

# NOTICE OF OFFICE OF MANAGEMENT AND BUDGET ACTION

Date 06/18/2013

Department of Commerce  
National Oceanic and Atmospheric Administration

FOR CERTIFYING OFFICIAL: Simon Szykman  
FOR CLEARANCE OFFICER: Jennifer Jessup

In accordance with the Paperwork Reduction Act, OMB has taken action on your request received 06/18/2013

ACTION REQUESTED: Revision of a currently approved collection

TYPE OF REVIEW REQUESTED: Regular

ICR REFERENCE NUMBER: 201306-0648-010

AGENCY ICR TRACKING NUMBER:

TITLE: Alaska Region Bering Sea and Aleutian Islands Crab Economic Data Reports

LIST OF INFORMATION COLLECTIONS: See next page

OMB ACTION: Approved without change

OMB CONTROL NUMBER: 0648-0518

The agency is required to display the OMB Control Number and inform respondents of its legal significance in accordance with 5 CFR 1320.5(b).

EXPIRATION DATE: 06/30/2016

DISCONTINUE DATE:

BURDEN:	RESPONSES	HOURS	COSTS
Previous	176	4,534	454,367
New	217	1,848	101,746
Difference			
Change due to New Statute	0	0	0
Change due to Agency Discretion	66	-2,633	-346,718
Change due to Agency Adjustment	-25	-53	-5,903
Change due to PRA Violation	0	0	0

TERMS OF CLEARANCE:

OMB Authorizing Official: Dominic J. Mancini  
Acting Deputy Administrator,  
Office Of Information And Regulatory Affairs

## List of ICs

IC Title	Form No.	Form Name	CFR Citation
Annual Catcher Vessel EDR - Full EDR submission	NA	Catcher vessel EDR	
Annual Catcher/Processor EDR	NA	Catcher/processor EDR	
Annual Processor EDR	NA	Shoreside processor EDR	
Verification of Data			50 CFR 680
Annual catcher vessel EDR - certification only	NA	catcher vessel EDR	

# PAPERWORK REDUCTION ACT SUBMISSION

**Please read the instructions before completing this form. For additional forms or assistance in completing this form, contact your agency's Paperwork Clearance Officer. Send two copies of this form, the collection instrument to be reviewed, the supporting statement, and any additional documentation to: Office of Information and Regulatory Affairs, Office of Management and Budget, Docket Library, Room 10102, 725 17th Street NW, Washington, DC 20503.**

1. Agency/Subagency originating request	2. OMB control number <span style="float: right;">b. <input type="checkbox"/> None</span> a. _____ - _____
3. Type of information collection ( <i>check one</i> ) a. <input type="checkbox"/> New Collection b. <input type="checkbox"/> Revision of a currently approved collection c. <input type="checkbox"/> Extension of a currently approved collection d. <input type="checkbox"/> Reinstatement, without change, of a previously approved collection for which approval has expired e. <input type="checkbox"/> Reinstatement, with change, of a previously approved collection for which approval has expired f. <input type="checkbox"/> Existing collection in use without an OMB control number For b-f, note Item A2 of Supporting Statement instructions	4. Type of review requested ( <i>check one</i> ) a. <input type="checkbox"/> Regular submission b. <input type="checkbox"/> Emergency - Approval requested by _____ / _____ / _____ c. <input type="checkbox"/> Delegated
	5. Small entities Will this information collection have a significant economic impact on a substantial number of small entities? <input type="checkbox"/> Yes <input type="checkbox"/> No
	6. Requested expiration date a. <input type="checkbox"/> Three years from approval date <span style="margin-left: 20px;">b. <input type="checkbox"/> Other Specify: _____ / _____</span>
7. Title	
8. Agency form number(s) ( <i>if applicable</i> )	
9. Keywords	
10. Abstract	
11. Affected public ( <i>Mark primary with "P" and all others that apply with "x"</i> ) a. <input type="checkbox"/> Individuals or households <span style="margin-left: 40px;">d. <input type="checkbox"/> Farms</span> b. <input type="checkbox"/> Business or other for-profit <span style="margin-left: 40px;">e. <input type="checkbox"/> Federal Government</span> c. <input type="checkbox"/> Not-for-profit institutions <span style="margin-left: 40px;">f. <input type="checkbox"/> State, Local or Tribal Government</span>	12. Obligation to respond ( <i>check one</i> ) a. <input type="checkbox"/> Voluntary b. <input type="checkbox"/> Required to obtain or retain benefits c. <input type="checkbox"/> Mandatory
13. Annual recordkeeping and reporting burden a. Number of respondents _____ b. Total annual responses _____ 1. Percentage of these responses collected electronically _____ % c. Total annual hours requested _____ d. Current OMB inventory _____ e. Difference _____ f. Explanation of difference 1. Program change _____ 2. Adjustment _____	14. Annual reporting and recordkeeping cost burden ( <i>in thousands of dollars</i> ) a. Total annualized capital/startup costs _____ b. Total annual costs (O&M) _____ c. Total annualized cost requested _____ d. Current OMB inventory _____ e. Difference _____ f. Explanation of difference 1. Program change _____ 2. Adjustment _____
15. Purpose of information collection ( <i>Mark primary with "P" and all others that apply with "X"</i> ) a. <input type="checkbox"/> Application for benefits <span style="margin-left: 40px;">e. <input type="checkbox"/> Program planning or management</span> b. <input type="checkbox"/> Program evaluation <span style="margin-left: 40px;">f. <input type="checkbox"/> Research</span> c. <input type="checkbox"/> General purpose statistics <span style="margin-left: 40px;">g. <input type="checkbox"/> Regulatory or compliance</span> d. <input type="checkbox"/> Audit	16. Frequency of recordkeeping or reporting ( <i>check all that apply</i> ) a. <input type="checkbox"/> Recordkeeping <span style="margin-left: 40px;">b. <input type="checkbox"/> Third party disclosure</span> c. <input type="checkbox"/> Reporting 1. <input type="checkbox"/> On occasion <span style="margin-left: 20px;">2. <input type="checkbox"/> Weekly</span> <span style="margin-left: 20px;">3. <input type="checkbox"/> Monthly</span> 4. <input type="checkbox"/> Quarterly <span style="margin-left: 20px;">5. <input type="checkbox"/> Semi-annually</span> <span style="margin-left: 20px;">6. <input type="checkbox"/> Annually</span> 7. <input type="checkbox"/> Biennially <span style="margin-left: 20px;">8. <input type="checkbox"/> Other (describe) _____</span>
17. Statistical methods Does this information collection employ statistical methods <input type="checkbox"/> Yes <input type="checkbox"/> No	18. Agency Contact (person who can best answer questions regarding the content of this submission)  Name: _____ Phone: _____

## 19. Certification for Paperwork Reduction Act Submissions

On behalf of this Federal Agency, I certify that the collection of information encompassed by this request complies with 5 CFR 1320.9

**NOTE:** The text of 5 CFR 1320.9, and the related provisions of 5 CFR 1320.8(b)(3), appear at the end of the instructions. *The certification is to be made with reference to those regulatory provisions as set forth in the instructions.*

The following is a summary of the topics, regarding the proposed collection of information, that the certification covers:

- (a) It is necessary for the proper performance of agency functions;
- (b) It avoids unnecessary duplication;
- (c) It reduces burden on small entities;
- (d) It used plain, coherent, and unambiguous terminology that is understandable to respondents;
- (e) Its implementation will be consistent and compatible with current reporting and recordkeeping practices;
- (f) It indicates the retention period for recordkeeping requirements;
- (g) It informs respondents of the information called for under 5 CFR 1320.8(b)(3):
  - (i) Why the information is being collected;
  - (ii) Use of information;
  - (iii) Burden estimate;
  - (iv) Nature of response (voluntary, required for a benefit, mandatory);
  - (v) Nature and extent of confidentiality; and
  - (vi) Need to display currently valid OMB control number;
- (h) It was developed by an office that has planned and allocated resources for the efficient and effective management and use of the information to be collected (see note in Item 19 of instructions);
- (i) It uses effective and efficient statistical survey methodology; and
- (j) It makes appropriate use of information technology.

If you are unable to certify compliance with any of the provisions, identify the item below and explain the reason in Item 18 of the Supporting Statement.

Signature of Senior Official or designee

Date

Agency Certification (signature of Assistant Administrator, Deputy Assistant Administrator, Line Office Chief Information Officer, head of MB staff for L.O.s, or of the Director of a Program or StaffOffice)

Signature

Date

Signature of NOAA Clearance Officer

Signature

Date

## SUPPORTING STATEMENT

### ALASKA CRAB ECONOMIC DATA REPORTS

OMB CONTROL NO. 0648-0518

This is a resubmission with the final rule, of a revision of an existing information collection due to associated rule: RIN 0648-BC25. In addition, the name of the collection is changed from Alaska Region Bering Sea and Aleutian Islands Crab Economic Data Reports to Alaska Crab Economic Data Reports. No changes to the request were made due to comments, other than a few clarifying changes to the report instructions.

#### INTRODUCTION

In January 2004, the U.S. Congress amended Section 313(j) of [Magnuson-Stevens Fishery Conservation and Management Act](#) (Magnuson-Stevens Act) as amended in 2006 to mandate the Secretary of Commerce (Secretary) to implement the Crab Rationalization Program for the Bering Sea and Aleutian Islands Management Area (BSAI) crab fisheries. The CR Program allocates BSAI crab resources among harvesters, processors, and coastal communities. The North Pacific Fishery Management Council (Council) prepared, and NMFS approved, the Fishery Management Plan for BSAI King and Tanner Crabs (Crab FMP). The Crab FMP establishes criteria for the management of certain aspects of the BSAI crab fisheries by the State of Alaska Department of Fish and Game (ADF&G) and is implemented by regulations at [50 CFR part 680](#).

The National Marine Fisheries Service, Alaska Region (NMFS) implemented the Crab Rationalization Program (CR Program) to both maintain rigorous safeguards on use of fishing privileges for a public resource and to provide safeguards for program constituents. The CR Program components include quota share (QS) allocation, processor quota share (PQS) allocation, individual fishing quota (IFQ), individual processing quota (IPQ) issuance, quota transfers, use caps, crab harvesting cooperatives, protections for Gulf of Alaska groundfish fisheries, arbitration system, monitoring, economic data collection, and cost recovery fee collection. The economic data collection program details may be found at: <http://www.alaskafisheries.noaa.gov/regs/680/default.htm>.

Congress required that an independent third-party data collection agent (DCA) administer the collection and dissemination of the crab EDR data. NMFS selected the Pacific States Marine Fisheries Commission (PSMFC) to be the DCA.

Section 313(j)(1) of the Magnuson-Stevens Act requires the Secretary to collaborate with the Federal Trade Commission and Department of Justice to develop economic data for analysis by these agencies. Data from the EDR will directly contribute to this ongoing evaluation of potential anti-trust and anti-competitive practices in the crab industry.

#### A. JUSTIFICATION

The CR Program is a limited-access system that allocates crab managed under the FMP among harvesters, processors, and coastal communities. The CR Program currently includes a

comprehensive economic data collection program requiring participants to complete annual EDRs. These EDRs are intended to aid the Council and NMFS in assessing the success of the CR Program and developing amendments to the FMP to mitigate any unintended consequences of the CR Program.

The EDR Program is administered by NMFS through contracts with PSMFC. The information collected in the EDRs is intended to provide comprehensive data to analysts to examine changes in resource utilization, excess harvesting and processing capacity, economic returns, variable costs and revenues, economic efficiency, and the stability of harvesters, processors and coastal communities. Data submission is mandatory.

**1. Explain the circumstances that make the collection of information necessary.**

Amendment 42 to the Crab FMP would revise the annual crab EDRs currently required from each of the three categories of participants in the CR Program fisheries: catcher vessels, catcher/processors, and shoreside processors/stationary floating crab processors. The crab EDRs request data on cost, revenue, ownership, and employment and are used to study the economic impacts of the CR Program on affected harvesters, processors, and communities. This action to revise the existing information collection is necessary to eliminate redundant reporting requirements, standardize reporting across respondents, and reduce costs associated with the data collection.

Amendment 42 and the final rule revise the annual crab EDRs by removing and/or modifying redundant or inaccurately reported data, combining the shoreside processor and floating processor EDR forms, and reducing the reporting burden on Industry, while maintaining the collection of reliable and accurate data.

**2. Explain how, by whom, how frequently, and for what purpose the information will be used. If the information collected will be disseminated to the public or used to support information that will be disseminated to the public, then explain how the collection complies with all applicable Information Quality Guidelines.**

EDRs are submitted for calendar year CR fishery participation. CR fisheries are described below.

CR Fishery Code	CR Fishery	Geographic Area
<b>BBR</b>	Bristol Bay red king crab ( <i>Paralithodes camtschaticus</i> )	in waters of the EEZ with <b>a northern boundary</b> of 58° 30' N. lat., <b>a southern boundary</b> of 54° 36' N. lat., and <b>a western boundary</b> of 168° W. long. and including all waters of Bristol Bay.
<b>BSS</b>	Bering Sea Snow crab ( <i>Chionoecetes opilio</i> )	in waters of the EEZ with <b>a northern and western boundary</b> of the Maritime Boundary Agreement Line as that line is described in the text of and depicted in the annex to the Maritime Boundary Agreement between the United States and the Union of Soviet Socialist Republics signed in Washington, June 1, 1990, and as the Maritime Boundary Agreement Line as depicted on NOAA Chart No. 513 (6th edition, February 23, 1991) and NOAA Chart No. 514 (6th edition, February 16, 1991) with <b>a southern boundary</b> of 54° 30' N. lat. to 171° W. long., and then south to 54° 36' N. lat.

<b>EAG</b>	Eastern Aleutian Islands golden king crab <i>Lithodes aequispinus</i>	in waters of the EEZ with <b>an eastern boundary</b> the longitude of Scotch Cap Light (164° 44' W. long.) to 53° 30' N. lat., then West to 165° W. long. <b>a western boundary</b> of 174° W. long., and <b>a northern boundary</b> of a line from the latitude of Cape Sarichef (54° 36' N. lat.) westward to 171° W. long., then north to 55° 30' N. lat., then west to 174° W. long.
<b>WAG</b>	Western Aleutian Islands golden king crab <i>(Lithodes aequispinus)</i>	in waters of the EEZ with <b>an eastern boundary</b> the longitude 174° W. long., <b>a western boundary</b> the Maritime Boundary Agreement Line as that line is described in the text of and depicted in the annex to the Maritime Boundary Agreement between the United States and the Union of Soviet Socialist Republics signed in Washington, June 1, 1990, and as the Maritime Boundary Agreement Line as depicted on NOAA Chart No. 513 (6th edition, February 23, 1991) and NOAA Chart No. 514 (6th edition, February 16, 1991), and <b>a northern boundary</b> of a line from the latitude of 55°30' N. lat., then west to the U.S.-Russian Convention line of 1867.
<b>EBT</b>	Eastern Bering Sea Tanner crab <i>(Chionoecetes bairdi)</i>	in waters of the EEZ <b>a western boundary</b> the longitude of 166° W. long <b>a northern boundary</b> of the Maritime Boundary Agreement Line as that line is described in the text of and depicted in the annex to the Maritime Boundary Agreement between the United States and the Union of Soviet Socialist Republics signed in Washington, June 1, 1990, and as the Maritime Boundary Agreement Line as depicted on NOAA Chart No. 513 (6th edition, February 23, 1991) and NOAA Chart No. 514 (6th edition, February 16, 1991) <b>a southern boundary</b> of 54° 36' N. lat.
<b>WBT</b>	Western Bering Sea Tanner crab <i>(Chionoecetes bairdi)</i>	In waters of the EEZ with: <b>an eastern boundary</b> the longitude of 166° W. long., <b>a northern and western boundary</b> of the Maritime Boundary Agreement Line as that line is described in the text of and depicted in the annex to the Maritime Boundary Agreement between the United States and the Union of Soviet Socialist Republics signed in Washington, June 1, 1990, and as the Maritime Boundary Agreement Line as depicted on NOAA Chart No. 513 (6th edition, February 23, 1991) and NOAA Chart No. 514 (6th edition, February 16, 1991) <b>a southern boundary</b> of 54°30'N. lat. To 171° W. long., and then south to 54°36' N. lat.
<b>PIK</b>	Pribilof red king and blue king crab <i>(Paralithodes camtschaticus</i> and <i>P. platypus)</i>	In waters of the EEZ with: <b>a northern boundary</b> of 58° 30' N. lat., <b>an eastern boundary</b> of 168° W. long., <b>a southern boundary</b> line from 54° 36' N. lat., 168° W. long., to 54° 36' N. lat., 171° W. long., to 55° 30' N. lat., 171° W. long., to 55° 30' N. lat., 173° 30' E. lat., and then westward to the Maritime Boundary Agreement Line as that line is described in the text of and depicted in the annex to the Maritime Boundary Agreement between the United States and the Union of Soviet Socialist Republics signed in Washington, June 1, 1990, and as the Maritime Boundary Agreement Line as depicted on NOAA Chart No. 513 (6th edition, February 23, 1991) and NOAA Chart No. 514 (6th edition, February 16, 1991).
<b>SMB</b>	St. Matthew blue king crab <i>(Paralithodes platypus)</i>	in waters of the EEZ with <b>a northern boundary</b> of 62° N. lat., <b>a southern boundary</b> of 58°30' N. lat., and <b>a western boundary</b> of the maritime Boundary Agreement Line as that line is described in the text of and depicted in the annex to the Maritime Boundary Agreement between the United States and the Union of Soviet Socialist Republics signed in Washington, June 1, 1990, and as the Maritime Boundary Agreement Line as depicted on NOAA Chart No. 513 (6th edition, February 23, 1991) and NOAA Chart No. 514 (6th edition, February 16, 1991).
<b>WAI</b>	Western Aleutian Islands red king crab <i>(Paralithodes camtschaticus)</i>	In waters of the EEZ with: <b>an eastern boundary</b> the longitude 179° W. long., <b>a western boundary</b> of the Maritime Boundary Agreement Line as that line is described in the text of and depicted in the annex to the Maritime Boundary Agreement between the United States and the Union of Soviet Socialist Republics signed in Washington, June 1, 1990, and as the Maritime Boundary Agreement Line as depicted on NOAA Chart No. 513 (6th edition, February 23, 1991) and NOAA Chart No. 514 (6th edition, February 16, 1991), and

		<p><b>a northern boundary</b> of a line from the latitude of 55°30' N. lat., then west to the Maritime Boundary Agreement Line as that line is described in the text of and depicted in the annex to the Maritime Boundary Agreement between the United States and the Union of Soviet Socialist Republics signed in Washington, June 1, 1990, and as the Maritime Boundary Agreement Line as depicted on NOAA Chart No. 513 (6th edition, February 23, 1991) and NOAA Chart No. 514 (6th edition, February 16, 1991).</p>
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The Crab EDRs were specifically designed to assist the Council and analysts in understanding

- ◆ Costs and benefits of the harvesters' and processors' crab operations,
- ◆ Economic performance of crab fishermen,
- ◆ How this performance has changed after crab rationalization, and
- ◆ What aspects of these changes are specifically attributed to crab rationalization.

During its five year review of the CR Program in 2010, the Council identified several issues with the current EDRs which are discussed below: inaccurate and inconsistent data, duplicative data, and higher than anticipated costs of data reporting and program administration.

Inaccurate reporting limits the utility of the data and reduces the analytical benefits that can be derived from the data. In many cases, the level of inaccuracy of the data supplied to NMFS cannot be estimated as the true value of the element is not known. Therefore, those data are deemed unusable. In addition, several elements of the data were found to be duplicative of other data collection programs. In some cases, redundancies are partial, with collected data providing some additional information, but in other cases, the data collected in the EDRs are completely redundant. Finally, the cost to industry, both directly through data submission and indirectly through cost recovery funding of program administration, outweighs the benefits of the resultant data. Administrative costs associated with the current EDRs arise from the production and distribution of data collection forms, processing of completed forms, data entry, data verification, and data management. Since the EDR Program's inception, these costs have decreased. As NMFS works with the Council and PSFMC to streamline the data collection and reduce reporting errors, these costs are expected to decrease further.

This action would amend the EDR process with the intention that the data collected are accurate, informative to the Council, not redundant with existing reporting requirements, and can be reported by industry and administered at a reasonable cost. The Council plans to limit the EDRs to the collection of data that have been demonstrated, through the development of the EDR metadata and other reviews of the data, to be sufficiently accurate while reducing the reporting time and monetary costs to industry (see Tables 1 to 3). Table 1 lists the data elements that will be collected in the proposed catcher vessel EDR.

Table 1. Data Elements Collected in Proposed Catcher Vessel Crab EDR

Deliveries and revenues	Landings by share type (pounds) by crab fishery
	Landings by share type (revenue) by crab fishery
	Negotiated transfers of quota by share type (pounds) by crab fishery
	Negotiated transfers of quota by share type (cost) by crab fishery
Crew labor costs	Payments to crew by crab fishery
	Payments to captain by crab fishery
	Health Insurance and Retirement Benefits – available for captain and crew
Vessel operating expenses	Food and provisions – total cost by crab fishery
	Bait purchased - total cost by crab fishery
	Fuel consumed - gallons by crab fishery
	Fuel cost, annual - gallons and cost aggregated for all fisheries
	Labor cost – all activities aggregated across all activities
	Tendering

Much of the data requested on the current annual catcher vessel Crab EDR is available through other sources (e.g., eLandings data collected by NMFS contains information on the specific quota accounts debited during a landing) or the quality of the data currently collected is poor and results in limited usability of the data for analyses (e.g., estimates of bait used are known to be inaccurate and unreliable). The Council recommended scaling back the data collection in this EDR, so that only data that could be accurately and reliably collected would be requested.

The proposed catcher vessel EDR would substantially decrease the amount of data collected in comparison to the current EDR. The proposed EDR would eliminate the reporting of fishing days, transiting days, and shipyard days as these can all be garnered from other data sets. It would omit any collection of information about overall vessel activities, such as days at sea and gross revenues. The EDR would continue to collect tendering and labor costs because those data are not available through other sources and were determined to be reliable in the analysis prepared for this proposed action.

The proposed catcher vessel EDR would continue the collection of revenue data, including landings by share type by crab fishery (pounds and revenue) and the negotiated transfers of IFQ and CDQ received for harvest on the vessel during the calendar year, by fishery and harvest quota permit type (pounds and revenue). Payments to captains and crew would be still be reported by fishery. Crew license and Alaska Commercial Fisheries Entry Commission (CFEC) permit numbers would also continue to be collected to facilitate analysis of demographic distribution of crew benefits. The proposed EDR would also require the reporting of vessel costs such as bait, food, and provisions purchased by crab fishery. This is slightly different than the current forms, which require submitters to include the quantity of these items used versus what is purchased. This new data would provide some understanding of expenditures and is more easily reported by submitters than what was used.

The Annual Shoreside Processor Crab EDR and the Annual Stationary Floating Processor Crab EDR would be combined into a Combined Processor Crab EDR which would eliminate several elements from the current data collections. Most of the deleted elements represent production data, which are similar to data found within the State of Alaska’s Commercial Operators Annual Report (COAR). Crab processors must submit the COAR annually to report processing and plant costs. The production data that are not available through other sources could be estimated by

NMFS based on landings data. Therefore, the proposed exclusion of these data from the processor EDR would not affect the analysis of EDR data and may decrease the submitter’s time burden required to fill in the form. See Table 2 for a description of the elements retained, including those that were modified, in the proposed processor EDR.

Table 2. Data Elements Collected in Proposed Combined Processor Crab EDR.

Revenues	Sales to affiliates/non-affiliates by species (product/process) by crab fishery
	Sales to affiliates/non-affiliates by species (box size and finished pounds) by crab fishery (use box size categories)
	Sales to affiliates/non-affiliates by species (revenues) by crab fishery
	Custom processing by product/process by crab fishery (include pounds raw and pounds of product)
	Custom processing revenues by crab fishery
Labor	Man-hours by crab fishery
	Total processing labor payments by crab fishery
	Crab processing employees by residence by crab fishery
Custom Processing Services Purchased	Reporting requirement – all companies contracting custom processing must report
	Raw pounds by crab fishery
	Product and processes by crab fishery
	Finished pounds by crab fishery
	Processing fee by crab fishery
Crab Purchases	Raw crab purchases by fishery (IFQ type) by crab fishery
	Raw crab purchases by fishery (pounds) by crab fishery
	Raw crab purchases by fishery (gross payments) by crab fishery
Crab Processing Costs	Negotiated transfers of IPQ by (pounds and monetary cost) crab fishery
General Plant Costs	Foreman, managers, other employees and salaries aggregated across all fisheries

Revenue data collection under the proposed processor EDR would remain essentially the same. These data allow analysts to distinguish crab sales to affiliated entities from sales to unaffiliated entities, which is not currently available through other data sources. However, in the proposed processor EDR, sales data by crab size or grade would not be required. Currently, those elements appear to be inconsistently reported and do not appear to correlate with price differences to date. Packing box sizes would continue to be reported by categories. Custom processing (an arrangement under which a person processes crab on behalf of another) revenues would be added, as those data are currently unavailable from other sources and may provide insights into the costs of processing and markets for custom services in the fisheries. Unlike the current processor EDRs, the proposed processor EDR provides for the reporting of processed output and revenue received for custom processing of CR crab performed for other crab buyers or registered crab receivers (RCR) for each CR fishery, in which, custom processing was provided.

Labor data (i.e. man-hours, total processing labor payments, and crab processing employees by residence) reporting would not change from the status quo. Custom processing services purchased would be reported with some differences from the status quo (i.e., excluding crab size and grade and box size). Crab purchases by share type would still be collected, because these data are not available from other data sources.

Catcher/processors participate in both harvesting and processing. Therefore, the proposed catcher/processor EDR includes elements for the collection of harvesting and processing information.

Much like the proposed Annual Catcher Vessel Crab EDR, the proposed catcher/processor EDR would eliminate the reporting of fishing data (i.e. days in the fishery, days fishing, days traveling, and days processing), as well as production information (i.e. raw crab processed, crab size and grade, and finished pounds). Analysts would have access to this information through other sources. A new section would be added for deliveries and revenues by share type when operating as a catcher vessel. Most catcher/processors are unlikely to operate exclusively as a catcher vessel, but in instances when a catcher/processor operates as a catcher vessel, these data could be important to understanding total catcher vessel revenues in the fishery. Table 3 lists all the data elements that will be collected in the proposed catcher/processor EDR.

Table 3. Data Elements Collected in the Proposed Catcher/processor EDR

Deliveries and revenues – for operations as a catcher vessel	Landings by share type (pounds) by crab fishery
	Landings by share type (revenues) by crab fishery
Revenues	Sales to affiliates/non-affiliates by species (product/process) by crab fishery
	Sales to affiliates/non-affiliates by species (box size and finished pounds) by crab fishery (use box size categories)
	Sales to affiliates/non-affiliates by species (revenues) by crab fishery – FOB Alaska
	Custom processing by species/product/process by crab fishery (include pounds raw and pounds of product)
	Custom processing revenues by crab fishery
IFQ	Negotiated transfers of quota by share type (pounds) by crab fishery
	Negotiated transfers of quota by share type (cost) by crab fishery
Crew	Payments to captain by crab fishery
	Payments to harvest crew by crab fishery (aggregated across harvesting and processing crew)
	Crew license number/CFEC permit number aggregated across all crab fisheries
Custom Processing Services Purchased	Custom processing services purchased (raw pounds) by crab fishery
	Custom processing services purchased (product and process) by crab fishery
	Custom processing services purchased (finished pounds) by crab fishery
	Custom processing services purchased (processing fee) by crab fishery
Crab purchases	Raw crab purchases by fishery (IFQ type) by crab fishery
	Raw crab purchases by fishery (pounds) by crab fishery
	Raw crab purchases by fishery (gross payments) by crab fishery
Crab Costs	Bait used (species/pounds by fishery) purchases by crab fishery
	Bait used (species/cost by fishery) purchases by crab fishery
	Fuel used – gallons by crab fishery (gallons only)
	Food and provisions (cost) purchases by crab fishery
	Other crew expenses purchases by crab fishery
	Negotiated transfers of IPQ by (pounds and monetary cost) crab fishery
Vessel Costs	Foremen, managers, other employees and salaries aggregated across all fisheries
	Fuel – gallons and cost aggregated for all fisheries

Several elements would remain, including sales by species by packing box size to affiliated entities and unaffiliated entities, custom processing revenue and production, arm's length leases of individual fishing quota, payments to captains and crews, crew license, CFEC permit numbers and residence information, custom processing services purchased, and crab purchases by share type. All of this information provides data that are not found in other data collections and is useful to analysts when assessing the CR Program.

Most crab fishing and vessel costs would be omitted. Bait purchases and food and provision purchases would continue to be reported by fishery. Gear purchases (i.e. pots) would not be collected because pot registration information together with pot pull information, which are collected through other programs, provide analysts with some insights into changes in pot usage. Fuel use would be estimated for each fishery, as well as annual fuel costs. Processing data (i.e., broker fees, repackaging costs, storage costs, and processing and packing materials) would be eliminated. In most cases these data are not available on a fishery-by-fishery basis and, therefore, are limited in their usability.

Vessel cost data (e.g., insurance premiums, repairs and maintenance, and investments) would be eliminated as much of the current data suffer from data quality limitations. Fishing and processing activities along with product revenues can be estimated with existing data from other sources, such as the eLandings System or the State's COAR report.

The EDR program is focused on collecting production, cost, earnings, and employment information from harvesting and processing sectors of crab fisheries to evaluate effects of the CR Program over time. Although active participants routinely comply with the EDR requirement, reporting methods vary. Seventy-four percent of all processors electronically submitted EDR reports as PDF files, while only 3 percent of vessel EDRs were submitted in that manner. Most (65%) vessel EDR submitters used Web data entry forms. During 2010, only 19 percent of the submissions needed corrections, compared with 75 percent of submissions in 2005.

The EDR program is based on calendar-year data. The first phase of implementation collected pre-Program historical (baseline) information for 1998, 2001, and 2004. The first year of data collection from the rationalized fishery was submitted for calendar year 2005, due in June 2006.

At the front of the EDR form, a 2-page certification section provides a statement certifying the submitted EDR data are complete and accurate. This section must be completed and signed by all individuals. Additionally, the certification section provides a statement of exemption that may be used to certify that the individual did not operate a vessel or plant in the CR crab fisheries during the specified calendar year. If the individual did not participate in the CR fisheries that year, he or she may claim the exemption by submitting a completed and signed EDR certification section.

NMFS makes automatic computer comparisons of crab EDR respondents with participants requesting crab permits; this is necessary to determine eligibility of participants who request CR crab permit renewal. If there are no impediments to issuing a CR crab permit, NMFS will issue an annual crab EDR permit for the CR Program if:

- ◆ EDR application is timely

- ◆ All fees owed are paid (including Capacity Reduction [Buyback] and Cost Recovery fees)
- ◆ EDR requirements are fulfilled, if any

Any owner or leaseholder may appoint a designated representative to respond to questions in the EDR. The designated representative is the primary contact person for PSMFC on issues relating to data required in the EDR.

Persons participating in the CR fisheries are required to annually complete and submit a paper or electronic (catcher vessels only at this time) crab EDR to PSMFC. NMFS is working to ensure the final rule and Amendment 42 will be finalized prior to the original deadline for the original EDRs, June 28. Upon finalization of this document and Amendment 42, industry representatives will then be required to submit their completed annual EDRs and/or certification pages on or before 1700 hours, A.l.t., July 31, each year. The EDRs (2-page certification section or Entire EDR) may be submitted by mail or fax to:

By mail Pacific States Marine Fisheries Commission  
205 SE Spokane, Suite 100  
Portland, OR 97202

By fax 503-595-3450

For more information, call PSMFC toll free at 1-877-741-8913 or email: [alaska\\_crab@psmfc.org](mailto:alaska_crab@psmfc.org)

**a. Annual catcher vessel crab EDR**

The annual Catcher Vessel Crab EDR would be revised by decreasing the data collection substantially from the current EDR. The revised EDR would eliminate the reporting of fishing data, transiting days, and shipyard days, as these can all be garnered from other data sets. It would omit any collection of information concerning overall vessel activities except tendering and labor costs.

The table below displays catcher vessel participants and participant activity with required EDR submittal for each.

Participant type	Participation activity	Provide additional Information	Complete & submit	
			Entire EDR	EDR Certification Pages only
Catcher vessel owner	harvested CR crab during the calendar year		X	
Catcher vessel leaseholder	harvested CR crab during the calendar year		X	
Catcher vessel owner	leased or sold vessel for a portion of the year to another party, and harvested some CR crab during the calendar year	name, address, and telephone number of the person to whom you leased or sold the vessel during the calendar year	X	

Catcher vessel owner	vessel was lost or rendered permanently inoperable due to accident, and harvested no CR crab in vessel during the calendar year		X	
Catcher vessel owner	leased or sold the vessel to another party, and harvested no CR crab in the vessel during the calendar year	name, address, and telephone number of the person to whom you leased or sold the vessel during the calendar year		X
Catcher vessel owner	no one harvested CR crab in the vessel during the calendar year			X

**Catcher vessel crab EDR Certification Page**

Catcher Vessel Information

- Vessel Name and ADF&G Vessel Registration Number
- Crab License Limitation Permit Number(s)
- USCG Documentation Number
- Current Estimated Market Value of Vessel and Equipment
- Replacement Value of Vessel and Equipment
- Name of Crab Harvesting Cooperative (if applicable)

Vessel Owner Information

- Name of company, partnership, or sole proprietorship
- Business Telephone Number and Business fax Number
- Business E-mail address, if available

Vessel Leaseholder Information (if applicable)

- Name of company, partnership, or sole proprietorship
- Business Telephone Number and Business fax Number
- Business E-mail address, if available

Person Completing this Report (check one)

- Owner (If name and address are the same as the Owner Information block, do not repeat)
- Leaseholder (If name and address are the same as the Leaseholder Information block, do not repeat)
- Designated Representative
  - Name and Title
  - Business Telephone Number and Business fax Number
  - Business E-mail address (if available)

EDR filing Status

- Check appropriate statement to describe EDR filing status
- Buyer/Leaseholder Information (if applicable)
  - Buyer/Leaseholder Name
  - Telephone No (include area code)
  - Business Address
  - Date of Sale or Lease (day/month/year)

Certification Signature and date signed

**Catcher Vessel Crab EDR**

CR Crab Ex-vessel Sales, by CR Fishery and Quota Type

- Total pounds of BSAI crab landed by this vessel and sold to crab buyers during the previous calendar year
- Exclude deadloss crab that is discarded unsold at the landing
- Gross revenue from crab delivered to processors during the calendar year

CR Crab Fishing Quota Costs, by CR Fishery and Quota Type Market-Value and Negotiated-Price Transfers Only

- Total pounds of transferred crab fishing quota used to land crab caught by the vessel during the previous calendar year
- Total gross cost paid as monetary compensation, after taxes or fees are deducted

CR Crab Crew Labor Costs, by CR Fishery

Total amount paid to vessel crew members for fishing labor services in CR fisheries during the previous calendar year, not including any amount paid to individuals as captain or vessel master. Include all post-season adjustments paid as of the date of submitting this EDR

Total payment made to the captain(s) for vessel master services in CR fisheries during the previous calendar year. Include all post-season adjustments paid as of the date of submitting this EDR

Vessel Operating Expenses, by CR Fishery

Total cost of food and provisions purchased for use in each CR fishery during the previous calendar year

Total cost of bait purchased for use in each CR fishery during the calendar year

Total gallons of fuel consumed by the vessel while operating in each CR fishery

Vessel Operating Expenses, Annual

Fuel Cost, Annual

Total quantity of fuel purchased for the vessel during the previous calendar year, in gallons

Total payment for fuel purchased for the vessel for all crab, non-crab, and non-fishing operations during the previous calendar year, including all sales taxes and surcharges

Vessel Labor Cost

Total direct payment to vessel crew and captain for labor performed in all vessel operations during the calendar year, including shipyard labor and tendering

Indicate whether the vessel performed tendering in any fishery during the previous calendar year

BSAI Crab Crew Licenses and CFEC Permits

Record either the Alaska Commercial Crew license number or a State of Alaska Commercial Fisheries Entry Commission (CFEC) gear operator permit number for each individual who worked as a captain or crewmember during the previous calendar year

For Commercial Crew Licenses, report the full 7-digit license number.

For Gear Operator Permits, include the fishery code and permit number (e.g. M71B25321N).

Indicate if the number reported is an ADF&G Commercial Crew License number or a CFEC Gear Operator Permit Number. Record one license or permit number per crewmember. Do not record any crewmember more than once.

The number of catcher vessels participating in the CR Program that submitted a full EDR was 81 in 2011, 89 in 2010, 95 in 2009, 98 in 2008, 108 in 2007, 217 in 2006, and 378 in 2005. In 2010, 89 full EDRs were submitted and 18 certifications were submitted. Because the trend for participating vessels appears to be decreasing, the estimated number of catcher vessels submitting full EDRs is 80 and 15 for certifications only. This analysis assumes accountant completion of entire EDR and personnel completion of certification only.

<b>Catcher vessel crab EDR, Respondent</b>	
<b>Number of respondents</b>	<b>95</b>
Full EDRs = 80	
Certifications only = 15	
<b>Total annual responses</b>	<b>95</b>
Frequency of response = 1	
<b>Total burden hours</b>	<b>830 hr</b>
Time per full EDR response = 10 hr x 80 = 800	
Time per certification only = 2 hr x 15 = 30	
<b>Total personnel cost</b> (\$25/hr x 30 = 750)	<b>\$750</b>
<b>Total miscellaneous costs</b> (8127.75)	<b>\$80,128</b>
Photocopy	
Full EDR = \$0.10 x 15pp x 80 = 120	
Certifications = \$0.10 x 2pp x 15 = 3.00	
Online costs (95 x \$0.05 = 4.75)	
Postage paid by PSMFC	
Accountant completion of EDR	
(\$100 x 800 = \$80,000)	

Time spent by NMFS to compare EDR submittal with permit application is all done through the computer. No one in RAM physically checks a list or documents. One hour is the estimated amount of time the IT staff spend loading the data from PSMFC. This one hour time period includes all of the crab permit comparisons.

<b>Catcher vessel crab EDR, Federal Government</b>	
<b>Total annual responses</b>	<b>0</b>
<b>Total burden hours</b> 1 hr to load data from PSMFC	<b>1 hr</b>
<b>Total personnel costs (\$25/hr)</b>	<b>\$25</b>
<b>Total miscellaneous costs</b>	<b>0</b>

**b. Annual catcher/processor crab EDR**

Catcher/processors participate in both harvesting and processing and therefore, the catcher/processor crab EDR includes elements for the collection of harvesting and processing information.

The table below displays catcher/processor participants and participant activity with required EDR submittal for each.

Participant type	Participation activity	Provide additional Information	Complete & submit	
			Entire EDR	EDR Certification Pages only
Catcher/processor owner	harvested or processed CR crab during the calendar year		X	
Catcher/processor leaseholder	harvested or processed CR crab during the calendar year		X	
Catcher/processor owner	leased or sold vessel for a portion of the year to another party, and harvested or processed some CR crab during the calendar year	name, address, and telephone number of the person to whom you leased or sold the vessel during the calendar year	X	
Catcher/processor owner	vessel was lost or rendered permanently inoperable due to accident, and harvested or processed no CR crab in vessel during the calendar year		X	
Catcher/processor owner	leased or sold the vessel to another party, and harvested or processed no CR crab in the vessel during the calendar year	name, address, and telephone number of the person to whom you leased or sold the vessel during the calendar year		X
Catcher/processor Owner	Vessel was lost or rendered permanently inoperable due to accident and harvested or processed no CR crab in the vessel during the calendar year			X
Catcher/processor Owner	No one harvested or processed CR crab in the vessel during the calendar year			X

**Annual catcher/processor crab EDR Certification page**

Catcher/processor Information

Catcher/processor Name and ADF&G Processor Code  
Registered Crab Receiver Permit Number  
Crab License Limitation Permit Number(s)  
USCG Documentation Number  
Current Estimated Market Value of Vessel and Equipment  
Replacement Value of Vessel and Equipment  
Name of Crab Harvesting Cooperative (if applicable)

Vessel Owner Information

Name of company, partnership, or sole proprietorship  
Business Telephone Number and Business fax Number  
Business E-mail address, if available

Leaseholder Information (if applicable)

Name of company, partnership, or sole proprietorship  
Business Telephone Number and Business fax Number  
Business E-mail address, if available

Person Completing this Report (check one)

Owner (If name and address are the same as Owner Information block, do not repeat)  
Leaseholder (If name and address are as Leaseholder Information block, do not repeat)  
Designated Representative  
Name and Title  
Business Telephone Number and Business fax Number  
Business E-mail address (if available)

EDR filing Status

Check appropriate statement to describe EDR filing status  
Buyer/Leaseholder Information (if applicable)  
Buyer/Leaseholder Name  
Telephone Number (include area code)  
Business Address  
Date of Sale or Lease (day/month/year)

Signature and date signed

**Annual catcher/processor crab EDR**

CR Crab Ex-vessel Sales, by CR Fishery and Quota Type

Total pounds of BSAI crab landed by this vessel and sold to crab buyers during the previous calendar year.  
Gross Revenue from crab delivered to processors during the calendar year

CR Crab Product Sales

Species Code for CR crab product sold in previous calendar year  
Product Code for each product.  
Process Code. If more than one process was used to create a specific crab product form (such as cooked and frozen crab sections), enter more than one process code in the process code box for that product  
Box Size category code for each product sold  
Total finished pounds of each product sold  
Gross First Wholesale Revenue: Record the amount you received for each product sold

CR Crab Custom Processing Services Provided, by CR Fishery

CR Fishery Code for each CR fishery in which you provided custom processing services  
Product Code for each product  
Process Code for each product  
Total Raw Pounds of CR crab provided by RCRs receiving custom processing services  
Finished Pounds or total pounds of output for each custom processed product  
Custom Processing Revenue received for custom processing the specified products

Raw CR Crab Purchases from Delivering Vessels, by CR Fishery and Quota Type

Total pounds of Raw Pounds Purchased  
Total Gross value of raw crab purchases, including all post-season adjustments paid to sellers

CR Crab Custom Processing Services Purchased, by CR fishery

CR Fishery Code for each CR fishery in which you purchased custom processing services  
Product Code for each product.

Process Code for each product.

Total Raw Pounds of CR crab provided to processors providing custom processing services

Finished Pounds or total pounds of output for each custom processed product

Processing Fee or payment made to custom processors for each crab product

CR Crab Fishing and Processing Quota Costs, by CR Fishery and Quota type - Market-Value and Negotiated-Price Transfers Only

Total pounds of transferred crab fishing (IFQ, CDQ) and/or processing (IPQ) quota used to harvest CR crab on the vessel or purchase CR crab from delivering vessels during the previous calendar year

Total gross cost paid as monetary compensation, before taxes or fees are deducted

CR Crab Crew Labor Cost, by CR Fishery

Total amount paid to vessel fishing crew and processing employees for labor services in CR fisheries during the previous calendar year, not including any amount paid to individuals as captain or vessel master

Total payment made to the captain(s) for vessel master services in CR fisheries during the calendar year

Indicate whether you provided paid health insurance or retirement benefits to captain or crew members in addition to labor payments reported above

Vessel Operating Expenses, by CR Fishery

Total cost of food and provisions purchased for use in each CR fishery during the previous calendar year

Total cost of bait purchased for use in each CR fishery during the calendar year

Total gallons of fuel consumed by the vessel while operating in each of the CR fisheries

Vessel Operating Expenses, Annual

Fuel Cost, Annual

Total quantity of fuel purchased for the vessel during the previous calendar year, in gallons.

Total payment for fuel purchased for the vessel during the previous calendar year, including all sales taxes and surcharges

Vessel Labor Cost, Annual

Total direct payment to vessel processing employees, fishing crew, and captain for labor performed in all vessel operations during the calendar year, including shipyard labor and tendering

BSAI Crab Crew Licenses and CFEC Permits

For Commercial Crew Licenses, report the full 7-digit license number.

For Gear Operator Permits, include the fishery code and permit number (e.g. M71B25321N).

The number of catcher/processors participating in the CR Program that submitted a full EDR was 3 in 2011, 5 in 2010, 5 in 2009, 6 in 2008, 7 in 2007, 10 in 2006, and 19 in 2005. All three EDRs received in 2011 were full EDRs. The estimated number of 3 catcher/processors is used in this analysis.

<b>Catcher/processor crab EDR, Respondent</b>	
<b>Number of respondents</b>	<b>3</b>
<b>Total annual responses</b>	<b>3</b>
Frequency of response = 1	
<b>Total burden hours</b>	<b>30 hr</b>
Time per response = 10 hr	
<b>Total personnel cost</b>	<b>\$0</b>
<b>Total miscellaneous costs (3001.08)</b>	<b>\$3,001</b>
Photocopy \$0.05 x 12pp x 3 = 1.80	
Postage paid by PSMFC	
Accountant fee to complete EDR (\$100 x 30 = \$3,000)	

<b>Catcher/processor crab EDR, Federal Government</b>	
<b>Total annual responses</b>	<b>0</b>
<b>Total burden hours</b>	<b>0</b>
<b>Total personnel cost</b>	<b>0</b>
<b>Total miscellaneous costs</b>	<b>0</b>

**c. Annual Processor Crab EDR**

The annual Shoreside Processor crab EDR would be combined with the annual Stationary Floating Processor Crab EDR to create the proposed Processor EDR. Several elements would be removed from the current EDRs, much of which currently suffer from data quality limitations. Most of the elements are from the production data, which are similar to data found within the State of Alaska Commercial Operators Annual Report (see OMB Control No. 0648-0428) and processing and plant costs. The production data that isn't available through other sources could be estimated based on landings data from fish tickets. Therefore, the exclusion of these data would not affect the analysis and may decrease the time burden required to fill in the form.

The table below displays processor participants and participant activity with required EDR submittal for each.

Participant type	Participation activity	Provide additional Information	Complete & submit	
			Entire EDR	EDR Certification Pages only
Processor owner	processed CR crab in the Processor during the calendar year		X	
Processor leaseholder	Operated the processor and processed CR crab during the calendar year		X	
Processor owner	leased a portion of your IPQ to another party, and processed CR crab during the calendar year	name, address, and telephone number of the person to whom you leased the IPQ during the calendar year	X	
Processor owner	leased all of your IPQ to another party, and processed no CR crab during the calendar year	name, address, and telephone number of the person to whom you leased the IPQ during the calendar year		X
Processor owner	No one processed CR crab in the processor during the calendar year			X

**Processor EDR Certification page**

Processor Information

- Name and ADF&G Processor Code
- RCR Permit Number
- USCG Documentation Number
- Current Estimated Market Value of processor and Equipment
- Replacement Value of SFCP and Equipment

Owner Information

- Name of company, partnership, or sole proprietorship
- Business Telephone Number and Business Fax Number
- Business E-mail address, if available

Leaseholder Information (if applicable)

- Name of company, partnership, or sole proprietorship
- Business Telephone Number and Business Fax Number
- Business E-mail address, if available

Person Completing this Report

Indicate if owner, leaseholder, or designated representative

If Designated Representative

Name and Title

Business Telephone Number and Business Fax Number

Business E-mail address (if available)

EDR Filing Status

Select the appropriate statement to describe EDR filing status

Buyer/leaseholder Information

Name

Business Telephone Number and Business Fax Number

Business E-mail address (if available)

Signature and date signed

**Processor EDR**

CR Crab Product Sales

CR Crab Sales to Affiliated Entities

Species Code for CR crab product sold in 2012

Product Code for each product

Process Code

Box Size Code for each product sold

Total finished Pounds of each product sold

Gross First Wholesale Revenue you received for each product sold

CR Crab Sales to Unaffiliated Entities

Species Code for CR crab product sold in 2012

Product Code for each product

Process Code

Box Size Code for each product sold

Total finished Pounds of each product sold

Gross First Wholesale Revenue you received for each product sold

CR Crab Custom Processing Services Provided, by CR Fishery

CR fishery code for each CR fishery in which you provided custom processing services

Product Code for each product

Process Code

Total raw pounds of CR crab provided by RCRs receiving custom processing services from this facility

Total finished pounds or total pounds of output for each custom processed product

Custom Processing Revenue received for custom processing the specified products

Raw CR Crab Purchases from Delivering Vessels, by CR Fishery and Quota Type

Total Raw pounds purchased

Total gross value of raw crab purchases, including all post-season adjustments paid to sellers

CR Crab Custom Processing Services Purchased, by CR Fishery

CR Fishery Code for each CR fishery in which you purchased custom processing services

Product Code for each product.

Process Code

Total Raw Pounds of CR crab provided to processors providing custom processing services

Finished Pounds or total pounds of output for each custom processed product

Processing Fee or payment made to custom processors for each crab product.

CR Crab Processing Quota Costs by CR Fishery - Market-Value and Negotiated-Price Transfers Only

Total pounds of transferred crab (IPQ) acquired for your use in purchasing landed CR crab during the previous calendar year

Total gross cost paid as monetary compensation, before taxes or fees are deducted. Include all post-season adjustments paid as of the date of submitting this EDR, but do not report any payments not paid by this date

Crab Processing Labor Cost, by CR Fishery

Total Man-Hours or the sum of all hours worked by crab processing workers for each CR fishery

Total Labor Payment or total gross wages paid to crab processing workers in each CR fishery

Computed Average Hourly Wage (web form only)

General Non-processing Labor Expenses, Annual

Number of Employees or the number persons employed in non-processing positions at the processing facility during the previous calendar year

Salaries and wages or total calendar year gross wages for non-processing employees

CR Crab Processing Employee Residence

Official locations of residence

Number of individuals employed, by location.

For residence locations in Alaska, list individual Alaska cities

For US locations outside of Alaska, list individual US states

For non-US locations, list individual counties

For each location of residence

Total number of individuals employed processing CR crab

Total number of individuals employed in seafood processing in all fisheries, including CR crab

The number of SFCPs participating in the CR Program was 3 in 2011, 1 in 2010, 4 in 2009, 4 in 2008, 6 in 2007, 6 in 2006, and 7 in 2005. The estimated number of 3 SFCPs is used in this analysis. The number of shoreside processors participating in the CR Program was 15 in 2011, 15 in 2010, 16 in 2009, 15 in 2008, 16 in 2007, 18 in 2006, and 30 in 2005. The estimated number of 15 shoreside processors is used in this analysis, for a total of 18 processor respondents. All of the EDRs submitted were entire EDRs.

<b>Processor crab EDR, Respondent</b>	
<b>Number of respondents</b>	<b>18</b>
SFCP = 3	
Shoreside = 15	
<b>Total annual responses</b>	<b>18</b>
Frequency of response = 1	
<b>Total burden hours</b>	<b>180 hr</b>
Time per response = 10 hr	
<b>Total personnel cost</b>	<b>\$0</b>
<b>Total miscellaneous costs (18010.80)</b>	<b>\$18,011</b>
Photocopy (\$0.05 x 12pp x 18 = 10.80)	
Postage paid by PSMFC	
Accountant fee to complete EDR	
(\$100 x 180 = 18,000)	

<b>Processor crab EDR, Federal Government</b>	
<b>Total annual responses</b>	<b>0</b>
<b>Total burden hours</b>	<b>0</b>
<b>Total personnel costs</b>	<b>0</b>
<b>Total miscellaneous costs</b>	<b>0</b>

**d. Verification of data.**

A data verification audit process was initiated in 2006 to ascertain the accuracy of data recording in the EDR forms. The validation audit was performed by the accounting firm Aldrich Kilbride & Tatone of Portland, Oregon. In May of 2007, PSMFC released the report of their findings and audit methods employed to conduct the study. The general findings of the audit review were that the information submitted in the EDR forms for 1998, 2001, 2004, and 2005 was supported by documentation and records. Where errors were identified, there was generally not a directional bias in the submission of the data; that is, auditors found no strategic misreporting of the information requested.

Data verification takes two forms. One is the method used by PSMFC to understand the individual answers given by the participant on the EDR. By calling and speaking directly to the participant, minor questions can be easily and informally cleared up. The second method is actually the audit process. Originally, NMFS believed that only selected participants would be audited. *But because there are so few participants submitting EDRs, each of the participants can be audited without too much burden. Information provided by the audit review and ongoing interaction with data submitters were used to improve directions and definitions in the EDR forms used with reports submitted for 2006.*

The verification questions are usually small in number and occur through a telephone call or other short communication. The owner or leaseholder must respond to data verification inquiries from PSMFC within 20 days of the date of issuance of the inquiry. The 20-day response period is necessary in case there are EDR verification questions that are sequential based on previous questions. It would be difficult to reasonably complete verification, if a longer period were allowed.

The PSMFC auditor may review and request copies of additional data provided by the owner or leaseholder, including but not limited to previously audited or reviewed financial statements, worksheets, tax returns, invoices, receipts, and other original documents substantiating the data. The owner or leaseholder must provide copies of additional data requested by the auditor. Auditors will verify records by comparing specific elements of the report with participant accounting records. To make this activity as efficient and non-intrusive as possible, NMFS suggests that participants:

- ◆ Keep a copy of the completed EDR or certification pages submitted to PSMFC. Copy and attach extra sheets as needed.
- ◆ Keep a file that has all of the supporting information used in the preparation of the EDR.
- ◆ Ensure that the EDR agrees to the company's highest level of financial information. For this purpose, the highest level of financial information is defined in order as:
  - Audited financial statements
  - Reviewed financial statements
  - Compiled financial statements
  - Tax returns.
- ◆ Record only whole numbers. Round up dollar figures to the next highest dollar.

Note: Due to the simplification of the reporting requirements, it is no longer considered necessary for respondents to pay for accounting services in addition to those for the actual reports.

<b>Verification of Data, Respondent</b>	
<b>Number of respondents</b>	<b>101</b>
Catcher vessels = 80 (complete EDRs)	
Catcher/processors = 3	
Processors = 18	
<b>Total annual responses</b>	<b>101</b>
Frequency of response = 1	
<b>Total burden hours</b>	<b>808 hr</b>
Time per response = 8 hr	
<b>Total personnel cost (\$25/hr)</b>	<b>\$20,200</b>
<b>Total miscellaneous costs</b>	<b>\$606</b>
Photocopy (\$0.05 x 20pp x 101 = 101)	
Telephone calls (\$5 x 101 = 505)	

<b>Verification of Data, Federal Government</b>	
<b>Total annual responses</b>	<b>0</b>
<b>Total burden hours</b>	<b>0</b>
<b>Total personnel costs</b>	<b>0</b>
<b>Total miscellaneous costs</b>	<b>0</b>

It is anticipated that the information collected by the proposed action will be disseminated in aggregated and non-confidential form to the public or used to support publicly disseminated information about Crab Rationalization. NMFS will retain control over the information and safeguard it from improper access, modification, and destruction, consistent with NOAA standards for confidentiality, privacy, and electronic information. See response to Question 10 of this Supporting Statement for more information on confidentiality and privacy. The information collection is designed to yield data that meet all applicable information quality guidelines. Prior to dissemination, the information will be subjected to quality control measures and a pre-dissemination review pursuant to [Section 515 of Public Law 106-554](#).

**3. Describe whether, and to what extent, the collection of information involves the use of automated, electronic, mechanical, or other technological techniques or other forms of information technology.**

The EDRs may be downloaded from the NMFS web site at <http://alaskafisheries.noaa.gov/sustainablefisheries/crab/rat/edr/default.htm>, printed, and submitted by e-mail or fax to PSMFC or completed on line: [http://www.psmfc.org/alaska\\_crab/](http://www.psmfc.org/alaska_crab/).

In 2010 an online web application version of the catcher vessel EDR was posted and became the version most frequently used and now all three forms may be completed online. The online version reduces the time required for data processing by PSMFC by allowing data providers to enter data directly into an online database. The online form includes additional directions and built-in error checking; this reduces the number of follow-up calls from PSMFC for error-correction purposes.

**4. Describe efforts to identify duplication.**

Previously, the Council conducted a five year review of the CR Program data collection program. Several elements of the EDR data being collected were found to be duplicative of

other data collection programs. In some cases, redundancies were partial and in other cases, the data collected in the EDRs are completely redundant. Based on the EDR review and testimony from EDR Program respondents, the Council considered a number of alternatives for revising EDRs to improve data quality, simplify the reporting requirement, and reduce reporting burden and duplication with other reporting requirements.

In February 2012, the Council recommended the proposed action to revise the crab EDR Program and survey instruments.

**5. If the collection of information involves small businesses or other small entities, describe the methods used to minimize burden.**

Elimination of inaccurate and duplicate data is mitigating the costs for the small business – cost reduction is one of the purposes of the proposed action.

Ninety-five vessel owners will be required to submit catcher vessel data reporting forms under this action, hence are directly regulated by this action. Of these, one is a small entity based on the \$4.0 million threshold for defining small entities. The reason few of these vessels are small entities is that crab are relatively high value and most vessels belong to harvesting cooperatives, which pool IFQ for harvest.

Three catcher processor owners will be required to submit catcher processor data reporting forms under this action, hence are directly regulated by this action. None of these catcher processors are small entities based on the \$4.0 million threshold for defining small entities.

Eighteen entities will be required to submit shore-based or floating processor forms (as either plant operators or individual processing quota holders that sell crab processed through custom processing arrangements). Of these, four are small entities that are controlled by community development corporations or non-profit entities; and five are estimated to be small entities because they employ fewer than 500 employees.

**6. Describe the consequences to the Federal program or policy activities if the collection is not conducted or is conducted less frequently.**

The intent of this collection is to study the impacts of the CR Program; to ensure that the program achieves equity between the harvesting and processing sectors; and to monitor the economic stability for harvesters, processors, and coastal communities. Without the specified reporting scheme described in this Supporting Statement, the program would be jeopardized.

NOAA Fisheries Office for Law Enforcement uses the individual and confidential EDR data to ensure industry compliance with the data reporting requirements of the CR Program. Compliance monitoring is an integral component of collecting reliable and accurate data. It would not be possible to ensure reporting compliance from the firms that are required to provide the data in a timely fashion without these enforcement tools.

If NMFS did not collect this information, all components of Pub. L. No. 108-199 would be threatened. Public Law No. 108-199 requires that each component of the CR Program enacted by Congress must be implemented or the whole program must be withdrawn. NMFS would be

unable to provide data to the Council and the State of Alaska to evaluate the CR Program for each of the required review periods if the collection were not conducted.

**7. Explain any special circumstances that require the collection to be conducted in a manner inconsistent with OMB guidelines.**

Not applicable.

**8. Provide information on the PRA Federal Register Notice that solicited public comments on the information collection prior to this submission. Summarize the public comments received in response to that notice and describe the actions taken by the agency in response to those comments. Describe the efforts to consult with persons outside the agency to obtain their views on the availability of data, frequency of collection, the clarity of instructions and recordkeeping, disclosure, or reporting format (if any), and on the data elements to be recorded, disclosed, or reported.**

A proposed rule to implement Amendment 42 (RIN 0648-BC25) was published on 21 March 2013 (78 FR 17341). The comment period on the proposed rule ended on 22 April 2013.

NMFS received 5 letters of public comment from 3 individuals during the public comment periods for Amendment 42 and the proposed rule. NMFS summarized these letters by combining comments with similar content and ideas into 18 separate comments with the responses to them below.

NMFS made two changes from the proposed to final rule in response to requests for clarification. NMFS changed some wording in the preamble of the proposed rule. In the second paragraph under “Annual Shoreside Processor/Stationary Floating Processor Crab EDR the text says, “Revenues from custom processing (an arrangement under which a person processes crab on behalf of another) would be added, as that data is currently unavailable from other sources and may provide insights into the costs of processing and markets for custom services in the fisheries. Unlike the current processor EDRs, the proposed processor EDR provides for the reporting of processed output and revenue received for custom processing of CR crab performed for other crab buyers or registered crab receivers (RCR) for each CR fishery in which custom processing was provided.”

NMFS will not make any major changes to the crab EDR based on the comments received. Some minor changes will be made to the instructions on the actual EDR forms.

Comment 1: The proposed rule is consistent with Amendment 42 as adopted by the Council. We urge the Secretary to adopt Amendment 42 to the FMP for the Bering Sea/Aleutian Islands King and Tanner crab as soon as legally permissible.

Response: NMFS acknowledges this comment.

Comment 1: We note the annual submission date for the EDR forms is June 28 of each year. If this action does not move forward expeditiously, data submitters will be subjected to another year of an overly burdensome reporting requirement that yields data of questionable quality and utility.

Response: NMFS acknowledges the comment. NMFS has worked to finalize Amendment 42 and this rule as expeditiously as possible. NMFS and the PSMFC will coordinate with affected CR Program participants to implement the EDR requirements.

Comment 2: The quality of data this agency works with is poor. The information is inaccurate and unrealistic.

Response: NMFS disagrees. This action ensures that EDRs collect the best data currently available. The purpose of Amendment 42 and the final rule is to address the current problems with the EDR Program so that the data collected is accurate and informative to the Council, not redundant with existing reporting requirements, and can be reported by industry and administered at a reasonable cost. Regulations implementing the EDR found at 50 C.F.R. § 680.6(f) also provide for verification of information to ensure that the data collected is error-free.

Comment 3: NMFS and the Council need to be more responsive to the Magnuson-Stevens Act requirements for economic data collection and analysis and do a better job of explaining why meeting those requirements should be beneficial to the industry and the public.

Response: NMFS disagrees. NMFS and the Council both believe they have responded adequately to the Magnuson-Stevens requirements for economic data collection. Section 313(j)(1) of the Magnuson-Stevens Act required the Secretary to approve and implement the CR Program approved by the Council, which included a requirement to collect economic data. Under the CR Program, the EDR data will be used “to study the impacts of the crab rationalization program” and to ensure that the program will achieve “equity between the harvesting and processing sectors” and to monitor the “economic stability for harvesters, processors, and coastal communities”. The CR Program required by section 313(j)(1) of the Magnuson-Stevens Act also provides specific guidance on the type of data to be collected, requirements for selecting a data collection agent, verification of data, and treatment and distribution of confidential data that are included in this collection.

The CR Program EDR provides information to aid the public at-large, industry, and decision makers in reviewing the impacts of the CR Program. NMFS has determined that this final rule is consistent with the Magnuson-Stevens Act and other applicable law.

Comment 4: The proposed rule and Amendment 42 would substantially decrease the economic data that are available to the Council and NMFS.

Response: NMFS disagrees. NMFS acknowledges that this action will quantitatively reduce the number of reported data elements. However, NMFS has worked with the Council and industry to ensure that the data that can be accurately, reliably, and consistently reported will be collected in this revised EDR. The Council and NMFS are eliminating particular data elements, which were determined to be inaccurate or inconsistently reported after a careful, comprehensive multi-year CR Program EDR review as described in detail in the preamble to the proposed rule (78 FR 17341, March 21, 2013) and the RIR/IRFA prepared for this action (see ADDRESSES). NMFS will continue to work with the Council and industry to ensure that the best scientific information available is collected.

Comment 10: Too much weight is given to the objective of reducing the data collection on the industry and insufficient weight to having adequate economic data for these fisheries.

Response: NMFS disagrees. NMFS and the Council only considered removing the data elements after several reviews of the CR Program and the EDRs. While every effort is made to ensure that the best available data are collected in the EDRs, NMFS and the Council are required to balance data that can be accurately and consistently reported with the industry's reporting burden. Based on the information assessments of the CR Program data, the RIR/IRFA prepared for this action, and public testimony, the Council recommended, and NMFS agrees, that the EDR revisions implemented by this final rule achieve this balance.

Comment 7: The RIR/IRFA was incomplete, did not include suggestions from the SSC or the Alaska Fisheries Science Center (AFSC), appears to be biased towards industry, and does not clearly state the objectives of the action.

Response: NMFS disagrees. The RIR/IRFA was developed by Council staff, in cooperation with individuals from NMFS, the SSC, the AFSC, and the AP. Information found in the RIR/IRFA is taken directly from the multi-year review of the quality of data collected through the EDRs, as well as reports from the AFSC, the Council, and PSMFC. The RIR/IRFA was also made available to the public beginning in early 2012. The public has had several opportunities to provide comment on the revised EDR forms and the RIR. NMFS has determined that the RIR/IRFA provides a comprehensive review of the objectives of Amendment 42 and meets the requirements of Executive Order 12866 and other applicable law.

Comment 5: A fundamental problem with the initial EDR Program was that the Council and NMFS decided that it be limited to collecting purely crab fishery data and exclude collection of economic data associated with other activities of the fishing vessels and processors that participate in the crab fisheries.

Response: NMFS disagrees. The EDR Program collects data necessary to understand the CR Program's effects and performance. The EDR Program's original goals and implementing regulations focused on crab fishery data collection. The EDR Program was established this way to provide more detailed information for analyses, as the individual crab fisheries differ in their prosecution. Regulations implementing the EDR Program were intended to meet a specific purpose and need to collect crab fishery data. The alternatives considered, and the revisions implemented by this action are consistent with that purpose and need.

Comment 6: A fundamental problem with the initial EDR Program was that the EDR data are maintained by a third party data manager who provides those data to analysts in a blind format that does not allow analysts to directly identify the source of any observations. Additionally, an alternative that allowed for the removal of blind formatting was discussed in the RIR/IRFA for this action, but the discussion is not complete.

Response: NMFS disagrees. According to the PRA support statement from the original EDR Program, Congress required that an independent third party data collection agent (DCA) administer the collection and dissemination of EDR data to address concerns for strict control over sensitive economic data. NMFS then selected PSFMC to be the DCA. Additionally, NMFS and the Council considered the information provided in the RIR/IRFA prepared for Amendment

42, as well as public testimony, in determining whether or not to remove the blind formatting requirement. Section 2.5.1 of the RIR/IRFA discusses the potential impacts of removing the requirements of removing blind formatting. This section was reviewed by the public, the Council's Scientific and Statistical Committee (SSC) and Advisory Panel (AP), and the Council. Based on the information presented in the RIR, and public concern that the removal of blind formatting could result in the release of sensitive business information, NMFS and the Council concluded that maintaining blind formatting would reduce the risk of releasing sensitive business information when providing data to analysts.

Comment 8: Were the RIR/IRFA objectives specified clearly or at all for Amendment 42?

Response: NMFS acknowledges this comment. The objectives for data collection for the CR Program were clearly identified in the original RIR/IRFA for the Program, as well as in the development of Amendments 18 and 19 to the FMP, which established the CR Program. In revising the EDR collection, the Council provided a "purpose and need statement" in the RIR/IRFA for Amendment 42. The Council developed the purpose and need statement after its assessment of the original EDR Program. The purpose and need statement identified objectives as follows: To address these problems, the Council intends to amend the EDR process so that the data collected is accurate, informative to the Council, not redundant with existing reporting requirements, and can be reported by industry and administered at a reasonable cost. The Council expressly wants to limit the EDR to the collection of data that have been demonstrated, through the development of the EDR metadata, and other reviews of the data, to be sufficiently accurate." NMFS has determined that this final rule is consistent with the objectives found in the purpose and need statement for Amendment 42.

Comment 14: If the shoreside processor and floating processor EDR forms are essentially the same, it makes sense to combine them, but it is not clear why that would be a "major change" as stated in the proposed rule.

Response: NMFS acknowledges this comment. Both NMFS and the Council believed that moving a reduction from four EDR forms to three constituted one of the larger changes made to the EDR Program under this action. However, neither the Council nor NMFS meant to imply that this change was in any way more important or significant than any of the other changes to the EDR Program made by this action.

Comment 9: The examples of redundant reporting are not documented well and the redundancies may be overstated. There appears to be little or no considerations of methods for improving the scope, quality, and access to economic data from other sources (e.g. elandings, fish ticket, and Restricted Access Management (RAM) data).

Response: NMFS Disagrees. Several data quality assessments were conducted prior to the development of the RIR/IRFA. Information taken from those assessments has been summarized in the RIR/IRFA and is referenced in section 2.5.6. These assessments describe the EDR Program data inaccuracy and collection redundancy concerns. These initial assessments were published in the "Bering Sea and Aleutian Islands Crab Economic Data Report Database Metadata Documentation report available on the NOAA Fisheries website (at <http://www.fakr.noaa.gov/sustainablefisheries/crab/rat/edr/default.htm>. [These data quality assessments](#) were reviewed by the Council and were used in the development of the

RIR/IRFA. The EDR data assessment included determining whether the data was available through other federal and state sources. In instances where another source provided EDR data or more accurate data, NMFS and the Council determined that it was more efficient and less burdensome to industry to remove the data element from the crab EDR and rely on data from the other source. The Council and NMFS will review the EDR Program periodically, and use the opportunity to determine whether additional CR Program data is available from other sources.

Comment 12: Are the statements that the “Council was advised that for the current EDR the actual time required to complete the forms was approximately 45 to 50 hours” and that “in the majority of cases, the data collected in the EDRs are already collected under other programs reported elsewhere” consistent? If the data reporting burden is excessive, more efficient data collection methods are probably preferable to severely curtailing the Program.

Response: NMFS acknowledges this comment. The statements are consistent. During the development of this action, the Council and NMFS were presented with information from the affected CR Program participants that demonstrated that some of the EDR data requested was already available through other data collection programs. For example, EDR forms required submitters to specify the number of days fishing by a catcher vessel. This information could be gleaned from the state fish ticket data by looking at the date the first gear was set and the day the last gear was hauled. However, traditionally this information obtained through catcher vessel logbooks, which collect date and time of setting and hauling for each string, catch in each string, and offload date. Using the data from the logbooks required the EDR submitter to do additional calculations to provide the information requested in the EDR. Industry participants voiced concern that the process of aggregating or disaggregating data already collected is a considerable time burden. Based on their testimony and the assessments of the data, NMFS and the Council removed the information on fishing days and days traveling. Instead NMFS and the Council will refer to the information already submitted through fish tickets to obtain information on fishing days. The same process was followed in instances where industry participants were able to demonstrate that information required by the EDR was already available through a different data collection.

Additionally, [Executive Order 13563](#), Section 6(a), requires that “[t]o facilitate the periodic review of existing significant regulations, agencies shall consider how best to promote retrospective analysis of rules that may be outmoded, ineffective, insufficient, or excessively burdensome, and to modify, streamline, expand, or repeal them in accordance with what has been learned.” In compliance with E.O. 13563, NMFS analyzed the EDR Program and found areas where data collection was ineffective and excessively burdensome. In response, NMFS has modified the EDR Program accordingly.

Comment 13: Does the EDR data element “Health Insurance and Retirement Benefits – available for captain and crew” on the proposed EDR forms refer to the type of benefits or their costs?

Response: NMFS acknowledges this comment. The question regarding “Health Insurance and Retirement Benefits” specifically asks, “Did you provide paid health insurance or retirement benefits to captain or crew members in addition to labor payments reported above?” CR Program participants will only be required to complete a “yes/no” check box in order to report whether or not such benefits are offered to the captain and crew for the EDR entity and will not be required to report the types of benefits or their costs.

Comment 15: The crew member contracts and settlement sheets could provide a wealth of information with a minimal reporting burden for the industry. That option may have been discarded without adequate consideration of the benefits of those data.

Response: NMFS disagrees. Both NMFS and the Council weighed the cost and benefits of collecting crew contract information. Additionally, public testimony was given in regard to requiring crew contract submittal as part of the EDR Program. The majority of that testimony did not support the collection of crew contracts. The public had concerns over the collection of personally identifying information (i.e. addresses) that is contained in crew contracts. NMFS and the Council also determined that collecting crew contracts and settlement sheets would substantially increase the administrative costs of the EDR Program. Additionally, the data from crew contracts may not be accurate, may not include all compensation, and may not provide more information than what is already requested in the revised EDR forms. Therefore, NMFS and the Council suggested that CR Program participants continue to submit aggregated crew compensation information.

Comment 16: What's the difference between "variable input quantities and prices" and "input quantities and prices" as indicated on page 9 of the RIR?

Response: NMFS acknowledges this comment. The information on page 9 (section 2.4.2) of the RIR/IRFA was taken from the original PRA supporting statement from the initial EDR Program. The original document appears to have a typographical error, which was carried forward to the RIR. NMFS updated the RIR/IRFA to remove the second phrase "input quantities and prices".

Comment 17: The RIR/IRFA states that "This element [leased pounds and lease costs] would remove those complications by limiting reporting to market transactions for exclusively monetary compensation", but it does not discuss the huge reporting loophole this would create in the data on transfers of crab quota share and individual fishing quota.

Response: NMFS disagrees. In determining which data elements to collect, the Council and NMFS had to weigh the usefulness and accuracy of the data being collected against the accuracy and burden of the specific data element. For the data element "leased pounds and lease costs", the Council and NMFS believed that it was most beneficial and least costly to CR Program participants to collect this information by fishery for "arm's length transactions and monetary payments" only. While it does leave out those transfers that are not "arm's length" or may include non-monetary assets, the Council and NMFS determined that including those elements would complicate the reporting requirement. By including transactions that are not "arm's length" or transactions that include non-monetary payments, CR Program participants would be required to report each transaction separately to isolate transactions that are non-market or that would require the valuation of non-monetary assets. By only requiring share transfers for monetary payments, CR Program participants are able to avoid collecting information concerning assets that are more difficult to value. NMFS and the Council believe limiting the requirement will result in more consistent and accurate reporting by all CR Program participants.

Comment 18: Footnote 11 on page 17, of the RIR, states that "Depending on the specific reporting requirements established for crew under the revised C share active participation

requirements adopted by the Council (Amendment 31 to the FMP) and pending Secretarial approval, this information could be available through other sources. Regulations for that action should be finalized in early 2012.” These regulations have not yet been finalized, so the date is incorrect.

Response: NMFS acknowledges the comment and revised the RIR/IRFA to indicate that NMFS is developing a proposed rule for Amendment 31 to the FMP.

In 2010, the Council initiated an analysis to modify the EDR based on the results of its data quality review process and public comment received during the Council’s five-year review of the CR Program. As part of this analysis, the Council considered input from a Center for Independent Experts review of the data collection program that was completed in October 2011 (see Section 2.4.3 of the analysis for additional detail).

In February 2012, the Council recommended Amendment 42 to the FMP to modify the EDR. This action would implement the Council’s recommended changes to the EDR under Amendment 42.

Following the Council’s recommendation of Amendment 42, additional industry outreach and Council review of the proposed EDR revisions was carried out to ensure that the revisions were compatible with industry recordkeeping procedures and consistent with the intent of the Council recommendations. In October 2011, the Council reviewed the three proposed EDR forms developed for this action, and the draft Paperwork Reduction Act submission. The Council expressed its support that NMFS go forward with this action with minor, clarifying revisions to the EDR forms.

In preparation for revision of the Crab EDRs

- ◆ NMFS and the Alaska Fisheries Science Center (AFSC) held a public workshop in Seattle, WA (77 FR 40341, July 9, 2012) on Friday, July 20, 2012, from 9 a.m. to 5 p.m. The objective of the workshop was to review preliminary draft EDR forms for catcher vessels, catcher/processors, shoreside crab processors, and SFCPs participating in the CR Program fisheries, as well as Registered Crab Receivers that participate in the fisheries as non-processing crab buyers.

The workshop, planned as a focus group to work out in detail any issues with the EDRs, was intended to gather input from participants on the preliminary draft EDR forms. NMFS will use feedback received during the workshop to develop the proposed rule to implement the Council’s preferred alternative. Other topics addressed at the workshop include general planning for administration of the EDR process by PSMFC, future potential for online reporting, and the development of the draft proposed rule implementing the Council’s preferred alternative to revise the EDR requirements.

- ◆ Workshop Revisions to EDRs. NMFS and Council staff gathered comments from the workshop participants on the proposed the EDRs. New EDR drafts incorporating the public comments were distributed to select participants for additional comments.

- ◆ EDR Pre-test. NMFS provided a pre-test to two or three selected respondents from a group of volunteers to go over each question on the EDRs.
- ◆ Council Briefing of Final EDRs. After revisions to the EDRs resulting from the pre-tests, NMFS will present the final CR Program EDRs to the Council for their final review. Any comments from the Council will be incorporated into the EDRs before publication of the proposed rule.

**9. Explain any decisions to provide payments or gifts to respondents, other than remuneration of contractors or grantees.**

No payment or gift will be provided under this program.

**10. Describe any assurance of confidentiality provided to respondents and the basis for assurance in statute, regulation, or agency policy.**

The EDR data are confidential and are not disseminated to the public. PSMFC disseminates the EDR data to NMFS, ADF&G, and the Council staff after removing all personal identifiers such as vessel identification numbers, names, addresses, and telephone numbers. PSMFC supplants the data with a randomized identification number that can be matched between datasets.

As stated on the forms, the information collected is confidential under section 303(d) of the Magnuson-Stevens Act (16 U.S.C. 1801 et seq.) and under [NOAA Administrative Order \(AO\) 216-100](#), which sets forth procedures to protect confidentiality of fishery statistics. It was last revised in 1994.

NOAA also follows regulatory procedures for data confidentiality found at [50 CFR Sec. 600.405](#) et seq. The Magnuson-Stevens Act generally prohibits collection of economic data, particularly from processing entities. In the legislation for the CR Program, an exception was created to the prohibition. NMFS was directed to implement a mandatory economic data program and feed the data to prescribed entities, with or without identifiers, depending on the entity. NMFS will retain control over the information that is supplied, and safeguard it from improper access, modification, and destruction, consistent with NOAA standards for confidentiality, privacy, and electronic information.

System of Records: Routine Uses

The routine uses were described in the COMMERCE/NOAA System-16; Crab Economic Data Report for Bering Sea/Aleutian Islands Management Area (BSAI) off the coast of Alaska, published in 40 FR 28953-28955

1. In the event that a system of records maintained by the Department to carry out its functions indicates or is relevant to a violation or potential violation of law or contract, whether civil, criminal or regulatory in nature and whether arising by general statute or particular program statute or contract, or rule, regulation or order issued pursuant thereto, or the necessity to protect an interest of the Department, the relevant records in the system of records, including individual identifiers, may be referred to the appropriate agency, whether Federal, State, local or foreign, charged with the responsibility of

investigation or prosecuting such violation or charged with enforcing or implementing the statute or contract, or rule, regulation or order issued pursuant thereto, or protecting the interest of the Department. That agency may disclose such records in the course of conducting its investigation.

2. A record from this system of records may be disclosed in the course of presenting evidence to a court, magistrate or administrative tribunal, including disclosures during the course of litigation, such as through discovery or to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed to a Member of Congress submitting a request involving an individual when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed without individual identifiers to a contractor of the Department having need for the information in the performance of the contract, but not operating a system of records within the meaning of 5 U.S.C. 552a(m).
5. A record in this system of records may be disclosed with individual identifiers to Department of Justice and the Federal Trade Commission when such records are requested by those agencies for anti-trust analyses or enforcement proceedings.

**11. Provide additional justification for any questions of a sensitive nature, such as sexual behavior and attitudes, religious beliefs, and other matters that are commonly considered private.**

This information collection does not involve information of a sensitive nature.

**12. Provide an estimate in hours of the burden of the collection of information.**

Estimated total respondents: 116, down from 132. Estimated total responses: 217, up from 176. Estimated total burden: 1,848 hr, down from 4,534 hr. Estimated total personnel costs: \$20,950, up from \$0.

**13. Provide an estimate of the total annual cost burden to the respondents or record-keepers resulting from the collection (excluding the value of the burden hours in Question 12 above).**

Estimated total miscellaneous costs: \$101,746, down from \$454,367.

**14. Provide estimates of annualized cost to the Federal government.**

Estimated total burden: 1 hr, down from 4 hr. Estimated total personnel costs: \$25, down from \$200.

The online forms will result in cost savings for NMFS because it will not need to update the online forms annually, beyond changing the year and perhaps clarifying instructions for some data elements. As more people submit their EDRs online it will save them time posting data and

will save time for PSMFC when data entering and reviewing the EDR. It takes a few years to get a majority of submitters using the online form vs. the paper fillable pdf forms.

A significant cost of administering the EDR is the cost of the contract with the independent third party. In Pub. L. No. 108-199, section 801, Congress required that the economic data collection be administered by an independent third party under contract to NMFS. The PSMFC is the independent agent selected by NMFS to disseminate EDR forms, to be the collection point for the EDR, and to verify the accuracy of the data collected from the BSAI crab fishing industry. The estimated cost of this contract is \$500,000 annually.

**15. Explain the reasons for any program changes or adjustments.**

This action is a revision with program changes and adjustments to the CR Program and CR Program EDRs. Through the reiterative process of working with the industry prior to finalization of the EDR forms, the time to complete each EDR was reduced to 10 hr. Total estimated changes are shown below:

**Program changes: Additional 66 responses (9 vessel EDR certifications, 57 data verifications), 2,633 fewer hours, \$346,718 less in recordkeeping/reporting costs:**

Combined processor form (does not in itself affect burden).

Changes to EDRs result in shorter response times and no need for additional accounting fees for the verification of data.

Auditing of all reports, rather than a sample.

**Adjustments: Twenty-five fewer responses, 53 fewer hours, \$5,903 less in recordkeeping/reporting costs:**

Fewer responses (other than verification of data) are due to re-estimation of respondents. Certification response time is adjusted from one hour to two, based on re-estimation.

**Catcher Vessel EDR**

Respondents and responses: 95, changed from 90

Burden hours: 830 hr, changed from 3,114 hr (includes re-estimate of 2 hours per certification, up from 1 hour)

Miscellaneous costs: \$80,128, changed from \$ 311,464

**Catcher/processor Crab EDR**

Respondents and responses: 3, changed from 5

Burden hours: 30 hr, changed from 185 hr

Miscellaneous costs: \$3,001, changed from \$ 18,508

**Shoreside processor crab EDR (REMOVED)**

Respondents and responses: 0, changed from 29

Burden hours: 0 hr, changed from 781 hr

Miscellaneous costs: \$0, changed from \$ 78,113

**Stationary floating crab processor EDR (REMOVED)**

Respondents and responses: 0, changed from 8  
Burden hours: 0 hr, changed from 102 hr  
Miscellaneous costs: \$0, changed from \$10,202

**Processor Crab EDR (NEW -- combined shoreside processor/SFCP EDRs)**

Respondents and responses: 18, changed from 0  
Burden hours: 180, changed from 0 hr  
Miscellaneous costs: \$18,011, changed from \$ 0

**Verification of Data**

Respondents and responses: 101, changed from 44  
Burden hours: 808, changed from 352 hr  
Personnel costs: \$ 20,200, changed from \$0  
Miscellaneous costs: \$606, changed from \$ 36,080 – due to EDRs now being significantly simpler, no need for additional accountant auditing fees.

**16. For collections whose results will be published, outline the plans for tabulation and publication.**

The Council will use the data for ongoing monitoring of and developing amendments to the CR Program. In addition, the EDR data are used in analyses for the Alaska Stock Assessment and Fishery Evaluation (SAFE) Report. The SAFE is a document or set of documents that provides the Council with a summary of information concerning the most recent biological condition of stocks and the marine ecosystems in the Fishery Management Unit and the social and economic condition of the recreational and commercial fishing interests, fishing communities, and the fish processing industries. The SAFE report may be used to update or expand previous environmental and regulatory impact documents, and ecosystem and habitat descriptions.

**17. If seeking approval to not display the expiration date for OMB approval of the information collection, explain the reasons why display would be inappropriate.**

Not applicable.

**18. Explain each exception to the certification statement.**

Not applicable.

## **SUPPORTING STATEMENT**

### **ALASKA CRAB ECONOMIC DATA REPORTS**

**OMB CONTROL NO. 0648-0518**

#### **B. COLLECTIONS OF INFORMATION EMPLOYING STATISTICAL METHODS**

The Council developed the following purpose and need statement defining its rationale for considering this action:

*As a part of its Bering Sea and Aleutian Island crab rationalization (CR) program, the Council developed a comprehensive economic data collection (EDR) program to provide information to analysts to assess the effects of the CR program and identify problems that may require future amendments to the EDR program.*

*Council review of the EDR program, development of the EDR metadata through PNCIAC and testimony from the industry has resulted in the identification of substantial portions of the EDR data that are inaccurate. In addition, several elements are wholly or partially redundant with other existing data collection requirements, and some components may not further the Council's objectives. The cost to industry, both directly through data submission, and indirectly through cost recovery funding of program administration, outweigh the benefits of the resultant data and greatly exceed estimates provided in the initial analysis of the EDR program and in the accompanying regulatory analyses.*

*To address these problems, the Council intends to amend the EDR process so that the data collected is accurate, informative to the Council, not redundant with existing reporting requirements, and can be reported by industry and administered at a reasonable cost.*

*The Council expressly wants to limit the EDR to the collection of data that have been demonstrated, through the development of the EDR metadata, and other reviews of the data, to be sufficiently accurate. Data collection should be structured and specific elements identified, to minimize costs while maintaining accuracy and providing the greatest information value to the management decision making process.*

*As analysts develop, refine, and verify methods for accurately collecting additional informative data elements, the Council will consider expansion of the data collection program to include those elements. This process can also inform the future Council action regarding other existing and future EDR programs.*

**1. Describe (including a numerical estimate) the potential respondent universe and any sampling or other respondent selection method to be used. Data on the number of entities (e.g. establishments, State and local governmental units, households, or persons) in the universe and the corresponding sample are to be provided in tabular form. The tabulation must also include expected response rates for the collection as a whole. If the collection has been conducted before, provide the actual response rate achieved.**

This data collection process is in the form of a census; therefore, all vessel and plant owners are required to fill out the EDRs or certification section, as applicable. The response rate is expected to be 100 percent, as it was in 2011. NOAA Fisheries Office for Enforcement (OLE) can levy fines against any individual who does not comply with the law.

**2. Describe the procedures for the collection, including: the statistical methodology for stratification and sample selection; the estimation procedure; the degree of accuracy needed for the purpose described in the justification; any unusual problems requiring specialized sampling procedures; and any use of periodic (less frequent than annual) data collection cycles to reduce burden.**

EDRs will be collected from all vessels and plant owners participating in CR crab fisheries during each year. Owners of these vessels and plants will be identified through fish tickets, COAR, and crab quota shareholder data. We will not be sampling from these populations, but rather compiling a census for the historical years and a census for all future years.

With the response (produced from completed and verified data forms), AFSC analysts will construct statistical models for estimating key variable values for each strata. These data will also be used to develop cost functions from this data and to estimate changes in variable costs of operations, average gross earnings, and changes to net revenues arising from changes in the costs of elements collected. Data may be developed to estimate changes in purchases and economic impacts before and after CR Program implementation. Several methods are available to estimate these outputs. The analysts will select the best methods based on an assessment of the data in this collection and from other sources.

**3. Describe the methods used to maximize response rates and to deal with nonresponse. The accuracy and reliability of the information collected must be shown to be adequate for the intended uses. For collections based on sampling, a special justification must be provided if they will not yield "reliable" data that can be generalized to the universe studied.**

Each of the owners and leaseholders in the CR crab harvesting and processing sectors are required to submit an annual EDR. Therefore, the response to mandatory data requirements should be very high. Those individuals who do not submit their EDR by the submission date will receive a follow-up phone call from PSMFC. If a solution cannot be reached at that point, their information will be referred to the OLE. Therefore, we are anticipating response rates of 95-100 percent.

Enforcement of the data collection program with regard to non-compliance has been different from enforcement programs used to ensure that accurate landings are reported. The economic

data will not be used for in-season management; persons submitting the data are given an opportunity to correct omissions and errors before any enforcement action is taken.

Giving the person submitting data a chance to correct problems is considered important because of the complexities associated with generating these data. Only if the agency and the person submitting the data cannot reach a solution would the enforcement agency be contacted. The intent of this program is to ensure that accurate data are collected without being overly burdensome on industry for unintended errors.

**4. Describe any tests of procedures or methods to be undertaken. Tests are encouraged as effective means to refine collections, but if ten or more test respondents are involved OMB must give prior approval.**

Since the CR crab EDR Program has been in place, informal testing has taken place by meeting with EDR submitters to discuss ways in which the forms used to request information could be improved. The accountants that perform the data quality audits, as well as PSMFC (who administers the data collection) also document ways in which the EDRs could be clarified and we have used this information to clarify instructions and variable definitions.

**5. Provide the name and telephone number of individuals consulted on the statistical aspects of the design, and the name of the agency unit, contractor(s), grantee(s), or other person(s) who will actually collect and/or analyze the information for the agency.**

Mark Fina [statistical design and analysis of data]  
Senior Economist  
North Pacific Fishery Management Council  
PH: (907) 271-2802  
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Internet Address: [mark.fina@noaa.gov](mailto:mark.fina@noaa.gov)

Brian Garber-Yonts, Ph.D. [statistical design and analysis of data]  
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Karen Palmigiano [principal author on crab EDR proposed rule]  
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Geana Tyler [collection of data, verification of accuracy of data]  
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# ANNUAL CATCHER VESSEL CRAB ECONOMIC DATA REPORT (EDR) CALENDAR YEAR 2012

This form can be downloaded from

<http://alaskafisheries.noaa.gov>



#### ***PUBLIC REPORTING BURDEN STATEMENT***

Public reporting burden for this collection of information is estimated to average 10 hours per response, including time for reviewing the instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden to Assistant Regional Administrator, Sustainable Fisheries Division, NOAA National Marine Fisheries Service, P.O. Box 21668, Juneau, AK 99802-1668.

#### ***ADDITIONAL INFORMATION***

Before completing this form, please note the following: 1) Notwithstanding any other provision of law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number; 2) This information is mandatory and is required to manage commercial fishing efforts for crab under 50 CFR part 680 and under section 402(a) of the Magnuson-Stevens Act (16 U.S.C. 1801, *et seq.*) And 16 U.S.C. 1862(j); 3) Responses to this information request are confidential under section 402(b) of the Magnuson-Stevens Act. They are also confidential under NOAA Administrative Order 216-100, which sets forth procedures to protect confidentiality of fishery statistics.

# ANNUAL CATCHER VESSEL CRAB EDR

## Introduction

This report collects information on Bering Sea and Aleutian Islands Management Area (BSAI) crab operations, including Western Alaska Community Development Quota Program (CDQ) crab fisheries. The fisheries are referred to as Crab Rationalization fisheries (CR fisheries). Pursuant to the legislation, the data and identifiers will also be used for program enforcement and determination of qualification for quota shares. Consequently, identifiers and data will be disclosed to NOAA Enforcement, NOAA General Counsel, the Antitrust Division of the Department of Justice, the Federal Trade Commission, and NOAA Restricted Access Management Program.

You have received this form because our records show that you are either the owner of a catcher vessel that participated in the BSAI crab fisheries in the past or were leased a catcher vessel that participated in the BSAI crab fisheries in the past. **You are required to submit the Certification Pages (pages 3 and 4) and any additional information requested in the Economic Data Report (EDR). Failure to submit an EDR form when required may result in delay or denial of any and all federal fishery permit applications.**

To make sure that each company is consistently and accurately completing the EDR, random audits will be performed by a qualified accountant on some of the EDRs for a subset of the crab fishery participants. This step will ensure that the data can be relied upon to produce accurate and reliable information for the Alaska crab fisheries.

Auditors will verify records by comparing specific elements of the report with your accounting records. To make this activity as efficient and non-intrusive as possible, we suggest that you:

1. Keep a copy of the completed EDR or certification pages you submit to the Data Collection Agent (DCA). Copy and attach extra sheets as needed.
2. Keep a file that has all of the supporting information used in the preparation of the EDR.
3. Make sure that the EDR agrees to the company's highest level of financial information. For this purpose, the highest level of financial information is defined in order as:
  - a. Audited financial statements
  - b. Reviewed financial statements
  - c. Compiled financial statements
  - d. Tax returns.

Record only whole numbers. Round up dollar figures to the next highest dollar

If YOUR label address is incorrect or missing, please correct the error on the label or print your permanent name and address here.

Vessel Name
Company Name
Street address or P.O. Box Number
City, State, and Zip Code

**NOTE:**

Any owner or leaseholder of a catcher vessel used to harvest crab in a Crab Rationalization (CR) fishery during the previous calendar year must submit a completed Annual Catcher Vessel Crab Economic Data Report (EDR) following the instructions in this form. The completed EDR and/or EDR certification pages must be submitted to the Data Collection Agent (DCA) for each calendar year on or before July 31 of the following year, electronically or at the address provided on the form.

Definition of "Leaseholder": For the purpose of defining the persons responsible for submitting the EDR, a Leaseholder is a person, other than the owner of the catcher vessel for which the EDR is required, who: was identified as the leaseholder, in a written lease, of the catcher vessel, OR paid expenses of the catcher vessel, OR claimed expenses for the catcher vessel as a business expense on schedule C of his/her Federal Income Tax Return, or on a State Income Tax Return.

Mail or FAX Certification Pages or Entire EDR by July 31 to:

**Pacific States Marine Fisheries Commission  
205 SE Spokane, Suite 100  
Portland, OR 97202**

**FAX Number: 503-595-3450**

**For more information or if you have questions,  
please call toll free 1-877-741-8913**

**CERTIFICATION PAGE – 1 of 2**

This is a required form. Provide all information requested below.

<b>Catcher Vessel Information</b>	
Vessel Name	ADF&G Vessel Registration Number
	Crab License Limitation Permit Number(s)
	USCG Documentation Number
Current Estimated Market Value of Vessel and Equipment (\$)	Replacement Value of Vessel and Equipment (\$)
Name of Crab Harvesting Cooperative (if applicable)	

<b>Vessel Owner Information</b>	
Name of company, partnership, or sole proprietorship	
Business Telephone Number	Business Fax Number
Business E-mail address, if available	

<b>Vessel Leaseholder Information (if applicable)</b>	
Name of company, partnership, or sole proprietorship	
Business Telephone Number	Business Fax Number
Business E-mail address, if available	

**NOTE:** Any owner or leaseholder may appoint a designated representative to respond to questions in the EDR. The designated representative is the primary contact person for the DCA on issues relating to data required in the EDR.

<b>Person Completing this Report (check one)</b>	
<input type="checkbox"/> Owner (If your name and address are the same name and address provided in the Owner Information block above, the information does not need to be repeated here)	
<input type="checkbox"/> Leaseholder (If your name and address are the same name and address provided in the Leaseholder Information block above, the information does not need to be repeated here)	
<input type="checkbox"/> Designated Representative (complete information below)	
Name	Title
Business Telephone Number	Business Fax Number
Business E-mail address, if available	

**CERTIFICATION PAGE – 2 of 2**

Select one of the following statements and provide any requested information. Check one box below. Note: The descriptions below refer to leasing of the vessel. Do not provide information regarding any quota leasing here – questions will be asked about quota leases in the EDR form.

<input type="checkbox"/> You are the catcher vessel owner, and you harvested BSAI crab in the above described vessel during the 2012 calendar year. Complete and submit <b>entire EDR</b> for the 2012 calendar year.	
<input type="checkbox"/> You are the catcher vessel leaseholder, you harvested BSAI crab in the above described vessel during the 2012 calendar year. Complete and submit <b>entire EDR</b> for the 2012 calendar year.	
<input type="checkbox"/> You are the catcher vessel owner, and you leased or sold the above described vessel for a portion of the year to another party, and harvested some BSAI crab in the above described catcher vessel during the 2012 calendar year (provide the name, address, and telephone number of the person to whom you leased or sold the vessel during the 2012 calendar year below). OR You are the catcher vessel owner and vessel was lost or rendered permanently inoperable due to accident, and harvested no BSAI crab in the above described vessel during the 2012 calendar year. Complete and submit <b>entire EDR</b> for the 2012 calendar year.	
<input type="checkbox"/> You are the catcher vessel owner, you leased or sold the above described vessel to another party, and harvested no BSAI crab in the above described vessel during the 2012 calendar year (provide the name, address, and telephone number of the person to whom you leased or sold the vessel during the 2012 calendar year below). OR You are the catcher vessel owner and vessel was lost or rendered permanently inoperable due to accident, and harvested no BSAI crab in the above described vessel during the 2012 calendar year. Complete and submit the <b>EDR Certification Pages only</b> .	
<input type="checkbox"/> You are the catcher vessel owner, and no one harvested BSAI crab in the above described catcher vessel during the 2012 calendar year. Complete and submit the <b>EDR Certification Pages only</b> .	
<b>Buyer/Leaseholder Information (if applicable)</b>	
Buyer/Leaseholder Name	
Business address	
Telephone No (include area code)	Date of Sale or Lease (day/month/2012)

Read the following statement, and sign and date the box below:

I certify under penalty of perjury that I have reviewed all the information in this report and that it is true and complete to the best of my knowledge.	
Signature	Date signed

**Instructions for completing this EDR Form**

- Provide all information requested in each section.
- Record only whole numbers, and round all decimal values up.
- Record a zero (0) or not applicable (N/A) where appropriate, and do not skip any questions or leave any data entry cells blank.
- Submit the completed certification and EDR form as required on or before the reporting deadline of **July 31<sup>st</sup>**. All information reported must be current and complete as of the date of submission, including post-season adjustments and settlements.

**Table A: Bering Sea and Aleutian Islands Crab Rationalization (CR) Program Fisheries**

CR Fishery Code	CR Fishery	Geographic Area
<b>BBR</b>	Bristol Bay red king crab ( <i>Paralithodes camtschaticus</i> )	in waters of the EEZ with <b>a northern boundary</b> of 58° 30' N. lat., <b>a southern boundary</b> of 54° 36' N. lat., and <b>a western boundary</b> of 168° W. long. and including all waters of Bristol Bay.
<b>BSS</b>	Bering Sea Snow crab ( <i>Chionoecetes opilio</i> )	in waters of the EEZ with <b>a northern and western boundary</b> of the Maritime Boundary Agreement Line as that line is described in the text of and depicted in the annex to the Maritime Boundary Agreement between the United States and the Union of Soviet Socialist Republics signed in Washington, June 1, 1990, and as the Maritime Boundary Agreement Line as depicted on NOAA Chart No. 513 (6th edition, February 23, 1991) and NOAA Chart No. 514 (6th edition, February 16, 1991) with <b>a southern boundary</b> of 54° 30' N. lat. to 171° W. long., and then south to 54° 36' N. lat.
<b>EAG</b>	Eastern Aleutian Islands golden king crab ( <i>Lithodes aequispinus</i> )	in waters of the EEZ with <b>an eastern boundary</b> the longitude of Scotch Cap Light (164° 44' W. long.) to 53° 30' N. lat., then West to 165° W. long. <b>a western boundary</b> of 174° W. long., and <b>a northern boundary</b> of a line from the latitude of Cape Sarichef (54° 36' N. lat.) westward to 171° W. long., then north to 55° 30' N. lat., then west to 174° W. long.
<b>WAG</b>	Western Aleutian Islands golden king crab ( <i>Lithodes aequispinus</i> )	in waters of the EEZ with <b>an eastern boundary</b> the longitude 174° W. long., <b>a western boundary</b> the Maritime Boundary Agreement Line as that line is described in the text of and depicted in the annex to the Maritime Boundary Agreement between the United States and the Union of Soviet Socialist Republics signed in Washington, June 1, 1990, and as the Maritime Boundary Agreement Line as depicted on NOAA Chart No. 513 (6th edition, February 23, 1991) and NOAA Chart No. 514 (6th edition, February 16, 1991), and <b>a northern boundary</b> of a line from the latitude of 55°30' N. lat., then west to the U.S.-Russian Convention line of 1867.
<b>EBT</b>	Eastern Bering Sea Tanner crab ( <i>Chionoecetes bairdi</i> )	in waters of the EEZ <b>a western boundary</b> the longitude of 166° W. long <b>a northern boundary</b> of the Maritime Boundary Agreement Line as that line is described in the text of and depicted in the annex to the Maritime Boundary Agreement between the United States and the Union of Soviet Socialist Republics signed in Washington, June 1, 1990, and as the Maritime Boundary Agreement Line as depicted on NOAA Chart No. 513 (6th edition, February 23, 1991) and NOAA Chart No. 514 (6th edition, February 16, 1991) <b>a southern boundary</b> of 54° 36' N. lat.
<b>WBT</b>	Western Bering Sea Tanner crab ( <i>Chionoecetes bairdi</i> )	In waters of the EEZ with: <b>an eastern boundary</b> the longitude of 166° W. long., <b>a northern and western boundary</b> of the Maritime Boundary Agreement Line as that line is described in the text of and depicted in the annex to the Maritime Boundary Agreement between the United States and the Union of Soviet Socialist Republics signed in Washington, June 1, 1990, and as the Maritime Boundary Agreement Line as depicted on NOAA Chart No. 513 (6th edition, February 23, 1991) and NOAA Chart No. 514 (6th edition, February 16, 1991) <b>a southern boundary</b> of 54°30'N. lat. To 171° W. long., and then south to 54°36' N. lat.

<b>PIK</b>	Pribilof red king and blue king crab ( <i>Paralithodes camtshaticus</i> and <i>P. platypus</i> )	In waters of the EEZ with: <b>a northern boundary</b> of 58° 30' N. lat., <b>an eastern boundary</b> of 168° W. long., <b>a southern boundary</b> line from 54° 36' N. lat., 168° W. long., to 54° 36' N. lat., 171° W. long., to 55° 30' N. lat., 171° W. long., to 55° 30' N. lat., 173° 30' E. lat., and then westward to the Maritime Boundary Agreement Line as that line is described in the text of and depicted in the annex to the Maritime Boundary Agreement between the United States and the Union of Soviet Socialist Republics signed in Washington, June 1, 1990, and as the Maritime Boundary Agreement Line as depicted on NOAA Chart No. 513 (6th edition, February 23, 1991) and NOAA Chart No. 514 (6th edition, February 16, 1991).
<b>SMB</b>	St. Matthew blue king crab ( <i>Paralithodes platypus</i> )	in waters of the EEZ with <b>a northern boundary</b> of 62° N. lat., <b>a southern boundary</b> of 58°30' N. lat., and <b>a western boundary</b> of the maritime Boundary Agreement Line as that line is described in the text of and depicted in the annex to the Maritime Boundary Agreement between the United States and the Union of Soviet Socialist Republics signed in Washington, June 1, 1990, and as the Maritime Boundary Agreement Line as depicted on NOAA Chart No. 513 (6th edition, February 23, 1991) and NOAA Chart No. 514 (6th edition, February 16, 1991).
<b>WAI</b>	Western Aleutian Islands red king crab ( <i>Paralithodes camtshaticus</i> )	In waters of the EEZ with: <b>an eastern boundary</b> the longitude 179° W. long., <b>a western boundary</b> of the Maritime Boundary Agreement Line as that line is described in the text of and depicted in the annex to the Maritime Boundary Agreement between the United States and the Union of Soviet Socialist Republics signed in Washington, June 1, 1990, and as the Maritime Boundary Agreement Line as depicted on NOAA Chart No. 513 (6th edition, February 23, 1991) and NOAA Chart No. 514 (6th edition, February 16, 1991), and <b>a northern boundary</b> of a line from the latitude of 55°30' N. lat., then west to the Maritime Boundary Agreement Line as that line is described in the text of and depicted in the annex to the Maritime Boundary Agreement between the United States and the Union of Soviet Socialist Republics signed in Washington, June 1, 1990, and as the Maritime Boundary Agreement Line as depicted on NOAA Chart No. 513 (6th edition, February 23, 1991) and NOAA Chart No. 514 (6th edition, February 16, 1991).

**Table B: Quota Type**

<b>Quota Type Code</b>	<b>CR Annual Quota Types</b>
CVO-A	Catcher Vessel Owner Class A IFQ, all Regions
CVO-B	Catcher Vessel Owner Class B IFQ
CPO	Catcher Processor Owner IFQ
CVC	Catcher Vessel Crew IFQ
CPC	Catcher Processor Crew IFQ
CDQ	Community Development Quota
ACA-WAG	Adak Community Allocation Western Aleutian Island Golden king crab IFQ

## 1. CR Crab Ex-vessel Sales, by CR Fishery and Quota Type

In Table 1 below, record the total pounds sold and gross revenue received for ex-vessel sales to crab buyers for all CR crab landed by the vessel during calendar year 2012. Report pounds and revenue for each CR fishery and harvest quota permit type separately, using the CR Fishery codes from Table A and Quota Type codes from Table B. *Report all crab landed by the vessel, including all crab landed using harvest quota held by or leased from a harvest cooperative or other entity.*

**Pounds Sold:** Record the total pounds of BSAI crab landed by this vessel and sold to crab buyers during the previous calendar year. Exclude deadloss crab that is discarded unsold at the landing.

**Gross Revenue:** Record the gross revenue from crab delivered to processors during the calendar year. Report the gross ex-vessel revenue value of all landings, before deductions for taxes, bait, quota royalties, or other withholding by crab buyers or other entities. Include all post-season adjustments received by the date of submitting this EDR, but do not report any payments not yet received as of this date.

**Table 1: CR Crab Ex-vessel Sales, by CR Fishery and Quota Type**

Quota Type	CR Fishery	Pounds Sold	Gross Revenue
CVO-A	BBR	lbs	\$
	BSS	lbs	\$
	EAG	lbs	\$
	WAG	lbs	\$
	EBT	lbs	\$
	WBT	lbs	\$
	SMB	lbs	\$
	PIK	lbs	\$
	WAI	lbs	\$
CVO-B	BBR	lbs	\$
	BSS	lbs	\$
	EAG	lbs	\$
	WAG	lbs	\$
	EBT	lbs	\$
	WBT	lbs	\$
	SMB	lbs	\$
	PIK	lbs	\$
	WAI	lbs	\$

Quota Type	CR Fishery	Pounds Sold	Gross Revenue
CPO	BBR	lbs	\$
	BSS	lbs	\$
	EAG	lbs	\$
	WAG	lbs	\$
	EBT	lbs	\$
	WBT	lbs	\$
	SMB	lbs	\$
	PIK	lbs	\$
	WAI	lbs	\$
CVC	BBR	lbs	\$
	BSS	lbs	\$
	EAG	lbs	\$
	WAG	lbs	\$
	EBT	lbs	\$
	WBT	lbs	\$
	SMB	lbs	\$
	PIK	lbs	\$
	WAI	lbs	\$
CPC	BBR	lbs	\$
	BSS	lbs	\$
	EAG	lbs	\$
	WAG	lbs	\$
	EBT	lbs	\$
	WBT	lbs	\$
	SMB	lbs	\$
	PIK	lbs	\$
	WAI	lbs	\$
CDQ	BBR	lbs	\$
	BSS	lbs	\$
	EAG	lbs	\$
	EBT	lbs	\$
	WBT	lbs	\$
	SMB	lbs	\$
	PIK	lbs	\$
	WAI	lbs	\$
ACA-WAG	WAG	lbs	\$

**2. CR Crab Fishing Quota Costs, by CR Fishery and Quota Type  
Market-Value and Negotiated-Price Transfers Only**

In Table 2 below, record the total pounds and monetary cost for negotiated transfers of annual crab fishing quota (IFQ and CDQ) pounds received for harvest on your vessel during calendar year 2012, by CR fishery and harvest quota permit type. Use the CR Fishery codes from Table A and Quota Type codes from Table B.

Include only transfers of quota for which you paid only monetary compensation, based on the market value or a price negotiated between you and the quota holder(s). Do **not** include quota transfers for which:

- payment was based on a nominal (or non-negotiated) price, **or**
- non-monetary or in-kind compensation was included in the transaction, in addition to transferred quota pounds and monetary payment, **or**
- you did not catch the transferred quota pounds on this vessel by the end of the season, or re-transferred the quota pounds for use by another vessel.

For all market-value and/or negotiated-price quota transfers, report the following:

**Pounds Transferred:** Record the total pounds of transferred crab fishing quota used to land crab caught by the vessel during the previous calendar year.

**Total Cost:** Record the total gross cost paid as monetary compensation, after taxes or fees are deducted. Include all post-season adjustments paid as of the date of submitting this EDR, but do not report any payments not paid by this date.

**Table 2: CR Crab Fishing Quota Costs, by CR Fishery and Quota Type:  
Market-Value and Negotiated Price Transfers Only**

Quota Type	CR Fishery	Pounds Transferred	Total Cost
CVO-A	BBR	lbs	\$
	BSS	lbs	\$
	EAG	lbs	\$
	WAG	lbs	\$
	EBT	lbs	\$
	WBT	lbs	\$
	SMB	lbs	\$
	PIK	lbs	\$
	WAI	lbs	\$
CVO-B	BBR	lbs	\$
	BSS	lbs	\$
	EAG	lbs	\$
	WAG	lbs	\$
	EBT	lbs	\$
	WBT	lbs	\$
	SMB	lbs	\$
	PIK	lbs	\$
	WAI	lbs	\$

Quota Type	CR Fishery	Pounds Transferred	Total Cost
CPO	BBR	lbs	\$
	BSS	lbs	\$
	EAG	lbs	\$
	WAG	lbs	\$
	EBT	lbs	\$
	WBT	lbs	\$
	SMB	lbs	\$
	PIK	lbs	\$
CVC	WAI	lbs	\$
	BBR	lbs	\$
	BSS	lbs	\$
	EAG	lbs	\$
	WAG	lbs	\$
	EBT	lbs	\$
	WBT	lbs	\$
	SMB	lbs	\$
CPC	PIK	lbs	\$
	WAI	lbs	\$
	BBR	lbs	\$
	BSS	lbs	\$
	EAG	lbs	\$
	WAG	lbs	\$
	EBT	lbs	\$
	WBT	lbs	\$
CDQ	SMB	lbs	\$
	PIK	lbs	\$
	WAI	lbs	\$
	BBR	lbs	\$
	BSS	lbs	\$
	EAG	lbs	\$
	EBT	lbs	\$
	WBT	lbs	\$
ACA-WAG	WAG	lbs	\$

### 3. CR Crab Crew Labor Costs, by CR Fishery

In Table 3 below, record the total direct payment to vessel crew and captain for labor performed in CR fisheries during calendar year 2012, by CR fishery. Use the CR Fishery codes from Table A.

Report the payment amount actually paid to vessel crew and captain in settlements, not earnings before fishing-related expenses (such as fuel, bait, or food and provisions) were deducted. Exclude any payments for labor provided before or after crab fishing seasons, or for crab fishing quota that the crew or captain leased to the vessel. Exclude non-wage expenses such as payroll taxes, unemployment insurance, workmen's compensation, and exclude costs of non-wage benefits provided such as paid travel, health insurance, or retirement.

**Labor Payment – Fishing Crew:** Record the total amount paid to vessel crew members for fishing labor services in CR fisheries during the previous calendar year, not including any amount paid to individuals as captain or vessel master. Include all post-season adjustments paid as of the date of submitting this EDR.

**Labor Payment - Captain:** Record the total payment made to the captain(s) for vessel master services in CR fisheries during the previous calendar year. Include all post-season adjustments paid as of the date of submitting this EDR.

**Table 3: CR Crab Crew Labor Payments, by CR Fishery**

CR Fishery	Labor Payment	
	Fishing Crew	Captain
BBR	\$	\$
BSS	\$	\$
EAG	\$	\$
WAG	\$	\$
EBT	\$	\$
WBT	\$	\$
SMB	\$	\$
PIK	\$	\$
WAI	\$	\$

**Health Insurance and Retirement Benefits:** Did you provide paid health insurance or retirement benefits to captain or crew members in addition to labor payments reported above?

**Crew:**  Yes  No

**Captain:**  Yes  No

#### 4: Vessel Operating Expenses, by CR Fishery

In Table 4 below, record the following operating expenses for this vessel, by CR fishery. Include any taxes paid in the cost value reported. Use the CR Fishery codes from Table A.

If one or more of the items listed below are not purchased and/or tracked in your accounting system on a fishery basis, estimate the amount for each fishery for EDR reporting purposes by pro-rating the total amount purchased or consumed based on the average daily use or cost of the item during fishery operations and number of days operating in each fishery.

**Food and Provisions – Total Cost:** Record the total cost of food and provisions purchased for use in each CR fishery during the previous calendar year.

**Crab Bait Purchased - Total Cost:** Record the total cost of bait purchased for use in each CR fishery during the calendar year. Do not include cost of bait used in CR fisheries during 2012 that was purchased for fisheries occurring prior to the 2012 calendar year.

**Fuel Consumed – Gallons Only:** Record the total gallons of fuel consumed by the vessel while operating in each of the CR fisheries. Include the amount of fuel used during directed fishing, searching, traveling between delivery port and fishing grounds, and offloading catch. Do not include amount of fuel used transiting to and from your home port before and/or after crab fishery operations.

**Table 4: Vessel Operating Expenses, by CR Fishery**

CR Fishery	Food & Provisions Total Cost	Bait Purchased Total Cost	Fuel consumed (gallons)
BBR	\$	\$	gal
BSS	\$	\$	gal
EAG	\$	\$	gal
WAG	\$	\$	gal
EBT	\$	\$	gal
WBT	\$	\$	gal
SMB	\$	\$	gal
PIK	\$	\$	gal
WAI	\$	\$	gal

## 5: Vessel Operating Expenses, Annual

In Table 5 below, record the total calendar year expenditure on the following operating costs for this vessel. Include any taxes paid on the listed items in the total.

### Fuel Cost, Annual

**Gallons:** Record the total quantity of fuel purchased for the vessel during the previous calendar year, in gallons.

**Cost:** Record the total payment for fuel purchased for the vessel for all crab, non-crab, and non-fishing operations during the previous calendar year, including all sales taxes and surcharges. Do not include the cost of lubrication or other fluids.

**Vessel Labor Cost:** Record the total direct payment to vessel crew and captain for labor performed in all vessel operations during the calendar year, including shipyard labor and tendering. Include labor payments for CR crab operations reported in Table 3 in the total.

**Table 5: Vessel Operating Expenses, Annual**

Fuel		Vessel Labor Cost
Gallons	Cost	
gal	\$	\$

**Tendering:** Did the vessel perform tendering in any fishery during the previous calendar year?

- Yes
- No

## 6. BSAI Crab Crew Licenses and CFEC Permits

In Table 6 below, for each individual who worked as a captain or crewmember during the previous calendar year, record either the Alaska Commercial Crew license number or a State of Alaska Commercial Fisheries Entry Commission (CFEC) gear operator permit number. Do not record more than one license or permit number for any individual, but include every individual that worked on the vessel as a captain or crewmember during CR fisheries.

For Commercial Crew Licenses, report the full 7-digit license number. For Gear Operator Permits, include the fishery code and permit number (e.g., M71B25321N). Indicate if the number reported is an ADF&G Commercial Crew License number or a CFEC Gear Operator Permit Number in the appropriate checkbox, and only record one license or permit number per crewmember.

**Table 6: Harvest Crew Licenses/Permits**

Crewmember	License/Permit Number	Check One		Crewmember	License/Permit Number	Check One	
		ADF&G Crew License	CFEC Gear Operator Permit			ADF&G Crew License	CFEC Gear Operator Permit
1		<input type="checkbox"/>	<input type="checkbox"/>	15		<input type="checkbox"/>	<input type="checkbox"/>
2		<input type="checkbox"/>	<input type="checkbox"/>	16		<input type="checkbox"/>	<input type="checkbox"/>
3		<input type="checkbox"/>	<input type="checkbox"/>	17		<input type="checkbox"/>	<input type="checkbox"/>
4		<input type="checkbox"/>	<input type="checkbox"/>	18		<input type="checkbox"/>	<input type="checkbox"/>
5		<input type="checkbox"/>	<input type="checkbox"/>	19		<input type="checkbox"/>	<input type="checkbox"/>
6		<input type="checkbox"/>	<input type="checkbox"/>	20		<input type="checkbox"/>	<input type="checkbox"/>
7		<input type="checkbox"/>	<input type="checkbox"/>	21		<input type="checkbox"/>	<input type="checkbox"/>
8		<input type="checkbox"/>	<input type="checkbox"/>	22		<input type="checkbox"/>	<input type="checkbox"/>
9		<input type="checkbox"/>	<input type="checkbox"/>	23		<input type="checkbox"/>	<input type="checkbox"/>
10		<input type="checkbox"/>	<input type="checkbox"/>	24		<input type="checkbox"/>	<input type="checkbox"/>
11		<input type="checkbox"/>	<input type="checkbox"/>	25		<input type="checkbox"/>	<input type="checkbox"/>
12		<input type="checkbox"/>	<input type="checkbox"/>	26		<input type="checkbox"/>	<input type="checkbox"/>
13		<input type="checkbox"/>	<input type="checkbox"/>	27		<input type="checkbox"/>	<input type="checkbox"/>
14		<input type="checkbox"/>	<input type="checkbox"/>	28		<input type="checkbox"/>	<input type="checkbox"/>

Note: Commercial fishing license and permit information is public record. A vessel master has the right to record the crew member's license number or permit ID and no release is necessary to report the information here. EDR submitters can contact ADF&G or CFEC to request license or permit numbers by crewmember name at the contacts below:

ADF&G – Commercial Crew License Licensing Questions (907) 465-2376 Licensing FAX (907) 465-2440 Licensing Email <a href="mailto:licensehelp@fishgame.state.ak.us">licensehelp@fishgame.state.ak.us</a>	CFEC - Gear Operator Permit Phone: (907) 790-6921 Email: <a href="mailto:dfg.cfec.questions@alaska.gov">dfg.cfec.questions@alaska.gov</a> Website: <a href="http://www.cfec.state.ak.us/publook/publook.jsp">http://www.cfec.state.ak.us/publook/publook.jsp</a>
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## NOTES

# ANNUAL CATCHER/PROCESSOR CRAB ECONOMIC DATA REPORT (EDR) CALENDAR YEAR 2012

This form can be downloaded from  
<http://www.alaskafisheries.noaa.gov>



### ***PUBLIC REPORTING BURDEN STATEMENT***

Public reporting burden for this collection of information is estimated to average 10 hours per response, including time for reviewing the instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden to Assistant Regional Administrator, Sustainable Fisheries Division, NOAA National Marine Fisheries Service, P.O. Box 21668, Juneau, AK 99802-1668.

### ***ADDITIONAL INFORMATION***

Before completing this form, please note the following: 1) Notwithstanding any other provision of law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number; 2) This information is mandatory and is required to manage commercial fishing efforts for crab under 50 CFR part 680 and under section 402(a) of the Magnuson-Stevens Act (16 U.S.C. 1801, *et seq.*) And 16 U.S.C. 1862(j); 3) Responses to this information request are confidential under section 402(b) of the Magnuson-Stevens Act. They are also confidential under NOAA Administrative Order 216-100, which sets forth procedures to protect confidentiality of fishery statistics.

# ANNUAL CATCHER/PROCESSOR CRAB EDR

## Introduction

This report collects information on Bering Sea and Aleutian Islands Management Area (BSAI) crab operations, including Western Alaska Community Development Quota Program (CDQ) crab fisheries. The fisheries are referred to as Crab Rationalization fisheries (CR fisheries). Pursuant to the legislation, the data and identifiers will also be used for program enforcement and determination of qualification for quota shares. Consequently, identifiers and data will be disclosed to NOAA Enforcement, NOAA General Counsel, the Antitrust Division of the Department of Justice, the Federal Trade Commission, and NOAA Restricted Access Management Program.

You have received this form because our records show that you are either the owner of a catcher/processor that participated in the BSAI crab fisheries in the past or were leased a catcher/processor that participated in the BSAI crab fisheries in the past. **You are required to submit the Certification Pages (pages 3 and 4) and any additional information requested in the Economic Data Report (EDR). Failure to submit an EDR form when required will result in delay in and/or denial of any and all permit applications.**

To make sure that each company is consistently and accurately completing the EDR, random audits will be performed by a qualified accountant on some of the EDRs for a subset of the crab fishery participants. This step will ensure that the data can be relied upon to produce accurate and reliable information for the Alaska crab fisheries.

Auditors will verify records by comparing specific elements of the report with your accounting records. To make this activity as efficient and non-intrusive as possible, we suggest that you:

1. Keep a copy of the completed EDR or certification pages you submit to the Data Collection Agent (DCA). Copy and attach extra sheets as needed.
2. Keep a file that has all of the supporting information used in the preparation of the EDR.
3. Make sure that the EDR agrees to the company's highest level of financial information. For this purpose, the highest level of financial information is defined in order as:
  - a. Audited financial statements
  - b. Reviewed financial statements
  - c. Compiled financial statements
  - d. Tax returns.

Record only whole numbers. Round up dollar figures to the next highest dollar.

If YOUR label address is incorrect or missing, please correct the error on the label or print your permanent name and address here.

Catcher/processor Name
Company Name
Street address or P.O. Box Number
City, State, and Zip Code

**NOTE:**

Any owner or leaseholder of a catcher/processor used to process crab in a Crab Rationalization (CR) fishery during the previous calendar year must submit a completed Annual Catcher/Processor Crab Economic Data Report (EDR) following the instructions in this form. The completed EDR and/or EDR certification pages must be submitted to the Data Collection Agent (DCA) for each calendar year on or before July 31 of the following year, electronically or at the address provided on the form. **If the owner or leaseholder of this vessel harvested but did not process any crab, a Catcher Vessel EDR may be submitted instead of this form.** A Catcher Vessel EDR form may be requested from Pacific States Marine Fisheries Commission at the address or phone number listed below.

**Definition of “Leaseholder”:** For the purpose of defining the persons responsible for submitting the EDR, a Leaseholder is a person, other than the owner of the catcher/processor for which the EDR is required, who: was identified as the leaseholder, in a written lease, of the catcher/processor, **OR** paid expenses of the catcher/processor, **OR** claimed expenses for the catcher/processor as a business expense on schedule C of his/her Federal Income Tax Return, or on a State Income Tax Return.

**Mail or FAX Certification Pages or Entire EDR by July 31 to:**

Pacific States Marine Fisheries Commission 205 SE Spokane, Suite 100 Portland, OR 97202
Email: <a href="mailto:alaska_crab@psmfc.org">alaska_crab@psmfc.org</a>
FAX Number: 503-595-3450
For more information or if you have questions, please call toll free 1-877-741-8913

**This page intentionally blank.**

**CERTIFICATION PAGE – 1 of 2**

This is a **required form**. Provide all information requested below.

<b>Catcher/Processor Information</b>	
Catcher/Processor Name	ADF&G Processor Code (F Code)
	Registered Crab Receiver Permit Number
USCG Documentation Number	Crab License Limitation Permit Number(s)
Current Estimated Market Value of Vessel and Equipment (\$)	Replacement Value of Vessel and Equipment (\$)
Name of Crab Harvesting Cooperative (if applicable)	

<b>Vessel Owner Information</b>	
Name of company, partnership, or sole proprietorship	
Business Telephone Number	Business FAX Number
Business E-mail address, if available	

<b>Vessel Leaseholder Information (if applicable)</b>	
Name of company, partnership, or sole proprietorship	
Business Telephone Number	Business FAX Number
Business E-mail address, if available	

**NOTE:** Any owner or leaseholder may appoint a designated representative to respond to questions in the EDR. The designated representative is the primary contact person for the DCA on issues relating to data required in the EDR.

<b>Person Completing this Report (check one)</b>	
<input type="checkbox"/> Owner (If your name and address are the same name and address provided in the Owner Information block above, the information does not need to be repeated here)	
<input type="checkbox"/> Leaseholder (If your name and address are the same name and address provided in the Leaseholder Information block above, the information does not need to be repeated here)	
<input type="checkbox"/> Designated Representative (complete information below)	
Name	Title
Business Number Telephone	Business FAX Number
Business E-mail address (if available)	

**CERTIFICATION PAGE – 2 of 2**

**Select one of the following statements and provide any requested information. Check one box below.** Note: The descriptions below refer to leasing of the vessel. Do not provide information regarding any quota leasing here – questions will be asked about quota leases in the EDR form.

<input type="checkbox"/> 1. You are the catcher/processor <b>owner</b> , and you harvested or processed BSAI crab in the above described vessel during the 2012 calendar year. <b>Complete and submit <u>entire EDR</u> for the 2012 calendar year.</b>	
<input type="checkbox"/> 2. You are the catcher/processor <b>leaseholder</b> , you harvested or processed BSAI crab in the above described vessel during the 2012 calendar year. <b>Complete and submit <u>entire EDR</u> for the 2012 calendar year.</b>	
<input type="checkbox"/> 3. You are the catcher/processor <b>owner</b> , and you leased or sold the above described vessel for a portion of the year to another party, and <b>harvested or processed some BSAI crab</b> in the above described catcher/processor during the 2012 calendar year (provide the name, address, and telephone number of the person to whom you leased or sold the vessel during the 2012 calendar year below). <p align="center"><b>OR</b></p> You are the catcher/processor <b>owner</b> and the vessel was lost or rendered permanently inoperable due to accident and harvested or processed no BSAI crab in the above described vessel during the 2012 calendar year. <b>Complete and submit <u>entire EDR</u> for the 2012 calendar year.</b>	
<input type="checkbox"/> 4. You are the catcher/processor <b>owner</b> , you leased or sold the above described <b>vessel</b> to another party, and <b>harvested or processed no BSAI crab</b> in the above described vessel during the 2012 calendar year (provide the name, address, and telephone number of the person to whom you leased or sold the vessel during the 2012 calendar year below). <p align="center"><b>OR</b></p> You are the catcher/processor <b>owner</b> and the vessel was lost or rendered permanently inoperable due to accident, and <b>harvested or processed no BSAI crab</b> in the above described vessel during the 2012 calendar year. <b>Complete and submit the <u>EDR Certification Pages only</u>.</b>	
<input type="checkbox"/> 5. You are the catcher/processor <b>owner</b> , and no one harvested or processed BSAI crab in the above described catcher/processor during the 2012 calendar year. <b>Complete and submit the <u>EDR Certification Pages only</u>.</b>	
<b>Buyer/Leaseholder Information (if applicable)</b>	
Buyer/Leaseholder Name	
Business address	
Telephone No (include area code)	Date of Sale or Lease (day/month/2012)

**Read the following statement, and sign and date the box below:**

**I certify under penalty of perjury that I have reviewed all the information in this report and that it is true and complete to the best of my knowledge.**

Signature	Date signed
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## Instructions for completing this EDR Form

- Provide all information requested in each section.
- Record only whole numbers, and round all decimal values up.
- Record a zero (0) or not applicable (N/A) where appropriate, and do not skip any questions or leave any data entry cells blank.
- Submit the completed certification and EDR form as required on or before the reporting deadline of **July 31<sup>st</sup>**. All information reported must be current and complete as of the date of submission, including post-season adjustments and settlements.

**Table A: Bering Sea and Aleutian Islands Crab Rationalization (CR) Program Fisheries**

CR Fishery Code	CR Fishery	Geographic Area
<b>BBR</b>	Bristol Bay red king crab ( <i>Paralithodes camtschaticus</i> )	in waters of the EEZ with <b>a northern boundary</b> of 58° 30' N. lat., <b>a southern boundary</b> of 54° 36' N. lat., and <b>a western boundary</b> of 168° W. long. and including all waters of Bristol Bay.
<b>BSS</b>	Bering Sea Snow crab ( <i>Chionoecetes opilio</i> )	in waters of the EEZ with <b>a northern and western boundary</b> of the Maritime Boundary Agreement Line as that line is described in the text of and depicted in the annex to the Maritime Boundary Agreement between the United States and the Union of Soviet Socialist Republics signed in Washington, June 1, 1990, and as the Maritime Boundary Agreement Line as depicted on NOAA Chart No. 513 (6th edition, February 23, 1991) and NOAA Chart No. 514 (6th edition, February 16, 1991) with <b>a southern boundary</b> of 54° 30' N. lat. to 171° W. long., and then south to 54° 36' N. lat.
<b>EAG</b>	Eastern Aleutian Islands golden king crab ( <i>Lithodes aequispinus</i> )	in waters of the EEZ with <b>an eastern boundary</b> the longitude of Scotch Cap Light (164° 44' W. long.) to 53° 30' N. lat., then West to 165° W. long. <b>a western boundary</b> of 174° W. long., and <b>a northern boundary</b> of a line from the latitude of Cape Sarichef (54° 36' N. lat.) westward to 171° W. long., then north to 55° 30' N. lat., then west to 174° W. long.
<b>WAG</b>	Western Aleutian Islands golden king crab ( <i>Lithodes aequispinus</i> )	in waters of the EEZ with <b>an eastern boundary</b> the longitude 174° W. long., <b>a western boundary</b> the Maritime Boundary Agreement Line as that line is described in the text of and depicted in the annex to the Maritime Boundary Agreement between the United States and the Union of Soviet Socialist Republics signed in Washington, June 1, 1990, and as the Maritime Boundary Agreement Line as depicted on NOAA Chart No. 513 (6th edition, February 23, 1991) and NOAA Chart No. 514 (6th edition, February 16, 1991), and <b>a northern boundary</b> of a line from the latitude of 55°30' N. lat., then west to the U.S.-Russian Convention line of 1867.
<b>EBT</b>	Eastern Bering Sea Tanner crab ( <i>Chionoecetes bairdi</i> )	in waters of the EEZ <b>a western boundary</b> the longitude of 166° W. long <b>a northern boundary</b> of the Maritime Boundary Agreement Line as that line is described in the text of and depicted in the annex to the Maritime Boundary Agreement between the United States and the Union of Soviet Socialist Republics signed in Washington, June 1, 1990, and as the Maritime Boundary Agreement Line as depicted on NOAA Chart No. 513 (6th edition, February 23, 1991) and NOAA Chart No. 514 (6th edition, February 16, 1991) <b>a southern boundary</b> of 54° 36' N. lat.
<b>WBT</b>	Western Bering Sea Tanner crab ( <i>Chionoecetes bairdi</i> )	In waters of the EEZ with: <b>an eastern boundary</b> the longitude of 166° W. long., <b>a northern and western boundary</b> of the Maritime Boundary Agreement Line as that line is described in the text of and depicted in the annex to the Maritime Boundary Agreement between the United States and the Union of Soviet Socialist Republics signed in Washington, June 1, 1990, and as the Maritime Boundary Agreement Line as depicted on NOAA Chart No. 513 (6th edition, February 23, 1991) and NOAA Chart No. 514 (6th edition, February 16, 1991) <b>a southern boundary</b> of 54°30'N. lat. To 171° W. long., and then south to 54°36' N. lat.

<b>PIK</b>	Pribilof red king and blue king crab ( <i>Paralithodes camtschaticus</i> and <i>P. platypus</i> )	In waters of the EEZ with: <b>a northern boundary</b> of 58° 30' N. lat., <b>an eastern boundary</b> of 168° W. long., <b>a southern boundary</b> line from 54° 36' N. lat., 168° W. long., to 54° 36' N. lat., 171° W. long., to 55° 30' N. lat., 171° W. long., to 55° 30' N. lat., 173° 30' E. lat., and then westward to the Maritime Boundary Agreement Line as that line is described in the text of and depicted in the annex to the Maritime Boundary Agreement between the United States and the Union of Soviet Socialist Republics signed in Washington, June 1, 1990, and as the Maritime Boundary Agreement Line as depicted on NOAA Chart No. 513 (6th edition, February 23, 1991) and NOAA Chart No. 514 (6th edition, February 16, 1991).
<b>SMB</b>	St. Matthew blue king crab ( <i>Paralithodes platypus</i> )	in waters of the EEZ with <b>a northern boundary</b> of 62° N. lat., <b>a southern boundary</b> of 58°30' N. lat., and <b>a western boundary</b> of the maritime Boundary Agreement Line as that line is described in the text of and depicted in the annex to the Maritime Boundary Agreement between the United States and the Union of Soviet Socialist Republics signed in Washington, June 1, 1990, and as the Maritime Boundary Agreement Line as depicted on NOAA Chart No. 513 (6th edition, February 23, 1991) and NOAA Chart No. 514 (6th edition, February 16, 1991).
<b>WAI</b>	Western Aleutian Islands red king crab ( <i>Paralithodes camtschaticus</i> )	In waters of the EEZ with: <b>an eastern boundary</b> the longitude 179° W. long., <b>a western boundary</b> of the Maritime Boundary Agreement Line as that line is described in the text of and depicted in the annex to the Maritime Boundary Agreement between the United States and the Union of Soviet Socialist Republics signed in Washington, June 1, 1990, and as the Maritime Boundary Agreement Line as depicted on NOAA Chart No. 513 (6th edition, February 23, 1991) and NOAA Chart No. 514 (6th edition, February 16, 1991), and <b>a northern boundary</b> of a line from the latitude of 55°30' N. lat., then west to the Maritime Boundary Agreement Line as that line is described in the text of and depicted in the annex to the Maritime Boundary Agreement between the United States and the Union of Soviet Socialist Republics signed in Washington, June 1, 1990, and as the Maritime Boundary Agreement Line as depicted on NOAA Chart No. 513 (6th edition, February 23, 1991) and NOAA Chart No. 514 (6th edition, February 16, 1991).

**Table B: Quota Type**

<b>Quota Type Code</b>	<b>CR Quota Types</b>
CVO-A	Catcher Vessel Owner Class A IFQ, all Regions
CVO-B	Catcher Vessel Owner Class B IFQ
CPO	Catcher Processor Owner IFQ
CVC	Catcher Vessel Crew IFQ
CPC	Catcher Processor Crew IFQ
CDQ	Community Development Quota
ACA-WAG	Adak Community Allocation Western Aleutian Island Golden king crab IFQ

**Table C. Crab Species Codes**

Species Code	Common Name	Scientific Name
921	Red king crab	<i>Paralithodes camtschaticus</i>
922	Blue king crab	<i>Paralithodes platypus</i>
923	Golden (brown) king crab	<i>Lithodes aequispinus</i>
931	Tanner crab	<i>Chionoecetes bairdi</i>
932	Snow crab	<i>Chionoecetes opilio</i>

**Table D. Crab Product Codes Used for EDRs**

Code	Description
01	Whole crab
80	Crab sections
81	Crab meats
82	Crab claws
83	Crab tails
84	Crab legs
97	Other crab product (specify)

**Table E. Crab Process Codes.**

- (1) If multiple processes were used during a crab fishery, record the information for each process on a separate line.
- (2) If more than one of the following processes was used to create a specific product (such as brined and frozen crab, or cooked and frozen crab) you may enter more than one process code in the process code box for that product.

Process Code	Description
00	Other (specify):
01	Raw
02	Plate frozen/Blast frozen
03	Brined/frozen
07	Live

**Table F. Box Size Codes**

Code	Description
Small	Boxes 15 pounds or smaller
Large	Boxes larger than 15 pounds

## 1. CR Crab Ex-vessel Sales, by CR Fishery and Quota Type

In Table 1 below, record the total pounds sold and gross revenue received for ex-vessel sales to crab buyers for all CR crab landed by the vessel during calendar year 2012. Report pounds and revenue for each CR fishery and harvest quota permit type separately, using the CR Fishery codes from Table A and Quota Type codes from Table B. *Report all crab landed by the vessel, including all crab landed using harvest quota held by or leased from a harvest cooperative or other entity.*

**Pounds Sold:** Record the total pounds of BSAI crab landed by this vessel and sold to crab buyers during the previous calendar year. Exclude deadloss crab that is discarded unsold at the landing.

**Gross Revenue:** Record the gross revenue from crab delivered to processors during the calendar year. Report the gross ex-vessel revenue value of all landings before deductions for taxes, bait, quota royalties, or other withholding by crab buyers or other entities. Include all post-season adjustments received by the date of submitting this EDR, but do not report any payments not yet received as of this date.

**Table 1: CR Crab Ex-vessel Sales, by CR Fishery and Quota Type**

Quota Type	CR Fishery	Pounds Sold	Gross Revenue
CVO-A	BBR	lbs	\$
	BSS	lbs	\$
	EAG	lbs	\$
	WAG	lbs	\$
	EBT	lbs	\$
	WBT	lbs	\$
	SMB	lbs	\$
	PIK	lbs	\$
	WAI	lbs	\$
CVO-B	BBR	lbs	\$
	BSS	lbs	\$
	EAG	lbs	\$
	WAG	lbs	\$
	EBT	lbs	\$
	WBT	lbs	\$
	SMB	lbs	\$
	PIK	lbs	\$
	WAI	lbs	\$

Quota Type	CR Fishery	Pounds Sold	Gross Revenue
CPO	BBR	lbs	\$
	BSS	lbs	\$
	EAG	lbs	\$
	WAG	lbs	\$
	EBT	lbs	\$
	WBT	lbs	\$
	SMB	lbs	\$
	PIK	lbs	\$
	WAI	lbs	\$
CVC	BBR	lbs	\$
	BSS	lbs	\$
	EAG	lbs	\$
	WAG	lbs	\$
	EBT	lbs	\$
	WBT	lbs	\$
	SMB	lbs	\$
	PIK	lbs	\$
	WAI	lbs	\$
CPC	BBR	lbs	\$
	BSS	lbs	\$
	EAG	lbs	\$
	WAG	lbs	\$
	EBT	lbs	\$
	WBT	lbs	\$
	SMB	lbs	\$
	PIK	lbs	\$
	WAI	lbs	\$
CDQ	BBR	lbs	\$
	BSS	lbs	\$
	EAG	lbs	\$
	EBT	lbs	\$
	WBT	lbs	\$
	SMB	lbs	\$
	PIK	lbs	\$
	WAI	lbs	\$
ACA-WAG	WAG	lbs	\$

## 2. CR Crab Product Sales

In Tables 2a and 2b below, report calendar year crab product sales from CR fisheries. Report sales to **affiliated** entities (Table 2a) and to **unaffiliated** entities (Table 2b) for each combination of crab species, product, process, and box size sold. Do not include sales of crab product produced from fisheries other than CR crab fisheries.

For further details on the definition of “Affiliation” refer to the federal regulations at 50 CFR part 680.2.

**Species Code:** Record the species codes from Table C for CR crab product sold in 2012.

**Product Code:** Record the product codes from Table D for each product.

**Process Code:** Record the process codes from Table E. If more than one process was used to create a specific crab product form (such as cooked *and* frozen crab sections), enter more than one process code in the process code box for that product.

**Box Size Code:** Record the box size category code from Table F for each product sold.

For each unique product combination of CR crab species, product code, process code(s), and box size code identified using the codes listed in Tables C-F that was sold during 2012, report the following:

**Finished Pounds:** Record the total finished pounds of each product sold.

**Gross First Wholesale Revenue:** Record the amount you received for each product sold. Do not include any additional payment you received to cover any shipping, handling, or storage costs associated with the sale beyond the FOB port. Adjust all revenues to FOB Alaska-equivalent value. Do not deduct any broker fees, taxes paid, royalties for IPQ, or other fees or charges

**Table 2a: CR Crab Sales to Affiliated Entities**

Species Code	Product Code	Process Code	Box Size Code	Finished Pounds	Revenue
				lbs	\$
				lbs	\$
				lbs	\$
				lbs	\$
				lbs	\$
				lbs	\$
				lbs	\$
				lbs	\$
				lbs	\$
				lbs	\$
				lbs	\$
				lbs	\$
				lbs	\$

**Table 2b: CR Crab Sales to Unaffiliated Entities**

Species Code	Product Code	Process Code	Box Size Code	Finished Pounds	Revenue
				lbs	\$
				lbs	\$
				lbs	\$
				lbs	\$
				lbs	\$
				lbs	\$
				lbs	\$
				lbs	\$
				lbs	\$
				lbs	\$
				lbs	\$
				lbs	\$
				lbs	\$
				lbs	\$

### 3. CR Crab Custom Processing Services Provided, by CR Fishery

In Table 3 below, record processed output and revenue received for custom processing of CR crab performed for other crab buyers during the previous calendar year, by product code and process code for each CR Fishery Code in which custom processing was provided.

**CR Fishery Code:** Record the code from Table A for each CR fishery in which you provided custom processing services. If you produced multiple custom products within a CR fishery, record information for each on separate lines.

**Product Code:** Record the product codes from Table D for each product.

**Process Code:** Record the process codes from Table E. If more than one process was used to create a specific crab product form (such as cooked *and* frozen crab sections) enter more than one process code in the process code box for that product.

For each CR fishery and unique combination of product code and process code(s) identified using the codes listed in Tables D and E produced as custom processing, report the following:

**Raw Pounds:** Record the total raw pounds of CR crab provided by RCRs receiving custom processing services from this processing facility.

**Finished Pounds:** Record the total pounds of output for each custom processed product.

**Custom Processing Revenue:** Record the revenue received for custom processing the specified products.

**Table 3: Custom Processing Services Provided**

CR Fishery Code	Product Code	Process Code(s)	Raw Pounds	Finished Pounds	Custom Processing Revenue
			lbs	lbs	\$
			lbs	lbs	\$
			lbs	lbs	\$
			lbs	lbs	\$
			lbs	lbs	\$
			lbs	lbs	\$
			lbs	lbs	\$
			lbs	lbs	\$
			lbs	lbs	\$

**4. Raw CR Crab Purchases from Delivering Vessels, by CR Fishery and Quota Type**

In Table 4 below, record the following information on raw crab purchases from delivering vessels during the previous calendar year, by CR Fishery Code and fishing quota type. Use the CR Fishery codes from Table A and Quota Type codes from Table B.

**Raw Pounds Purchased**

Record the total pounds of raw crab purchased.

**Gross Payment**

Record total gross value of raw crab purchases, including all post-season adjustments paid to sellers as of the date of submitting this EDR.

**Table 4: Raw Crab Purchases from delivering vessels, by CR Fishery and Quota Type**

CR Fishery Code	Quota Type	Raw Pounds Purchased	Gross Payment
		lbs	\$

**5. CR Crab Custom Processing Services Purchased, by CR Fishery**

In Table 5 below, record the following information on custom crab processing provided for you by processors during the previous calendar year, by product code and process code for each CR Fishery Code in which custom processing was purchased.

**CR Fishery Code:** Record the code from Table A for each CR fishery in which you purchased custom processing services. If you received multiple custom products within a CR fishery, record information for each on separate lines.

**Product Code:** Record the product codes from Table D for each product.

**Process Code:** Record the process codes from Table E. If more than one process was used to create a specific crab product form (such as cooked *and* frozen crab sections) enter more than one process code in the process code box for that product.

For each CR fishery and unique combination of product code and process code(s) identified using the codes listed in Tables D and E produced as custom processing, report the following:

**Raw Pounds:** Record the total raw pounds of CR crab provided to processors providing custom processing services

**Finished Pounds:** Record the total pounds of output for each custom processed product.

**Processing Fee:** Record the payment made to custom processors for each crab product.

**Table 5: Custom Processing Services Purchased**

CR Fishery Code	Product Code	Process Code	Raw Pounds	Finished Pounds	Processing Fee
			lbs	lbs	\$
			lbs	lbs	\$
			lbs	lbs	\$
			lbs	lbs	\$
			lbs	lbs	\$
			lbs	lbs	\$
			lbs	lbs	\$
			lbs	lbs	\$
			lbs	lbs	\$
			lbs	lbs	\$

**6. CR Crab Fishing and Processing Quota Costs, by CR Fishery and Quota Type - Market-Value and Negotiated-Price Transfers Only**

In Table 6 below, record the total pounds and monetary cost for transfers of annual CR crab fishing (IFQ, CDQ) and/or processing (IPQ) quota pounds received for your use during the previous calendar year, by CR fishery. Use the CR Fishery codes from Table A and Quota Type codes from Table B.

Include only transfers of quota for which you paid the only monetary compensation, based on the market value or a price negotiated between you and the quota holder(s). Do **not** include quota transfers for which:

- payment was based on a nominal (or non-negotiated) price, **or**
- non-monetary or in-kind compensation was included in the transaction, in addition to transferred quota pounds and monetary payment, **or**
- you did not use the quota pounds for crab harvested and/or processed by this vessel or purchased from delivering vessels by the end of the season, or re-transferred the quota pounds for use by another vessel.

For all market-value and/or negotiated-price quota transfers, report the following:

**Pounds Transferred:** Record the total pounds of transferred crab fishing (IFQ, CDQ) and/or processing (IPQ) quota used to harvest CR crab on the vessel or purchase CR crab from delivering vessels during the previous calendar year.

**Total Cost:** Record the total gross cost paid as monetary compensation, before taxes or fees are deducted. Include all post-season adjustments paid as of the date of submitting this EDR, but do not report any payments not paid by this date.

**Table 6: CR Annual Crab Fishing and Processing Quota Costs, by CR Fishery and Quota Type - Market-Value and Negotiated Price Transfers Only**

Quota Type	CR Fishery	Pounds Transferred	Total Cost
CVO-A	BBR	lbs	\$
	BSS	lbs	\$
	EAG	lbs	\$
	WAG	lbs	\$
	EBT	lbs	\$
	WBT	lbs	\$
	SMB	lbs	\$
	PIK	lbs	\$
	WAI	lbs	\$

Quota Type	CR Fishery	Pounds Transferred	Total Cost
CVO-B	BBR	lbs	\$
	BSS	lbs	\$
	EAG	lbs	\$
	WAG	lbs	\$
	EBT	lbs	\$
	WBT	lbs	\$
	SMB	lbs	\$
	PIK	lbs	\$
	WAI	lbs	\$
CPO	BBR	lbs	\$
	BSS	lbs	\$
	EAG	lbs	\$
	WAG	lbs	\$
	EBT	lbs	\$
	WBT	lbs	\$
	SMB	lbs	\$
	PIK	lbs	\$
	WAI	lbs	\$
CVC	BBR	lbs	\$
	BSS	lbs	\$
	EAG	lbs	\$
	WAG	lbs	\$
	EBT	lbs	\$
	WBT	lbs	\$
	SMB	lbs	\$
	PIK	lbs	\$
	WAI	lbs	\$
CPC	BBR	lbs	\$
	BSS	lbs	\$
	EAG	lbs	\$
	WAG	lbs	\$
	EBT	lbs	\$
	WBT	lbs	\$
	SMB	lbs	\$
	PIK	lbs	\$
	WAI	lbs	\$

Quota Type	CR Fishery	Pounds Transferred	Total Cost
CDQ	BBR	lbs	\$
	BSS	lbs	\$
	EAG	lbs	\$
	EBT	lbs	\$
	WBT	lbs	\$
	SMB	lbs	\$
	PIK	lbs	\$
	WAI	lbs	\$
ACA-WAG	WAG	lbs	\$

**7: CR Crab Crew Labor Costs, by CR Fishery**

In Table 7 below, record the total direct payment to vessel fishing crew, processing employees, and captain for labor performed in CR fisheries during calendar year 2012, by CR fishery. Use the CR Fishery codes from Table A.

Report the total payment amount actually paid to processing employees, fishing crew, and captain in gross earnings and settlements, not earnings before vessel-related expenses (such as fuel, bait, or food and provisions) were deducted. Exclude any payments for labor provided before or after crab fishing seasons, or for crab fishing quota that the crew or captain leased to the vessel. Exclude non-wage expenses such as payroll taxes, unemployment insurance, workmen’s compensation, and exclude costs of non-wage benefits provided such as paid travel, health insurance, or retirement.

**Labor Payment – Fishing and Processing Crew:** Record the total amount paid to vessel fishing crew and processing employees for labor services in CR fisheries during the previous calendar year, not including any amount paid to individuals as captain or vessel master. Include all post-season adjustments paid as of the date of submitting this EDR.

**Labor Payment - Captain:** Record the total payment made to the captain(s) for vessel master services in CR fisheries during the previous calendar year. Include all post-season adjustments paid as of the date of submitting this EDR.

**Table 7: CR Crab Crew Labor Payments, by CR Fishery**

CR Fishery	Labor Payment	
	Fishing and Processing Crew	Captain
BBR	\$	\$
BSS	\$	\$
EAG	\$	\$
WAG	\$	\$
EBT	\$	\$
WBT	\$	\$
SMB	\$	\$
PIK	\$	\$
WAI	\$	\$

**Health Insurance and Retirement Benefits:** Did you provide paid health insurance or retirement benefits to captain or crew members in addition to labor payments reported above?

**Crew:**  Yes  No

**Captain:**  Yes  No

## 8: Vessel Operating Expenses, by CR Fishery

In Table 8 below, record the following operating expenses for this vessel, by CR fishery. Include any taxes paid in the cost value reported. Use the CR Fishery codes from Table A.

If one or more of the items listed below are not purchased and/or tracked in your accounting system on a fishery basis, estimate the amount for each fishery for EDR reporting purposes by pro-rating the total amount purchased or consumed based on the average daily use or cost of the item during fishery operations and number of days operating in each fishery.

**Food and Provisions – Total Cost:** Record the total cost of food and provisions purchased for use in each CR fishery during the previous calendar year.

**Crab Bait Purchased - Total Cost:** Record the total cost of bait purchased for use in each CR fishery during the calendar year. Do not include cost of bait used in CR fisheries during 2012 that was purchased for fisheries occurring prior to the 2012 calendar year.

**Fuel Consumed – Gallons Only:** Record the total gallons of fuel consumed by the vessel while operating in each of the CR fisheries. Include the amount of fuel used during directed fishing, searching, traveling between delivery ports and fishing grounds, and offloading catch. Do not include amount of fuel used transiting to and from your home port before and/or after crab fishery operations.

**Table 8: Vessel Operating Expenses, by CR Fishery**

CR Fishery	Food & Provisions Total Cost	Bait Purchased Total Cost	Fuel consumed (gallons)
BBR	\$	\$	gal
BSS	\$	\$	gal
EAG	\$	\$	gal
WAG	\$	\$	gal
EBT	\$	\$	gal
WBT	\$	\$	gal
SMB	\$	\$	gal
PIK	\$	\$	gal
WAI	\$	\$	gal

## 9: Vessel Operating Expenses, Annual

In Table 9 below, record the total calendar year expenditure on the following operating costs for this vessel. Include any taxes paid on the listed items in the total.

### Fuel Cost, Annual

**Gallons:** Record the total quantity of fuel purchased for the vessel during the previous calendar year, in gallons.

**Cost:** Record the total payment for fuel purchased for the vessel for all crab, non-crab, and non-fishing operations during the previous calendar year, including all sales taxes and surcharges. Do not include the cost of lubrication or other fluids.

**Vessel Labor Cost:** Record the total direct payment to vessel processing employees, fishing crew, and captain for labor performed in all vessel operations during the calendar year, including shipyard labor and tendering. Include labor payments for CR crab operations reported in Table 7 in the total.

**Table 9: Vessel Operating Expenses, Annual**

Fuel		Vessel Labor Cost
Gallons	Cost	
gal	\$	\$

## 10. BSAI Crab Crew Licenses and CFEC Permits

In Table 10 below, for each individual who worked as a captain or crewmember during the previous calendar year, record either the Alaska Commercial Crew license number or a State of Alaska Commercial Fisheries Entry Commission (CFEC) gear operator permit number. Do not record more than one license or permit number for any individual, but include every individual that worked on the vessel as a captain or crewmember during CR fisheries.

For Commercial Crew Licenses, report the full 7-digit license number. For Gear Operator Permits, including permits not endorsed for crab, include the fishery code and permit number (e.g., M71B25321N). Indicate if the number reported is an ADF&G Commercial Crew License number or a CFEC Gear Operator Permit Number in the appropriate checkbox.

**Table 10: Crew Licenses/Permits**

Crewmember	License/Permit Number	Check One		Crewmember	License/Permit Number	Check One	
		ADF&G Crew License	CFEC Gear Operator Permit			ADF&G Crew License	CFEC Gear Operator Permit
1		<input type="checkbox"/>	<input type="checkbox"/>	15		<input type="checkbox"/>	<input type="checkbox"/>
2		<input type="checkbox"/>	<input type="checkbox"/>	16		<input type="checkbox"/>	<input type="checkbox"/>
3		<input type="checkbox"/>	<input type="checkbox"/>	17		<input type="checkbox"/>	<input type="checkbox"/>
4		<input type="checkbox"/>	<input type="checkbox"/>	18		<input type="checkbox"/>	<input type="checkbox"/>
5		<input type="checkbox"/>	<input type="checkbox"/>	19		<input type="checkbox"/>	<input type="checkbox"/>
6		<input type="checkbox"/>	<input type="checkbox"/>	20		<input type="checkbox"/>	<input type="checkbox"/>
7		<input type="checkbox"/>	<input type="checkbox"/>	21		<input type="checkbox"/>	<input type="checkbox"/>
8		<input type="checkbox"/>	<input type="checkbox"/>	22		<input type="checkbox"/>	<input type="checkbox"/>
9		<input type="checkbox"/>	<input type="checkbox"/>	23		<input type="checkbox"/>	<input type="checkbox"/>
10		<input type="checkbox"/>	<input type="checkbox"/>	24		<input type="checkbox"/>	<input type="checkbox"/>
11		<input type="checkbox"/>	<input type="checkbox"/>	25		<input type="checkbox"/>	<input type="checkbox"/>
12		<input type="checkbox"/>	<input type="checkbox"/>	26		<input type="checkbox"/>	<input type="checkbox"/>
13		<input type="checkbox"/>	<input type="checkbox"/>	27		<input type="checkbox"/>	<input type="checkbox"/>
14		<input type="checkbox"/>	<input type="checkbox"/>	28		<input type="checkbox"/>	<input type="checkbox"/>

Note: Commercial fishing license and permit information is public record. A vessel master has the right to record the crew member's license number or permit ID and no release is necessary to report the information here. EDR submitters can contact ADF&G or CFEC to request license or permit numbers by crewmember name at the contacts below:

ADF&G – Commercial Crew License Licensing Questions (907) 465-2376 Licensing FAX (907) 465-2440 Licensing Email <a href="mailto:licensehelp@fishgame.state.ak.us">licensehelp@fishgame.state.ak.us</a>	CFEC - Gear Operator Permit Phone: (907) 790-6921 Email: <a href="mailto:dfg.cfec.questions@alaska.gov">dfg.cfec.questions@alaska.gov</a> Website: <a href="http://www.cfec.state.ak.us/publook/publook.jsp">http://www.cfec.state.ak.us/publook/publook.jsp</a>
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## NOTES

# ANNUAL PROCESSOR

## CRAB ECONOMIC DATA REPORT (EDR) CALENDAR YEAR 2012

This form can be downloaded from

<http://alaskafisheries.noaa.gov>



### ***PUBLIC REPORTING BURDEN STATEMENT***

Public reporting burden for this collection of information is estimated to average 10 hours per response, including time for reviewing the instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden to Assistant Regional Administrator, Sustainable Fisheries Division, NOAA National Marine Fisheries Service, P.O. Box 21668, Juneau, AK 99802-1668.

### ***ADDITIONAL INFORMATION***

Before completing this form, please note the following: 1) Notwithstanding any other provision of law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number; 2) This information is mandatory and is required to manage commercial fishing efforts for crab under 50 CFR part 680 and under section 402(a) of the Magnuson-Stevens Act (16 U.S.C. 1801, *et seq.*) And 16 U.S.C. 1862(j); 3) Responses to this information request are confidential under section 402(b) of the Magnuson-Stevens Act. They are also confidential under NOAA Administrative Order 216-100, which sets forth procedures to protect confidentiality of fishery statistics.

# ANNUAL PROCESSOR CRAB EDR

## Introduction

This report collects information on Bering Sea and Aleutian Islands Management Area (BSAI) crab operations, including Western Alaska Community Development Quota Program (CDQ) crab fisheries. These fisheries are referred to as Crab Rationalization fisheries (CR fisheries). Pursuant to the legislation, the data and identifiers will also be used for program enforcement and determination of qualification for quota shares. Consequently, identifiers and data will be disclosed to NOAA Enforcement, NOAA General Counsel, the Antitrust Division of the Department of Justice, the Federal Trade Commission, and NOAA Restricted Access Management Program.

You have received this form because our records show that you are either the owner of a Shore-based processing facility that participated in the BSAI crab fisheries in the past, operated such a facility under lease, or held a Registered Crab Receiver (RCR) permit. **You are required to submit the Certification Pages (pages 3 and 4) and any additional information requested in the Economic Data Report (EDR). Failure to submit an EDR form when required may result in delay or denial of any and all federal fishery permit applications.**

To make sure that each company is consistently and accurately completing the EDR, random audits will be performed by a qualified accountant on some of the EDRs for a subset of the crab fishery participants. This step will ensure that the data can be relied upon to produce accurate and reliable information for the Alaska crab fisheries.

Auditors will verify records by comparing specific elements of the report with your accounting records. To make this activity as efficient and non-intrusive as possible, we suggest that you:

1. Keep a copy of the completed EDR or certification pages you submit to the Data Collection Agent (DCA). Copy and attach extra sheets as needed.
2. Keep a file that has all of the supporting information used in the preparation of the EDR.
3. Make sure that the EDR agrees to the company's highest level of financial information. For this purpose, the highest level of financial information is defined in order as:
  - a. Audited financial statements
  - b. Reviewed financial statements
  - c. Compiled financial statements
  - d. Tax returns.

Record only whole numbers. Round up dollar figures to the next highest dollar.

If YOUR label address is incorrect or missing, please correct the error on the label or print your permanent name and address here.

Processing Facility Name
Company Name
Street address or P.O. Box Number
City, State, and Zip Code

**NOTE:**

Any owner or leaseholder of a shoreside or stationary floating processing facility, or a holder of a registered crab receiver (RCR) permit, that processed, custom processed, or obtained custom processing for CR crab, in a Crab Rationalization (CR) fishery during the previous calendar year must submit a completed Annual Processor Crab Economic Data Report (EDR) following the instructions in this form. The completed EDR and/or EDR certification pages must be submitted to the Data Collection Agent (DCA) for each calendar year on or before July 31 of the following year, electronically or at the address provided on the form.

**Definition of "Leaseholder":** For the purpose of defining the persons responsible for submitting the EDR, a Leaseholder is a person, other than the owner of the facility for which the EDR is required, who: was identified as the leaseholder, in a written lease, of the processing facility, **OR** paid expenses of the processing facility, **OR** claimed expenses for the processing facility as a business expense on schedule C of his/her Federal Income Tax Return, or on a State Income Tax Return.

**Mail or FAX Certification Pages or Entire EDR by July 31 to:**

Pacific States Marine Fisheries Commission  
205 SE Spokane, Suite 100  
Portland, OR 97202

Email: [alaska\\_crab@psmfc.org](mailto:alaska_crab@psmfc.org)  
FAX Number: 503-595-3450

For more information or if you have questions,  
please call toll free 1-877-741-8913

**CERTIFICATION PAGE – 1 of 2**

This is a **required form**. Provide all information requested below.

<b>Processing Facility Information</b>	
Facility Name	
Registered Crab Receiver (RCR) Permit Number	ADF&G Processor Code (F Code)

<b>Shoreside Processors</b>	
Physical Location of Land-based Plant (street address, city, state, zip code)	
Borough Assessed Value of Plant and Equipment (\$) Year Assessed:	Current Estimated Value of Plant and Equipment (\$)

<b>Stationary Floating Processors</b>	
USCG Documentation Number	
Current Estimated Market Value of Processing Facility Plant and Equipment (\$)	Replacement Value of Processing Facility Plant and Equipment (\$)

<b>Owner Information</b>		
Name of company, partnership, or sole proprietorship		
Business Telephone Number	Business FAX Number	Business E-mail address, if available

<b>Leaseholder Information (if applicable)</b>		
Name of company, partnership, or sole proprietorship		
Business Telephone Number	Business FAX Number	Business E-mail address, if available

**NOTE:** Any owner or leaseholder may appoint a designated representative to respond to questions in the EDR. The designated representative is the primary contact person for the DCA on issues relating to data required in the EDR.

<b>Person Completing this Report (check one)</b>		
<input type="checkbox"/> Owner (If your name and address are the same name and address provided in the Owner Information block above, the information does not need to be repeated here)		
<input type="checkbox"/> Leaseholder (If your name and address are the same name and address provided in the Leaseholder Information block above, the information does not need to be repeated here)		
<input type="checkbox"/> Designated Representative (complete information below)		
Name	Title	
Business Number Telephone	Business FAX Number	Business E-mail address (if available)

**CERTIFICATION PAGE – 2 of 2**

**Select one of the following statements and provide any requested information. Check one box below.**

<input type="checkbox"/> <input type="checkbox"/> 1. You are the Stationary Floating Processor owner, and you processed BSAI crab in the above described plant during the 2012 calendar year. <b>Complete and submit entire EDR for the 2012 calendar year.</b>	
<input type="checkbox"/> 2. You were the Stationary Floating Processor leaseholder, and you operated the above described Stationary Floating Processor, and you processed BSAI crab during the 2012 calendar year. <b>Complete and submit entire EDR for the 2012 calendar year.</b>	
<input type="checkbox"/> 3. You are the Stationary Floating Processor owner, and you leased a portion of your IPQ to another party, and processed BSAI crab in the above described Stationary Floating Processor during the 2012 calendar year. Provide the name, address, and telephone number of the person to whom you leased the IPQ during the 2012 calendar year below. <b>Complete and submit entire EDR for the 2012 calendar year.</b>	
<input type="checkbox"/> 4. You are the Registered Crab Receiver and purchased CR crab delivered to and custom processed for you by one or more processing facilities, and you did not process CR crab in a facility that you operated . <b>Complete and submit entire EDR for the 2012 calendar year.</b>	
<input type="checkbox"/> 5. You are the Stationary Floating Processor owner, and you leased all of your IPQ to another party, and you processed no BSAI crab in the above described Stationary Floating Processor. Provide the name, address, and telephone number of the person to whom you leased the IPQ during the 2012 calendar year. <b>Complete and submit the EDR Certification Pages only.</b>	
<input type="checkbox"/> 6. You are the Stationary Floating Processor owner, and no one processed BSAI crab in the above described Stationary Floating Processor during the 2012 calendar year. <b>Complete and submit the EDR Certification Pages only.</b>	
<b>Buyer/Leaseholder Information (if applicable)</b>	
Buyer/Leaseholder Name	
Business address	
Telephone No (include area code)	Date of Sale or Lease (day/month/2012)

**Read the following statement, and sign and date the box below:**

<b>I certify under penalty of perjury that I have reviewed all the information in this report and that it is true and complete to the best of my knowledge.</b>	
Signature	Date signed

## Instructions for completing this EDR Form

- Provide all information requested in each section.
- Record only whole numbers, and round all decimal values up.
- Record a zero (0) or not applicable (N/A) where appropriate, and do not skip any questions or leave any data entry cells blank.
- Submit the completed certification and EDR form as required on or before the reporting deadline of **July 31**. All information reported must be current and complete as of the date of submission, including post-season adjustments and settlements.

**Table A: Bering Sea and Aleutian Islands Crab Rationalization (CR) Program Fisheries**

CR Fishery Code	CR Fishery	Geographic Area
<b>BBR</b>	Bristol Bay red king crab ( <i>Paralithodes camtschaticus</i> )	in waters of the EEZ with <b>a northern boundary</b> of 58° 30' N. lat., <b>a southern boundary</b> of 54° 36' N. lat., and <b>a western boundary</b> of 168° W. long. and including all waters of Bristol Bay.
<b>BSS</b>	Bering Sea Snow crab ( <i>Chionoecetes opilio</i> )	in waters of the EEZ with <b>a northern and western boundary</b> of the Maritime Boundary Agreement Line as that line is described in the text of and depicted in the annex to the Maritime Boundary Agreement between the United States and the Union of Soviet Socialist Republics signed in Washington, June 1, 1990, and as the Maritime Boundary Agreement Line as depicted on NOAA Chart No. 513 (6th edition, February 23, 1991) and NOAA Chart No. 514 (6th edition, February 16, 1991) with <b>a southern boundary</b> of 54° 30' N. lat. to 171° W. long., and then south to 54° 36' N. lat.
<b>EAG</b>	Eastern Aleutian Islands golden king crab ( <i>Lithodes aequispinus</i> )	in waters of the EEZ with <b>an eastern boundary</b> the longitude of Scotch Cap Light (164° 44' W. long.) to 53° 30' N. lat., then West to 165° W. long. <b>a western boundary</b> of 174° W. long., and <b>a northern boundary</b> of a line from the latitude of Cape Sarichef (54° 36' N. lat.) westward to 171° W. long., then north to 55° 30' N. lat., then west to 174° W. long.
<b>WAG</b>	Western Aleutian Islands golden king crab ( <i>Lithodes aequispinus</i> )	in waters of the EEZ with <b>an eastern boundary</b> the longitude 174° W. long., <b>a western boundary</b> the Maritime Boundary Agreement Line as that line is described in the text of and depicted in the annex to the Maritime Boundary Agreement between the United States and the Union of Soviet Socialist Republics signed in Washington, June 1, 1990, and as the Maritime Boundary Agreement Line as depicted on NOAA Chart No. 513 (6th edition, February 23, 1991) and NOAA Chart No. 514 (6th edition, February 16, 1991), and <b>a northern boundary</b> of a line from the latitude of 55°30' N. lat., then west to the U.S.-Russian Convention line of 1867.
<b>EBT</b>	Eastern Bering Sea Tanner crab ( <i>Chionoecetes bairdi</i> )	in waters of the EEZ <b>a western boundary</b> the longitude of 166° W. long <b>a northern boundary</b> of the Maritime Boundary Agreement Line as that line is described in the text of and depicted in the annex to the Maritime Boundary Agreement between the United States and the Union of Soviet Socialist Republics signed in Washington, June 1, 1990, and as the Maritime Boundary Agreement Line as depicted on NOAA Chart No. 513 (6th edition, February 23, 1991) and NOAA Chart No. 514 (6th edition, February 16, 1991) <b>a southern boundary</b> of 54° 36' N. lat.

<b>WBT</b>	Western Bering Sea Tanner crab ( <i>Chionoecetes bairdi</i> )	In waters of the EEZ with: <b>an eastern boundary</b> the longitude of 166° W. long., <b>a northern and western boundary</b> of the Maritime Boundary Agreement Line as that line is described in the text of and depicted in the annex to the Maritime Boundary Agreement between the United States and the Union of Soviet Socialist Republics signed in Washington, June 1, 1990, and as the Maritime Boundary Agreement Line as depicted on NOAA Chart No. 513 (6th edition, February 23, 1991) and NOAA Chart No. 514 (6th edition, February 16, 1991) <b>a southern boundary</b> of 54°30'N. lat. To 171° W. long., and then south to 54°36' N. lat.
<b>PIK</b>	Pribilof red king and blue king crab ( <i>Paralithodes camtschaticus</i> and <i>P. platypus</i> )	In waters of the EEZ with: <b>a northern boundary</b> of 58° 30' N. lat., <b>an eastern boundary</b> of 168° W. long., <b>a southern boundary</b> line from 54° 36' N. lat., 168° W. long., to 54° 36' N. lat., 171° W. long., to 55° 30' N. lat., 171° W. long., to 55° 30' N. lat., 173° 30' E. lat., and then westward to the Maritime Boundary Agreement Line as that line is described in the text of and depicted in the annex to the Maritime Boundary Agreement between the United States and the Union of Soviet Socialist Republics signed in Washington, June 1, 1990, and as the Maritime Boundary Agreement Line as depicted on NOAA Chart No. 513 (6th edition, February 23, 1991) and NOAA Chart No. 514 (6th edition, February 16, 1991).
<b>SMB</b>	St. Matthew blue king crab ( <i>Paralithodes platypus</i> )	in waters of the EEZ with <b>a northern boundary</b> of 62° N. lat., <b>a southern boundary</b> of 58°30' N. lat., and <b>a western boundary</b> of the maritime Boundary Agreement Line as that line is described in the text of and depicted in the annex to the Maritime Boundary Agreement between the United States and the Union of Soviet Socialist Republics signed in Washington, June 1, 1990, and as the Maritime Boundary Agreement Line as depicted on NOAA Chart No. 513 (6th edition, February 23, 1991) and NOAA Chart No. 514 (6th edition, February 16, 1991).
<b>WAI</b>	Western Aleutian Islands red king crab ( <i>Paralithodes camtschaticus</i> )	In waters of the EEZ with: <b>an eastern boundary</b> the longitude 179° W. long., <b>a western boundary</b> of the Maritime Boundary Agreement Line as that line is described in the text of and depicted in the annex to the Maritime Boundary Agreement between the United States and the Union of Soviet Socialist Republics signed in Washington, June 1, 1990, and as the Maritime Boundary Agreement Line as depicted on NOAA Chart No. 513 (6th edition, February 23, 1991) and NOAA Chart No. 514 (6th edition, February 16, 1991), and <b>a northern boundary</b> of a line from the latitude of 55°30' N. lat., then west to the Maritime Boundary Agreement Line as that line is described in the text of and depicted in the annex to the Maritime Boundary Agreement between the United States and the Union of Soviet Socialist Republics signed in Washington, June 1, 1990, and as the Maritime Boundary Agreement Line as depicted on NOAA Chart No. 513 (6th edition, February 23, 1991) and NOAA Chart No. 514 (6th edition, February 16, 1991).

**Table B: Quota Type**

<b>Quota Type Code</b>	<b>CR Quota Types</b>
CVO-A	Catcher Vessel Owner Class A IFQ, all Regions
CVO-B	Catcher Vessel Owner Class B IFQ
CPO	Catcher Processor Owner IFQ
CVC	Catcher Vessel Crew IFQ
CPC	Catcher Processor Crew IFQ
CDQ	Community Development Quota
ACA-WAG	Adak Community Allocation Western Aleutian Island Golden king crab IFQ

**Table C. Crab Species Codes**

Species Code	Common Name	Scientific Name
921	Red king crab	<i>Paralithodes camtschaticus</i>
922	Blue king crab	<i>Paralithodes platypus</i>
923	Golden (brown) king crab	<i>Lithodes aequispinus</i>
931	Tanner crab	<i>Chionoecetes bairdi</i>
932	Snow crab	<i>Chionoecetes opilio</i>

**Table D. Crab Product Codes Used for EDRs**

Code	Description
01	Whole crab
80	Crab sections
81	Crab meats
82	Crab claws
83	Crab tails
84	Crab legs
97	Other crab product (specify)

**Table E. Crab Process Codes.**

- (1) If multiple processes were used during a crab fishery, record the information for each process on a separate line.
- (2) If more than one of the following processes was used to create a specific product (such as brined and frozen crab, or cooked and frozen crab) you may enter more than one process code in the process code box for that product.

Process Code	Description
00	Other (specify):
01	Raw
02	Plate frozen/Blast frozen
03	Brined/frozen
07	Live

**Table F. Box Size Codes**

Code	Description
Small	Boxes 15 pounds or smaller
Large	Boxes larger than 15 pounds

## 1. CR Crab Product Sales

In Tables 1a and 1b below, report calendar year crab product sales from CR fisheries. Report sales to **affiliated** entities (Table 1a) and to **unaffiliated** entities (Table 1b) for each combination of crab species, product, process, and box size sold. Do not include sales of crab product produced from fisheries other than CR crab fisheries.

For further details on the definition of "Affiliation" refer to the federal regulations at 50 CFR part 680.2.

**Species Code:** Record the species codes from Table C for CR crab product sold in 2012.

**Product Code:** Record the product codes from Table D for each product.

**Process Code:** Record the process codes from Table E. If more than one process was used to create a specific crab product form (such as cooked *and* frozen crab sections), enter more than one process code in the process code box for that product.

**Box Size Code:** Record the box size category code from Table F for each product sold.

For each unique product combination of CR crab species, product code, process code(s), and box size code identified using the codes listed in Tables C-F that was sold during 2012, report the following:

**Finished Pounds:** Record the total finished pounds of each product sold.

**Gross First Wholesale Revenue:** Record the amount you received for each product sold. Do not include any additional payment you received to cover any shipping, handling, or storage costs associated with the sale beyond the FOB port. Adjust all revenues to FOB Alaska-equivalent value. Do not deduct any broker fees, taxes paid, royalties for IPQ, or other fees or charges.

**Table 1a: CR Crab Sales to Affiliated Entities**

Species Code	Product Code	Process Code	Box Size Code	Finished Pounds	Revenue
				lbs	\$
				lbs	\$
				lbs	\$
				lbs	\$
				lbs	\$
				lbs	\$
				lbs	\$
				lbs	\$
				lbs	\$
				lbs	\$
				lbs	\$
				lbs	\$
				lbs	\$

**Table 1b: CR Crab Sales to Unaffiliated Entities**

Species Code	Product Code	Process Code	Box Size Code	Finished Pounds	Revenue
				lbs	\$
				lbs	\$
				lbs	\$
				lbs	\$
				lbs	\$
				lbs	\$
				lbs	\$
				lbs	\$
				lbs	\$
				lbs	\$
				lbs	\$
				lbs	\$
				lbs	\$
				lbs	\$

## 2. CR Crab Custom Processing Services Provided, by CR Fishery

In Table 2 below, record processed output and revenue received for custom processing of CR crab performed for other crab buyers during the previous calendar year, by product code and process code for each CR Fishery Code in which custom processing was provided.

**CR Fishery Code:** Record the code from Table A for each CR fishery in which you provided custom processing services. If you produced multiple custom products within a CR fishery, record information for each on separate lines.

**Product Code:** Record the product codes from Table D for each product.

**Process Code:** Record the process codes from Table E. If more than one process was used to create a specific crab product form (such as cooked *and* frozen crab sections) enter more than one process code in the process code box for that product.

For each CR fishery and unique combination of product code and process code(s) identified using the codes listed in Tables D and E produced as custom processing, report the following:

**Raw Pounds:** Record the total raw pounds of CR crab provided by RCRs receiving custom processing services from this processing facility.

**Finished Pounds:** Record the total pounds of output for each custom processed product.

**Custom Processing Revenue:** Record the revenue received for custom processing the specified products.

**Table 2: Custom Processing Services Provided**

CR Fishery Code	Product Code	Process Code(s)	Raw Pounds	Finished Pounds	Custom Processing Revenue
			lbs	lbs	\$
			lbs	lbs	\$
			lbs	lbs	\$
			lbs	lbs	\$
			lbs	lbs	\$
			lbs	lbs	\$
			lbs	lbs	\$
			lbs	lbs	\$
			lbs	lbs	\$



#### 4. CR Crab Custom Processing Services Purchased, by CR Fishery

In Table 4 below, record the following information on custom crab processing provided for you by processors during the previous calendar year, by product code and process code for each CR Fishery Code in which custom processing was purchased.

**CR Fishery Code:** Record the code from Table A for each CR fishery in which you purchased custom processing services. If you received multiple custom products within a CR fishery, record information for each on separate lines.

**Product Code:** Record the product codes from Table D for each product.

**Process Code:** Record the process codes from Table E. If more than one process was used to create a specific crab product form (such as cooked *and* frozen crab sections) enter more than one process code in the process code box for that product.

For each CR fishery and unique combination of product code and process code(s) identified using the codes listed in Tables D and E produced as custom processing, report the following:

**Raw Pounds:** Record the total raw pounds of CR crab provided to processors providing custom processing services

**Finished Pounds:** Record the total pounds of output for each custom processed product.

**Processing Fee:** Record the payment made to custom processors for each crab product.

**Table 4: Custom Processing Services Purchased**

CR Fishery Code	Product Code	Process Code	Raw Pounds	Finished Pounds	Processing Fee
			lbs	lbs	\$
			lbs	lbs	\$
			lbs	lbs	\$
			lbs	lbs	\$
			lbs	lbs	\$
			lbs	lbs	\$
			lbs	lbs	\$
			lbs	lbs	\$
			lbs	lbs	\$
			lbs	lbs	\$

## 5. CR Crab Processing Quota Costs, by CR Fishery Market-Value and Negotiated-Price Transfers Only

In Table 5 below, record the total pounds and monetary cost for transfers of crab individual processing quota (IPQ) pounds received for your use in purchasing landed CR crab during the previous calendar year, by CR fishery. Use the CR Fishery codes from Table A.

Include only transfers of quota for which you paid the only monetary compensation, based on the market value or a price negotiated between you and the quota holder(s). Do **not** include quota transfers for which:

- payment was based on a nominal (or non-negotiated) price, **or**
- non-monetary or in-kind compensation was included in the transaction, in addition to transferred quota pounds and monetary payment, **or**
- you did not use the quota pounds for crab processed by this vessel or purchased from delivering vessels by the end of the season, or re-transferred the quota pounds for use by another vessel.

For all market-value and/or negotiated-price quota transfers, report the following:

**Pounds Transferred:** Record the total pounds of transferred crab individual processing quota (IPQ) pounds acquired for your use in purchasing landed CR crab during the previous calendar year.

**Total Cost:** Record the total gross cost paid as monetary compensation, before taxes or fees are deducted. Include all post-season adjustments paid as of the date of submitting this EDR, but do not report any payments not paid by this date.

**Table 5: CR Crab Processing Quota (IPQ) Costs**

CR Fishery Code	IPQ Costs	
	Pounds Transferred	Total Cost
BBR	lbs	\$
BSS	lbs	\$
EAG	lbs	\$
WAG	lbs	\$
EBT	lbs	\$
WBT	lbs	\$
SMB	lbs	\$
PIK	lbs	\$
WAI	lbs	\$

## 6: Crab Processing Labor Cost, by CR Fishery

In Table 6 below, record the following information about labor costs associated with crab processing, by CR fishery: Use the CR Fishery codes from Table A.

**Total Man-Hours:** Record the sum of all hours worked by crab processing workers for each CR fishery.

**Total Labor Payment:** Record the total gross wages paid to crab processing workers in each CR fishery. Include wages and bonuses only for the processing workers included above. Do not count any payments to salaried employees (these will be recorded in Section 7).

**Computed Average Hourly Wage (web form only):** Please inspect the Average Hourly Wage (automatically computed by this form) to ensure that the values reported for Total Man-Hours and Total Labor Payment result in an average wage rate that is representative of wages paid by your company to processing employees in CR crab fisheries.

**Table 6: Crab Processing Labor Cost**

CR Fishery Code	Crab Processing Labor		
	Total Man-Hours	Total Labor Payment	Computed Average Hourly Wage
BBR	hrs	\$	\$/hr
BSS	hrs	\$	\$/hr
EAG	hrs	\$	\$/hr
WAG	hrs	\$	\$/hr
EBT	hrs	\$	\$/hr
WBT	hrs	\$	\$/hr
SMB	hrs	\$	\$/hr
PIK	hrs	\$	\$/hr
WAI	hrs	\$	\$/hr

<b>7: General Non-processing Labor Expenses, Annual</b>
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In Table 7 below, record the total previous calendar year expenditure on wages and salaries for foremen, managers, administrative, personnel, and other non-processing labor.

For all non-processing personnel employed during the year, report the following:

**Number of Employees:** Report the number persons employed in non-processing positions at the processing facility during the previous calendar year. Include foremen, managers, administrative, and other personnel not primarily employed as processing line laborers.

**Salaries and wages:** Total calendar year gross wages for non-processing employees as described above. Do not include non-wage benefits, payroll taxes, training costs, or other costs of employment.

**Table 7: General Non-processing Labor Expenses, Annual**

<b>Number Of Non-Processing Employees</b>	<b>Total Wages And Salaries</b>
	\$



## NOTES