

NOTICE OF OFFICE OF MANAGEMENT AND BUDGET ACTION

Date 03/22/2013

Department of Commerce
National Oceanic and Atmospheric Administration

FOR CERTIFYING OFFICIAL: Simon Szykman
FOR CLEARANCE OFFICER: Jennifer Jessup

In accordance with the Paperwork Reduction Act, OMB has taken action on your request received 03/20/2013

ACTION REQUESTED: No material or nonsubstantive change to a currently approved collection

TYPE OF REVIEW REQUESTED: Regular

ICR REFERENCE NUMBER: 201303-0648-010

AGENCY ICR TRACKING NUMBER:

TITLE: Southeast Region IFQ Programs

LIST OF INFORMATION COLLECTIONS: See next page

OMB ACTION: Approved without change

OMB CONTROL NUMBER: 0648-0551

The agency is required to display the OMB Control Number and inform respondents of its legal significance in accordance with 5 CFR 1320.5(b).

EXPIRATION DATE: 11/30/2014

DISCONTINUE DATE:

BURDEN:	RESPONSES	HOURS	COSTS
Previous	28,254	2,303	980,524
New	28,254	2,303	980,524
Difference			
Change due to New Statute	0	0	0
Change due to Agency Discretion	0	0	0
Change due to Agency Adjustment	0	0	0
Change due to PRA Violation	0	0	0

TERMS OF CLEARANCE: Terms of the previous clearance remain in effect.

OMB Authorizing Official: Dominic J. Mancini
Acting Deputy Administrator,
Office Of Information And Regulatory Affairs

List of ICs			
IC Title	Form No.	Form Name	CFR Citation
Dealer landing transaction report	NA, NA	Dealer Landing Transaction Report, Landing transaction authorization	50 CFR 622.16
Transfer of share by shareholders/permit holders	NA	Transfer and receipt of IFQ shares	
Notification of landing	NA	IFQ Notification of Landing	50 CFR 622.16
Transfer of allocation	NA	IFQ Transfer of Allocation	
IFQ online account renewal application	NA	Gulf of Mexico On Line IFQ account application	
Landings correction request form	NA	Landing Transaction Request Form	
Quarterly dealer report with cost recovery fees			50 CFR 622.16
Reef Fish Active vessels report	NA	Active Vessels Report	
Reef fish permit consolidation	NA	Form to consolidate reef fish permits	
Reef fish approved landing location and landing criteria	NA	Reef fish landing criteria and approved landing location	
Wreckfish quota share transfer	NA	Wreckfish quota share transfer form	
IFQ close account form	NA	IFQ Close Account	
Wreckfish ITQ landings information appeals			50 CFR 622.15(a)(1)(iv)

**JUSTIFICATION FOR CHANGE
SOUTHEAST REGION IFQ PROGRAMS
OMB CONTROL NO. 0648-0551**

The National Oceanic and Atmospheric Administration (NOAA), National Marine Fisheries Service (NMFS) manages three individual fishing quota (IFQ) programs in the Southeast Region under OMB Control Number 0648-0551: the Gulf of Mexico (Gulf) red snapper IFQ program, the Gulf grouper/tilefish IFQ program and the South Atlantic wreckfish ITQ (individual transferable quota) program. The Gulf red snapper and the Gulf grouper/tilefish IFQ programs are managed under the Fishery Management Plan for the Reef Fish Resources of the Gulf of Mexico (Gulf Reef Fish FMP). The South Atlantic wreckfish ITQ program is managed under the Fishery Management Plan for the Snapper-Grouper Fishery of the South Atlantic Region (South Atlantic Snapper-Grouper FMP). Since 1992, for South Atlantic wreckfish, 2007 for Gulf red snapper, and 2010 for Gulf grouper and tilefish, NMFS has been collecting information to administer and operate these three IFQ programs, which are intended to address overcapitalization, prevent derby fishery conditions, and prevent overfishing of these resources. These collections address IFQ share certificate and allocation debits and transfers, as well as collection of landings information necessary to operate, administer, and review management of commercial red snapper, and grouper/tilefish in the Gulf and wreckfish in the South Atlantic.

This change request would modify the IFQ online account application for the Gulf red snapper and grouper/tilefish IFQ programs. The IFQ online account application would be revised to require IFQ account holders that are businesses to include their “doing business as” (DBA) names. The DBAs will provide the Southeast Regional Office with unique identifiers for tracking permit holders/IFQ account holders. The IFQ online account applications will also be revised to add email addresses which will give the Southeast Regional Office another means of communicating with the IFQ account holders. The Magnuson-Stevens Act, Regulatory Flexibility Act, and Executive Orders 12866 and 12131 require the determination of these facts.

These changes would not result in the estimated time per response changing, therefore, it would still take approximately 10 minutes to complete the IFQ online account application.

Thus, the respondent, response, burden and cost estimate, for OMB Control No. 0648-0551 would not change and remain at 1,650 respondents, 28,254 responses, 2,303 hours, and \$980,524.

PAPERWORK REDUCTION ACT CHANGE WORKSHEET

Agency/Subagency	OMB Control Number _____ - _____	
<i>Enter only items that change</i>		
	Current record	New record
Agency form number (s)		
Annual reporting and recordkeeping hour burden		
Number of respondents		
Total annual responses		
Percent of these responses collected electronically	%	%
Total annual hours		
Difference		
Explanation of difference		
Program change		
Adjustment		
Annual reporting and recordkeeping cost burden (in thousands of dollars)		
Total annualized Capital/Startup costs		
Total annual costs (O&M)		
Total annualized cost requested		
Difference		
Explanation of difference		
Program change		
Adjustment		
Other changes**		
Signature of Senior Official or designee:	Date:	For OIRA Use _____ _____

** This form cannot be used to extend an expiration date.

U.S. DEPT OF COMMERCE, NOAA
 NMFS IFQ Program, F/SER29
 263 13th Avenue South
 St. Petersburg, FL 33701-5511
 Toll Free 866-425-7627 (8 a.m. - 4:30 p.m. ET)
 727-824-5305 (8 a.m. - 4:30 p.m. ET)
 http://ifq.sero.nmfs.noaa.gov



**NOAA FISHERIES SERVICE
 FEDERAL APPLICATION
 FOR GULF OF MEXICO
 INDIVIDUAL FISHING QUOTA (IFQ)
 ONLINE ACCOUNT**

FOR OFFICE USE ONLY
Reviewer's Initials and Date _____
Sanction Case Number if Sanctioned and date held _____
Date Sanction Released and Initials _____
Application ID

APPLICATION INSTRUCTIONS

1. Current IFQ participants need to complete this application to certify they are or are NOT a United States citizen or a permanent resident alien.
2. As of January 1, 2012, all United States citizens and permanent resident aliens are eligible for participation in the Gulf red snapper IFQ program. This application is to establish an IFQ account for new participants and update account information for existing participants. **However, a valid commercial permit for Gulf reef fish, a Gulf red snapper IFQ vessel account, and Gulf red snapper IFQ allocation are required to possess (at and after the time of the advance notice of landing), land or sell Gulf red snapper subject to this IFQ program.**
3. Follow the instructions at the top of each section. Make sure all the information is correct then sign and date the application below. The IFQ applicant signing the application must be an account holder listed in section 1 and a United States citizen or permanent resident alien.
4. Mail your completed application to: U.S. Department of Commerce, NOAA, National Marine Fisheries Service F/SER29, 263 13th Avenue South, St. Petersburg, FL 33701-5505.

Public reporting burden for this collection of information is estimated to average 10 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other suggestions for reducing this burden to PRA Officer, National Marine Fisheries Service, F/SER2, 263 13th Avenue South, St. Petersburg, FL 33701-5505.

The National Marine Fisheries Service requires this information for the conservation and management of marine fishery resources. The data reported will be used to develop, implement, and monitor fishery management activities for a variety of uses. Responses to this collection are required to obtain or retain an IFQ online account under the Magnuson-Stevens Act. Non-confidential information will be released via a NOAA Fisheries Service website. Non-confidential information means: name, address, city, state, zip code, etc. All other data submitted will be handled as confidential material in accordance with NOAA Administrative Order 216-100, Protection of Confidential Fishery Statistics. Notwithstanding any other provisions of the law, no person is required to respond to, nor shall any person be subjected to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.

SIGNATURE OF APPLICATION

The undersigned certifies under penalty of perjury that the foregoing information is true and correct (28 USC 1746; 18 USC 1621; 18 USC 1001, 16 USC 1857). Knowingly supplying false information for the purpose of obtaining an IFQ Online Account is a violation of Federal law punishable by a fine and/or imprisonment. Please note: The individual signing below **MUST** be either the IFQ account holder OR must be one of the officers or shareholders that is a United States citizen or permanent resident alien listed in section 2 of this application.

Applicant Signature _____ **Position in Company** (if applicable) _____

Print Name _____ **Date** _____ **UserID** _____
 (if applicable)

1. IFQ ONLINE ACCOUNT HOLDER INFORMATION

- 1) Check the appropriate box below if the applicant is a new or existing IFQ online account holder. Provide the USER ID for an existing account holder.
- 2) Complete this page for all IFQ online account holders. If the account holder is a business, enter the Federal ID number and date the business filed with the state. If the account holder is an individual, enter their Social Security Number and date of birth.
- 3) Check the appropriate box below to certify that the applicant IS or IS NOT a United States citizen or permanent resident alien.
- 4) *If the IFQ account is held by a business, please also complete Section 2 on page 4.*

Check the appropriate box below:

- NEW** IFQ online account holder
- EXISTING** IFQ online account holder and provide the IFQ Online account holder's UserID: _____

E-mail address: _____

IFQ ONLINE ACCOUNT HOLDER INFORMATION

Check one: Individual/Sole Proprietorship Joint Ownership Partnership Corporation Other _____

Certify Citizenship Status:

- The applicant **IS** a United States citizen or permanent resident alien.
- The applicant **IS NOT** a United States citizen or permanent resident alien.

Prefix	Last Name or Name of Business	First Name	Middle Name	Suffix

If you are operating under a different name, what is your Doing Business As (DBA) name?

Mailing Address	Apt/Suite	City	State	County/Parish	Zip Code	Country

Physical Address

Check if same as mailing address

Apt/Suite	City	State	County/Parish	Zip Code	Country

Tax ID number (FED ID or SSN)	Date of Birth or Date Business Filed (mm/dd/yyyy)	Area Code	Primary Phone Number

Select one: Home Work Cell

ADDITIONAL IFQ ONLINE ACCOUNT HOLDER INFORMATION

Check one: Individual/Sole Proprietorship Joint Ownership Partnership Corporation Other _____

Certify Citizenship Status:

- The applicant **IS** a United States citizen or permanent resident alien.
- The applicant **IS NOT** a United States citizen or permanent resident alien.

Prefix	Last Name or Name of Business	First Name	Middle Name	Suffix

If you are operating under a different name, what is your Doing Business As (DBA) name?

Mailing Address	Apt/Suite	City	State	County/Parish	Zip Code	Country

Physical Address

Check if same as mailing address

Apt/Suite	City	State	County/Parish	Zip Code	Country

Tax ID number (FED ID or SSN)	Date of Birth or Date Business Filed (mm/dd/yyyy)	Area Code	Primary Phone Number

Select one: Home Work Cell

ADDITIONAL IFQ ONLINE ACCOUNT HOLDER INFORMATION

- 1) Only complete this page for all additional IFQ online account holders. If the account holder is a business, enter the Federal ID number and date the business filed with the state. If the account holder is an individual, enter their Social Security Number and date of birth.
- 2) Check the appropriate box below to certify that the applicant IS or IS NOT a United States citizen or permanent resident alien.

IFQ online account holder's UserID (if applicable): _____

ADDITIONAL IFQ ONLINE ACCOUNT HOLDER INFORMATION

Check one: Individual/Sole Proprietorship Joint Ownership Partnership Corporation Other _____

Certify Citizenship Status:

- The applicant **IS** a United States citizen or permanent resident alien.
 The applicant **IS NOT** a United States citizen or permanent resident alien.

Prefix	Last Name or Name of Business	First Name	Middle Name	Suffix

If you are operating under a different name, what is your Doing Business As (DBA) name? _____

Mailing Address	Apt/Suite	City	State	County/Parish	Zip Code	Country

Physical Address
 Check if same as mailing address

Apt/Suite	City	State	County/Parish	Zip Code	Country

Tax ID number (FED ID or SSN)	Date of Birth or Date Business Filed (mm/dd/yyyy)	Area Code	Primary Phone Number

Select one: Home Work Cell

ADDITIONAL IFQ ONLINE ACCOUNT HOLDER INFORMATION

Check one: Individual/Sole Proprietorship Joint Ownership Partnership Corporation Other _____

Certify Citizenship Status:

- The applicant **IS** a United States citizen or permanent resident alien.
 The applicant **IS NOT** a United States citizen or permanent resident alien.

Prefix	Last Name or Name of Business	First Name	Middle Name	Suffix

If you are operating under a different name, what is your Doing Business As (DBA) name? _____

Mailing Address	Apt/Suite	City	State	County/Parish	Zip Code	Country

Physical Address
 Check if same as mailing address

Apt/Suite	City	State	County/Parish	Zip Code	Country

Tax ID number (FED ID or SSN)	Date of Birth or Date Business Filed (mm/dd/yyyy)	Area Code	Primary Phone Number

Select one: Home Work Cell

2. OFFICER/SHAREHOLDER INFORMATION FOR CORPORATION/BUSINESS/LLC THAT HOLD THE IFQ ONLINE ACCOUNT

- 1) **If this IFQ online account is held by a business, then complete this section for EACH officer or partner associated with the business.** Provide the information for **all** officers or partners that are shown on your most recent annual report. If your business is structured as a corporation, identify all shareholders in the corporation that own at least 1% or more of the shares, as well as the percentage of all shares in the corporation held by each shareholder. Individuals holding less than 1% of the shares (minor shareholders) should not be individually listed. **Total shareholders must equal 100%.** For all provide position held in business, name, address, social security number, date of birth, and telephone number.
- 2) Check the appropriate box below to certify that the applicant is or is NOT a United States citizen or permanent resident alien.

Business name _____ **Federal Tax ID number** _____

Officer or Shareholder Information

Check **all** that apply: President/CEO Vice President Secretary Treasurer Director/Manager Other _____
 Shareholder **Percent (%) of corporation held:** _____

Certify Citizenship Status:
 The applicant **IS** a United States citizen or permanent resident alien.
 The applicant **IS NOT** a United States citizen or permanent resident alien.

Prefix	Last Name	First Name	Middle Name	Suffix			
Mailing Address		Apt/Suite	City	State	County/Parish	Zip Code	Country
Physical Address							
<input type="checkbox"/> Check if same as mailing address		Apt/Suite	City	State	County/Parish	Zip Code	Country
SSN		Date of Birth (mm/dd/yyyy)		Area Code	Primary Phone Number		

Select one: Home Work Cell

Additional Officer or Shareholder Information

Check **all** that apply: President/CEO Vice President Secretary Treasurer Director/Manager Other _____
 Shareholder **Percent (%) of corporation held:** _____

Certify Citizenship Status:
 The applicant **IS** a United States citizen or permanent resident alien.
 The applicant **IS NOT** a United States citizen or permanent resident alien.

Prefix	Last Name	First Name	Middle Name	Suffix			
Mailing Address		Apt/Suite	City	State	County/Parish	Zip Code	Country
Physical Address							
<input type="checkbox"/> Check if same as mailing address		Apt/Suite	City	State	County/Parish	Zip Code	Country
SSN		Date of Birth (mm/dd/yyyy)		Area Code	Primary Phone Number		

Select one: Home Work Cell

_____ **MINOR SHAREHOLDERS** - Check here if one or more of your shareholders each individually hold shares that total less than 1% of the total shares of the corporation/business/LLC. For example, there might be three shareholders whose total shares added together is 2% of the total shares but each shareholder individually only holds 0.66% of the shares.

_____ **TOTAL PERCENTAGE (%)** of corporation/business/LLC held by *minor* shareholder(s) that individually holds less than 1% of the total shares of the corporation/business/LLC.

**ADDITIONAL OFFICER/SHAREHOLDER INFORMATION FOR
CORPORATION/BUSINESS/LLC THAT HOLD THE IFQ ONLINE ACCOUNT**

- 1) **If this IFQ online account is held by a business, then complete this section for EACH officer or partner associated with the business.**
Provide the information for **all** officers or partners that are shown on your most recent annual report. If your business is structured as a corporation, identify all shareholders in the corporation that own at least 1% or more of the shares, as well as the percentage of all shares in the corporation held by each shareholder. Individuals holding less than 1% of the shares (minor shareholders) should not be individually listed. **Total shareholders must equal 100%.** For all provide position held in business, name, address, social security number, date of birth, and telephone number.
- 2) Check the appropriate box below to certify that the applicant is or is NOT a United States citizen or permanent resident alien.

Additional Officer or Shareholder Information

Check **all** that apply: President/CEO Vice President Secretary Treasurer Director/Manager Other _____
 Shareholder **Percent (%) of corporation held:** _____

Certify Citizenship Status:
 The applicant **IS** a United States citizen or permanent resident alien.
 The applicant **IS NOT** a United States citizen or permanent resident alien.

Prefix	Last Name	First Name	Middle Name	Suffix			
Mailing Address		Apt/Suite	City	State	County/Parish	Zip Code	Country
Physical Address							
<input type="checkbox"/> Check if same as mailing address		Apt/Suite	City	State	County/Parish	Zip Code	Country
SSN		Date of Birth (mm/dd/yyyy)		Area Code	Primary Phone Number		

Select one: Home Work Cell

Additional Officer or Shareholder Information

Check **all** that apply: President/CEO Vice President Secretary Treasurer Director/Manager Other _____
 Shareholder **Percent (%) of corporation held:** _____

Certify Citizenship Status:
 The applicant **IS** a United States citizen or permanent resident alien.
 The applicant **IS NOT** a United States citizen or permanent resident alien.

Prefix	Last Name	First Name	Middle Name	Suffix			
Mailing Address		Apt/Suite	City	State	County/Parish	Zip Code	Country
Physical Address							
<input type="checkbox"/> Check if same as mailing address		Apt/Suite	City	State	County/Parish	Zip Code	Country
SSN		Date of Birth (mm/dd/yyyy)		Area Code	Primary Phone Number		

Select one: Home Work Cell

_____ **MINOR SHAREHOLDERS** - Check here if one or more of your shareholders each individually hold shares that total less than 1% of the total shares of the corporation/business/LLC. For example, there might be three shareholders whose total shares added together is 2% of the total shares but each shareholder individually only holds 0.66% of the shares.

_____ **TOTAL PERCENTAGE (%)** of corporation/business/LLC held by *minor* shareholder(s) that individually holds less than 1% of the total shares of the corporation/business/LLC.