CA)	_____ lbs	\$ _____
Alaska (excluding pollock)	_____ lbs	\$ _____
Canada	_____ lbs	\$ _____
Other Specify _____	_____ lbs	\$ _____

**19. Fish Received.** In the table below provide the weight and cost of fish received in 2014. Please note that there are separate columns for fish that were not paid for and fish that were paid for.

- Do not include fish received for custom processing.
- Include fish purchased by you that are custom processed by another processor outside of this facility.
- Include any post-season adjustments.
- **LE Trawl Vessels:** fish acquired directly from a vessel registered to a Limited Entry (LE) permit with a trawl endorsement and caught either with trawl or fixed gear (longline or pots).
- **LE Fixed Gear Vessels:** fish acquired directly from a vessel registered to a LE permit with a fixed gear endorsement. Do not include fish caught with fixed gear using a LE permit with a trawl endorsement.
- **Other Vessels:** fish acquired directly from a vessel without a limited entry trawl or fixed gear endorsement, including open access fisheries.
- **Non-Vessel Sources:** includes fish acquired from other entities, including first receivers, processors, wholesale dealers, brokers, aquaculture producers, and transfers from outside this facility.
- **Gross Cost of Fish Paid for** includes the value of any taxes paid on behalf of delivering vessels.

Type and Source of Fish	Fish NOT PAID for		Fish PAID for	
	Total weight not paid for due to quality or size reasons	Total weight not paid for transfers from outside this facility	Total weight of fish paid for from vessels or non-vessel sources	Gross cost of fish paid for from vessels or non-vessel sources
<b>Whiting</b>				
LE Trawl Vessels	_____ lbs		_____ lbs	\$ _____
LE Fixed Gear Vessels	_____ lbs		_____ lbs	\$ _____
Other Vessels	_____ lbs		_____ lbs	\$ _____
Non-Vessel Sources	_____ lbs	_____ lbs	_____ lbs	\$ _____
<b>Arrowtooth flounder</b>				
LE Trawl Vessels	_____ lbs		_____ lbs	\$ _____
LE Fixed Gear Vessels	_____ lbs		_____ lbs	\$ _____
Other Vessels	_____ lbs		_____ lbs	\$ _____
Non-Vessel Sources	_____ lbs	_____ lbs	_____ lbs	\$ _____
<b>Dover sole</b>				
LE Trawl Vessels	_____ lbs		_____ lbs	\$ _____
LE Fixed Gear Vessels	_____ lbs		_____ lbs	\$ _____
Other Vessels	_____ lbs		_____ lbs	\$ _____
Non-Vessel Sources	_____ lbs	_____ lbs	_____ lbs	\$ _____
<b>English sole</b>				
LE Trawl Vessels	_____ lbs		_____ lbs	\$ _____
LE Fixed Gear Vessels	_____ lbs		_____ lbs	\$ _____
Other Vessels	_____ lbs		_____ lbs	\$ _____
Non-Vessel Sources	_____ lbs	_____ lbs	_____ lbs	\$ _____
<b>Lingcod</b>				
LE Trawl Vessels	_____ lbs		_____ lbs	\$ _____
LE Fixed Gear Vessels	_____ lbs		_____ lbs	\$ _____
Other Vessels	_____ lbs		_____ lbs	\$ _____
Non-Vessel Sources	_____ lbs	_____ lbs	_____ lbs	\$ _____

19. (Continued)

Type and Source of Fish	Fish NOT PAID for		Fish PAID for	
	Total weight not paid for due to quality or size reasons	Total weight not paid for transfers from outside this facility	Total weight of fish paid for from vessels or non-vessel sources	Gross cost of fish paid for from vessels or non-vessel sources
<b>Pacific sanddab</b>				
LE Trawl Vessels	_____ lbs		_____ lbs	\$ _____
LE Fixed Gear Vessels	_____ lbs		_____ lbs	\$ _____
Other Vessels	_____ lbs		_____ lbs	\$ _____
Non-Vessel Sources	_____ lbs		_____ lbs	\$ _____
<b>Petrale sole</b>				
LE Trawl Vessels	_____ lbs		_____ lbs	\$ _____
LE Fixed Gear Vessels	_____ lbs		_____ lbs	\$ _____
Other Vessels	_____ lbs		_____ lbs	\$ _____
Non-Vessel Sources	_____ lbs		_____ lbs	\$ _____
<b>Rex sole</b>				
LE Trawl Vessels	_____ lbs		_____ lbs	\$ _____
LE Fixed Gear Vessels	_____ lbs		_____ lbs	\$ _____
Other Vessels	_____ lbs		_____ lbs	\$ _____
Non-Vessel Sources	_____ lbs		_____ lbs	\$ _____
<b>Rockfish</b>				
LE Trawl Vessels	_____ lbs		_____ lbs	\$ _____
LE Fixed Gear Vessels	_____ lbs		_____ lbs	\$ _____
Other Vessels	_____ lbs		_____ lbs	\$ _____
Non-Vessel Sources	_____ lbs		_____ lbs	\$ _____
<b>Sablefish (black cod)</b>				
LE Trawl Vessels	_____ lbs		_____ lbs	\$ _____
LE Fixed Gear Vessels	_____ lbs		_____ lbs	\$ _____
Other Vessels	_____ lbs		_____ lbs	\$ _____
Non-Vessel Sources	_____ lbs		_____ lbs	\$ _____
<b>Thornyheads</b>				
LE Trawl Vessels	_____ lbs		_____ lbs	\$ _____
LE Fixed Gear Vessels	_____ lbs		_____ lbs	\$ _____
Other Vessels	_____ lbs		_____ lbs	\$ _____
Non-Vessel Sources	_____ lbs		_____ lbs	\$ _____
<b>Sharks, skates, rays</b>				
LE Trawl Vessels	_____ lbs		_____ lbs	\$ _____
LE Fixed Gear Vessels	_____ lbs		_____ lbs	\$ _____
Other Vessels	_____ lbs		_____ lbs	\$ _____
Non-Vessel Sources	_____ lbs		_____ lbs	\$ _____
<b>Coastal pelagic (include sardines and mackerel)</b>				
Vessel Sources	_____ lbs		_____ lbs	\$ _____
Non-Vessel Sources	_____ lbs		_____ lbs	\$ _____

19. (Continued)

Type and Source of Fish	Fish NOT PAID for		Fish PAID for	
	Total weight not paid for due to quality or size reasons	Total weight not paid for transfers from outside this facility	Total weight of fish paid for from vessels or non-vessel sources	Gross cost of fish paid for from vessels or non-vessel sources
<b>Crab</b>				
Vessel Sources	_____ lbs		_____ lbs	\$ _____
Non-Vessel Sources	_____ lbs	_____ lbs	_____ lbs	\$ _____
<b>Echinoderms (include sea urchins and sea cucumbers)</b>				
Vessel Sources	_____ lbs		_____ lbs	\$ _____
Non-Vessel Sources	_____ lbs	_____ lbs	_____ lbs	\$ _____
<b>California Halibut</b>				
Vessel Sources	_____ lbs		_____ lbs	\$ _____
Non-Vessel Sources	_____ lbs	_____ lbs	_____ lbs	\$ _____
<b>Pacific Halibut</b>				
Vessel Sources	_____ lbs		_____ lbs	\$ _____
Non-Vessel Sources	_____ lbs	_____ lbs	_____ lbs	\$ _____
<b>Herring</b>				
Vessel Sources	_____ lbs		_____ lbs	\$ _____
Non-Vessel Sources	_____ lbs	_____ lbs	_____ lbs	\$ _____
<b>Salmon</b>				
Vessel Sources	_____ lbs		_____ lbs	\$ _____
Non-Vessel Sources	_____ lbs	_____ lbs	_____ lbs	\$ _____
<b>Shrimp</b>				
Vessel Sources	_____ lbs		_____ lbs	\$ _____
Non-Vessel Sources	_____ lbs	_____ lbs	_____ lbs	\$ _____
<b>Squid</b>				
Vessel Sources	_____ lbs		_____ lbs	\$ _____
Non-Vessel Sources	_____ lbs	_____ lbs	_____ lbs	\$ _____
<b>Sturgeon</b>				
Vessel Sources	_____ lbs		_____ lbs	\$ _____
Non-Vessel Sources	_____ lbs	_____ lbs	_____ lbs	\$ _____
<b>Tuna</b>				
Vessel Sources	_____ lbs		_____ lbs	\$ _____
Non-Vessel Sources	_____ lbs	_____ lbs	_____ lbs	\$ _____
<b>Other Shellfish</b>				
Vessel Sources	_____ lbs		_____ lbs	\$ _____
Non-Vessel Sources	_____ lbs	_____ lbs	_____ lbs	\$ _____
<b>Other Species (please list)</b>				
_____				
Vessel Sources	_____ lbs		_____ lbs	\$ _____
Non-Vessel Sources	_____ lbs	_____ lbs	_____ lbs	\$ _____
_____				
Vessel Sources	_____ lbs		_____ lbs	\$ _____
Non-Vessel Sources	_____ lbs	_____ lbs	_____ lbs	\$ _____

## VI. Annual Earnings

**20. Fish Production.** Provide the 2014 value of production FOB plant (after discounts and allowances and excluding freight charges).

- Frozen includes fish that is frozen in the round or fish that is processed in any form (e.g. fillets, H&G) and frozen.
- Unprocessed includes fish sold in the round.
- **Include:**
  - Products made or acquired during 2014 and held in inventory at the end of the year. Estimate the value on the basis of the average price received for similar products sold during the year.
  - Products shipped to other facilities of your company. Estimate the value on the basis of the average price received for similar products sold during the year.
  - Products made from custom processing performed for you.
  - Any post-season adjustments.
- **Do not include:**
  - Revenue from products produced or acquired in previous years.
  - Products you produced as a custom processing service for others.
  - Any additional payment you received to cover any shipping, handling, or storage costs associated with the sale beyond the plant.
  - Discards.

Type of Fish	Total <u>weight</u> of 2014 fish production (both processed and unprocessed)	Total <u>value</u> of 2014 fish production (both processed and unprocessed)
<b>Whiting</b>		
Surimi	_____ lbs	\$ _____
H&G	_____ lbs	\$ _____
Fillets	_____ lbs	\$ _____
Roe	_____ lbs	\$ _____
Frozen Whole	_____ lbs	\$ _____
Unprocessed	_____ lbs	\$ _____
Other _____	_____ lbs	\$ _____
Other _____	_____ lbs	\$ _____
<b>Arrowtooth flounder</b>		
Processed Fresh	_____ lbs	\$ _____
Frozen	_____ lbs	\$ _____
Unprocessed	_____ lbs	\$ _____
Other	_____ lbs	\$ _____
<b>Dover sole</b>		
Processed Fresh	_____ lbs	\$ _____
Frozen	_____ lbs	\$ _____
Unprocessed	_____ lbs	\$ _____
Other	_____ lbs	\$ _____
<b>English Sole</b>		
Processed Fresh	_____ lbs	\$ _____
Frozen	_____ lbs	\$ _____
Unprocessed	_____ lbs	\$ _____
Other	_____ lbs	\$ _____

Type of Fish	Total <u>weight</u> of 2014 fish production (both processed and unprocessed)	Total <u>value</u> of 2014 fish production (both processed and unprocessed)
<b>Lingcod</b>		
Processed Fresh	_____ lbs	\$ _____
Frozen	_____ lbs	\$ _____
Unprocessed	_____ lbs	\$ _____
Other	_____ lbs	\$ _____
<b>Pacific Sanddab</b>		
Processed Fresh	_____ lbs	\$ _____
Frozen	_____ lbs	\$ _____
Unprocessed	_____ lbs	\$ _____
Other	_____ lbs	\$ _____
<b>Petrале sole</b>		
Processed Fresh	_____ lbs	\$ _____
Frozen	_____ lbs	\$ _____
Unprocessed	_____ lbs	\$ _____
Other	_____ lbs	\$ _____
<b>Rex sole</b>		
Processed Fresh	_____ lbs	\$ _____
Frozen	_____ lbs	\$ _____
Unprocessed	_____ lbs	\$ _____
Other	_____ lbs	\$ _____
<b>Rockfish</b>		
Processed Fresh	_____ lbs	\$ _____
Frozen	_____ lbs	\$ _____
Unprocessed	_____ lbs	\$ _____
Other	_____ lbs	\$ _____
<b>Sablefish</b>		
Processed Fresh	_____ lbs	\$ _____
Frozen	_____ lbs	\$ _____
Unprocessed	_____ lbs	\$ _____
Other	_____ lbs	\$ _____
<b>Thornyheads</b>		
Processed Fresh	_____ lbs	\$ _____
Frozen	_____ lbs	\$ _____
Unprocessed	_____ lbs	\$ _____
Other	_____ lbs	\$ _____
<b>Sharks, Skates, Rays</b>		
Processed Fresh	_____ lbs	\$ _____
Frozen	_____ lbs	\$ _____
Unprocessed	_____ lbs	\$ _____
Other	_____ lbs	\$ _____
<b>Coastal Pelagic (include sardines and mackerel)</b>		
Processed Fresh	_____ lbs	\$ _____
Frozen	_____ lbs	\$ _____
Canned	_____ lbs	\$ _____
Unprocessed	_____ lbs	\$ _____
Other	_____ lbs	\$ _____

20. (Continued)

Type of Fish	Total weight of 2014 fish production (both processed and unprocessed)	Total value of 2014 fish production (both processed and unprocessed)
<b>Crab</b>		
Processed Fresh	_____ lbs	\$ _____
Frozen	_____ lbs	\$ _____
Canned	_____ lbs	\$ _____
Unprocessed	_____ lbs	\$ _____
Other	_____ lbs	\$ _____
<b>Echinoderms (include sea urchins and sea cucumbers)</b>		
Processed Fresh	_____ lbs	\$ _____
Frozen	_____ lbs	\$ _____
Unprocessed	_____ lbs	\$ _____
Other	_____ lbs	\$ _____
<b>California Halibut</b>		
Processed Fresh	_____ lbs	\$ _____
Frozen	_____ lbs	\$ _____
Unprocessed	_____ lbs	\$ _____
Other	_____ lbs	\$ _____
<b>Pacific Halibut</b>		
Processed Fresh	_____ lbs	\$ _____
Frozen	_____ lbs	\$ _____
Unprocessed	_____ lbs	\$ _____
Other	_____ lbs	\$ _____
<b>Herring</b>		
Processed Fresh	_____ lbs	\$ _____
Frozen	_____ lbs	\$ _____
Unprocessed	_____ lbs	\$ _____
Other	_____ lbs	\$ _____
<b>Salmon</b>		
Processed Fresh	_____ lbs	\$ _____
Frozen	_____ lbs	\$ _____
Smoked	_____ lbs	\$ _____
Canned	_____ lbs	\$ _____
Unprocessed	_____ lbs	\$ _____
Other	_____ lbs	\$ _____
<b>Shrimp</b>		
Processed Fresh	_____ lbs	\$ _____
Frozen	_____ lbs	\$ _____
Canned	_____ lbs	\$ _____
Unprocessed	_____ lbs	\$ _____
Other	_____ lbs	\$ _____

20. (Continued)

Type of Fish	Total weight of 2014 fish production (both processed and unprocessed)	Total value of 2014 fish production (both processed and unprocessed)
<b>Squid</b>		
Processed Fresh	_____ lbs	\$ _____
Frozen	_____ lbs	\$ _____
Unprocessed	_____ lbs	\$ _____
Other	_____ lbs	\$ _____
<b>Sturgeon</b>		
Processed Fresh	_____ lbs	\$ _____
Frozen	_____ lbs	\$ _____
Canned	_____ lbs	\$ _____
Unprocessed	_____ lbs	\$ _____
Other	_____ lbs	\$ _____
<b>Tuna</b>		
Processed Fresh	_____ lbs	\$ _____
Frozen	_____ lbs	\$ _____
Canned	_____ lbs	\$ _____
Unprocessed	_____ lbs	\$ _____
Other	_____ lbs	\$ _____
<b>Other Shellfish</b>		
Processed Fresh	_____ lbs	\$ _____
Frozen	_____ lbs	\$ _____
Unprocessed	_____ lbs	\$ _____
Other	_____ lbs	\$ _____
<b>Other Non-Species Specific Products</b>		
Fish Meal	_____ lbs	\$ _____
Fish Oil	_____ lbs	\$ _____
Bait	_____ lbs	\$ _____
Other products	_____ lbs	\$ _____
<b>Other Species (please list)</b>		
_____	_____ lbs	\$ _____
_____	_____ lbs	\$ _____
_____	_____ lbs	\$ _____

## VII. Other Earnings

21. Provide the revenue received by you for **custom processing** of fish owned by another processor outside of this facility in 2014.

	2014 Custom Processing Revenue
Whiting	\$ _____
Non-Whiting Groundfish	\$ _____
Other	\$ _____

22. Provide the **revenue** received in 2014 for each of the earnings sources listed below.

Earnings Source	2014 Total Revenue
Offloading earnings received from others	\$ _____
Sale of quota pounds	\$ _____
Leasing of quota pounds	\$ _____
Leasing of quota share	\$ _____
Sale of quota share	\$ _____
Insurance settlements	\$ _____

23. Read the following statement, and sign and date the box below.

I certify under penalty of perjury that I have reviewed all the information in this questionnaire and that it is true and complete to the best of my knowledge.	
Signature	Date signed _____/_____/_____ mm dd yyyy
Print Name	

*Questionnaire Comments:*

[Empty box for questionnaire comments]

## Economic Data Collection (EDC) Form



### WEST COAST GROUND FISH LIMITED ENTRY TRAWL MOTHERSHIP VESSEL 2014

NOAA Fisheries – Northwest Fisheries Science Center

**Who is responsible for submitting:** All owners, lessees, and charterers of a mothership vessel registered to an MS permit at any time in 2014.

**Complete all questions.** If a question is not applicable, write "NA" in the answer box. The survey will not be considered complete unless there is an answer to every question.

**Submit by September 1, 2015.**

*Paper submission:* Completed and signed EDC forms must be mailed and postmarked by, or hand-delivered to NMFS no later than September 1, 2015. Mail or deliver to

Economic Data Collection Program (FRAM Division)  
Northwest Fisheries Science Center  
2725 Montlake Boulevard East  
Seattle, WA 98112

*Web form submission:* Completed EDC web forms must be submitted electronically and the signature page faxed, or hand-delivered, or mailed and postmarked no later than September 1, 2015. Mail or deliver to address above. Fax to (206) 861-8225.

**Retain a copy.** Retain a copy of the completed form.

**More information:** [www.nwfsc.noaa.gov/edc](http://www.nwfsc.noaa.gov/edc).

**Questions:** Visit the website above or contact Erin Steiner at (866) 791-3726 or [NWFSC.EDC@noaa.gov](mailto:NWFSC.EDC@noaa.gov).

#### Public Reporting Burden Statement

Public reporting burden for this collection of information is estimated to take 8 hours per response, including time for reviewing the instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden to Erin Steiner, National Marine Fisheries Service, Northwest Fisheries Science Center, 2725 Montlake Blvd E, Seattle, WA 98112.

#### Additional Information

Before completing this form, please note the following: 1) Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number; 2) This information is mandatory and is required to manage commercial fishing efforts under 50 CFR part 660 and under section 402(a) of the Magnuson-Stevens Act (16 U.S.C. 1801, *et seq.*); 3) Responses to this information request are confidential under 402(b) of the Magnuson-Stevens Act (16 U.S.C. 1801, *et seq.*). They are also confidential under NOAA Administrative Order 216-100, which sets forth procedures to protect the confidentiality of fishery statistics.

## I. Contact Information and Vessel Characteristics

1. Provide the following information about this vessel and its physical characteristics.

Item	Vessel Information
Vessel Name	
USCG Vessel Number	
Home Port	
Length Overall (feet)	
Fuel Capacity (gallons)	
Horsepower of Main Engines	

2. Provide the contact information for the **owner of the mothership vessel**.

Name of Company, Partnership, or Other Business Entity			
Business Mailing Address Street / PO Box			Business Phone (      )
			Business Fax (      )
City	State	Zip Code	Business Email

3. If the vessel was leased or bareboat chartered during 2014, provide the contact information for the **lessee or charterer of the mothership vessel**. If necessary, use the last page for additional lessees or charterers.

Name of Company, Partnership, or Other Business Entity			
Business Mailing Address Street / PO Box			Business Phone (      )
			Business Fax (      )
City	State	Zip Code	Business Email

4. List the mothership permit(s) used with this vessel during 2014 in the **West Coast** whiting fishery (West Coast

includes Washington, Oregon, and California).

Mothership Permit Number	Permit Owned or Leased? Owned <input type="checkbox"/> Leased <input type="checkbox"/>
Mothership Permit Number (2)	Permit Owned or Leased? Owned <input type="checkbox"/> Leased <input type="checkbox"/>
Mothership Permit Number (3)	Permit Owned or Leased? Owned <input type="checkbox"/> Leased <input type="checkbox"/>

5. Provide the contact information for the **individual completing this report**. If your address, phone, and email are provided in the owner or lessee/charterer information, you do not need to repeat them here but please provide your name and title.

<input type="checkbox"/> Mothership Vessel <b>Owner</b> or Designated Representative			
<input type="checkbox"/> Mothership Vessel <b>Lessee or Charterer</b> or Designated Representative			
Name			Title
Business Mailing Address Street / PO Box			Business Phone (     )
			Business Fax (     )
City	State	Zip Code	Business Email

Please proceed to the next page 

If a question is not applicable, write "NA" in the answer box.

6. Answer the following questions related to the most recent marine survey of the vessel.

What was the year of this vessel's last marine survey?	_____ yyyy
What was the <i>market value</i> of this vessel from the survey, rounded to the nearest 100 dollars?	\$ _____
What was the <i>replacement value</i> of this vessel from the survey, rounded to the nearest 100 dollars?	\$ _____
Did the survey values given above include the value of permits associated with this vessel at the time of the survey?	Yes <input type="checkbox"/> No <input type="checkbox"/>
Did the survey values given above include the value of quota associated with this vessel at the time of the survey?	Yes <input type="checkbox"/> No <input type="checkbox"/>
Did the survey values given above include the value of all processing equipment on this vessel at the time of the survey?	Yes <input type="checkbox"/> No <input type="checkbox"/>
Did the survey values given above include the value of all fishing gear on this vessel at the time of the survey?	Yes <input type="checkbox"/> No <input type="checkbox"/>

7. For the remainder of the survey, report values from your 2014 fiscal year. When did this vessel's 2014 fiscal year begin?

_____ / _____ / _____ mm    dd    yyyy
---

*Please report values from your 2014 fiscal year for the remainder of this survey.*

8. Was this vessel hauled out in 2014?

Yes <input type="checkbox"/> No <input type="checkbox"/>
--

9. Provide this vessel's average fuel use per day (for propulsion or other uses) when engaged in each of the following activities in 2014.

- **West Coast** includes Washington, Oregon, and California.
- Exclude participation in the tribal sector of the whiting fishery.
- Fuel use should include all fuels used for propulsion or other uses.

Activity	Fuel Use
Processing and steaming in the West Coast whiting fishery	_____ gal/day
Steaming between the West Coast and Alaska	_____ gal/day

10. How many gallons of fuel did this vessel use (for propulsion or other uses) during 2014 in the **West Coast** whiting fishery?

- Exclude activities in the tribal sector, Alaska, and steaming between the West Coast and Alaska in these responses.

Type of Fuel	Gallons
Diesel	_____ gal
Bunker oil	_____ gal
Fish oil	_____ gal

11. Provide the number of days this vessel was at sea during 2014 in each of the following activities. Please note that there is a special category for days at sea steaming between the West Coast and Alaska. (*This information will be used to allocate some of your expenses and expenditures between the West Coast and Alaska in order to avoid asking more detailed information about the vessel's activities in Alaska.*)

- Count partial days as full days
- Exclude participation in the tribal sector

Activity	Days at Sea
Processing in the West Coast whiting fishery	_____ days
Steaming in the West Coast whiting fishery	_____ days
Off-loading in the West Coast whiting fishery	_____ days
Steaming between the West Coast and Alaska	_____ days
All Alaska fisheries	_____ days

12. Provide the number of **one-way** trips (count a round trip as 2 one-way trips) this vessel made steaming between the West Coast and Alaska during 2014.

_____ one-way trips
---------------------

13. Provide the average number of processing crew members and the average number of non-processing crew members (including the captain) when the vessel was operating in the **West Coast** whiting fishery during 2014.

- **Processing crew** includes line workers, fishmeal crew, quality control, technicians, cleanup, mechanics who work on processing equipment, factory manager, and combis.
- **Non-processing crew** includes wheelhouse, deckhands, engine room, and galley.

Fishery	Average Number of Processing Crew	Average Number of Non-Processing Crew (including captain)
West Coast whiting	_____	_____

14. Provide the total number of individuals who worked for you on this vessel during 2014 while processing in the **West Coast** whiting fishery.

- This value should represent the number of individuals who worked at any point during the year, rather than the number of positions.
- **Do not include** observers.

_____ individuals on this vessel ( <b>processing crew</b> )
_____ individuals on this vessel ( <b>non-processing crew</b> )

## II. Capitalized Expenditures

15. Provide the 2014 **capitalized expenditures** associated with each of the following categories for this vessel. Note that some capitalized expenditures are for **All Fisheries** the vessel participates in (West Coast, Alaska, and other) and others are for **West Coast** whiting fishery only (excluding tribal sector participation). Round all answers to the nearest 100 dollars. (*Capital expenditures shared across fisheries will be allocated to the West Coast based on days or tonnage.*)

Capitalized Expenditure Category	Capitalized Expenditures in All Fisheries <i>West Coast, Alaska, and Other</i>	Capitalized Expenditures in West Coast Fisheries Only <i>Washington, Oregon, and California</i>
----------------------------------	---	--

**Vessel and On-board Equipment**

- Include the purchase of a new or used vessel, electronics, safety equipment, and machinery not used to harvest or process fish
- Include any major upgrades, repairs, or maintenance to the vessel or equipment
- Exclude fishing gear and processing equipment

New or used vessel and on-board equipment (Regardless of where the vessel fished, enter all expenditures for vessel and on-board equipment under the <b>All Fisheries</b> column)	\$ _____	
---	----------	--

**Fishing Gear**

- Include nets, cables, doors, and fishing machinery used in the West Coast whiting fishery
- Exclude any fishing gear that is not used at least partially in the West Coast whiting fishery

Fishing gear used <b>only in</b> the West Coast whiting fishery		\$ _____
Fishing gear <b>shared by</b> the West Coast whiting and other fisheries	\$ _____	

**Processing Equipment**

- Exclude all equipment, machines, and buildings based primarily on shore
- Exclude any processing equipment that is not used at least partially in the West Coast whiting fishery
- Include on-board freezers, storage equipment, packing equipment, conveyers, and on-board cargo handling equipment

Processing equipment used <b>only in</b> the West Coast whiting fishery		\$ _____
Processing equipment <b>shared by</b> the West Coast whiting and other fisheries	\$ _____	

**III. Permit Costs**

16. Provide the total amount you paid for purchase or lease of mothership permits during 2014 for use in the **West Coast** whiting fishery.

Purchase or Lease of Permits	Total Cost
Purchase of mothership endorsed permit	\$ _____
Lease of mothership endorsed permit	\$ _____

## IV. Annual Expenses

17. Provide the total amount **expensed** during 2014 in each of the categories below. Note that some expenses are for **All Fisheries** (West Coast, Alaska and other) and others are for the **West Coast** whiting fishery only (excluding tribal sector participation). Round all answers to the nearest 100 dollars.

<b>Expenses Category</b>	<b>Expenses in All Fisheries</b> <i>West Coast, Alaska, and Other</i>	<b>Expenses in West Coast Fisheries Only</b> <i>Washington, Oregon, and California</i>
Processing crew (include wages, bonuses, benefits, payroll taxes, and unemployment insurance)		\$ _____
Non-Processing crew (include wages, bonuses, benefits, payroll taxes, and unemployment insurance)		\$ _____
Crew travel not deducted from crew wages		\$ _____
Observer fees		\$ _____
Mothership co-op dues		\$ _____
Fuel and lubrication (do not include steaming between West Coast and Alaska)		\$ _____
Food		\$ _____
Non-fish ingredients (additives)		\$ _____
Packing materials		\$ _____
Freight to the vessel on supplies		\$ _____
Other supplies (linens, clothing, cleaning, etc.)		\$ _____
Communications		\$ _____
Offload expenses (cross-dock fees, port tariffs, etc.)		\$ _____
On-board cargo / product/ protection and indemnity insurance		\$ _____
Fishing gear purchases, upgrades, repairs, or maintenance used <b>only in</b> the West Coast whiting fishery (expensed during 2014)		\$ _____
Fishing gear purchases, upgrades, repairs, or maintenance <b>shared by</b> West Coast whiting fishery and Alaska (expensed during 2014)	\$ _____	
Processing equipment purchases, upgrades, repairs, or maintenance (expensed in 2014)	\$ _____	

17. (Continued)

<b>Expenses Category</b>	<b>Expenses in All Fisheries West Coast, Alaska, and Other</b>	<b>Expenses in West Coast Fisheries Only Washington, Oregon, and California</b>
Vessel and on-board equipment purchases, upgrades, repairs, or maintenance (expensed in 2014)	\$ _____	
Insurance premium payments (hull and machinery, pollution insurance)	\$ _____	
Moorage	\$ _____	
Lease or bareboat charter of this mothership vessel	\$ _____	
Depreciation (vessel, on-board equipment, and processing equipment) taken during 2014	\$ _____	

18. Provide the weight and the cost of fish purchased from catcher vessels in the **West Coast** whiting fishery (excluding tribal sector participation) during 2014. Round weights to the nearest metric ton.
- Please include any post-season adjustments for purchases of fish that were harvested in 2014.
  - Total cost should include taxes and vessel-buyback program fees paid on behalf of catcher vessels.

<b>Type of Fish</b>	<b>Total Weight of Fish Received but Not Paid For (for size or other reasons)</b>	<b>Total Weight of Fish Purchased</b>	<b>Total Cost of Fish Purchases</b>
Whiting	_____mt	_____mt	\$ _____
All other <b>West Coast</b> species	_____mt	_____mt	\$ _____

19. Provide the total round weight of all fish processed by this vessel during 2014. Round to the nearest metric ton. (This information will be used to allocate some of your expenditures between the West Coast and Alaska in order to avoid asking more detailed information about the vessel's activity in Alaska.)

<b>Fishery</b>	<b>Total Round Weight as a Catcher-Processor</b>	<b>Total Round Weight as a Mothership</b>
<b>West Coast</b> whiting fishery (exclude tribal)		_____mt
<b>All Other</b> fisheries (include tribal)	_____mt	_____mt

## V. Annual Earnings

20. Provide the total weight and value of production in the **West Coast** whiting fishery (exclude participation in tribal sector) during 2014. Report weights to the nearest metric ton.

- Do not include any additional payment you received to cover any shipping, handling, or storage costs associated with the sale beyond the FOB port of discharge.
- Please include any post-season adjustments for products produced in 2014.
- For products produced in 2014 and held in inventory at the end of the year, estimate the value on the basis of the average price received for similar products sold during the year.
- Include products shipped to other establishments of your company.
- Do not include revenue associated with fish caught in any fishery except the **West Coast** whiting fishery.

Type of Fish	Total Weight of Production	Total Value of Production
Whiting		
Surimi	_____ mt	\$ _____
Fillets	_____ mt	\$ _____
H&G	_____ mt	\$ _____
Round (unprocessed)	_____ mt	\$ _____
Fishmeal	_____ mt	\$ _____
Fish oil	_____ mt	\$ _____
Roe	_____ mt	\$ _____
Minced	_____ mt	\$ _____
Stomachs	_____ mt	\$ _____
Other (specify): _____	_____ mt	\$ _____
Other (specify): _____	_____ mt	\$ _____
Other Species		
All other species on the West Coast	_____ mt	\$ _____

21. Provide the percentage, by value, of all products off-loaded from this mothership vessel in the **West Coast whiting fishery** (exclude deliveries related to participation in tribal sector) at each of the following locations. The column should sum to 100%.

Location	Percentage of Total Off-load Value
Seattle	_____ %
Blaine / Bellingham	_____ %
Port Angeles	_____ %
Astoria	_____ %
Coos Bay	_____ %
Tacoma	_____ %
At sea (tramper)	_____ %
Other (specify): _____	_____ %

22. For each of the earnings sources listed below, indicate the income earned during 2014.

Earnings Source	Revenue Received
Sale of West Coast mothership endorsed permits	\$ _____
Lease of West Coast mothership endorsed permits	\$ _____
Lease or bareboat charter of this vessel	\$ _____
Insurance settlements	\$ _____

**VI. Certification**

23. Read the following statement and sign and date the box below.

I certify under penalty of perjury that I have reviewed all the information in this form and that it is true and complete to the best of my knowledge.	
Signature	Date signed _____/_____/_____ mm dd yyyy
Print Name	

**Questionnaire Comments:**

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# Notices

Federal Register

Vol. 79, No. 203

Tuesday, October 21, 2014

This section of the FEDERAL REGISTER contains documents other than rules or proposed rules that are applicable to the public. Notices of hearings and investigations, committee meetings, agency decisions and rulings, delegations of authority, filing of petitions and applications and agency statements of organization and functions are examples of documents appearing in this section.

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## DEPARTMENT OF COMMERCE

### Performance Review Board Membership

**AGENCY:** Economics and Statistics Administration, Department of Commerce.

**ACTION:** Notice.

**SUMMARY:** Below is a listing of individuals who are eligible to serve on the Performance Review Board (PRB) in accordance with the Economics and Statistics Administration's Senior Executive Service and Senior Professional Performance Management Systems:

Kenneth A. Arnold  
 Lisa M. Blumerman  
 William G. Bostic, Jr.  
 Stephen B. Burke  
 Joanne Buenzli Crane  
 Austin J. Durrer  
 William W. Hatcher  
 Susan R. Helper  
 Ron S. Jarmin  
 Enrique Lamas  
 Brian E. McGrath  
 Brent R. Moulton  
 Brian C. Moyer  
 Carol E. Moylan  
 Joel D. Platt  
 Nancy A. Potok  
 Jeannie L. Shiffer  
 Sarahelen Thompson  
 Katherine K. Wallman

The term of each PRB member will expire on December 31, 2016.

**FOR FURTHER INFORMATION CONTACT:**  
 Latasha Ellis, 301-763-3727

Dated: October 8, 2014.

**Kenneth A. Arnold,**  
*Deputy Under Secretary for Economic Affairs,  
 Chair, Performance Review Board.*

[FR Doc. 2014-24932 Filed 10-20-14; 8:45 am]

**BILLING CODE 3510-BS-P**

## DEPARTMENT OF COMMERCE

### Foreign-Trade Zones Board

[B-73-2014]

#### Foreign-Trade Zone 71—Windsor Locks, Connecticut; Application for Reorganization Under Alternative Site Framework

An application has been submitted to the Foreign-Trade Zones (FTZ) Board by the Economic and Industrial Development Commission of Windsor Locks, grantee of FTZ 71, requesting authority to reorganize the zone under the alternative site framework (ASF) adopted by the FTZ Board (15 CFR 400.2(c)). The ASF is an option for grantees for the establishment or reorganization of zones and can permit significantly greater flexibility in the designation of new subzones or "usage-driven" FTZ sites for operators/users located within a grantee's "service area" in the context of the FTZ Board's standard 2,000-acre activation limit for a zone. The application was submitted pursuant to the Foreign-Trade Zones Act, as amended (19 U.S.C. 81a-81u), and the regulations of the Board (15 CFR part 400). It was formally docketed on October 15, 2014.

FTZ 71 was approved by the FTZ Board on July 8, 1981 (Board Order 177, 46 FR 36220, 7/14/81) and expanded on February 29, 2012 (Board Order 1818, 77 FR 15356-15357, 3/15/12). The current zone includes the following sites: *Site 1* (17.5 acres), Crown Industrial Park, 399 Turnpike Road, Windsor Locks; and, *Site 2* (390 acres), New England Tradeport Business Park, intersection of Route 20 and International Drive, East Granby/Windsor Locks.

The grantee's proposed service area under the ASF would be the Counties of Hartford, Middlesex, Windham, Tolland and Litchfield, as described in the application. If approved, the grantee would be able to serve sites throughout the service area based on companies' needs for FTZ designation. The proposed service area is adjacent to the Hartford Customs and Border Protection port of entry.

The applicant is requesting authority to reorganize its existing zone to include the existing sites as "magnet" sites. The ASF allows for the possible exemption of one magnet site from the "sunset" time limits that generally apply to sites

under the ASF, and the applicant proposes that Site 1 be so exempted. No subzones/usage-driven sites are being requested at this time.

In accordance with the FTZ Board's regulations, Kathleen Boyce of the FTZ Staff is designated examiner to evaluate and analyze the facts and information presented in the application and case record and to report findings and recommendations to the FTZ Board.

Public comment is invited from interested parties. Submissions shall be addressed to the FTZ Board's Executive Secretary at the address below. The closing period for their receipt is December 22, 2014. Rebuttal comments in response to material submitted during the foregoing period may be submitted during the subsequent 15-day period to January 5, 2015.

A copy of the application will be available for public inspection at the Office of the Executive Secretary, Foreign-Trade Zones Board, Room 21013, U.S. Department of Commerce, 1401 Constitution Avenue NW., Washington, DC 20230-0002, and in the "Reading Room" section of the FTZ Board's Web site, which is accessible via [www.trade.gov/ftz](http://www.trade.gov/ftz). For further information, contact Kathleen Boyce at [Kathleen.Boyce@trade.gov](mailto:Kathleen.Boyce@trade.gov) or (202) 482-1346.

Dated: October 15, 2014.

**Andrew McGilvray,**  
*Executive Secretary.*

[FR Doc. 2014-25000 Filed 10-20-14; 8:45 am]

**BILLING CODE 3510-DT-P**

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## DEPARTMENT OF COMMERCE

### National Oceanic and Atmospheric Administration

#### Proposed Information Collection; Comment Request; West Coast Groundfish Trawl Economic Data Collection

**AGENCY:** National Oceanic and Atmospheric Administration (NOAA), Commerce.

**ACTION:** Notice.

**SUMMARY:** The Department of Commerce, as part of its continuing effort to reduce paperwork and respondent burden, invites the general public and other Federal agencies to take this opportunity to comment on proposed and/or continuing information

collections, as required by the Paperwork Reduction Act of 1995.

**DATES:** Written comments must be submitted on or before December 22, 2014.

**ADDRESSES:** Direct all written comments to Jennifer Jessup, Departmental Paperwork Clearance Officer, Department of Commerce, Room 6616, 14th and Constitution Avenue NW., Washington, DC 20230 (or via the Internet at [J.Jessup@doc.gov](mailto:J.Jessup@doc.gov)).

**FOR FURTHER INFORMATION CONTACT:** Requests for additional information or copies of the information collection instrument and instructions should be directed to Erin Steiner, (206) 860-3202 or [erin.steiner@noaa.gov](mailto:erin.steiner@noaa.gov).

**SUPPLEMENTARY INFORMATION:**

**I. Abstract**

This request is for extension of a currently approved information collection. This information collection is needed in order to meet the monitoring requirements of the Magnuson-Stevens Act (MSA). In particular, the Northwest Fisheries Science Center (NWFSC) needs economic data on all harvesters, first receivers, shorebased processors, catcher processors, and motherships participating in the West Coast groundfish trawl fishery.

The currently approved collection covers collection of data for the 2011, 2012, and 2013 operating years. The renewed approval will cover years 2014-2016. Data will be collected from all catcher vessels registered to a limited entry trawl endorsed permit, catcher processors registered to catcher processor permits, and motherships registered to mothership permits, first receivers, and shorebased processors that received round or head-and-gutted IFQ groundfish or whiting from a first receiver to provide the necessary information for analyzing the effects of the West Coast Groundfish Trawl Catch Share Program.

As stated in 50 CFR 660.114, the EDC forms due on September 1, 2015 will provide data for the 2014 operating year.

**II. Method of Collection**

Forms may be submitted via mail or electronically.

**III. Data**

*OMB Control Number:* 0648-0618.  
*Form Number:* None.

*Type of Review:* Regular submission (extension of a currently approved collection).

*Affected Public:* Business or other for-profit.

*Estimated Number of Respondents:* 224.

*Estimated Time per Response:* 8 hours for catcher processors, catcher vessels, and motherships, 20 hours for first receivers and shorebased processors.

*Estimated Total Annual Burden Hours:* 2,548.

*Estimated Total Annual Cost to Public:* \$0 in recordkeeping/reporting costs.

**IV. Request for Comments**

Comments are invited on: (a) Whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden (including hours and cost) of the proposed collection of information; (c) ways to enhance the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology.

Comments submitted in response to this notice will be summarized and/or included in the request for OMB approval of this information collection; they also will become a matter of public record.

Dated: October 16, 2014.

**Glenna Mickelson,**

*Management Analyst, Office of the Chief Information Officer.*

[FR Doc. 2014-24976 Filed 10-20-14; 8:45 am]

**BILLING CODE 3510-22-P**

**DEPARTMENT OF COMMERCE**

**National Oceanic and Atmospheric Administration**

**RIN 0648-XD510**

**Fisheries of the Northeastern United States; Atlantic Surfclam and Ocean Quahog Fisheries; Notice That Vendor Will Provide 2015 Cage Tags**

**AGENCY:** National Marine Fisheries Service (NMFS), National Oceanic and Atmospheric Administration (NOAA), Commerce.

**ACTION:** Notice of vendor to provide fishing year 2015 cage tags.

**SUMMARY:** NMFS informs surfclam and ocean quahog individual transferable quota (ITQ) allocation holders that they will be required to purchase their fishing year 2015 (January 1, 2015—December 31, 2015) cage tags from the National Band and Tag Company. The intent of this notice is to comply with regulations for the Atlantic surfclam and

ocean quahog fisheries and to promote efficient distribution of cage tags.

**FOR FURTHER INFORMATION CONTACT:** Anna Macan, Fishery Management Specialist, (978) 281-9165; fax (978) 281-9161.

**SUPPLEMENTARY INFORMATION:** The Federal Atlantic surfclam and ocean quahog fishery regulations at 50 CFR 648.77(b) authorize the Regional Administrator of the Greater Atlantic Region, NMFS, to specify in the **Federal Register** a vendor from whom cage tags, required under the Atlantic Surfclam and Ocean Quahog Fishery Management Plan (FMP), shall be purchased. Notice is hereby given that National Band and Tag Company of Newport, Kentucky, is the authorized vendor of cage tags required for the fishing year 2015 Federal surfclam and ocean quahog fisheries. Detailed instructions for purchasing these cage tags will be provided in a letter to ITQ allocation holders in these fisheries from NMFS within the next several weeks.

**Authority:** 16 U.S.C. 1801 *et seq.*

Dated: October 16, 2014.

**Alan D. Risenhoover,**

*Director, Office of Sustainable Fisheries, National Marine Fisheries Service.*

[FR Doc. 2014-24960 Filed 10-20-14; 8:45 am]

**BILLING CODE 3510-22-P**

**DEPARTMENT OF COMMERCE**

**United States Patent and Trademark Office**

**Applications for Trademark Registration**

**ACTION:** Proposed collection; comment request.

**SUMMARY:** The United States Patent and Trademark Office (USPTO), as part of its continuing effort to reduce paperwork and respondent burden, invites the general public and other Federal agencies to comment on the extension of a continuing information collection, as required by the Paperwork Reduction Act of 1995, Public Law 104-13 (44 U.S.C. 3506(c)(2)(A)).

**DATES:** Written comments must be submitted on or before December 22, 2014.

**ADDRESSES:** You may submit comments by any of the following methods:

- *Email:* [InformationCollection@uspto.gov](mailto:InformationCollection@uspto.gov). Include "0651-0009 comment" in the subject line of the message.

- *Mail:* Marcie Lovett, Records Management Division Director, Office of the Chief Information Officer, United