

NOTICE OF OFFICE OF MANAGEMENT AND BUDGET ACTION

Date 10/04/2011

Department of Commerce  
National Oceanic and Atmospheric Administration  
FOR CERTIFYING OFFICIAL: Simon Szykman  
FOR CLEARANCE OFFICER: Diana Hynek

In accordance with the Paperwork Reduction Act, OMB has taken action on your request received 07/21/2011

ACTION REQUESTED: Extension without change of a currently approved collection  
TYPE OF REVIEW REQUESTED: Regular  
ICR REFERENCE NUMBER: 201107-0648-004  
AGENCY ICR TRACKING NUMBER:  
TITLE: Sea Grant Program Application Requirements for Grants, for Sea Grant Fellowships, including the Dean John A. Knauss Marine Policy Fellowships, and for Designation as a Sea Grant College or Sea Grant I  
LIST OF INFORMATION COLLECTIONS: See next page

OMB ACTION: Approved without change  
OMB CONTROL NUMBER: 0648-0362  
The agency is required to display the OMB Control Number and inform respondents of its legal significance in accordance with 5 CFR 1320.5(b).

EXPIRATION DATE: 10/31/2014 DISCONTINUE DATE:

BURDEN:	RESPONSES	HOURS	COSTS
Previous	2,091	857	998
New	2,091	857	998
Difference			
Change due to New Statute	0	0	0
Change due to Agency Discretion	0	0	0
Change due to Agency Adjustment	0	0	0
Change Due to Potential Violation of the PRA	0	0	0

TERMS OF CLEARANCE:

OMB Authorizing Official: Kevin F. Neyland  
Deputy Administrator,  
Office Of Information And Regulatory Affairs

List of ICs

IC Title	Form No.	Form Name	CFR Citation
Sea Grant Program Control Form - Institutions	NOAA 90-1	Sea Grant Control.	
Sea Grant Control Form - individuals	NOAA 90-1	Sea Grant Control	
Sea Grant Program Project Record form - institutions	NOAA 90-2	Sea Grant Program Project Record Form	
Sea Grant Program Project Record Form - individuals	NOAA 90-2	Sea Grant Program Project Record Form	
Sea Grant Program Budget - institutions	NOAA 90-4	Sea Grant Program Budget Form	
Sea Grant Program Budget Form - individuals	NOAA 90-4	Sea Grant Program Project Budget Form	
Application for Designation as a Sea Grant College or Regional Consortium			15 CFR 918.7
Sea Grant Fellowship Application			33 CFR 1126

# PAPERWORK REDUCTION ACT SUBMISSION

**Please read the instructions before completing this form. For additional forms or assistance in completing this form, contact your agency's Paperwork Clearance Officer. Send two copies of this form, the collection instrument to be reviewed, the supporting statement, and any additional documentation to: Office of Information and Regulatory Affairs, Office of Management and Budget, Docket Library, Room 10102, 725 17th Street NW, Washington, DC 20503.**

1. Agency/Subagency originating request	2. OMB control number <span style="float: right;">b. <input type="checkbox"/> None</span> a. _____ - _____
3. Type of information collection ( <i>check one</i> ) a. <input type="checkbox"/> New Collection b. <input type="checkbox"/> Revision of a currently approved collection c. <input type="checkbox"/> Extension of a currently approved collection d. <input type="checkbox"/> Reinstatement, without change, of a previously approved collection for which approval has expired e. <input type="checkbox"/> Reinstatement, with change, of a previously approved collection for which approval has expired f. <input type="checkbox"/> Existing collection in use without an OMB control number For b-f, note Item A2 of Supporting Statement instructions	4. Type of review requested ( <i>check one</i> ) a. <input type="checkbox"/> Regular submission b. <input type="checkbox"/> Emergency - Approval requested by _____ / _____ / _____ c. <input type="checkbox"/> Delegated
7. Title	5. Small entities Will this information collection have a significant economic impact on a substantial number of small entities? <input type="checkbox"/> Yes <input type="checkbox"/> No
8. Agency form number(s) ( <i>if applicable</i> )	6. Requested expiration date a. <input type="checkbox"/> Three years from approval date b. <input type="checkbox"/> Other Specify: _____ / _____
9. Keywords	
10. Abstract	
11. Affected public ( <i>Mark primary with "P" and all others that apply with "x"</i> ) a. ___ Individuals or households d. ___ Farms b. ___ Business or other for-profit e. ___ Federal Government c. ___ Not-for-profit institutions f. ___ State, Local or Tribal Government	12. Obligation to respond ( <i>check one</i> ) a. <input type="checkbox"/> Voluntary b. <input type="checkbox"/> Required to obtain or retain benefits c. <input type="checkbox"/> Mandatory
13. Annual recordkeeping and reporting burden a. Number of respondents _____ b. Total annual responses _____ 1. Percentage of these responses collected electronically _____ % c. Total annual hours requested _____ d. Current OMB inventory _____ e. Difference _____ f. Explanation of difference 1. Program change _____ 2. Adjustment _____	14. Annual reporting and recordkeeping cost burden ( <i>in thousands of dollars</i> ) a. Total annualized capital/startup costs _____ b. Total annual costs (O&M) _____ c. Total annualized cost requested _____ d. Current OMB inventory _____ e. Difference _____ f. Explanation of difference 1. Program change _____ 2. Adjustment _____
15. Purpose of information collection ( <i>Mark primary with "P" and all others that apply with "X"</i> ) a. ___ Application for benefits e. ___ Program planning or management b. ___ Program evaluation f. ___ Research c. ___ General purpose statistics g. ___ Regulatory or compliance d. ___ Audit	16. Frequency of recordkeeping or reporting ( <i>check all that apply</i> ) a. <input type="checkbox"/> Recordkeeping b. <input type="checkbox"/> Third party disclosure c. <input type="checkbox"/> Reporting 1. <input type="checkbox"/> On occasion 2. <input type="checkbox"/> Weekly 3. <input type="checkbox"/> Monthly 4. <input type="checkbox"/> Quarterly 5. <input type="checkbox"/> Semi-annually 6. <input type="checkbox"/> Annually 7. <input type="checkbox"/> Biennially 8. <input type="checkbox"/> Other (describe) _____
17. Statistical methods Does this information collection employ statistical methods <input type="checkbox"/> Yes <input type="checkbox"/> No	18. Agency Contact (person who can best answer questions regarding the content of this submission)  Name: _____ Phone: _____

## 19. Certification for Paperwork Reduction Act Submissions

On behalf of this Federal Agency, I certify that the collection of information encompassed by this request complies with 5 CFR 1320.9

**NOTE:** The text of 5 CFR 1320.9, and the related provisions of 5 CFR 1320.8(b)(3), appear at the end of the instructions. *The certification is to be made with reference to those regulatory provisions as set forth in the instructions.*

The following is a summary of the topics, regarding the proposed collection of information, that the certification covers:

- (a) It is necessary for the proper performance of agency functions;
- (b) It avoids unnecessary duplication;
- (c) It reduces burden on small entities;
- (d) It used plain, coherent, and unambiguous terminology that is understandable to respondents;
- (e) Its implementation will be consistent and compatible with current reporting and recordkeeping practices;
- (f) It indicates the retention period for recordkeeping requirements;
- (g) It informs respondents of the information called for under 5 CFR 1320.8(b)(3):
  - (i) Why the information is being collected;
  - (ii) Use of information;
  - (iii) Burden estimate;
  - (iv) Nature of response (voluntary, required for a benefit, mandatory);
  - (v) Nature and extent of confidentiality; and
  - (vi) Need to display currently valid OMB control number;
- (h) It was developed by an office that has planned and allocated resources for the efficient and effective management and use of the information to be collected (see note in Item 19 of instructions);
- (i) It uses effective and efficient statistical survey methodology; and
- (j) It makes appropriate use of information technology.

If you are unable to certify compliance with any of the provisions, identify the item below and explain the reason in Item 18 of the Supporting Statement.

Signature of Senior Official or designee

Date

Agency Certification (signature of Assistant Administrator or head of MB staff for L.O.s, or of the Director of a Program or Staff Office)

Signature

Date

Signature of NOAA Clearance Officer

Signature

Date

## SUPPORTING STATEMENT

### SEA GRANT PROGRAM APPLICATION REQUIREMENTS FOR GRANTS, FOR SEA GRANT FELLOWSHIPS, AND FOR DESIGNATION AS A SEA GRANT COLLEGE OR SEA GRANT INSTITUTE

OMB CONTROL NO. 0648-0362

#### A. JUSTIFICATION

##### 1. Explain the circumstances that make the collection of information necessary.

The objectives of the National Sea Grant College Program, according to the Sea Grant legislation ([33 USC 1121-1131](#)) are to increase the understanding, assessments, development, utilization, and conservation of the Nation's ocean, coastal, and Great Lakes resources. The program accomplishes these objectives by conducting research, education, and outreach programs.

Grant monies are available for funding activities that help attain the objectives of the Sea Grant Program. Both single and multi-project grants are awarded, with the latter representing about 80 percent of the total grant program. In addition to the SF-424 and other standard grant application requirements, three additional forms are required with a grant application and are currently approved as part of this information collection. These are the NOAA Form 90-1, Sea Grant Control Form, NOAA Form 90-2, Project Record Form, and NOAA Form 90-4, the Sea Grant Budget, used in place of the SF 424a or 424c. Each form provides information needed by the program but not supplied by the standard application process. The specific information required is described in Question 2 below.

The Sea Grant legislation (33 USC 1126) provides for the designation of a public or private institution of higher education, institute, laboratory, or State or local agency as a Sea Grant college or Sea Grant institute. Applications are required for designation of Sea Grant Colleges and Sea Grant Institutes. Institutions seeking designation as a Sea Grant college or Sea Grant institute must submit an application in accordance with 15 CFR 918.7. This is a one-time collection required only when a college or institution first requests to join the Sea Grant program, or when an existing program seeks to change the scope of its current designation. No forms are used. The data the collection provides helps the program officers determine the suitability of the applicant for meeting the standards and conditions for being a Sea Grant College as set forth in 33 USC 1126 and [15 CFR 918.5](#). These requirements are currently cleared under OMB Control No. 0648-0362.

Applications are required in order to be awarded a Sea Grant Fellowship, including the Dean John A. Knauss Marine Policy Fellowships. The requirements are set forth annually in announcements published in the Federal Register. These requirements are currently approved under OMB Control No. 0648-0362.

**2. Explain how, by whom, how frequently, and for what purpose the information will be used. If the information collected will be disseminated to the public or used to support information that will be disseminated to the public, then explain how the collection complies with all applicable Information Quality Guidelines.**

**Sea Grant Colleges or Institutes:** The application for designation as a Sea Grant college or Sea Grant institute is required only when a college or university requests to be recognized as a Sea Grant program. Existing programs may also use the same application process to request a change in the scope of their current designation. Applications are to provide an outline of the college's or university's capabilities and the reasons why it merits designation. The standards to be met are set forth in 15 CFR 918.3:

To be eligible for designation as a Sea Grant College, the institution of higher education or confederation of such institutions must have demonstrated a capability to maintain a high quality and balanced program of research, education, training, and advisory services in fields related to ocean, Great Lakes, and coastal resources for a minimum of three years, and have received financial assistance as an Institutional program under either section 205 of the National Sea Grant College Program Act or under section 204(c) of the earlier National Sea Grant College and Program Act of 1966.

(b) To be eligible for designation as a Sea Grant College, the candidate institution or confederation of institutions must meet the qualifications set forth above as evaluated by a site review team composed of members of the Sea Grant Review Panel, NOAA's Office of Sea Grant, and other experts named by NOAA. As a result of this review, the candidate must be rated highly in all of the following qualifying areas:

(1) *Leadership.* The Sea Grant College candidate must have achieved recognition as an intellectual and practical leader in marine science, engineering, education, and advisory service in its state and region.

(2) *Organization.* The Sea Grant College candidate must have created the management organization to carry on a viable and productive Sea Grant Program, and must have the backing of its administration at a sufficiently high level to fulfill its multidisciplinary and multifaceted mandate.

(3) *Relevance.* The Sea Grant College candidate's program must be relevant to local, State, regional, or National opportunities and problems in the marine environment. Important factors in evaluating relevance are the need for marine resource emphasis and the extent to which capabilities have been developed to be responsive to that need.

(4) *Programmed team approach.* The Sea Grant College candidate must have a programmed team approach to the solution of marine problems which includes relevant, high quality, multidisciplinary research with associated educational and advisory services capable of producing identifiable results.

(5) *Education and training.* Education and training must be clearly relevant to National, regional, State and local needs in fields related to ocean, Great Lakes, and coastal resources. As appropriate, education may include pre-college, college, post-graduate, public and adult levels.

(6) *Advisory services.* The Sea Grant College candidate must have a strong program through which information, techniques, and research results from any reliable source, domestic or international, may be communicated to and utilized by user communities. In addition to the educational and information dissemination role, the advisory service program must aid in the identification and communication of user communities' research and educational needs.

(7) *Relationships.* The Sea Grant College candidate must have close ties with Federal agencies. State agencies and administrations, local authorities, business and industry, and other educational institutions. These ties are: (i) To ensure the relevance of its programs, (ii) to give assistance to the broadest possible audience, (iii) to

involve a broad pool of talent in providing this assistance (including universities and other administrative entities outside the Sea Grant College), and (iv) to assist others in developing research and management competence. The extent and quality of an institution's relationships are critical factors in evaluating the institutional program.

(8) *Productivity*. The Sea Grant College candidate must have demonstrated the degree of productivity (of research results, reports, employed students, service to State agencies and industry, etc.) commensurate with the length of its Sea Grant operations and the level of funding under which it has worked.

(9) *Support*. The Sea Grant College candidate must have the ability to obtain matching funds from non-Federal sources, such as state legislatures, university management, state agencies, business, and industry. A diversity of matching fund sources is encouraged as a sign of program vitality and the ability to meet the Sea Grant requirement that funds for the general programs be matched with at least one non-Federal dollar for every two Federal dollars.

(c) Finally, it must be found that the Sea Grant College candidate will act in accordance with the following standards relating to its continuing responsibilities if it should be designated a Sea Grant College:

- (1) Continue pursuit of excellence and high performance in marine research, education, training, and advisory services.
- (2) Provide leadership in marine activities including coordinated planning and cooperative work with local, state, regional, and Federal agencies, other Sea Grant Programs, and non-Sea Grant universities.
- (3) Maintain an effective management framework and application of institutional resources to the achievement of Sea Grant objectives.
- (4) Develop and implement long-term plans for research, education, training, and advisory services consistent with Sea Grant goals and objectives.
- (5) Advocate and further the Sea Grant concept and the full development of its potential within the institution and the state.
- (6) Provide adequate and stable matching financial support for the program from non-Federal sources.
- (7) Establish and operate an effective system to control the quality of its Sea Grant programs.

The data collected helps the program officers to determine the suitability of the applicant for meeting the standards and qualifications for being a Sea Grant college or institute as set in the authorizing legislation.

**Sea Grant Fellowships:** Applications for Sea Grant Fellowships, including a Dean John A. Knauss Marine Policy Fellowship, must include a resume or curriculum vitae; a personal education and/or career goal statement; up to three letters of recommendation, including one from the student's major professor or student advisor; and copies of undergraduate and graduate student transcripts. Depending upon the nature of the Sea Grant Fellowship, additional information may be required including a letter of endorsement from the sponsoring state Sea Grant Director; a letter of endorsement from a required partner, if any; a project description if the fellowship is research related; and information about the student's remaining degree requirements. This information is used by program officers to evaluate the applicants and to determine which applicants will be most likely to forward the goals of the Sea Grant Program during and after the fellowship. The program seeks to support not only the best students but ones who intend to pursue related goals in the future.

## Sea Grants:

The NOAA Form 90-1, Sea Grant Control, is used primarily to identify the organizations and personnel who would be involved in the proposed grant. A goal of the Sea Grant program is to maximize the number of graduate students working on research projects. For funded grants, the information is used by the National Sea Grant Office (NSGO) to collate accurate information on the number of students supported with Sea Grant funds and to summarize the impact of Sea Grants on the academic community. In addition, the form provides information on collaborations between governmental organizations, industry, and the Sea Grant program. The data supplied in this document form the basis for many of our responses to the Administration, the Congress, other agencies, and to the public about the scope of Sea Grant activities. Certain minimal summary financial data are also required to help evaluate whether the resources proposed are sufficient to achieve the goals. Much of this information is similar to biographical and other information contained in OMB Circulars A-102 and A-110, but NOAA has found it more efficient to use a form to gather this and related information.

The NOAA Form 90-2, Project Record Form, requires information on the investigators involved, as well as the overall funding, objectives, methodology, and rationale for the project. The information is used by both the NSGO and the state Sea Grant programs to help determine the value of each individual project to the total program, whether matching costs are allowed, the rationale for funding and the methodology used, as well as administrative controls. The summary helps the NSGO evaluate the proposals during its funding decisions. The information is also placed on a database where both Sea Grant personnel, potential grant applicants and the public can see what other projects have been funded. This speeds the review process and reduces the chance of applicants wasting time making proposals that duplicate an existing or past project. It also provides the basic data for the next project summary for an on-going project, so that the data only has to be updated rather than resubmitted. The information required on this form is now produced with and provided to Sea Grant in various electronic software formats (Word, Rich Text Format, and Adobe PDF) that more efficiently collect the information required to monitor and evaluate the programs. The programs send this information to the NSGO, where it is added to project summary information in our database.

The NOAA Form 90-4, Sea Grant Budget, is required in place of the SF 424a or SF 424c. The SF-424 provides only a summary of costs for the entire award. It does not give a detailed breakdown of costs associated with each project in a multi-project award, and such a breakdown is necessary for these applications because the Sea Grant program awards large multi-institution omnibus grants. The Form 90-4 gives the program officer a detailed breakdown of costs for each project funded by Sea Grant and allows the officer to determine whether or not the cost of a project is reasonable based on the level of effort stated in the proposal. Without these breakdowns, it would be impossible for the program officer to efficiently monitor the use of resources or the costs associated with each project funded by the National Sea Grant College Program. The Form 90-4 contains all information required on a SF 424a, so that a SF 424a is not also required when a Form 90-4 is used.

In response to [Section 515 of the Treasury and General Government Appropriations Act for Fiscal Year 2001 \(Public Law 106-554\)](#), NOAA has issued guidelines for ensuring and maximizing the quality of information disseminated by the agency. Information submitted to the National Sea Grant Office in grant applications is regularly subjected to internal NSGO review as part of the grant award process. Of the various types of information collected (described above) some of the information will be disseminated to the public or used to support publicly disseminated information. Sea Grant has

administrative mechanisms in place to ensure that a basic level of quality of information products is maintained. These include procedures for competitive peer review of all research grants, and performance-based evaluations of all university Sea Grant programs according to guidelines set by the NSGO.

Sea Grant is a science and education organization. It does not have regulatory responsibilities. In general, the NSGO provides summary information to the public about the grants it awards. Information is publicly disseminated through the publication of reports describing Sea Grant's university-based research, education, and outreach activities. These reports are widely distributed in both print and web-based formats. All reports and supporting data are reviewed for objectivity, utility and integrity, as required by the NOAA guidelines, before they are disseminated. Previously disseminated information is reviewed on a regular basis to ensure the information is current and continues to comply with the NOAA guidelines.

**3. Describe whether, and to what extent, the collection of information involves the use of automated, electronic, mechanical, or other technological techniques or other forms of information technology.**

The NOAA Form 90-1, Sea Grant Control, NOAA Form 90-2, Project Record Form, and NOAA Form 90-4, are available in electronic format. The NSGO maintains a relational database to store, archive, and retrieve information provided on these forms.

**4. Describe efforts to identify duplication.**

There is no similar data available from other sources.

**5. If the collection of information involves small businesses or other small entities, describe the methods used to minimize burden.**

These requirements have no significant impact on small businesses or entities.

**6. Describe the consequences to the Federal program or policy activities if the collection is not conducted or is conducted less frequently.**

The grant and fellowship application information is required as part of the annual grant application process and cannot be collected less frequently. The request for Sea Grant College or Sea Grant Institute designation or change in designation is a one-time action at the respondent's discretion.

**7. Explain any special circumstances that require the collection to be conducted in a manner inconsistent with OMB guidelines.**

The collection is consistent with OMB guidelines.

**8. Provide information on the PRA Federal Register Notice that solicited public comments on the information collection prior to this submission. Summarize the public comments received in response to that notice and describe the actions taken by the agency in response to those comments. Describe the efforts to consult with persons outside the agency to obtain their views on the availability of data, frequency of collection, the clarity of instructions and recordkeeping, disclosure, or reporting format (if any), and on the data elements to be recorded, disclosed, or reported.**

A Federal Register Notice soliciting comments on this information collection was published on March 24, 2011 (76 FR 16622). No comments were received.

**9. Explain any decisions to provide payments or gifts to respondents, other than remuneration of contractors or grantees.**

No payments or gifts are made.

**10. Describe any assurance of confidentiality provided to respondents and the basis for assurance in statute, regulation, or agency policy.**

No confidentiality is promised.

**11. Provide additional justification for any questions of a sensitive nature, such as sexual behavior and attitudes, religious beliefs, and other matters that are commonly considered private.**

No sensitive questions are asked.

**12. Provide an estimate in hours of the burden of the collection of information.**

The estimated number of hours for each requirement is as follows:

**Form 90-1, Sea Grant Control**

Estimated Number of respondents - 111 (31 institutions and 80 individuals at those institutions)

Estimated Total Responses - 680 (600 for institutions\*, 80 for individuals)

Average response time - 30 minutes

Total Annual response time - 340 hours

**Project Record Form (90-2)**

Estimated Number of respondents - 111

Estimated Total Responses - 680

Average response time - 20 minutes

Total Annual response time - 227 Hours

**Form 90-4, Sea Grant Budget**

Estimated Number of respondents - 111

Estimated Total Responses - 680

Average response time - 15 minutes

Total Annual response time - 170 hours

**\* Institutions respond to multiple grant solicitations.**

**Application for Designation as a Sea Grant College or Regional Consortia**

Estimated Number of respondents - 1

Estimated Total Responses - 1

Average response time - 20 hours

Total Annual response time - 20 hours

**Application for Sea Grant Fellowships**

Estimated Number of respondents - 50

Estimated Total Responses - 50

Average response time - 2 hours

Total Annual response time - 100 hours

**Collection Totals:** 162 respondents, 2,091 responses, 857 hours.

The time estimates were determined from a sample of the Sea Grant Programs who are required to submit these forms regarding the length of time each form takes to complete.

**13. Provide an estimate of the total annual cost burden to the respondents or record-keepers resulting from the collection.**

Because 95 per cent of the forms are filled out and submitted electronically, the total annual cost for copying and mailing by respondents resulting from the collection is estimated to be less than \$1000: for transmission via FEDEX or similar services, at \$9.50 for 2-day delivery. The estimated cost is thus  $105 (5\% \text{ of } 2,091) \times \$9.50 = \$997.50$  (\$998).

**14. Provide estimates of annualized cost to the Federal government.**

The total cost burden to the government for conducting the collections and handling the information submitted is estimated at \$65,928. This does not include costs for secondary uses of the information. This estimate is based on staff time per response of 5 minutes for the 90-1 and 15 minutes each for the Project Record Form and Sea Grant Budget. An application for designation takes about 200 man-hours to review. A fellowship application takes about 12 hours to review (4 persons at 2 hours each). A salary cost of \$41/hr was used.

**15. Explain the reasons for any program changes or adjustments.**

There are no changes or adjustments.

**16. For collections whose results will be published, outline the plans for tabulation and publication.**

The NSGO provides summary information to the public about the grants it awards. Information is summarized and disseminated through the publication of reports describing Sea Grant's university-based research, education, and outreach activities. These reports are widely distributed in both print and web-based formats.

**17. If seeking approval to not display the expiration date for OMB approval of the information collection, explain the reasons why display would be inappropriate.**

N/A.

**18. Explain each exception to the certification statement identified in Item 19 of the OMB 83-I.**

There are no exceptions.

**B. COLLECTIONS OF INFORMATION EMPLOYING STATISTICAL METHODS**

This collection does not employ statistical methods.

NOAA FORM 90-1		U.S. DEPARTMENT OF COMMERCE			
		Nat'l Oceanic and Atmospheric Adm.		OMB Control No. 0648-0362 Expiration Date 7/31/2011	
<b>SEA GRANT CONTROL</b>					
PART I GENERAL				5. STARTING-EFFECTIVE DATE	
1. GRANT NUMBER	2. GRANT TITLE			6. DURATION OF GRANT MONTHS	
3. NAME OF INSTITUTION				7. AMOUNT OF GRANT	
4. PRINCIPLE INVESTIGATOR-NAME			TELEPHONE	8. AMOUNT OF MATCHING FUNDS	
10. RESEARCH DATA (if all or part of this grant is for research indicate the number of individuals involved in each category)				9. AMOUNT REQUESTED 2nd YEAR	
A. FACULTY AND PROFESSIONAL STAFF	B. GRADUATE STUDENTS	C. UNDERGRADUATE STUDENTS		D. TECHNICIANS	E. CLERICAL
F. OTHER (Specific)				G. FTE's	
11. EDUCATIONAL DATA (if all or part of this grant is for education indicate the number of individuals involved in each category)					
A. FACULTY AND PROFESSIONAL STAFF	B. GRADUATE STUDENTS	C. UNDERGRADUATE STUDENTS		D. TECHNICIANS	E. CLERICAL
F. OTHER (Specific)				G. FTE's	
12. ADVISORY SERVICES DATA (if all or part of this grant is for education indicate the number of individuals involved in each category)					
A. FACULTY AND PROFESSIONAL STAFF	B. GRADUATE STUDENTS	C. UNDERGRADUATE STUDENTS		D. TECHNICIANS	E. CLERICAL
F. OTHER (Specific)				G. FTE's	
13. TOTAL NUMBER OF INDIVIDUALS INVOLVED WITH THIS GRANT				A. FACULTY & PROFESSIONAL STAFF	
B. Graduate Students		C. UNDERGRADUATE STUDENTS		D. TECHNICIANS	E. CLERICAL
F. OTHER (Specific)				G. FTE's	
14. COLLABORATING GOVERNMENTAL ORGANIZATION(S)	NAME(S)				
15. PARTICIPATING ACADEMIC INSTITUTION(S)	NAME(S)				
16. INDUSTRIAL PARTICIPANT(S)	NAME(S)				
17. ORGANIZATION(S) FURNISHING MATCHING FUNDS	NAME(S)			AMOUNT	
				\$	
				\$	
18. TITLE(S) OF PRINCIPAL REPORT(S) MADE AS A RESULT OF LAST GRANT (List only if grant is a renewal)					
_____					
_____					

## **SEA GRANT CONTROL FORM**

(Continued)

### **PAPERWORK REDUCTION ACT, PRIVACY ACT, AND PUBLIC BURDEN**

NOAA's National Sea Grant College Program exists to increase the understanding, assessment, development, utilization, and conservation of the Nation's ocean, coastal, and Great Lakes resources. It does this by providing grant monies to promote a strong educational base, responsive research, and training. The information requested on this form may be required in order to be considered for an award under the authority of the National Sea Grant College Act, as amended. The Control Form provides information to determine the types of research or service to be provided the collaborating and participating organizations, the number of technical and clerical personnel and staff hours involved. The information requested may be disclosed to qualified reviewers and staff assistants as part of the application review process; to applicant institutions/grantees to provide or obtain data regarding the application review process, award decisions, or the administration of awards; to government contractors, experts, volunteers and researchers as necessary to complete assigned work; to other government agencies needing information as part of the review process or in order to coordinate programs; and to another Federal agency, court or party in a court or Federal administrative proceeding if the Government is a party. Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.

Public reporting burden for this collection of information is estimated to average 30 minutes per response, including the time necessary for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions to reduce this burden, to National Sea Grant College Program, R/SG, NOAA, 1315 East-West Highway, Silver Spring, MD 20910 (Attn: Paperwork Reduction Act - Dr. Fritz Schuler).

## SEA GRANT PROJECT SUMMARY FORM

- (1) INSTITUTION: (1a) ICODE:  
(2) TITLE:  
(3) PROJECT NUMBER: (4) REVISION DATE:  
(5) PROJECT STATUS: (6) INITIATION DATE:  
(7) COMPLETION DATE:  
(8) SUB PROGRAM:  
(9) PRINCIPAL INVESTIGATOR: (9b) EFFORT:  
(9a) AFFILIATION:  
(9c) AFFILIATION CODE:  
(10) CO-PRINCIPAL INVESTIGATOR: (10b) EFFORT:  
(10a) AFFILIATION:  
(10c) AFFILIATION CODE:  
(11) ASSOCIATE INVESTIGATOR 1: (11b) EFFORT:  
(11a) AFFILIATION:  
(11c) AFFILIATION CODE:  
(12) ASSOCIATE INVESTIGATOR 2: (12b) EFFORT:  
(12a) AFFILIATION:  
(12c) AFFILIATION CODE:  
(13) S.G. FUNDS: (14) STATE MATCHING FUNDS:  
(15) LAST YEAR'S SG FUNDS: (16) LAST YEAR'S MATCHING FUNDS:  
(17) PASS-THROUGH FUNDS: (18) LAST YEAR'S PASS-THROUGH FUNDS:  
(19) RELATED PROJECTS:  
(20) PARENT PROJECTS:  
(21) SEA GRANT STRATEGIC PLAN CLASSIFICATION:  
(22) OBJECTIVES:

(22) OBJECTIVES, cont.

OMB Control No. 0648-0362  
Expiration Date 07/31/2011

(23) METHODOLOGY:

(24) RATIONALE:

## **SEA GRANT PROJECT SUMMARY FORM**

(Continued)

### **PAPERWORK REDUCTION ACT, PRIVACY ACT, AND PUBLIC BURDEN**

NOAA's National Sea Grant College Program exists to increase the understanding, assessment, development, utilization, and conservation of the Nation's ocean, coastal, and Great Lakes resources. It does this by providing grant monies to promote a strong educational base, responsive research, and training. The information requested on this form is required in order to be considered for an award under the authority of the National Sea Grant College Act, as amended. The Project Summary provides information on the project status (for continuing projects applying for additional funding), the investigators and their level of effort, the objectives and methodology of the project, and similar summary information. The information requested may be disclosed to qualified reviewers and staff assistants as part of the application review process; to applicant institutions/grantees to provide or obtain data regarding the application review process, award decisions, or the administration of awards; to government contractors, experts, volunteers and researchers as necessary to complete assigned work; to other government agencies needing information as part of the review process or in order to coordinate programs; and to another Federal agency, court or party in a court or Federal administrative proceeding if the Government is a party. Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.

Public reporting burden for this collection of information is estimated to average 20 minutes per response, including the time necessary for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions to reduce this burden, to National Sea Grant College Program, R/SG, NOAA, 1315 East-West Highway, Silver Spring, MD 20910 (Attn: Paperwork Reduction Act - Dorn Carlson).

## SEA GRANT BUDGET FORM 90-4

<b>GRANTEE:</b>			<b>GRANT/PROJECT NO.:</b>	
<b>PRINCIPAL INVESTIGATOR:</b>			<b>DURATION (months) :</b>	
			<b>months</b>	<b>Yr.</b>
<b>SALARIES AND WAGES:</b>		<b>man-months</b>		<b>Sea Grant</b>
		<b>No. of</b>	<b>Amount</b>	<b>Funds</b>
		<b>People</b>	<b>of Effort</b>	<b>Matching</b>
				<b>Funds</b>
1. Senior Personnel				
a. (Co) Principal Investigator:				
b. Associates (Faculty or Staff):				
Sub Total:				
2. Other Personnel				
a. Professionals:				
b. Research Associates:				
c. Res. Asst./Grad. Students:				
d. Prof. School Students:				
e. Pre-Bachelor Student(s):				
f. Secretarial-Clerical:				
g. Technicians:				
h. Other:				
<b>Total Salaries and Wages:</b>				
<b>B. FRINGE BENEFITS:</b>				
<b>Total Personnel (A and B):</b>				
<b>C. PERMANENT EQUIPMENT:</b>				
<b>D. EXPENDABLE SUPPLIES AND EQUIPMENT:</b>				
<b>E. TRAVEL:</b>				
1. Domestic				
2. International				
<b>Total Travel:</b>				
<b>F. PUBLICATION AND DOCUMENTATION COSTS:</b>				
<b>G. OTHER COSTS:</b>				
1.				
2.				
3.				
4.				
5.				
Etc.				
<b>Total Other Costs:</b>				
<b>TOTAL DIRECT COST (A through G):</b>				
<b>INDIRECT COST (On campus % of )::</b>				
<b>INDIRECT COST (Off campus % of )::</b>				
<b>Total Indirect Cost:</b>				
<b>TOTAL COSTS:</b>				

**SEA GRANT BUDGET FORM 90-4**

(Continued)

**PAPERWORK REDUCTION ACT, PRIVACY ACT, AND PUBLIC BURDEN**

NOAA's National Sea Grant College Program exists to increase the understanding, assessment, development, utilization, and conservation of the Nation's ocean, coastal, and Great Lakes resources. It does this by providing grant monies to promote a strong educational base, responsive research, and training. The information requested on this form is required in order to be considered for an award under the authority of the National Sea Grant College Act, as amended. The information will be used to determine the cost of each project and whether proposed matching costs are allowable. The information is also used in negotiating costs and in the administrative control of expenditures. The information requested may be disclosed to qualified reviewers and staff assistants as part of the application review process; to applicant institutions/grantees to provide or obtain data regarding the application review process, award decisions, or the administration of awards; to government contractors, experts, volunteers and researchers as necessary to complete assigned work; to other government agencies needing information as part of the review process or in order to coordinate programs; and to another Federal agency, court or party in a court or Federal administrative proceeding if the Government is a party. Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including the time necessary for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions to reduce this burden, to National Sea Grant College Program, R/SG, NOAA, 1315 East-West Highway, Silver Spring, MD 20910 (Attn: Paperwork Reduction Act - Dr. Fritz Schuler).

laboratories to compare their lines, thereby facilitating the validation of experimental data. Thus the database will address the need for investigators to know much more about the samples used in their research. The database will fulfill an overarching need of researchers to characterize their substrates with an accepted standard.

## II. Method of Collection

The data required to differentiate among the cell lines can be submitted in hard copies or electronic submissions to NIST (contact information above).

## III. Data

*OMB Control Number:* None.

*Form Number:* None.

*Type of Review:* Regular submission (new information collection).

*Affected Public:* Business or other for-profit organizations; Academia; Not-for-profit institutions.

*Estimated Number of Respondents:* 100 (15 cell line limit).

*Estimated Time per Response:* 2 hours and 30 minutes (10 minutes/cell line × 15 cell lines).

*Estimated Total Annual Burden Hours:* 250.

*Estimated Total Annual Cost to Public:* \$2,000.

## IV. Request for Comments

Comments are invited on: (a) Whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden (including hours and cost) of the proposed collection of information; (c) ways to enhance the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology.

Comments submitted in response to this notice will be summarized and/or included in the request for OMB approval of this information collection; they also will become a matter of public record.

Dated: March 18, 2011.

### Gwellnar Banks,

*Management Analyst, Office of the Chief Information Officer.*

[FR Doc. 2011-6910 Filed 3-23-11; 8:45 am]

BILLING CODE 3510-13-P

## DEPARTMENT OF COMMERCE

### National Oceanic and Atmospheric Administration

#### Proposed Information Collection; Comment Request; Pacific Islands Region Vessel and Gear Identification Requirements

**AGENCY:** National Oceanic and Atmospheric Administration (NOAA), Commerce.

**ACTION:** Notice.

**SUMMARY:** The Department of Commerce, as part of its continuing effort to reduce paperwork and respondent burden, invites the general public and other Federal agencies to take this opportunity to comment on proposed and/or continuing information collections, as required by the Paperwork Reduction Act of 1995.

**DATES:** Written comments must be submitted on or before May 23, 2011.

**ADDRESSES:** Direct all written comments to Diana Hynek, Departmental Paperwork Clearance Officer, Department of Commerce, Room 6616, 14th and Constitution Avenue, NW., Washington, DC 20230 (or via the Internet at [dHynek@doc.gov](mailto:dHynek@doc.gov)).

**FOR FURTHER INFORMATION CONTACT:** Requests for additional information or copies of the information collection instrument and instructions should be directed to Walter Ikehara, (808) 944-2275, or [Walter.Ikehara@noaa.gov](mailto:Walter.Ikehara@noaa.gov).

#### SUPPLEMENTARY INFORMATION:

##### I. Abstract

Regulations at 50 CFR 665.16 require that all United States (U.S.) vessels with Federal permits fishing for Western Pacific fishery management unit species display identification markings on the vessel and gear, as specified in 50 CFR 665 and 50 CFR 300. Vessels registered for use with a permit issued under Subparts B through E of 50 CFR 665, must display the vessel's official number on both sides of the deckhouse or hull, and on an appropriate weather deck. Vessels fishing in the Western and Central Pacific Convention (WCPFC) Area with a WCPFC Area Endorsement, or required to have a WCPFC Area Endorsement, must comply with the regulations at 50 CFR 300.14 and 50 CFR 300.217. These regulations require that vessels must display their international radio call sign on both sides of the deckhouse or hull, and on an appropriate weather deck, unless specifically exempted. Regulations at 50 CFR 300.35 require that vessels fishing under the South Pacific Tuna Treaty must display their international radio

call sign on the hull, the deck, and on the sides of auxiliary equipment such as skiffs and helicopters. The numbers must be a specific size at specified locations. The display of the identifying numbers aids in fishery law enforcement.

Western Pacific fisheries regulations at 50 CFR 665.128, 665.228, 665.428, 665.628, and 665.804 require that certain fishing gear must be marked. In the pelagic longline fisheries, the vessel operator must ensure that the official number of the vessel is affixed to every longline buoy and float. In the coral reef ecosystem fisheries, the vessel number must be affixed to all fish and crab traps. The marking of gear links fishing or other activity to the vessel, aids law enforcement, and is valuable in actions concerning the damage, loss of gear, and civil proceedings.

## II. Method of Collection

Third party disclosure.

## III. Data

*OMB Control Number:* 0648-0360.

*Form Number:* None.

*Type of Review:* Regular submission (extension of a current information collection).

*Affected Public:* Business or other for-profit organizations; individuals or households.

*Estimated Number of Respondents:* 311.

*Estimated Time per Response:* 45 minutes per western Pacific fishing vessel; one hour and 15 minutes per South Pacific purse seine vessel; 2 minutes per gear marking.

*Estimated Total Annual Burden Hours:* 1,148.

*Estimated Total Annual Cost to Public:* \$35,000.

## IV. Request for Comments

Comments are invited on: (a) Whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden (including hours and cost) of the proposed collection of information; (c) ways to enhance the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology.

Comments submitted in response to this notice will be summarized and/or included in the request for OMB approval of this information collection;

they also will become a matter of public record.

Dated: March 18, 2011.

**Gwellnar Banks,**

*Management Analyst, Office of the Chief Information Officer.*

[FR Doc. 2011-6930 Filed 3-23-11; 8:45 am]

**BILLING CODE 3510-22-P**

**DEPARTMENT OF COMMERCE**

**National Oceanic and Atmospheric Administration**

**Proposed Information Collection; Comment Request; Socio-Economic Surveys of Vessel Owners, Permit Holders, and Crew in New England and Mid-Atlantic Fisheries**

**AGENCY:** National Oceanic and Atmospheric Administration (NOAA), Commerce.

**ACTION:** Notice.

**SUMMARY:** The Department of Commerce, as part of its continuing effort to reduce paperwork and respondent burden, invites the general public and other Federal agencies to take this opportunity to comment on proposed and/or continuing information collections, as required by the Paperwork Reduction Act of 1995.

**DATES:** Written comments must be submitted on or before May 23, 2011.

**ADDRESSES:** Direct all written comments to Diana Hynek, Departmental Paperwork Clearance Officer, Department of Commerce, Room 6616, 14th and Constitution Avenue, NW., Washington, DC 20230 (or via the Internet at [dHynek@doc.gov](mailto:dHynek@doc.gov)).

**FOR FURTHER INFORMATION CONTACT:** Requests for additional information or copies of the information collection instrument and instructions should be directed to Andrew Kitts, 508-495-2231 or [akitts@mercury.wh.who.edu](mailto:akitts@mercury.wh.who.edu).

**SUPPLEMENTARY INFORMATION:**

**I. Abstract**

This request is for a new collection.

The purpose of this survey is to provide for the ongoing collection of social and economic data related to fisheries and their communities in the Northeast and Mid-Atlantic States. These data are needed to support fishery performance measures recently developed by NOAA's Northeast Science Center's Social Science Branch (SSB) in Woods Hole, MA. The measures are: Financial viability, distributional outcomes, stewardship, governance and well-being. Data to support some indicators for these

measures are already routinely collected by NMFS. This survey will fill in the gaps, and allow the Northeast to collect trend data needed for more thorough analysis of changes in the fisheries, including impacts from changes in regulations. The National Environmental Policy Act (NEPA) and the Magnuson-Stevens Fishery Conservation and Management Act (MSA), as amended, both contain requirements for considering the social and economic impacts of fishery management decisions. Currently, however, no data exist that allow for tracking the social impacts of fishery management policy and decisions over time in the Northeast and Mid-Atlantic States, and insufficient economic trend data are available. In implementing policies and management programs and in meeting the social and economic impact assessment requirements of NEPA and MSA, there is a need to understand how such policies and programs will affect the social and economic characteristics of those involved in the commercial fishing industry. Under this survey, the SSB intends to collect socio-economic data from vessel owners, permit holders, hired captains, and crew involved in commercial fishing in New England and the Mid-Atlantic States. Data to be collected include information on crew, wage calculation systems, individual and community well-being, fishing practices, job satisfaction, job opportunities, and attitudes toward fisheries management. SSB intends to collect these data on an ongoing (*e.g.*, annually or biennially) basis in order to track how socio-economic characteristics of fisheries are changing over time and to track the impact of fishery policies and management programs implemented in New England and the Mid-Atlantic.

NOAA is aware of a survey begun by the University of Rhode Island in 2009/2010 in New England under a grant from the Commercial Fisheries Research Foundation, that seeks PRA clearance for a follow-up in the Mid-Atlantic in summer 2011 under NOAA funding (Social Impacts of the Implementation of Catch Shares Programs in the Mid-Atlantic, OMB Control No. 0648-xxxx). The current request has learned from some elements of the URI and follow-on survey. However, this request (1) Is focused on fisheries management in general while the earlier study is focused solely on catch shares, (2) is an ongoing survey rather than a one-time effort, and (3) specifically targets performance indicators for which data are not currently being collected.

**II. Method of Collection**

The most appropriate method of collection is still being investigated through an ongoing research project. For the owners/permit holders' survey, NOAA is considering in-person interviewing, a phone survey, or mail survey, although the possibility of using an e-mail survey is also being considered. For the crew survey, research is being conducted to determine the most appropriate method of collection. Given the population (ships' crew), NOAA is considering either an in-person intercept approach or a phone survey, depending on the availability of phone numbers for crew.

Additionally, in order to reduce per-respondent burdens, SSB is considering splitting questions asked among the respondents. A core set of questions would be asked to all sample respondents, but some questions would only be asked to one half of the sample and another set of questions would be asked to the other half of the sample.

**III. Data**

*OMB Control Number:* None.

*Form Number:* None.

*Type of Review:* Regular submission (new collection).

*Affected Public:* Individuals or households; Business or other for-profit.

*Estimated Number of Respondents:* 1,000 annually.

*Estimated Time per Response:* 30 minutes.

*Estimated Total Annual Burden Hours:* 500.

*Estimated Total Annual Cost to Public:* \$0.

**IV. Request for Comments**

Comments are invited on: (a) Whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden (including hours and cost) of the proposed collection of information; (c) ways to enhance the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology.

Comments submitted in response to this notice will be summarized and/or included in the request for OMB approval of this information collection; they also will become a matter of public record.