

NOTICE OF OFFICE OF MANAGEMENT AND BUDGET ACTION

Date 06/06/2012

Department of Commerce
National Oceanic and Atmospheric Administration
FOR CERTIFYING OFFICIAL: Simon Szykman
FOR CLEARANCE OFFICER: Diana Hynek

In accordance with the Paperwork Reduction Act, OMB has taken action on your request received 04/16/2012

ACTION REQUESTED: No material or nonsubstantive change to a currently approved collection
TYPE OF REVIEW REQUESTED: Regular
ICR REFERENCE NUMBER: 201204-0648-001
AGENCY ICR TRACKING NUMBER:
TITLE: Southeast Region IFQ Programs
LIST OF INFORMATION COLLECTIONS: See next page

OMB ACTION: Approved without change
OMB CONTROL NUMBER: 0648-0551

The agency is required to display the OMB Control Number and inform respondents of its legal significance in accordance with 5 CFR 1320.5(b).

EXPIRATION DATE: 11/30/2014

DISCONTINUE DATE:

BURDEN:	RESPONSES	HOURS	COSTS
Previous	11,614	982	333,000
New	28,244	2,283	980,499
Difference			
Change due to New Statute	0	0	0
Change due to Agency Discretion	16,630	1,301	647,499
Change due to Agency Adjustment	0	0	0
Change Due to Potential Violation of the PRA	0	0	0

TERMS OF CLEARANCE:

OMB Authorizing Official:

Kevin F. Neyland
Deputy Administrator,
Office Of Information And Regulatory Affairs

List of ICs

IC Title	Form No.	Form Name	CFR Citation
Dealer landing transaction report	NA, NA	Landing transaction authorization, Dealer Landing Transaction Report	50 CFR 622.16
Transfer of share by shareholders/permit holders	NA	Transfer and receipt of IFQ shares	
Notification of landing	NA	IFQ Notification of Landing	50 CFR 622.16
Transfer of allocation	NA	IFQ Transfer of Allocation	
IFQ online account renewal application	NA	Gulf of Mexico On Line IFQ account application	
Landings correction request form	NA	Landing Transaction Request Form	
Quarterly dealer report with cost recovery fees			50 CFR 622.16
Reef Fish Active vessels report	NA	Active Vessels Report	
Reef fish permit consolidation	NA	Form to consolidate reef fish permits	
Reef fish approved landing location and landing criteria	NA	Reef fish landing criteria and approved landing location	
Wreckfish quota share transfer	NA	Wreckfish quota share transfer form	
IFQ close account form	NA	IFQ Close Account	

**JUSTIFICATION FOR CHANGE
SOUTHEAST REGION IFQ PROGRAMS
OMB CONTROL NO. 0648-0551**

The National Oceanic and Atmospheric Administration (NOAA), National Marine Fisheries Service (NMFS) manages three individual fishing quota (IFQ) programs in the Southeast Region under three OMB Control Numbers. The red snapper IFQ program is managed under the Fishery Management Plan for the Reef Fish Resources of the Gulf of Mexico (Gulf Reef Fish FMP), under OMB Control Number 0648-0551. The grouper and tilefish IFQ program is managed under the Gulf Reef Fish FMP, under OMB Control Number 0648-0587. The wreckfish individual transferable quota (ITQ) system is managed under the Fishery Management Plan for the Snapper-Grouper Fishery of the South Atlantic Region (South Atlantic Snapper-Grouper FMP), under OMB Control Number 0648-0262. Since 1992 for South Atlantic wreckfish, 2007 for Gulf red snapper, and 2012 for Gulf grouper and tilefish, NMFS has been collecting information to administer and operate these three IFQ programs, which are intended to address overcapitalization, prevent derby fishery conditions, and prevent overfishing of these resources. These collections address IFQ share certificate and allocation debits and transfers, as well as collection of landings information necessary to operate, administer, and review management of commercial red snapper, and grouper/tilefish in the Gulf of Mexico and wreckfish in the South Atlantic.

NMFS proposes to modify OMB Control Number 0648-0551 in the following ways:

- Add an additional form for the Gulf red snapper and grouper/tilefish IFQ programs.
- Merge multiple, related information collections (3 IFQ programs) under a single OMB Control Number.

New Form for Gulf Red Snapper and Grouper/Tilefish IFQ Programs

NMFS would add the form "Close Individual Fishing Quota (IFQ) Account Request" to OMB Control No. 0648-0551. This form would allow IFQ shareholders and dealers in the Gulf IFQ programs to close an IFQ account. If IFQ account holder no longer commercially fish, no longer own any IFQ shares or allocation, no longer wish to participate as a dealer, or if an account holder is deceased, they may wish to close his/her IFQ account. In order to close an IFQ account, an IFQ account holder must have transferred all shares and allocation to another IFQ account. Also, dealers must have paid all of their cost recovery fees to close an IFQ dealer account. If approved, this form would be found online on the Web site:

<http://ifq.sero.nmfs.noaa.gov>. The completed form would be mailed to: NOAA Fisheries Service, Sustainable Fisheries Division, Attn: IFQ Program, 263 13th Avenue South, St. Petersburg, FL 33705.

The response and burden estimates would be 30 responses, 1 hour (30 responses x 2 minutes for each response), and \$13.50 (30 x \$0.45 for postage).

Merging Information Collections

NMFS would be adding OMB Control Nos. 0648-0587 (Gulf Grouper and Tilefish IFQ

Program) and 0648-0262 (South Atlantic Wreckfish ITQ Program) to OMB Control No. 0648-0551. The -0587 and -0262 collections would be discontinued if this change request is approved. The merging of collections will result in the summation of burden estimates into OMB Control No. 0648-0551.

The respondents, response, burden and cost estimates for OMB Control No. 0648-0551 are 487 respondents, 11,614 responses, 982 hours, and \$333,000.

The respondents, response, burden and cost estimates for OMB Control No. 0648-0587 are 1,153 respondents, 16,598 responses, 1,355 hours, and \$32.

The respondents, response, burden and cost estimates for OMB Control No 0648-0262 are 4 respondents, 4 responses, 1 hour, and \$0.

Thus, the respondent, response, burden and cost estimates, including the addition of the new form "Close Individual Fishing Quota (IFQ) Account Request", for OMB Control No. 0648-0551 total 1,644 respondents, 28,246 responses, 2,339 hours, and \$333,046.

Additional program changes:

For all IFQ share transfers, using the current 0648-0551 forms, the previous time of 15 minutes for the Grouper and Tilefish transfers has been changed to 2 minutes, thus saving 52 hours.

For OMB Control No. 0648-0587, although the cost recovery fee was discussed in the last supporting statement, it was not included in recordkeeping/reporting costs. In more recent ICRs, including that for OMB Control No. 0648-0551, they are included. Thus, we have added these cost recovery fees at this time: \$647,452.

For OMB Control No. 0648-0587, appeals have been removed, as they were only applicable at the beginning of the program. This removes 2 responses, 4 hours and \$1.

The final new totals are thus: 1,644 respondents, 28,244 responses, 2,283 hours and \$980,499.

PAPERWORK REDUCTION ACT CHANGE WORKSHEET

Agency/Subagency	OMB Control Number _____ - _____	
<i>Enter only items that change</i>		
	Current record	New record
Agency form number (s)		
Annual reporting and recordkeeping hour burden		
Number of respondents		
Total annual responses		
Percent of these responses collected electronically	%	%
Total annual hours		
Difference		
Explanation of difference		
Program change		
Adjustment		
Annual reporting and recordkeeping cost burden (in thousands of dollars)		
Total annualized Capital/Startup costs		
Total annual costs (O&M)		
Total annualized cost requested		
Difference		
Explanation of difference		
Program change		
Adjustment		
Other changes**		
Signature of Senior Official or designee:	Date:	For OIRA Use _____ _____

** This form cannot be used to extend an expiration date.

Transfer Shares

Transfer Shares (Grouper-Tilefish)

This form is to be used when transferring shares in the following share categories: Deep Water Grouper, Gag Grouper, Red Grouper, Other Shallow Water Grouper and Tilefish. This form cannot be used to transfer Red Snapper shares. Please use the Transfer Shares (Red Snapper) view for this purpose.

Step 1 - Select ONE Transferee

The following 585 Shareholders are eligible to receive transferred shares. Search or scroll the list, and select one transferee.

In the Search box below, type the first few characters of the UserID or Shareholder Name to filter the list

UserID	Shareholder Name
ABSE6127	A AND B SEAFOOD INC
ACWI6098	A C WILLIAMS CORPORATION INC
AREE6434	A REEL COMMOCEAN CHARTER INC
AMAR9251	AAC MARINE GROUP INC

Step 2 - Review your Eligible Share % by Share Category

Based on your shares, you can transfer the following shares by share category:

Share Category	Current Share	Initial Share %	Sold %	Pending Sold %	Bought %	Pending Bought	Eligible Share %
DEEP WATER GROUPE	0	0	0	0	0	0	0
GAG GROUPE	0	0	0	0	0	0	0
OTHER SHALLOW WATER GROUPE	0	0	0	0	0	0	0
RED GROUPE	0	0	0	0	0	0	0
TILEFISH	0	0	0	0	0	0	0

Step 3 - Select a Share Category, enter the share % you wish to transfer and enter the total price price of the transfer

Category:

Share %: Equivalent Gutted Pounds:

Total Price \$: Equivalent Price/Gutted Pound \$:

This should be the total price of the transfer, not the price/gutted pound

Step 4 - Identify any active sanctions

Is there a pending sanction on the shareholder or the vessel?

No

Yes If "yes", you must explain.

Step 5 - Open the confirmation screen

Click the Confirm button or click the Reset button to clear all selections

On the menu bar select **Shares** then select **Transfer Shares: Red Snapper or Grouper-Tilefish**.

1. Select a shareholder who is eligible to receive transferred shares.
2. Review your eligible shares. You cannot transfer a greater amount of shares than the amount listed in the eligible share % column.
3. Enter the percent of shares being transferred and the total price for the transfer. Please provide accurate price information to allow for review of IFQ program performance.
4. An IFQ shareholder subject to a sanction is prohibited from initiating a share transfer. An IFQ shareholder who is subject to a *pending* sanction must disclose in writing to the prospective transferee the existence of any pending sanction at the time of the transfer. Select "Yes" if there is

a pending sanction. If you select “Yes” a box will appear and you must explain the pending sanction.

5. Confirm the request for share transfer. ***Remember that a share transfer is not complete when the transferor submits the share transfer.*** The transferee must log into their shareholder account, select the “Receive Transferred Shares” tab, and then accept the share transfer.

Receive Transferred Shares

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NOAA FISHERIES SERVICE NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION

Individual Fishing Quota Online System
Gulf Reef Fish

OMB Control Nos. 0648-0551 Exp. Date 11/30/2014
0648-0587 Exp. Date 07/31/2012

OPERATIONAL v1.2.3

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Receive Transferred Shares

The Gulf of Mexico Fishery Management Council and NOAA Fisheries Service are responsible for setting quotas for federally managed species. Although quota changes would not change the percentage of IFQ shares you possess, any reduction or increases in a quota would result in a proportional reduction or increase in the allocation (pounds) associated with IFQ shares in the affected share category.

Step 1 - Select ONE transfer

The list below displays all of your pending share transfers. Select the transfer you would like to review.

1	Date Initiated	From	To	Share Category	Share %	Total Price

Step 2 - Review the details of the selected transfer

Review the information below for the transfer you selected in Step 1.

Date Initiated

2 From

To

Share Category

Share %

Total Price

Step 3 - Decision the selected transfer

Select a status of Accepted or Rejected from the list below.

Status 3

Step 4 - Confirm your decision

Click the Confirm button to open the confirmation page, or click the Reset button to reset the information above.

4

On the menu bar select **Shares** then select **Receive Transferred Shares**.

Receiving Shares:

1. The table provides a summary of pending transfers from another IFQ shareholder to you. Included in the table are the percentage of shares transferred by share category and the transfer status. Select a transfer from the list.
2. Review each share transfer to ensure the percentage, price, and other information are correct.

3. If the information is correct, click on the down arrow and press 'Accepted'. If you want to reject the transfer, click the down arrow and select 'Rejected.'
4. Select the 'Confirm' button to confirm your acceptance of the transferred shares from another shareholder. You will then see a pop-up screen asking you to confirm the transaction for final submission.

CLOSE INDIVIDUAL FISHING QUOTA (IFQ) ACCOUNT REQUEST

Instructions: Please complete this form if you want to close your IFQ online account. This form must be completed and signed by **all** holders named on the IFQ shareholder or dealer account. If an IFQ account holder is deceased, then a death certificate must also be submitted with this form. **Shareholder accounts cannot be closed until all shares and allocation have been transferred to another IFQ account. Dealer accounts cannot be closed until all cost recovery fees have been paid.** Please complete and mail this form to:

NOAA Fisheries Service, Sustainable Fisheries Division
Attn: IFQ Program
263 13th Avenue South
St. Petersburg, Florida 33701-5505

If you have any questions about closing your IFQ online account, please call the IFQ Customer Service phone line at 1-866-425-7627 (option 2) between 8:00 a.m. and 4:30 p.m. EST.

I, _____, request NOAA Fisheries Service to close
Name
account _____ on _____. I am requesting this account be closed for the
UserID Date
following reason(s):

- I no longer commercially fish and do not have IFQ shares or allocation
- Account holder(s) is deceased
- I no longer have a dealer permit
- Other _____

Account Holder(s):

_____ Print Name	_____ Signature	_____ Contact Number
_____ Print Name	_____ Signature	
_____ Print Name	_____ Signature	

Please answer the following questions to determine if your landing location may be eligible for review by National Oceanic and Atmospheric Administration (NOAA)'s National Marine Fisheries Service (NMFS), Office for Law Enforcement. Law enforcement must be able to access landing locations by both land and water at all times. Be aware that if a landing location is approved, anyone participating in the Individual Fishing Quota Program may use that location.

1. Does this location have a physical street address?
 - Yes - Proceed
 - No – Can you provide Global Positioning System (GPS) coordinates for this location?
 - No
 - Yes
2. Is the location accessible to vehicles via public roads?
 - Yes
 - No
3. Is the location accessible by boat via navigable waters?
 - Yes
 - No
4. Does this location allow for free and immediate access to the site, without a locked gate, fence, wall, or other barrier that would prevent 24-hour access?
 - Yes
 - No
5. Is the location in an open-access (not gated) community?
 - Yes
 - No – If in a gated community is the gate staffed at all times?
 - Yes
 - No
6. Is the location free of a guard animal?
 - Yes
 - No
7. Is the location free of posted signs restricting access to the site?
 - Yes
 - No
8. Is the location free of any other barriers or physical deterrents?
 - Yes
 - No

SUBMIT

REQUEST FOR IFQ LANDING LOCATION

NMFS' Office of Law Enforcement must approve a landing location prior to landing or offloading IFQ species at these sites. Proposed landing locations can be submitted at any time. However, new landing locations will be approved only at the end of each calendar-year quarter. To have a landing location approved by the end of the calendar-year quarter, it must be submitted at least 45 days before the end of the calendar-year quarter. Landing locations must be publicly accessible by land and water, and they must have a street address. If a particular landing location has no street address on record, global positioning system (GPS) coordinates for an identifiable geographic location must be provided.

Name of Participant _____

IFQ Account User ID _____

Phone number _____ Email _____

Name of landing location _____

Address of landing location (must be provided if in existence):

Street address _____

City _____ State _____

Zip code _____ Phone number _____

GPS coordinates of landing location (required only if no street address is available):

Latitude _____ Longitude _____

Under the provisions of the Paperwork Reduction Act of 1995 (PL 104-13) and the Privacy Act of 1974 (PL 93-579), you are advised that disclosure of the requested information is mandatory for the purpose of managing the Gulf of Mexico Reef Fish fishery. The requested information is used to ensure proper compliance with Gulf reef fish IFQ programs. Confidentiality of the information provided will be treated in accordance with NOAA Administrative Order 216-100. The public reporting burden for this collection of information is estimated to be 5 minutes. The estimate of public reporting burden for this collection of information includes the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Written comments regarding the burden-hour estimate or other aspects of the collection-of-information requirements, or suggestions for reducing this burden, should be sent to Jason Rueter, NMFS, Southeast Regional Office, 263 13th Avenue South, St. Petersburg, FL 33701-5505.



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FISHING CORPORATION
INC Logged in as:
FISH9990

Today is Thursday
February 12, 2009
01:49 PM ET

You are logged in as
SHAREHOLDER

Allocation Transfer

Your current allocation by share category:

Red Grouper	Red MultiUse	Gag Grouper	Gag MultiUse	DWG	SWG	Tilefish	Red Snapper
500	20	1000	30	400	50	50	200

Transfer to: Bear, Yogi BEAR9990

Share Category	Pounds	Price
Red Grouper		
Red MultiUse		
Gag Grouper		
Gag MultiUse		
DWG		
SWG		
Tilefish		
Red Snapper		

Sunt Culpa?
Excepteur Fugiat?
Proident Sulpan?

Velit esse Dolor
Fugiat Cupida
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FISHING
CORPORATION
INC Logged in
as:
FISH9990

Today is
Thursday
February 12,
2009
01:49 PM ET

You are logged
in as
SHAREHOLDER

View Vessels

Vessel Name	Vessel Number	Vessel Account	Allocation						
			Red Grouper	Red Multiuse	Gag Grouper	Gag Multiuse	Other Shallow Water Grouper	Deep Water Grouper	Tilefish
My Boat I	99999FL	BOAT9990	5500	220	9000	720	0	0	600
My Other Boat	88888FL		0	0	0	0	0	0	0

Sunt Culpa?
Excepteur Fugiat?
Proident Sulpan?

Velit esse Dolor
Fugiat Cupida
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FISHING CORPORATION
INC Logged in as:
DEAL9990

Today is Thursday
February 12, 2009
01:49 PM ET

You are logged in as
DEALER

Landing Transaction Authorization

Dealer: DEAL9990
Facility: Fish House I
Vessel: My Boat I BOAT9990

Share Category	Species	Pounds	Price/pound	Total Price
Red Grouper	Red Grouper	500	4.50	2250.00
Gag Grouper	Gag Grouper	500	4.50	2250.00
SWG	Black Grouper			
SWG	Yellowfin Grouper			
SWG	Yellowmouth Grouper			
SWG	Red Hind			
SWG	Rock Hind			
SWG	Scamp			
DWG	Snowy Grouper			
DWG	Misty Grouper	50	3.00	150.00
DWG	Yellowedge Grouper			
DWG	Warsaw Grouper			
DWG	Speckled Hind			
Tilefish	Goldface Tilefish	100	3.00	300.00
Tilefish	Blackline Tilefish			
Tilefish	Anchor Tilefish			
Tilefish	Blueline Tilefish			
Tilefish	Tilefish			
Red Snapper	Red Snapper			

Total Price: \$4,950.00
Cost Recovery Fee: \$148.50
Net Price: \$4,801.50

Vessel Account PIN:

Enter the PIN of the Vessel Account in order to authorize this transaction.

3 Hour Notification Confirmation Number:

Sunt Culpa?
Excepteur Fugiat?
Proident Sulpan?

Velit esse Dolor
Fugiat Cupida
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NOAA

U.S. DEPT OF COMMERCE, NOAA
 NMFS PERMITS BRANCH, F/SER1
 263 13th Avenue South
 St. Petersburg, FL 33701
 Toll Free 877/376-4877 (8:00 am - 4:30 pm ET)
 727/824-5326 (8:00 am - 4:30 pm ET)
 http://sero.nmfs.noaa.gov



FORM TO CONSOLIDATE COMMERCIAL REEF FISH PERMITS

FOR OFFICE USE ONLY	
Reviewer's Initials and Date	<input type="text"/>
Application ID	<input type="text"/>

Instructions

To consolidate one Commercial Reef Fish Permit into another:

- 1 Enter the permit number of the permit to be retained after consolidation as Permit 1
- 2 Enter the permit number of the permit to be consolidated as Permit 2.
- 3 Sign the form. Note: The ownership of both permits must be exactly the same, and all owners must sign this form.
- 4 Mail or deliver the signed form AND the permit to be consolidated to the NMFS Permit Office at 263 13th Ave S St. Petersburg, FL 33701.

(Note: To consolidate more than one Commercial Reef Fish permit; complete, sign, and submit this form for each individual permit to be consolidated.)

Permit Designation

Enter the Permit number for the Commercial Reef Fish permit to be retained (e.g. "RR-102") and into which other Reef Fish permits will be consolidated

Permit 1: The permit you want to keep.

Enter the Permit Number for the Commercial Reef Fish permit to be consolidated (e.g "RR-132")

Permit 2: The permit you want to consolidate.

Remember to send this permit with your signed form

The undersigned hereby acknowledges that by consolidating permit 2 into permit 1, all landings associated with permit 2 will be associated with permit 1 from this point forward. This action cannot be undone. Permit 2 may never be restored.

Signature

The undersigned certifies under penalty of perjury that the foregoing information is true and correct (28 U.S.C. section 1746; 18 U.S.C. section 1621; 18 U.S.C. section 1001).

Note: If the permit holder is a company, an officer or share holder authorized to represent the permit holder must sign the form, and indicate his or her position in the company.

Applicant Signature(s)	<input type="text"/>	Date	<input type="text"/>
Printed Name(s)	<input type="text"/>		
Position(s) in Company	<input type="text"/>		

Certificate No. 999

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION
National Marine Fisheries Service

This is to Certify that Xxx X, and Xxxx X. Xxxx
Joint Tenants with Right of Survivorship, are the owners of percentage
shares of the Wreckfish Fishery transferable only on the books of the
National Marine Fisheries Service, Southeast Region, by the holders hereof
upon surrender of this certificate properly endorsed.

Witness, the signatures of its duly authorized officers.

Transfer Agent

Regional Administrator

Date

For the value of \$ _____, I (we) hereby sell, assign and transfer unto:

Name(s): _____
(As will appear on the certificate)

Address: _____

City/State/Zipcode: _____

Employer ID No.: _____

Telephone Number: _____

_____ percentage shares represented by the within certificate and do hereby irrevocably constitute and appoint the Transfer Agent to transfer the said shares on the books of the National Marine Fisheries Service, Southeast Region.

Buyer's Signature Position

Additional Buyer, if held jointly Position

Dated _____

Seller's Signature Position

Additional Seller, if held jointly Position

Signature of First Witness

In the presence of

Signature of Second Witness

Any or all share certificates previously issued in the name of any Buyer(s) named above must be enclosed herewith for reissue pursuant to this transfer.

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other suggestions for reducing this burden to Jason Rueter, National Marine Fisheries Service, F/SER24, 263 13th Avenue S., St. Petersburg, FL 33701. The National Marine Fisheries Service requires this information for the conservation and management of marine fishery resources. The data reported will be used to develop, implement, and monitor fishery management activities for a variety of other uses. Responses to this collection are required to obtain or retain a fisheries permit under the Magnuson - Stevens Act. Information submitted will be submitted as confidential in accordance with NOAA Administrative Order 216-100, Protection of Confidential Fishery Statistics. Notwithstanding any other provisions of the law, no person is required to respond to, nor shall any person be subjected to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.