

# NOTICE OF OFFICE OF MANAGEMENT AND BUDGET ACTION

Date 10/22/2013

Department of Commerce  
National Oceanic and Atmospheric Administration

FOR CERTIFYING OFFICIAL: Simon Szykman

FOR CLEARANCE OFFICER: Jennifer Jessup

In accordance with the Paperwork Reduction Act, OMB has taken action on your request received 08/23/2013

ACTION REQUESTED: Extension without change of a currently approved collection

TYPE OF REVIEW REQUESTED: Regular

ICR REFERENCE NUMBER: 201308-0648-002

AGENCY ICR TRACKING NUMBER:

TITLE: Northeast Region Dealer Purchase Reports

LIST OF INFORMATION COLLECTIONS: See next page

OMB ACTION: Approved without change

OMB CONTROL NUMBER: 0648-0229

The agency is required to display the OMB Control Number and inform respondents of its legal significance in accordance with 5 CFR 1320.5(b).

EXPIRATION DATE: 10/31/2014

DISCONTINUE DATE:

BURDEN:	RESPONSES	HOURS	COSTS
Previous	37,752	2,517	443,172
New	43,888	2,926	67,991
Difference			
Change due to New Statute	0	0	0
Change due to Agency Discretion	0	0	0
Change due to Agency Adjustment	6,136	409	-375,181
Change due to PRA Violation	0	0	0

TERMS OF CLEARANCE:

OMB Authorizing Official: Dominic J. Mancini  
Acting Deputy Administrator,  
Office Of Information And Regulatory Affairs

List of ICs

IC Title	Form No.	Form Name	CFR Citation
Northeast Region Dealer Purchase Reports INCLUDING hagfish and lobster dealers			

# PAPERWORK REDUCTION ACT SUBMISSION

**Please read the instructions before completing this form. For additional forms or assistance in completing this form, contact your agency's Paperwork Clearance Officer. Send two copies of this form, the collection instrument to be reviewed, the supporting statement, and any additional documentation to: Office of Information and Regulatory Affairs, Office of Management and Budget, Docket Library, Room 10102, 725 17th Street NW, Washington, DC 20503.**

1. Agency/Subagency originating request	2. OMB control number <span style="float: right;">b. <input type="checkbox"/> None</span> a. _____ - _____
3. Type of information collection ( <i>check one</i> ) a. <input type="checkbox"/> New Collection b. <input type="checkbox"/> Revision of a currently approved collection c. <input type="checkbox"/> Extension of a currently approved collection d. <input type="checkbox"/> Reinstatement, without change, of a previously approved collection for which approval has expired e. <input type="checkbox"/> Reinstatement, with change, of a previously approved collection for which approval has expired f. <input type="checkbox"/> Existing collection in use without an OMB control number For b-f, note Item A2 of Supporting Statement instructions	4. Type of review requested ( <i>check one</i> ) a. <input type="checkbox"/> Regular submission b. <input type="checkbox"/> Emergency - Approval requested by _____ / _____ / _____ c. <input type="checkbox"/> Delegated
7. Title	5. Small entities Will this information collection have a significant economic impact on a substantial number of small entities? <input type="checkbox"/> Yes <input type="checkbox"/> No
8. Agency form number(s) ( <i>if applicable</i> )	6. Requested expiration date a. <input type="checkbox"/> Three years from approval date b. <input type="checkbox"/> Other Specify: _____ / _____
9. Keywords	
10. Abstract	
11. Affected public ( <i>Mark primary with "P" and all others that apply with "x"</i> ) a. ___ Individuals or households d. ___ Farms b. ___ Business or other for-profit e. ___ Federal Government c. ___ Not-for-profit institutions f. ___ State, Local or Tribal Government	12. Obligation to respond ( <i>check one</i> ) a. <input type="checkbox"/> Voluntary b. <input type="checkbox"/> Required to obtain or retain benefits c. <input type="checkbox"/> Mandatory
13. Annual recordkeeping and reporting burden a. Number of respondents _____ b. Total annual responses _____ 1. Percentage of these responses collected electronically _____ % c. Total annual hours requested _____ d. Current OMB inventory _____ e. Difference _____ f. Explanation of difference 1. Program change _____ 2. Adjustment _____	14. Annual reporting and recordkeeping cost burden ( <i>in thousands of dollars</i> ) a. Total annualized capital/startup costs _____ b. Total annual costs (O&M) _____ c. Total annualized cost requested _____ d. Current OMB inventory _____ e. Difference _____ f. Explanation of difference 1. Program change _____ 2. Adjustment _____
15. Purpose of information collection ( <i>Mark primary with "P" and all others that apply with "X"</i> ) a. ___ Application for benefits e. ___ Program planning or management b. ___ Program evaluation f. ___ Research c. ___ General purpose statistics g. ___ Regulatory or compliance d. ___ Audit	16. Frequency of recordkeeping or reporting ( <i>check all that apply</i> ) a. <input type="checkbox"/> Recordkeeping b. <input type="checkbox"/> Third party disclosure c. <input type="checkbox"/> Reporting 1. <input type="checkbox"/> On occasion 2. <input type="checkbox"/> Weekly 3. <input type="checkbox"/> Monthly 4. <input type="checkbox"/> Quarterly 5. <input type="checkbox"/> Semi-annually 6. <input type="checkbox"/> Annually 7. <input type="checkbox"/> Biennially 8. <input type="checkbox"/> Other (describe) _____
17. Statistical methods Does this information collection employ statistical methods <input type="checkbox"/> Yes <input type="checkbox"/> No	18. Agency Contact (person who can best answer questions regarding the content of this submission)  Name: _____ Phone: _____

## 19. Certification for Paperwork Reduction Act Submissions

On behalf of this Federal Agency, I certify that the collection of information encompassed by this request complies with 5 CFR 1320.9

**NOTE:** The text of 5 CFR 1320.9, and the related provisions of 5 CFR 1320.8(b)(3), appear at the end of the instructions. *The certification is to be made with reference to those regulatory provisions as set forth in the instructions.*

The following is a summary of the topics, regarding the proposed collection of information, that the certification covers:

- (a) It is necessary for the proper performance of agency functions;
- (b) It avoids unnecessary duplication;
- (c) It reduces burden on small entities;
- (d) It used plain, coherent, and unambiguous terminology that is understandable to respondents;
- (e) Its implementation will be consistent and compatible with current reporting and recordkeeping practices;
- (f) It indicates the retention period for recordkeeping requirements;
- (g) It informs respondents of the information called for under 5 CFR 1320.8(b)(3):
  - (i) Why the information is being collected;
  - (ii) Use of information;
  - (iii) Burden estimate;
  - (iv) Nature of response (voluntary, required for a benefit, mandatory);
  - (v) Nature and extent of confidentiality; and
  - (vi) Need to display currently valid OMB control number;
- (h) It was developed by an office that has planned and allocated resources for the efficient and effective management and use of the information to be collected (see note in Item 19 of instructions);
- (i) It uses effective and efficient statistical survey methodology; and
- (j) It makes appropriate use of information technology.

If you are unable to certify compliance with any of the provisions, identify the item below and explain the reason in Item 18 of the Supporting Statement.

Signature of Senior Official or designee

Date

Agency Certification (signature of Assistant Administrator, Deputy Assistant Administrator, Line Office Chief Information Officer, head of MB staff for L.O.s, or of the Director of a Program or StaffOffice)

Signature

Date

Signature of NOAA Clearance Officer

Signature

Date

**SUPPORTING STATEMENT  
NORTHEAST REGION DEALER PURCHASE REPORTS  
OMB CONTROL NO. 0648-0229**

**A. JUSTIFICATION**

This request is for extension of a current information collection.

**1. Explain the circumstances that make the collection of information necessary.**

The National Oceanic and Atmospheric Administration's (NOAA) National Marine Fisheries Service (NMFS) is responsible for the stewardship of the Nation's living marine resources and their habitats within the United States Exclusive Economic Zone (EEZ). NMFS works to conserve, protect, and manage these resources to ensure their continuation as functioning components of ecosystems, while also affording economic opportunities and enhancing the quality of life for the American public. Our mandates and authorities are derived from numerous statutes, most significantly the Magnuson-Stevens Fishery Conservation and Management Act (MSA), the Endangered Species Act (ESA), and the Marine Mammal Protection Act (MMPA).

Under the MSA – initially implemented in 1976, amended in 1996 with the passage of the Sustainable Fisheries Act and most recently amended in 2006 by the Magnuson-Stevens Fishery Conservation and Management Reauthorization Act – the Secretary of Commerce (Secretary) has the responsibility for conservation and management of the nation's marine fishery resources. Much of this responsibility has been delegated to NMFS. Under this stewardship role, the Secretary is authorized to adopt such regulations as may be necessary to create sustainable fisheries by eliminating over fishing while achieving, on a continuing basis, the optimum yield from each fishery.

One of the regulatory steps taken to ensure that these measures are based on the best available scientific information is the collection of data from the users of the resource. Thus, as Regional Fishery Management Councils develop specific Fishery Management Plans (FMP), the Secretary has set forth rules for the collection of fishery-dependent data from dealers/processors and vessels in order to monitor, evaluate and enforce the fishery regulations intended to achieve sustainable fisheries. The continuing need for this information is explicit in the management goals and objectives established by the Mid-Atlantic Fisheries Management Council (MAFMC) and the New England Fisheries Management Council (NEFMC), as well as in the Magnuson-Stevens Act, the Sustainable Fisheries Act and NMFS Strategic Plan.

In an effort to achieve the goals of the MSA, several fisheries are now being managed by harvest limits including quotas, annual target total allowable catches (TAC) and domestic annual harvest (DAH) limits. These fisheries often have short fishing seasons and require in-season management measures, such as closures and trip limits, to ensure that harvest levels established in each FMP are not exceeded. Therefore, as more fisheries are being managed by harvest limits, the timely collection of data from dealers and vessel owners and operators is and will continue to be a necessary component of most management regimes, as evidenced in several FMPs.

All federally permitted dealers of Atlantic mackerel, squid, butterfish, Atlantic sea scallop, Atlantic surfclam, ocean quahog, Northeast (NE) multispecies, monkfish, summer flounder, scup, black sea bass, Atlantic bluefish, spiny dogfish, Atlantic herring, skates, tilefish, hagfish, American lobster must have been issued and have in their possession a federal dealer permit in order to purchase such species from fishing vessels. Federally permitted dealers in the above fisheries are required to submit certain information regarding their fish purchases to NMFS. Trip-level (trip by trip) reports provide the comprehensive data that are necessary for successful long-term management of each fishery.

Section 303(a)(5) of the MSA specifically identifies the kinds of data to be collected for FMPs. Comprehensive trip-level reports submitted by dealers include individual vessel information, purchases by species and market category, and pricing information. The use of approved electronic submission processes to collect the information is an essential ingredient in the management of fishery resources because they provide an efficient means to collect that information on which management decisions are made.

In all fisheries requiring mandatory reporting, 'negative reporting' by dealers is required if no fish was purchased during the reporting period. Negative reports are necessary in order to accurately identify dealers who have not purchased fish as opposed to those who have failed to report their purchases

All large vessel at-sea processors of Atlantic mackerel that have been issued and have in their possession a federal at-sea processor permit may purchase mackerel from fishing vessels at sea for processing provided the large vessel did not harvest the mackerel. These Federally permitted vessels are also required to submit certain information regarding their fish purchases to NMFS.

NOAA is requesting an extension of this information collection.

**2. Explain how, by whom, how frequently, and for what purpose the information will be used. If the information collected will be disseminated to the public or used to support information that will be disseminated to the public, then explain how the collection complies with all applicable Information Quality Guidelines.**

Almost every international, federal, state, and local fishery management authority recognizes the value of fisheries' statistics collections and uses them as part of their management systems. Fisheries statistics are used by economists, biologists, and managers to develop, monitor, and enforce controls on fishery harvests.

The information collected is used by several offices of NMFS, the NEFMC, the MAFMC and the Atlantic States Marine Fisheries Commission (ASMFC) to monitor quota-managed species, ensuring that conservation and management actions may be taken in a timely manner. Accurate and timely landings reports are especially important for monitoring commercial landings by species and evaluating the effectiveness of each FMP in achieving its fishing mortality targets.

In addition to the uses specifically relating to management of individual species, the statistics collected through these reports will be incorporated into the NMFS databases which are used in many analyses by various offices of NMFS, the Regional Fishery Management Councils, the

United States Coast Guard (USCG), state fishery enforcement agencies, the Departments of State and Commerce, Office of Management and Budget (OMB), the Corps of Engineers, Congressional staffs, the fishing industry, and the public. The data also serve as inputs to a variety of uses such as biological analyses and stock assessments, and in support of Executive Order (E.O.) 12866 “Regulatory Planning and Review”, quota and allocation selections and monitoring, economic profitability profiles, trade and import tariff decisions, allocation of grant funds among states, and identification of ecological interactions among species. Data used are also utilized for monitoring and evaluating ESA and MMPA actions.

### **Purchases from Fishing Vessels - Northeast**

Purchases from fishing vessels are submitted by dealers.

Vessel-based information required under the mandatory program includes a dealer name, location and permit number, vessel name and permit number, or USCG or state registration number in lieu of the federal permit number, trip identifier, along with port and state landed. This information is necessary to the accounting systems used by the dealers and is part of the dealer’s own record-keeping requirements. Vessel name and permit number are used to identify the respondent and the legal entity (owners) controlling the fishing practices of the vessel. Vessel fishing permit information is used by National Oceanic and Atmospheric Administration’s (NOAA) Office of Law Enforcement (OLE) to determine violations of quota and reporting regulations. Violations may result in fines, suspension of a fishing permit, or seizure of the catch. Since many vessels are owned by individuals or by corporations, identification and location of the company purchasing the product and the identification of the vessel from which the product was purchased are necessary to enforce fishery regulations.

Dealers utilizing their surfclam or ocean quahog permit are required to report cage tag numbers when purchasing those species from vessel fishing under an Individual Transferable Quota (ITQ). Cage tag numbers are used to verify landings and monitor compliance in the surfclam and ocean quahog fisheries, which are managed using an ITQ system.

All of this information is needed in order to process the data, and to match each dealer report with the corresponding fishing vessel logbooks (OMB Control No. 0648-0212) submitted by the vessel owners, using these fields as the identifiers. Coordinating the data submitted by vessels and dealers is necessary for monitoring compliance by both parties and for providing verification of reporting. In addition, linking the two data sets allows for the allocation of effort and location data from the vessel logbooks to the corresponding dealer reports which contain the economic data, thus providing a complete representation of the industry. Information regarding the state where landed is used to assign landings to the appropriate state when the data are compiled by NMFS. This is especially important in fisheries where the FMP is based on coast-wide quotas allocated by state, such as summer flounder.

Species purchased, unit of measure, amount, and value, by species, grade, market category, and disposition code is collected under the mandatory reporting program. The pounds purchased, in combination with the species, are used by NMFS to determine current harvest rates for each fishery on a real-time basis. When certain trigger points are reached, depending on the fishery, NMFS will be able to establish or change a trip limit or close a fishery, as appropriate, in order to

meet the regulatory requirements set forth under each FMP. Species information, such as landings by species and market (size) category, is the basic measure of fishing success from which fishermen, biologists, and economists draw conclusions about the status of the fishery. Species landing information is needed because controlling the quantity of fish harvested is often the means for ensuring continued harvests over time. The unit of measure identifies how the species is landed (i.e., bushels versus poundage versus individuals). This identifies which conversion factors to use in determining the overall weight in pounds of the landing. Grade categories establish the correct conversion factor back to whole fish weight to accurately determine the amount of fish landed. The market categories are also for the convenience of the dealer because price is size-dependent and the catch is usually culled and sold by market category.

Disposition of seafood products is needed to determine the ultimate fate and use of harvested fish and shellfish. Price and value are used in estimating the earnings and profitability of each fishing trip by the vessel operator and in regulatory impact reviews and economic input-output models requiring such data to estimate the economic effects of changes induced by the biology or management of the fishery. Special economic studies are conducted to obtain detailed information on specific issues or fisheries when resources are available. It should be noted that both species and price information are necessary for the dealer's own accounting operation; therefore, reporting that information does not constitute an additional reporting burden.

Dealer e-mail addresses are being requested on a voluntary basis to allow for confirmation notices to be sent by NMFS when a report has been submitted. The collection of dealer e-mail addresses can also allow for electronic dissemination of information from NMFS Service to industry.

It is anticipated that the information collected will be disseminated to the public or used to support publicly disseminated information, subject to the data confidentiality provisions of the MSA. As explained in the previous paragraphs, the information gathered has utility. NMFS will retain control over the information and safeguard it from improper access, modification, and destruction, consistent with NOAA standards for confidentiality, privacy, and electronic information. See response to Question 10 of this Supporting Statement for more information on confidentiality and privacy. The information collection is designed to yield data that meet all applicable information quality guidelines. Prior to dissemination, the information will be subjected to quality control measures and a pre-dissemination review pursuant to Section 515 of Public Law 106-554.

**3. Describe whether, and to what extent, the collection of information involves the use of automated, electronic, mechanical, or other technological techniques or other forms of information technology.**

Federal permitted dealers are required to submit detailed reports of all purchases from fishing vessels electronically. To accommodate the varying extent to which dealers use computer applications, dealers can choose how they will submit purchase reports electronically. The options include an online data entry form available at <http://www.accsp.org/safis.htm>, an offline data entry form (fillable and printable), and an acceptable file upload report system implemented by NMFS Service, or by one or more state fishery management agencies.

Due to the required confidentiality of fish purchase reports, information sent from dealers to NMFS is subject to strict encryption standards and is available only to authorized agency personnel and the submitter. Dealers receive a user name and personal identification number (PIN) that enables them to log onto a secure site and submit their reports. Dealers are also allowed to access, review, and edit the information they have submitted using a secure procedure similar to those in common usage throughout the banking industry. These submissions constitute the official reports as required by the various FMPs in the Northeast.

#### **4. Describe efforts to identify duplication.**

Operational Guidelines on the FMP Process require that each FMP evaluate existing state and Federal laws that govern the fisheries in question, and the findings are made part of each FMP. Each Fishery Management Council membership comprises state and federal officials responsible for resource management in their state or area. Bringing fisheries managers together for the review of existing state or Federal laws helps to identify other collections that may be gathering the same or similar information. If a state is collecting the required information under its own authority and can provide the data, generally NMFS will use the state's data rather than duplicate state collections. In addition, each FMP undergoes extensive public comment periods where potential participants in the fishery to be managed review the proposed permit application requirements and data collection proposals. Therefore, NMFS is confident it is aware of similar collections if they exist and has avoided duplication to the extent possible.

The exception to duplicate data collection by state and Federal authorities is for certain quota-managed species, including summer flounder, scup, black sea bass and Atlantic bluefish, among others. Landings for these species are also collected by the states in some cases because they are managed by a state-allocated quota system as well as by a Federal one. Monitoring the quotas in a timely manner is critical for effective management and so some, but not all, states have implemented their own weekly reporting system. Because landings of these species need to be monitored for each state in the NE Region, Federal law requires reporting of all purchases made by federally permitted dealers.

The dealer report submitted by dealers and processors in the Atlantic mackerel, squid, butterfish, Atlantic sea scallop, Atlantic surf clam, ocean quahog, NE multispecies, monkfish, summer flounder, scup, black sea bass, Atlantic bluefish, spiny dogfish, Atlantic herring, Atlantic deep-sea red crab, skate, tilefish, hagfish or American Lobster fisheries duplicates, for the purpose of verification and accuracy, some of the information provided by vessel operators on the Fishing Vessel Trip Report (Form 88-30 or its equivalent) and Shellfish Trip Report Form (Form 88-140) in OMB Control No. 0648-0212. In this case certain duplication is desirable to track harvesting, to identify possible reporting violations, and to ensure fairness in application of the measures among the entire group of allocation holders.

#### **5. If the collection of information involves small businesses or other small entities, describe the methods used to minimize burden.**

Because all of the dealers who will respond are considered small businesses, separate requirements based on the size of business have not been developed. The dealer electronic

reporting system was developed and tested in conjunction with industry members to ensure a system that is functional and useable for their business purposes. The system accommodates, to the extent possible, existing business software application systems that are being used by dealers. The system allows dealers who currently use such applications to upload a data file from their business application to NMFS, minimizing any additional reporting burden. Dealers who choose to keypunch their data directly into the web-based data entry system will be able to use those reports for their own business records, replacing Form 88-30 or its equivalent which many dealers had used as their official transaction record.

**6. Describe the consequences to the Federal program or policy activities if the collection is not conducted or is conducted less frequently.**

The dealer purchase reports provide the only source of first-purchase information by dealers involved in these federally managed species. The reports provide critical information on the prices paid for products, the types of products being landed, and the number of dealers involved in the fisheries. If this collection were not conducted, NMFS would be unable to meet its statutory requirements under the MSA, and the fisheries in the NE could not be managed effectively, potentially resulting in irrevocable damage to a public resource.

Timely reporting is critical for monitoring fisheries managed by quotas or other harvest limits. This is especially true in fisheries with small or seasonal quotas where in-season management actions, such as closures or implementation of or changes to trip limits must be taken in a timely manner. NMFS is able to effectively monitor the quota-managed species on a weekly basis.

Reporting of null reports by dealers who do not make any purchases during the reporting week allows NMFS to verify compliance, identify non-reporters, and to take the appropriate action in a timely manner without placing an undue burden on the respondents.

**7. Explain any special circumstances that require the collection to be conducted in a manner inconsistent with OMB guidelines.**

The data collection is consistent with 5 CFR 1320.6 guidelines except that it requires information to be reported more frequently than quarterly. The need for this is described in Question 6.

**8. Provide information on the PRA Federal Register Notice that solicited public comments on the information collection prior to this submission. Summarize the public comments received in response to that notice and describe the actions taken by the agency in response to those comments. Describe the efforts to consult with persons outside the agency to obtain their views on the availability of data, frequency of collection, the clarity of instructions and recordkeeping, disclosure, or reporting format (if any), and on the data elements to be recorded, disclosed, or reported.**

A Federal Register Notice published on April 2, 2013 (78 FR 19648) solicited public comments on this renewal. There were no comments received.

Since the initial implementation of mandatory electronic reporting for seafood dealers on May 01, 2004, NMFS has continued to work with industry to solicit comments and feedback to ensure the process meets their needs, and continues to modify the reporting systems based on this feedback. *Given the limited time to seek comments from the governing Councils, NMFS will seek the one-year extension. During the upcoming year, NMFS will solicit comments from the governing Councils.*

**9. Explain any decisions to provide payments or gifts to respondents, other than remuneration of contractors or grantees.**

Not Applicable.

**10. Describe any assurance of confidentiality provided to respondents and the basis for assurance in statute, regulation, or agency policy.**

As stated on the forms, all data will be kept confidential as required by section 402(b) of the MSA, and will not be released for public use except in aggregate statistical form, without identification as to its source. Logbooks are considered confidential under the Trade Secrets Act as well.

**11. Provide additional justification for any questions of a sensitive nature, such as sexual behavior and attitudes, religious beliefs, and other matters that are commonly considered private.**

No sensitive questions are asked.

**12. Provide an estimate in hours of the burden of the collection of information.**

Table 1

Calculation of Public and Federal Cost and Burden Estimates						
	No. of Respondents	Responses per Year	Total Annual Responses	Avg. Response Time (Hrs)	Total Response Hours	Annual Labor Cost to Public
<b>Mandatory Weekly Reporting</b>	<b>844</b>	<b>52</b>	<b>43,888</b>	<b>4 mins.</b>	<b>2,926</b>	<b>\$55,243</b>

Dealers required to complete and submit Electronic Dealer Purchase Reports include those permitted in the Atlantic mackerel, squid, butterfish, Atlantic sea scallop, NE multispecies, monkfish, summer flounder, scup, black sea bass, Atlantic bluefish, spiny dogfish, Atlantic herring, Atlantic deep-sea red crab, tilefish, skate, Atlantic surfclam and/or ocean quahog fishery, hagfish and American lobster. According to data available through the NE Region Permit database, approximately **844** dealers are permitted for one or more of the fisheries with mandatory electronic reporting requirements.

As indicated in Table 1, the total annual reporting burden associated with this collection is estimated at **2,926** hours. This burden assumes an average response time of 4 minutes to submit electronic data files. The only additional time resulting from this collection is the time required

to log in and transfer their existing data file. Dealers opting to enter data online may use the online system for their own business practices and to generate standard business reports. Therefore, the reporting burden reflects only the time needed to gather any additional information needed to complete the reports and to submit the data file or forms to NMFS. In addition, it is anticipated that several of the reports submitted will be negative reports and will take less time to complete or transfer. The reporting costs to the public are based on a respondent wage of \$18.88/burden hour.

**13. Provide an estimate of the total annual cost burden to the respondents or record-keepers resulting from the collection (excluding the value of the burden hours in Question 12 above).**

**Table 2. Calculation of Annual Cost to Respondents Excluding Respondent Time**

	Respondents	Start-up and Operating Costs per Respondent (Internet Access)	Total cost
New dealers	77	116	8,932
Current dealers	767	77	59,059
Totals	844		67,991

The average start-up and operating costs for the 77 new dealers total cost is \$116 (\$39 start-up plus \$77 operating cost). Total annual start-up costs are  $77 \times \$39 = \$3,003$  of the total costs for new dealers.

The current 767 dealers have an operating cost of \$77. All dealers are accessing the Internet and maintaining computers for other reasons so there are no additional recordkeeping / reporting costs.

Respondents are required to retain copies of their reports for a period of three years after the date of the report for purposes of enforcement investigations, and to serve as the official records for establishing individual vessel allocations. Enforcement investigations may take up to three years before agents interview the respondents. Retention of a copy of the records submitted removes the possible excuse for non-reporting that the original was delivered to but not received by NMFS. Business records are normally retained for three years and many respondents use these reports for that purpose, thus there is no impact on the public burden or cost by this requirement.

**14. Provide estimates of annualized cost to the Federal government.**

Government Maintenance Costs	
Labor	Non - Labor
\$309,259	\$32,813
\$342,072	

The costs to the Government incurred as a result of this action are maintenance costs that would be associated with personnel who currently manage the automated data-collection program. Labor costs include personnel who would still be utilized on the dealer reporting process after system implementation although their job functions would change to maintenance, troubleshooting, auditing and assistance providing mode. Limited system support and assistance for dealers is also provided by NMFS. Technical experts may accompany field staff, to the extent possible, on visits to industry and port offices for system troubleshooting and maintenance. Non-labor maintenance costs include expenses incurred for system upgrades, computer equipment, and printing of forms.

**15. Explain the reasons for any program changes or adjustments.**

Adjustments: There are currently an average of 77 new dealers estimated to respond per year, and an increase of 6,136 responses. With the additional dealers, the total hourly burden has increased by 409 hours. The increase in the number of dealers has also increased the cost by \$8,932, but there are now fewer dealers paying start-up costs, and operating costs are lower, than three years ago. Therefore, the net cost adjustment is a decrease of 375,181.

**16. For collections whose results will be published, outline the plans for tabulation and publication.**

Results from these collections may be used in scientific, management, technical, or general informational publications such as Fisheries of the United States (FUS) and in Status of the Fishery Resources (SFR) off the Northeastern United States, which follow prescribed statistical tabulations and summary table formats. The time schedule for publication of FUS is June of the year following collection. Publication of SFR has usually been in September. Data are available to the general public on request in summary form only and to NMFS Service employees in detailed form on a need-to-know basis only. Aggregate landings and economic data are available on NMFS web pages as well.

**17. If seeking approval to not display the expiration date for OMB approval of the information collection, explain the reasons why display would be inappropriate.**

Because the online system for reporting is used for multiple information collections, there is no OMB Control No. or expiration date displayed. Several reports are used by more than one OMB Control No. and trying to list them for each report would be confusing and distracting.

**18. Explain each exception to the certification statement.**

No exceptions are requested.

**B. COLLECTIONS OF INFORMATION EMPLOYING STATISTICAL METHODS**

This collection does not employ statistical methods.



United States Department of Commerce  
National Oceanic and Atmospheric Administration  
National Marine Fisheries Service  
Northeast Region  
55 Great Republic Drive  
Gloucester, MA 01930

Dear Federal Northeast Seafood Dealer,

November 27, 2012

Enclosed are the Federal Northeast seafood dealer permits (NE dealer permits) that were recently issued to you. Your permits identify the fisheries for which you are permitted and include the dates of issue and the dates of expiration of your permits. The attachments with this letter outline some of the mandatory reporting requirements associated with those permits.

#### **Federal dealer reporting requirements**

Using an approved electronic reporting system, all federally-permitted Northeast seafood dealers (NE dealers) are required to submit weekly trip-level reports of all receipts and purchases from all vessels.

**Notice to American lobster dealers:** All federally permitted lobster-only dealers are required to provide NMFS with weekly, trip-level electronic reports of all lobster and fish purchased or received for a commercial purpose. For questions on the Federal lobster dealer reporting requirements, contact Scott McNamara at (207) 780-3322 or by email at [scott.mcnamara@noaa.gov](mailto:scott.mcnamara@noaa.gov).

#### **Notice to Atlantic tuna dealers:**

Beginning January 1, 2013, NE Dealers with permits for only Atlantic tunas will also be required to electronically report their purchases of BAYS tunas (bigeye, albacore, yellowfin and skipjack tunas) and other highly migratory species (i.e., Atlantic swordfish and sharks). NE Dealers will receive separate notification and additional information about this new requirement. Please contact the HMS Management Division (301-427-8590) or your local port agent with any questions. Tuna dealers who purchase Atlantic Bluefin tuna have separate reporting requirements that are not affected by this change (see enclosure).

Failure to submit the reports required under 50 CFR § 648.7, § 622.5 or § 635.5 may bar the issuance of any NE dealer permit. In addition, failure to report in an accurate and timely fashion may result in enforcement action. Go to: [http://www.nero.noaa.gov/dealer\\_er/](http://www.nero.noaa.gov/dealer_er/) for important information on reporting requirements.

#### **Highly Migratory Species (HMS) International Trade Permit (ITP)**

Dealers who internationally trade bluefin tuna, swordfish, southern bluefin tuna, or frozen bigeye tuna internationally are required to obtain an HMS ITP. Information on the HMS ITP can be found at: [www.nmfs.noaa.gov/sfa/hms/ITP/index.htm](http://www.nmfs.noaa.gov/sfa/hms/ITP/index.htm)

Contact your local Field Office for further information about your reporting requirements (list enclosed). The field office list can be found at: [www.nero.noaa.gov](http://www.nero.noaa.gov)

Sincerely,

Ted Hawes  
Section Chief  
Permits and Limited Access Programs



Enclosures

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OF DUPLEX PRINTING**



## National Marine Fisheries Service



### Fact Sheet for Dealers Purchasing Atlantic Bluefin Tuna from U.S. Vessels

**Who is affected?** This document summarizes regulations that affect dealers who receive, purchase, trade for, or barter for Atlantic bluefin tuna from a U.S. fishing vessel.

These regulations do not apply to dealers that import or export Atlantic or Pacific bluefin tuna. For additional information on importing, exporting, or re-exporting of tunas and swordfish, please see the *Fact Sheet for International Trade of Swordfish and Tuna* or [http://www.nmfs.noaa.gov/sfa/hms/ITP/HMS\\_ITP\\_final\\_fact\\_sheet.pdf](http://www.nmfs.noaa.gov/sfa/hms/ITP/HMS_ITP_final_fact_sheet.pdf).

**What is the purpose of the regulations?** The purpose of these regulations is to account for commercial landings of Atlantic bluefin tuna. These data are critical for monitoring quotas, stock assessments and meeting the United States' reporting obligations to the International Commission for the Conservation of Atlantic Tunas.

**Where can I find the regulations?** The regulations may be found by accessing the website, <http://ecfr.gpoaccess.gov>, and selecting Title 50, and searching Part 635. Guides for complying with the regulations for Atlantic tunas, swordfish, sharks, and billfish may be accessed at [www.nmfs.noaa.gov/sfa/hms](http://www.nmfs.noaa.gov/sfa/hms) (under the heading "Compliance Guides") or a copy of the compliance guide may be ordered from 301/427-8503. Any proposed changes to the regulations may be found by searching the Federal Register at <http://www.regulations.gov> with the exact keywords of "50 CFR Part 635." A brief summary of the current key provisions is given below. Please see the full regulatory text for a complete review of the requirements.

#### What do the regulations require?

- Each dealer purchasing or receiving Atlantic bluefin tuna from a vessel (i.e. first receiver) must obtain an Atlantic tunas dealer permit;
- Each Atlantic bluefin tuna must be tagged with a tail tag provided by National Marine Fisheries Service (NMFS);
- Information about landings of each Atlantic bluefin tuna must be recorded on a dealer report form and faxed to NMFS within 24 hours;
- Bi-weekly forms recording each landing and additional economic information must be submitted twice per month.

**How do I obtain an Atlantic tunas dealer permit?** Permits are obtained from the Northeast Regional Office (NERO) of NMFS. Download an application from the website <http://www.nero.noaa.gov/permits/inidlrtrappl.pdf> and submit it with required supporting documentation to NERO at the following address, or write to obtain an

application from: NERO/NMFS Dealer Permits / 55 Great Republic Drive / Gloucester, MA / 01930-2276.

**How much will the permit cost?** The Atlantic tunas dealer permit is issued free of charge.

**What are the reporting requirements?** When a dealer purchases an Atlantic bluefin tuna from a vessel, the dealer must affix a tail tag obtained from NMFS to the tuna, and record the tag number and other required information on an Atlantic bluefin tuna landing report, and FAX the completed report to NMFS (877-883-8001) within 24 hours of purchase. (Dealers may only purchase Atlantic bluefin tuna that are 73 inches curved fork length or greater.) In addition, dealers must submit bi-weekly reports twice per month. One report includes the landings made during the first through the 15<sup>th</sup> of each month, and the second report includes landings made from the 16<sup>th</sup> through the end of the month. Bi-weekly reports for Atlantic bluefin tuna are not required for periods without activity.

**NOTE:** Bluefin tuna *must not* be reported through SAFIS or other electronic reporting methods, even if a dealer has another NERO permit that requires mandatory electronic reporting. Bluefin tuna must be reported as described above.

**How do I obtain reporting documents and tags, and more information about reporting requirements?** Contact the Highly Migratory Species Management Division Office located at NERO/NMFS at the above address or call (978) 281-9140.



## National Marine Fisheries Service (NMFS) Fact Sheet for Dealers: International Trade of Swordfish, Tuna, and Shark Fins

**Who is affected?** Dealers who import, export or re-export bluefin tuna, southern bluefin tuna, swordfish, frozen bigeye tuna or shark fins are affected by these regulations.

**Where can I find the regulations?** The regulations were published in the *Federal Register* on November 17, 2004 (69 FR 67268) and amended on June 2, 2008 (73 FR 31380) and are codified at 50 C.F.R. parts 300 subpart M and 635. They can be accessed at: <http://www.gpoaccess.gov/fr/index.html> or <http://www.nmfs.noaa.gov/sfa/hms/ITP/index.htm>. A brief summary of the key provisions is given below. Please see the full regulatory text for a complete review of the requirements.

**What do the regulations require?** Dealers who import, export, or re-export fresh or frozen bluefin tuna, southern bluefin tuna, swordfish, and/or frozen bigeye tuna (NOT including fresh bigeye tuna) regardless of ocean area of origin, must comply with the following permitting and reporting requirements:

- hold a valid Highly Migratory Species (HMS) International Trade Permit;
- complete and submit consignment documents (statistical documents or catch documents) and re-export certificates (if applicable), including validation for exports and re-exports from the United States, for each shipment of the named species;
- complete and submit summary bi-weekly reports of trade activity for the named species;
- comply with all applicable record-keeping and reporting requirements.

**What is the purpose of the regulations?** The purpose of these regulations is to help track the catch and/or international trade of highly migratory species, thus reducing illegal, unreported and unregulated fishing activities, improving conservation and management measures, and enhancing the scientific evaluation of these stocks.

**How do I obtain an HMS International Trade Permit?** Download an application from the following website: <http://www.nmfs.noaa.gov/sfa/hms/ITP/index.htm>, or write to this address: NMFS Permit Team, Southeast Regional Office, 263 13<sup>th</sup> Ave., South, St. Petersburg, FL, 333701; or call this number: 727/824-5326.

**How much does the permit cost?** The permit costs \$25.00 and may be purchased with a check or money order made out to the U.S. Treasury.

**What are the reporting requirements?** Each import, export, and re-export of the named species (except shark fins) must be accompanied by a consignment document (and re-export certificate when applicable) that has been validated by an approved authority, and the original (imports) or a copy (exports) must be provided to NMFS. Summary reports must be submitted at biweekly intervals, and copies of consignment documents, re-export certificates, and summary reports must be maintained for two years. For further information, including instructions for validation, please see the Compliance Guide at <http://www.nmfs.noaa.gov/sfa/hms/ITP/index.htm>.

**How do I obtain reporting documents?** Reporting documents and complete instructions will be distributed to dealers when they obtain an HMS International Trade Permit. These documents are also posted on the website [www.nmfs.noaa.gov/sfa/hms/ITP/index.htm](http://www.nmfs.noaa.gov/sfa/hms/ITP/index.htm)

**Further Information:** HMS Management Division, Northeast Branch (978) 281-9260

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TWO-SIDED PRINTING**



# Federally Permitted Seafood Dealer Reporting Requirements

Any person or company that purchases or receives for a commercial purpose other than solely for transport on land one of the species or species groups listed in Table 1 from the owner or operator of a vessel permitted by the Northeast Regional Office (NERO) must be issued a seafood dealer permit from NERO and must submit trip-level reports for all species purchased (see Sections 5 and 6 for exceptions) on a weekly basis to NOAA Fisheries Service, using one of the approved electronic means described further below (50 C.F.R. §648.7 & 50 C.F.R. §697.6).

## 1. Submitting Dealer Reports and Corrections

Dealers must submit all reports for a reporting week (Sunday to Saturday) by midnight the following Tuesday. Corrections can be made to reports up to 90 business days following the submission deadline of the initial report. Corrections can be made after that point by contacting the Dealer Reporting Help Desk (978) 281-9212.

## 2. Submitting Negative Reports

If no purchases are made or landings are received during a reporting week (Sunday-Saturday), a negative report must be submitted, by midnight of the following Tuesday. Negative reports may be submitted up to 3 months in advance if you believe that you will not be making any purchases or receiving fish or shellfish during that time period. These reports will subsequently be overwritten with positive reports if you do report purchases.

## 3. Compliance

NOAA Fisheries Service staff will work with dealers to resolve technical and reporting issues; however, it is a dealer's responsibility to report completely, accurately and on time. Dealers not submitting complete and accurate electronic reports within the required timeframe will be considered out of compliance and may be referred to the NOAA Fisheries Office of Law Enforcement for further action.

## 4. Reporting Methods

The acceptable methods for submitting reports electronically are:

- Web-based SAFIS system
- File upload from an existing software application (such as accounting software) used by your business
- File upload using the Bluefin Tuna Data Inc. Trip Ticket software system

For more information about installing and utilizing any of these methods, contact the Dealer Reporting Help Desk at 978-281-9212.

Table 1: Species Requiring a NERO Permit

American Lobster	Monkfish
Atlantic Bluefish	Northeast Multispecies
Atlantic Deep-Sea Red Crab	Ocean Quahog
Atlantic Hagfish	Scup
Atlantic Herring	Skate
Atlantic Mackerel	Spiny Dogfish
Atlantic Sea Scallop	Squid (Illex or Loligo)
Black Sea Bass	Summer Flounder
Butterfish	Surf Clam
Golden Tilefish	

## 5. Required Information

The following information is required to be reported for each purchase or receipt of fish or shellfish from a vessel (exceptions discussed below) regardless of where or how it was harvested (inshore or federal waters), or who harvested it (federally permitted or state-only permitted). This is a requirement for all vessels purchased from, regardless of whether the vessel has a federal permit.

For each trip the dealer must report the following information:

- Your dealer name and permit number
- Vessel name and permit number or hull number (state registration or USCG documentation number) of the vessel from which fish are purchased or received
- Date of purchase or receipt
- Unique trip identifier supplied to the dealer by the vessel operator
  - This is the serial number from the first vessel trip report (VTR) page used by the harvesting vessel for each trip
  - Required for all trips made by those vessels permitted under 50 C.F.R. §648
  - Vessels are not required to provide the trip identifier if they only hold state permits or if they are federally permitted only under other parts of this regulation such as American lobster (50 C.F.R. §697)
  - The trip identifier (VTR serial number) must be submitted with the initial purchase report
- Amount of each species by market category and unit of measure being reported (e.g., pounds, bushels, or count)
- Disposition (e.g., human food, bait, pet food or reduction)
- Price per unit of each species or total value by species per unit by market category
  - Price and disposition may be submitted up to 16 days after purchase or receipt
- Port and state where the fish were landed
- Cage tag numbers for surf clams and ocean quahogs harvested under a NOAA Fisheries Service ITQ allocation

## 6. Out-of-Region Purchases

Dealers purchasing or receiving fish from a vessel landing outside the Northeast Region (Maine to North Carolina) are required to report only those species managed by the Northeast Region (Table 1).

## 7. Additional Requirements

All federally permitted processors (including surf clam and ocean quahog processors) are required to complete and submit all sections of the Annual Processed Products Report, which is mailed out during the first quarter of each year.

*This document does not contain all the regulatory provisions that pertain to dealers and consequently has no legal force or effect. A copy of the complete reporting regulations can be obtained through the Office of the Federal Register or electronically through links on the NERO website: [www.nero.noaa.gov/nero/regs](http://www.nero.noaa.gov/nero/regs)*

Domestic Atlantic bluefin tuna and international trade permit reporting: 978-281-9140  
HMS reporting without electronic reporting: 508-984-0063

Information on dealer electronic reporting  
[http://www.nero.noaa.gov/dealer\\_er/](http://www.nero.noaa.gov/dealer_er/)  
NERO/Fisheries Data Services Division field offices  
<http://www.nero.noaa.gov/fso/portoff.pdf>



NOAA Fisheries Service, Northeast Regional Office  
55 Great Republic Drive  
Gloucester, MA 01930



## Northeast Regional Office / Fisheries Data Services Division



55 Great Republic Drive, Gloucester MA 01930-2276 Phone: (978) 281-9264 Fax: (978) 281-9161

**John Witzig, Ph.D., Division Chief**

### Systems Management & Development

**Jim St. Cyr, Section Chief**  
978-281-9369

**Data Quality**  
**Tony Conigliari, Team Leader**  
978-281-9143

**Sector Program**  
**Barry Clifford**  
978-281-9148

**Vessel Trip Reporting**  
**Bethany Brosnan**  
978-281-9270

**Fishery Information**  
**Greg Power, Section Chief**  
978-281-9304

### Northern NEW ENGLAND AREA

**Portland ME** 207-780-3322 [Scott McNamara\\*](#)  
Fax 207-780-3340 (cell 978-609-7747)

Mailing Address: Building Address:  
NOAA Fisheries NOAA Fisheries  
Box 15273 312 Fore St.  
Portland, ME 04112 Portland, ME 04101

**Gloucester MA** 978-281-9338 [Caleb Gilbert](#)  
Fax 978-281-9363 [Don Mason](#)  
978-281-9372 (cell 978-609-7944)

55 Great Republic Drive  
[Gloucester, MA](#) 01930-2276

### Southern NEW ENGLAND AREA

**Chatham MA** 508-945-5961 [Lorraine Spenle](#)  
Fax 508-945-3793 (cell 978-609-4142)

P.O. Box 1197  
1619 Main Street  
[West Chatham, MA](#) 02669

**Point Judith RI** 401-783-7797 [Walter Anoushian\\*](#)  
Fax 401-782-2113 (cell 978-609-4145)

P.O. Box 3356  
83 State St 2nd Flr  
[Narragansett, RI](#) 02882-0547

### Northern MID-ATLANTIC AREA

**East Hampton NY** 631-324-3569 [Victor Vecchio\\*](#)  
Fax 631-324-3314 (cell 978-609-4155)

62 Newtown Ln, # 203  
[East Hampton, NY](#) 11937-2483

### Southern MID-ATLANTIC AREA

**Toms River NJ** 732-818-1311 [Joanne Pellegrino\\*](#)  
732-349-3533 (cell 978-609-7980)  
Fax 732-349-4319

26 Main St, Suite O  
[Toms River, NJ](#) 08753-7460

**Cape May NJ** 609-884-2113 [Ingo Fleming](#)  
Fax 609-884-4908 (cell 978-609-8084)

1382 Lafayette St  
[Cape May, NJ](#) 08204-5226

**Hampton VA** 757-723-3369 [Steve Ellis](#)  
Fax 757-728-3947 (cell 978-609-4147)

[George Mattingly](#)  
(cell 978-609-4149)

P.O. Box 69172  
1006N Settlers Landing Rd  
[Hampton, VA](#) 23669-5103

\* Team / State Partner Coordinator

Click on underlined staff name to email or port to go to Google Maps

### Other Contact Information

Web: <http://www.nero.noaa.gov/fso>

Email: [reporting.ne@noaa.gov](mailto:reporting.ne@noaa.gov)

Data Requests Email: [NMFS.NER.Data.Requests@noaa.gov](mailto:NMFS.NER.Data.Requests@noaa.gov)

Dealer Electronic Reporting 978-281-9212  
Dealer Data Corrections 978-675-2160  
Permits 978-282-8438  
Data Requests 978-281-9133

Fish-On-Line / Data Requests 978-281-9133  
IVR Reporting 978-281-9209  
Vessel Trip Reporting 978-281-9246  
Vessel Monitoring System 978-281-9213  
Reporting Forms /Logbooks 978-281-9157

Fishery Regulations 978-281-9315  
Northeast Regional Office 978-281-9300  
Communications / Media 978-281-9175  
Communications / General 978-281-9103



- Quick Demo
- Get Started**
- About SAFIS

SAFIS is a real-time, web-based reporting system for commercial landings on the Atlantic coast. The Northeast Region of the National Marine Fisheries Service (NOAA Fisheries) and the Atlantic coastal states have worked together to bring this state-of-the-art tool to the seafood industry.

**Users Log-in**

Standard Atlantic Fisheries Information Systems - CD - Microsoft Internet Explorer

File Edit View Favorites Tools Help

Back Forward Stop Home Search Favorites Media Print Address <http://www.accsp.org/safiscd/start.htm> Go Links >>

## USER NAME AND PASSWORD

To use SAFIS you first need a user name and password from NOAA Fisheries Northeast Region or your state resource agency. If you intend to use SAFIS, but do not have a user name or PIN, call (XXX) XXX-XXXX for help.

## COMPUTER REQUIREMENTS

To accommodate SAFIS your computer must have the following:

1. Dial-up or high-speed Internet access
2. Microsoft Internet Explorer version 5.5 or higher. You can check your version by checking your browser's Help menu and selecting About.
3. A monitor that accommodates at least 1024 by 768 pixels. Check your Control Panel's Display icon and select Settings to see the resolution levels on your monitor.
4. At least 256 KB of available random access memory (RAM). Check your Control Panel's Settings or System icon to see the amount of RAM you have available.
5. We recommend that your computer run Windows 98, 2000 or XP. Earlier versions of Windows (95 or ME) might work, but will not be supported.

## DOWNLOADS

You must download and install these free applications:

1. [J-Initiator](#) - This will install software to enable SAFIS to run on your computer as well as the SAFIS internet security certificate.
2. [Adobe Acrobat](#) - You may already have this common program. It will allow you to print receipts and landings reports.

Done Internet



Standard Atlantic Fisheries Information Systems - CD - Microsoft Internet Explorer

File Edit View Favorites Tools Help

Address <http://safis.accsp.org>

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## COMPUTER REQUIREMENTS

To accommodate SAFIS you need:

1. Dial-up or high-speed internet connection
2. Microsoft Internet Explorer 5.0 or higher
3. A monitor that can display icons and text
4. At least 256 kilobytes of free hard disk space
5. We recommend Windows 95 or later

**File Download**

Some files can harm your computer. If the file information below looks suspicious, or you do not fully trust the source, do not open or save this file.

File name: jinitssl.exe  
File type: Application  
From: www.accsp.org

 This type of file could harm your computer if it contains malicious code.

Would you like to open the file or save it to your computer?

Always ask before opening this type of file

## DOWNLOADS

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2. [Adobe Acrobat](#) - You may already have this common program. It will allow you to print receipts and landings reports.

Internet



Standard Atlantic Fisheries Information Systems - CD - Microsoft Internet Explorer

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1. Dial-up or high-speed Internet access
2. Microsoft Internet Explorer version 5.5 or higher. You can check your version by checking your browser's Help menu and selecting About
3. A monitor that accommodates a resolution of 800x600 pixels. To check your monitor's resolution, go to Control Panel's Display icon and select Settings.
4. At least 256 KB of available free hard disk space. To check your hard disk space, go to Control Panel's Settings or System icon to see the amount of RAM you have available.
5. We recommend that your computer run Windows 98, 2000 or XP. Earlier versions of Windows (95 or ME) might work, but will not be supported.

Jinitiator

Extracting Jinitiator and SAFIS Security Certificate

OK

## DOWNLOADS

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1. [J-Initiator](#) - This will install software to enable SAFIS to run on your computer as well as the SAFIS internet security certificate.
2. [Adobe Acrobat](#) - You may already have this common program. It will allow you to print receipts and landings reports.

Done Internet

Standard Atlantic Fisheries Information Systems - CD - Microsoft Internet Explorer

File Edit View Favorites Tools Help

Address <http://www.accsp.org/safiscd/start.htm> Go Links >>

C:\WINNT\system32\cmd.exe

```
C:\DOCUME~1\ABBEY_~1.ACC\LOCALS~1\Temp\WZSE0.TMP>jinit -s -sm
```

Setup

Oracle JInitiator Setup is preparing the InstallShield® Wizard which will guide you through the rest of the setup process. Please wait.

100 %

4. At least 256 k  
Settings or System icon to see the amount of RAM you have available.

5. We recommend that your computer run Windows 98, 2000 or XP. Earlier versions of Windows (95 or ME) might work, but will not be supported.

### DOWNLOADS

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2. [Adobe Acrobat](#) - You may already have this common program. It will allow you to print receipts and landings reports.

Done Internet

# Oracle JInitiator 1.3.1.9

**Choose Destination Location**



Setup will install JInitiator 1.3.1.9 in the following folder.  
To install to this folder, click Next.  
To install to a different folder, click Browse and select another folder.  
You can choose not to install JInitiator 1.3.1.9 by clicking Cancel to exit Setup.

Destination Folder  
C:\Program Files\Oracle\JInitiator 1.3.1.9

# *Oracle JInitiator 1.3.1.9*

**Installation Complete** 

 Oracle JInitiator installation is complete. If you are using Netscape as your web browser, you will need to close and restart Netscape before using JInitiator.



Standard Atlantic Fisheries Information System



To get started on SAFIS you need:

1. A valid user name and password from NOAA Fisheries or your state.
2. A computer that can accommodate SAFIS (see requirements below).
3. To download a few tools that make SAFIS work on your computer.

[Quick Demo](#)

[Get Started](#)

[About SAFIS](#)

[Home](#)

### USER NAME AND PASSWORD

To use SAFIS you first need a user name and password from NOAA Fisheries Northeast Region or your state resource agency. If you intend to use SAFIS, but do not have a user name or PIN, call

### COMPUTER REQUIREMENTS

To accommodate SAFIS your computer must have the following:

1. Dial-up or high-speed Internet access
2. Microsoft Internet Explorer version 5.5 or higher. You can check your version by checking your browser's Help menu and selecting About.



Standard Atlantic Fisheries Information System



Created By The Partners Of The ACCSP



[Quick Demo](#)

[Get Started](#)

[About SAFIS](#)

SAFIS is a real-time, web-based reporting system for commercial landings on the Atlantic coast. The Northeast Region of the National Marine Fisheries Service (NOAA Fisheries) and the Atlantic coastal states have worked together to bring this state-of-the-art tool to the seafood industry.

**Users Log-In**

Loading Java Applet ...



Username

Password

Go Log Books

Enter  
 Save  
 Edit  
 Delete  
 Complete  
 View  
 Favorites  
 Reports  
 Home  
 Sys Admin

Contact Log  
 File Upload  
 No Reports  
 Lic. Transfer  
 Exit SAFIS

Empty rectangular box for user input.



- SAFIS Help
- Web Pages
- About SAFIS
- Functions

Please enter your username and password then click GO to enable all functions



Username  
**FEDUSER**

Password  
\*\*\*\*

Go Log Books

Enter  
Save  
Edit  
Delete  
Complete  
View  
Favorites  
Reports  
Home  
Sys Admin

Contact Log  
File Upload  
No Reports  
Lic. Transfer  
**Exit SAFIS**



SAFIS Help

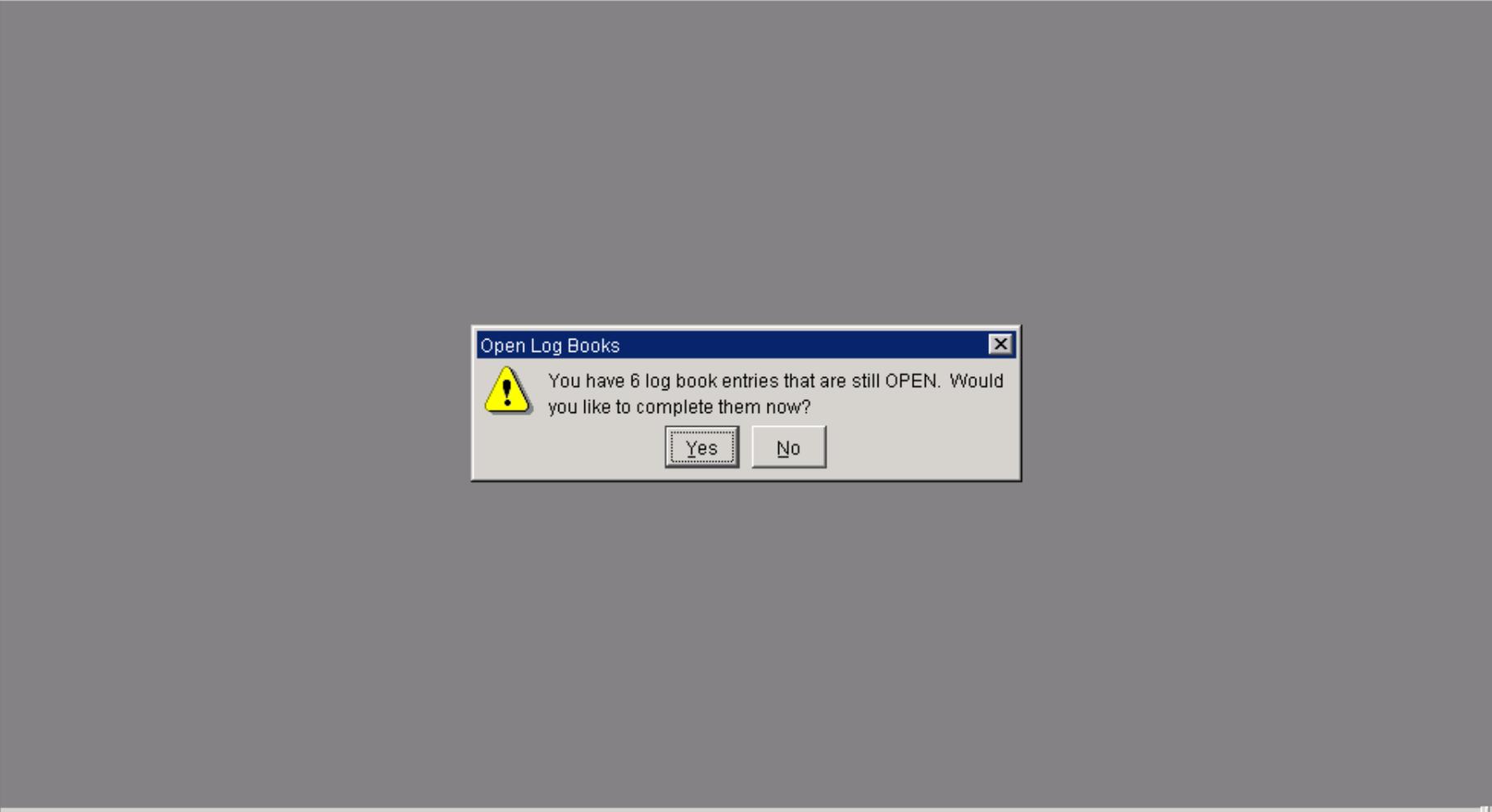
Web Pages

About SAFIS

Functions

Please enter your username and password then click GO to enable all functions

Window



Record: 1/1

	...			
--	-----	--	--	--

**Open Log Books** [X]

 You have 6 log book entries that are still OPEN. Would you like to complete them now?



SAFIS



SAFIS  
Username  
FEDUSER  
Password  
\*\*\*\*\*

Go Log Books

Enter  
Save  
Edit  
Delete  
Complete  
View  
Favorites  
Reports  
Home

Contact Log  
File Upload  
No Reports  
Lic. Transfer  
Exit SAFIS

### Welcome to the Standard Atlantic Fisheries Information System - SAFIS

5/1/04 – Federal dealers in the Northeast are required to submit landings data through SAFIS starting today.

4/2/04 – Entangled Right Whale Update: The satellite telemetry buoy attached to a line trailing the whale continues to function and indicates the whale is still swimming, and generally moving northward. It is currently off the coast of Virginia. Weather in this area continues with high seas (6-8 feet) including rain and fog.



SAFIS Help   
 About SAFIS 

Web Pages   
 Functions 



GOOD MORNING JOHN DOE FISH COMPANY



**SAFIS**  
Username  
FEDUSER  
Password  
\*\*\*\*  
Go Log Books

Enter  
Save  
Edit  
Delete  
Complete  
View  
Favorites  
Reports  
Home

Contact Log  
File Upload  
No Reports  
Lic. Transfer  
**Exit SAFIS**

Menu Options

Please Select The Function You Wish To Perform

- FinFish Dealer Reports
- ShellFish Dealer Log Book
- Fishermen Lobster Log Book
- Negative Report

Continue Exit




**SAFIS**  
 Username: FEDUSER  
 Password: \*\*\*\*\*

Go Log Books

Enter  
 Save  
 Edit  
 Delete  
 Complete  
 View  
 Favorites  
 Reports  
 Home

Contact Log  
 File Upload  
 No Reports  
 Lic. Transfer  
 Exit SAFIS

Northern Species List **1** Purchases From Fishing Vessels **2** NMFS - Northeast Region

**Dealer Information**

Name: JOHN DOE FISH COMPANY  
 Addr.: ONE BLACKBURN DRIVE  
 City: GLOUCESTER State: RI  
 Zip: 01930 Dealer Fed #: 0001

[View Reports](#)

CTRL-L for Frequently Used Fisherman List ALL State License #

Fisherman: [Dropdown]  
 Port Landed: [Dropdown]  
 Dt. Landed: 04/09/04 Time: 15:43 Vessel Fed Permit #: [Dropdown]  
 Dt. Purchased: 04/09/04 No Vessel  USCG/St Reg #: [Dropdown]  
 VTR Serial #: [Dropdown]

**3**

Species Selection Tree	Species	Grade	Market Category	Unit	Dispo.	Gear	Qty	Price	Dollars
[-] Species					FOOD				
[-] Alewife									
[-] Amberjack									
[-] Anchovy, Bay									
[-] Anglerfish									
[-] Barbier, Red									
[-] Barracuda									
[-] Barrelfish									
[-] Bearded Brotula									
[-] Big Roughy									
[-] Black Bellied Ro									
[-] Black Whiting/Si									
[-] Blackfin Gooset									

You Are Entering Log Books For: JOHN DOE FISH CO Click to Refresh Total Total Dollars: .00

Fisherman for this set of landings. Selection list for frequently-used Fishermen.



SAFIS



SAFIS  
Username  
FEDUSER  
Password  
\*\*\*\*

- Go Log Books
- Enter
- Save
- Edit
- Delete
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- Exit SAFIS

Northern Species List

Purchases From Fishing Vessels

NMFS - Northeast Region

**Dealer Information**

Name: JOHN DOE FISH COMPANY  
 Addr.: ONE BLACKBURN DRIVE  
 City: GLOUCESTER State: RI  
 Zip: 01930 Dealer Fed #: 0001

[View Reports](#)

[CTRL-L for Frequently Used Fisherman List](#) **ALL** State License #

Fisherman: [dropdown] State License #: [dropdown]  
 Port Landed: [dropdown] State License #: [dropdown]  
 Dt. Landed: 04/08/04 Time: 15:43 Vessel Fed Permit #: [dropdown]  
 Dt. Purchased: 04/08/04 No Vessel:  USCG/St Reg #: [dropdown]  
 VTR Serial #: [dropdown] Vessel: [dropdown]

Species Selection Tree	Species	Grade	Market Category	Unit	Dispo.	Gear	Qty	Price	Dollars
[-] Species									
[-] Alewife									
[-] Amberjack									
[-] Anchovy_Bay									
[-] Anglerfish									
[-] Barbier, Red									
[-] Barracuda									
[-] Barrelfish									
[-] Bearded Brotula									
[-] Big Roughy									
[-] Black Bellied Ro									
[-] Black Whiting/Si									
[-] Blackfin Gooset									

Frequently Used Fishermen and Associated Vessels

Find %

Fisherman	St Lic #
Tom Joad	97775
Jean Valjean	24601
Frank Kennedy	11939

Find OK Cancel

You Are Entering Log Books For: NOAA FISHERIES

Click to Refresh Total

Total Dollars: .00



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Northern Species List

Purchases From Fishing Vessels

NMFS - Northeast Region

**Dealer Information**

Name: JOHN DOE FISH COMPANY  
 Addr.: ONE BLACKBURN DRIVE  
 City: GLOUCESTER State: RI  
 Zip: 01930 Dealer Fed #: 0001

[View Reports](#)

[CTRL-L for Frequently Used Fisherman List](#) ALL State License #

Fisherman: [dropdown] [dropdown]  
 Port Landed: [dropdown] [dropdown]  
 Dt. Landed: 04/08/04 Time: 15:43 Vessel Fed Permit #: [dropdown]  
 Dt. Purchased: 04/08/04 No Vessel:  USCG/St Reg #: [dropdown]  
 VTR Serial #: [dropdown] Vessel: [dropdown]

Species Selection Tree	Species	Grade	Market Category	Unit	Dispo.	Gear	Qty	Price	Dollars
[Species Selection Tree: Alewife, Amberjack, Anchovy_Bay, Anglerfish, Barbier, Red, Barracuda, Barrelfish, Bearded Brotula, Big Roughy, Black Bellied Ro, Black Whiting/Si, Blackfin Gooset]									
[Empty table rows]									

**Fisherman and State License (if any) - By Partner**

Find %

Fisherman	State Lic #
Tom Joad	97775
Jean Valjean	24601
Frank Kennedy	11939

Find OK Cancel

You Are Entering Log Books For: NOAA FISHERIES Click to Refresh Total Total Dollars: .00




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Northern Species List

Purchases From Fishing Vessels

NMFS - Northeast Region

**Dealer Information**

Name: JOHN DOE FISH COMPANY  
 Addr.: ONE BLACKBURN DRIVE  
 City: GLOUCESTER State: RI  
 Zip: 01930 Dealer Fed #: 0001

[View Reports](#)

**Fisherman:** JOHSEPH E. FISHERMAN, SR  
 State License #: #####

**Port Landed:** NAME

**Dt. Landed:** 04/09/04 **Time:** 15:43 **Vessel Fed Permit #:** #####

**Dt. Purchased:** 04/09/04 **No Vessel:**  **USCG/St Reg #:** #####

**VTR Serial #:** **Vessel:** DAUGHTER'S NAME

Species Selection Tree	Species	Grade	Market Category	Unit	Dispo.	Gear	Qty	Price	Dollars
[-] Species					FOOD				
[-] Alewife									
[-] Amberjack									
[-] Anchovy, Bay									
[-] Anglerfish									
[-] Barbier, Red									
[-] Barracuda									
[-] Barrelfish									
[-] Bearded Brotula									
[-] Big Roughy									
[-] Black Bellied Ro									
[-] Black Whiting/Si									
[-] Blackfin Gooset									

You Are Entering Log Books For: JOHN DOE FISH CO

Click to Refresh Total **Total Dollars:** .00

Vessel Name -

Record: 1/1




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 Lic. Transfer  
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Northern Species List      Purchases From Fishing Vessels      NMFS - Northeast Region

**Dealer Information**

Name: JOHN DOE FISH COMPANY  
 Addr.: ONE BLACKBURN DRIVE  
 City: GLOUCESTER      State: RI  
 Zip: 01930      Dealer Fed #: 0001

[View Reports](#)

**Purchases From Fishing Vessels**

CTRL-L for Frequently Used Fisherman List      ALL      State License #

Fisherman: JOHSEPH E. FISHERMAN, SR      #####  
 Port Landed: NAME  
 Dt. Landed: 04/09/04      Time: 15:43      Vessel Fed Permit #: #####  
 Dt. Purchased: 04/09/04      No Vessel:       USCG/St Reg #: #####  
 VTR Serial #: #####      Vessel: DAUGHTER'S NAME

Species Selection Tree	Species	Grade	Market Category	Unit	Dispo.	Gear	Qty	Price	Dollars
[-] Species					FOOD				
[-] Alewife									
[-] Amberjack									
[-] Anchovy, Bay									
[-] Anglerfish									
[-] Barbier, Red									
[-] Barracuda									
[-] Barrelfish									
[-] Bearded Brotula									
[-] Big Roughy									
[-] Black Bellied Ro									
[-] Black Whiting/Si									
[-] Blackfin Gooset									

You Are Entering Log Books For: JOHN DOE FISH CO      Click to Refresh Total      Total Dollars: .00

Vessel Name -

Record: 1/1




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Northern Species List      Purchases From Fishing Vessels      NMFS - Northeast Region

**Dealer Information**

Name: JOHN DOE FISH COMPANY  
 Addr.: ONE BLACKBURN DRIVE  
 City: GLOUCESTER      State: RI  
 Zip: 01930      Dealer Fed #: 0001

[View Reports](#)

**Fisherman:** JOSEPH E. FISHERMAN, SR      State License #: #####

**Port Landed:** NAME

**Dt. Landed:** 04/08/04      **Time:** 15:43      **Vessel Fed Permit #:**

**Dt. Purchased:** 04/08/04      **No Vessel:**       **USCG/St Reg #:** #####

**VTR Serial #:** #####      **Vessel:** MIDNIGHTRAMBLER

Species Selection Tree	Species	Grade	Market Category	Unit	Dispo.	Gear	Qty	Price	Dollars
Species					FOOD	TRAWLS			
+ Alewife									
+ Amberjack									
+ Anchovy, Bay									
+ Anglerfish									
+ Barbier, Red									
+ Barracuda									
+ Barrelfish									
+ Bearded Brotula									
+ Big Roughy									
+ Black Bellied Ros									
+ Black Whiting/Sil									
+ Blackfin Goosefi									

You Are Entering Log Books For: NOAA FISHERIES      Click to Refresh Total      Total Dollars: .00



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Northern Species List

Purchases From Fishing Vessels

NMFS - Northeast Region

**Dealer Information**

Name: JOHN DOE FISH COMPANY  
 Addr.: ONE BLACKBURN DRIVE  
 City: GLOUCESTER State: RI  
 Zip: 01930 Dealer Fed #: 0001

[View Reports](#)

**Fisherman:** JOSEPH E. FISHERMAN, SR. **State License #:** #####

**Port Landed:** NAME

**Dt. Landed:** 04/08/04 **Time:** 15:43 **Vessel Fed Permit #:**

**Dt. Purchased:** 04/08/04 **No Vessel:**  **USCG/St Reg #:** #####

**VTR Serial #:** ##### **Vessel:** MIDNIGHTRAMBLER

Species Selection Tree	Species	Grade	Market Category	Unit	Dispo.	Gear	Qty	Price	Dollars
[-] Grunts					FOOD	TRAWLS			
[-] Haddock									
[-] Gutted-Large C									
[-] Gutted-Medium									
[-] Gutted-Scrod-L									
[-] Gutted-Snappe									
[-] Gutted-Unknow									
[-] Gutted-X-Large									
[-] Round-Unknow									
[-] Hagfish									
[-] Hake,Atlantic,Red									
[-] Hake,Atlantic,Red									
[-] Hake,Atlantic,White									

You Are Entering Log Books For: NOAA FISHERIES

Click to Refresh Total

Total Dollars: .00

Species Selection Tree - lists valid species and market categories for landings.

Record: 1/1




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**Dealer Information**

Name: JOHN DOE FISH COMPANY  
 Addr.: ONE BLACKBURN DRIVE  
 City: GLOUCESTER State: RI  
 Zip: 01930 Dealer Fed #: 0001

[View Reports](#)

[CTRL-L for Frequently Used Fisherman List](#) ALL State License #

Fisherman: JOSEPH E. FISHERMAN, SR State License #: #####  
 Port Landed: NAME  
 Dt. Landed: 04/08/04 Time: 15:43 Vessel Fed Permit #  
 Dt. Purchased: 04/08/04 No Vessel  USCG/St Reg # #####  
 VTR Serial #: ##### Vessel: MIDNIGHTRAMBLER

Species Selection Tree	Species	Grade	Market Category	Unit	Dispo.	Gear	Qty	Price	Dollars
[-] Grunts	HADDOCK	GUTTED	LARGE OR LOBSTER	LB	FOOD	TRAWLS			
[-] Haddock									
[-] Gutted-Large C									
[-] Gutted-Medium									
[-] Gutted-Scrod-L									
[-] Gutted-Snappe									
[-] Gutted-Unknow									
[-] Gutted-X-Large									
[-] Round-Unknow									
[-] Hagfish									
[-] Hake,Atlantic,Red									
[-] Hake,Atlantic,Red									
[-] Hake,Atlantic,White									

You Are Entering Log Books For: NOAA FISHERIES Click to Refresh Total Total Dollars: .00



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Northern Species List

Purchases From Fishing Vessels

NMFS - Northeast Region

**Dealer Information**

Name: JOHN DOE FISH COMPANY  
 Addr.: ONE BLACKBURN DRIVE  
 City: GLOUCESTER State: RI  
 Zip: 01930 Dealer Fed #: 0001

[View Reports](#)

**Fisherman:** JOSEPH E. FISHERMAN, SR  
**Port Landed:** NAME  
**Dt. Landed:** 04/08/04 **Time:** 15:43 **Vessel Fed Permit #:**  
**Dt. Purchased:** 04/08/04 **No Vessel:**  **USCG/St Reg #:** #####  
**VTR Serial #:** ##### **Vessel:** MIDNIGHTRAMBLER

Species Selection Tree	Species	Grade	Market Category	Unit	Dispo.	Gear	Qty	Price	Dollars
[-] Grunts	HADDOCK	GUTTED	LARGE OR LOBSTER	LB	FOOD	TRAWLS	500.00		
[-] Haddock									
[-] Gutted-Large C									
[-] Gutted-Medium									
[-] Gutted-Scrod-L									
[-] Gutted-Snappe									
[-] Gutted-Unknow									
[-] Gutted-X-Large									
[-] Round-Unknow									
[-] Hagfish									
[-] Hake,Atlantic,Red									
[-] Hake,Atlantic,Red									
[-] Hake,Atlantic,White									

You Are Entering Log Books For: NOAA FISHERIES

Click to Refresh Total **Total Dollars:** .00

Price in doallrs per unit reported.

Record: 1/1



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Northern Species List

Purchases From Fishing Vessels

NMFS - Northeast Region

**Dealer Information**

Name: JOHN DOE FISH COMPANY  
 Addr.: ONE BLACKBURN DRIVE  
 City: GLOUCESTER State: RI  
 Zip: 01930 Dealer Fed #: 0001

[View Reports](#)

**Fisherman:** JOSEPH E. FISHERMAN, SR  
**Port Landed:** NAME  
**Dt. Landed:** 04/08/04 **Time:** 15:43 **Vessel Fed Permit #:**  
**Dt. Purchased:** 04/08/04 **No Vessel:**  **USCG/St Reg #:** #####  
**VTR Serial #:** ##### **Vessel:** MIDNIGHTRAMBLER

[CTRL-L for Frequently Used Fisherman List](#) [ALL](#) [State License #](#)

Species Selection Tree	Species	Grade	Market Category	Unit	Dispo.	Gear	Qty	Price	Dollars
[-] Grunts	HADDOCK	GUTTED	LARGE OR LOBSTER	LB	FOOD	DIP NETS A	500.00	1.15	
[-] Haddock									
[-] Gutted-Large C									
[-] Gutted-Medium									
[-] Gutted-Scrod-L									
[-] Gutted-Snappe									
[-] Gutted-Unknow									
[-] Gutted-X-Large									
[-] Round-Unknow									
[-] Hagfish									
[-] Hake,Atlantic,Red									
[-] Hake,Atlantic,Red									
[-] Hake,Atlantic,White									

You Are Entering Log Books For: NOAA FISHERIES

Click to Refresh Total **Total Dollars:** .00

Price in doallrs per unit reported.

Record: 1/1



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Northern Species List

Purchases From Fishing Vessels

NMFS - Northeast Region

**Dealer Information**

Name: JOHN DOE FISH COMPANY  
 Addr.: ONE BLACKBURN DRIVE  
 City: GLOUCESTER State: RI  
 Zip: 01930 Dealer Fed #: 0001

[View Reports](#)

**Fisherman:** JOSEPH E. FISHERMAN, SR. **State License #:** #####

**Port Landed:** NAME

**Dt. Landed:** 04/08/04 **Time:** 15:43 **Vessel Fed Permit #:**

**Dt. Purchased:** 04/08/04 **No Vessel:**  **USCG/St Reg #:** #####

**VTR Serial #:** ##### **Vessel:** MIDNIGHTRAMBLER

Species Selection Tree	Species	Grade	Market Category	Unit	Dispo.	Gear	Qty	Price	Dollars
[-] Grunts	HADDOCK	GUTTED	LARGE OR LOBSTER L	LB	FOOD	TRAWLS	500.00	1.15	575.00
[-] Haddock					FOOD	TRAWLS			
[-] Gutted-Large C									
[-] Gutted-Medium									
[-] Gutted-Scrod-L									
[-] Gutted-Snappe									
[-] Gutted-Unknow									
[-] Gutted-X-Large									
[-] Round-Unknow									
[-] Hagfish									
[-] Hake,Atlantic,Red									
[-] Hake,Atlantic,Red									
[-] Hake,Atlantic,White									



You Are Entering Log Books For: NOAA FISHERIES

Click to Refresh Total **Total Dollars: 575.00**

Common name for species. - select from tree or pick list.



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Northern Species List

Purchases From Fishing Vessels

NMFS - Northeast Region

**Dealer Information**

Name: JOHN DOE FISH COMPANY  
 Addr.: ONE BLACKBURN DRIVE  
 City: GLOUCESTER State: RI  
 Zip: 01930 Dealer Fed #: 0001

[View Reports](#)

**Fisherman:** JOSEPH E. FISHERMAN, SR  
**Port Landed:** NAME  
**Dt. Landed:** 04/08/04 **Time:** 15:43 **Vessel Fed Permit #:**  
**Dt. Purchased:** 04/08/04 **No Vessel:**  **USCG/St Reg #:** #####  
**VTR Serial #:** ##### **Vessel:** MIDNIGHTRAMBLER

[CTRL-L for Frequently Used Fisherman List](#) **ALL** **State License #**

Species Selection Tree	Species	Grade	Market Category	Unit	Dispo.	Gear	Qty	Price	Dollars
[-] Grunts	HADDOCK	GUTTED	LARGE OR LOBSTER	LB	FOOD	DIP NETS A	500.00	1.15	575.00
[-] Haddock									
[-] Gutted-Large C									
[-] Gutted-Medium									
[-] Gutted-Scrod-L									
[-] Gutted-Snappe									
[-] Gutted-Unknow									
[-] Gutted-X-Large									
[-] Round-Unknow									
[-] Hagfish									
[-] Hake,Atlantic,Red									
[-] Hake,Atlantic,Red									
[-] Hake,Atlantic,White									

You Are Entering Log Books For: NOAA FISHERIES

Click to Refresh Total

Total Dollars: 575.00

Reported Quantity - in the selected Unit of Measure

Record: 1/1



**A Dealer Report Has Been Filed**

You have successfully entered Log Book #:

**What would you like to do next?**

Enter another dealer log book  Yes  No

Print current dealer log book receipt  Yes  No

Return to home page  Yes  No






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### Welcome to the Standard Atlantic Fisheries Information System - SAFIS

5/1/04 – Federal dealers in the Northeast are required to submit landings data through SAFIS starting today.

4/2/04 – Entangled Right Whale Update: The satellite telemetry buoy attached to a line trailing the whale continues to function and indicates the whale is still swimming, and generally moving northward. It is currently off the coast of Virginia. Weather in this area continues with high seas (6-8 feet) including rain and fog.



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### Welcome to the Standard Atlantic Fisheries Information System - SAFIS

5/1/04 – Federal dealers in the Northeast are required to submit landings data through SAFIS starting today.

4/2/04 – Entangled Right Whale Update: The satellite telemetry buoy attached to a line trailing the whale continues to function and indicates the whale is still swimming, and generally moving northward. It is currently off the coast of Virginia. Weather in this area continues with high seas (6-8 feet) including rain and fog.



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### Welcome to the Standard Atlantic Fisheries Information System - SAFIS

Dealer Logs Reports

The dealer report(s) listed below are STILL OPEN, please fill in the appropriate values

DEALER REPORT(s)		Date of Purchase	Landing Date	Vessel Trip Report Serial #
First	▲	26-MAR-2004	25-MAR-2004	1111
Next		09-APR-2004	08-APR-2004	
Previous		09-APR-2004	08-APR-2004	
Last	▼	09-APR-2004	08-APR-2004	88956

FISHERMAN Vessel Name MIDNIGHTRAMBLER

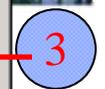
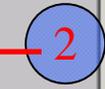
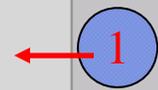
First Name	MI	Last Name	License Number
JAMES	J	NOON	PEL000976

LANDING(s)

Common Name	Market Description	Disposition	Reported			
			Quantity	Units	Price	Dollars
▲ ANGLERFISH	Small	Food	200	LB	1	200
CUSK	Large	Food	300	LB	.5	150
HADDOCK	Large	Food	300	LB	1.5	450
HADDOCK	Extra Large	Food		LB		

NOTE: Fields in Red are REQUIRED.

Save Exit



Window



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Welcome to the Standard Atlantic Fisheries Information System - SAFIS

**Dealer Logs Reports**

The dealer report(s) listed below are STILL OPEN, please fill in the appropriate values

DEALER REPORT(s)		Date of Purchase	Landing Date	Vessel Trip Report Serial #
First	▲	26-MAR-2004	25-MAR-2004	1111
Next		09-APR-2004	08-APR-2004	
Previous		09-APR-2004	08-APR-2004	
Last	▼	09-APR-2004	08-APR-2004	88956

**FISHERMAN** Vessel Name: MIDNIGHTRAMBLER

First Name	MI	Last Name	License Number
JAMES	J	NOON	PEL000976

**LANDING(s)**

Common Name	Market Description	Disposition	Reported			
			Quantity	Units	Price	Dollars
▲ ANGLERFISH	Small	Food	200	LB	1	200
CUSK	Large	Food	300	LB	.5	150
HADDOCK	Large	Food	300	LB	1.5	450
HADDOCK	Extra Large	Food	800	LB	1.3	1040
▼						

**NOTE: Fields in Red are REQUIRED.**

Save Exit



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### Welcome to the Standard Atlantic Fisheries Information System - SAFIS

5/1/04 – Federal dealers in the Northeast are required to submit landings data through SAFIS starting today.

4/2/04 – Entangled Right Whale Update: The satellite telemetry buoy attached to a line trailing the whale continues to function and indicates the whale is still swimming, and generally moving northward. It is currently off the coast of Virginia. Weather in this area continues with high seas (6-8 feet) including rain and fog.



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### Welcome to the Standard Atlantic Fisheries Information System - SAFIS

5/1/04 – Federal dealers in the Northeast are required to submit landings data through SAFIS starting today.

4/2/04 – Entangled Right Whale Update: The satellite telemetry buoy attached to a line trailing the whale continues to function and indicates the whale is still swimming, and generally moving northward. It is currently off the coast of Virginia. Weather in this area continues with high seas (6-8 feet) including rain and fog.

Search Criteria

Search Records By:

Date Range       Vessel

Confirmation #       Show All

From: 03/10/04      To: 04/09/04

Vessel: \_\_\_\_\_

Conf #: \_\_\_\_\_

Continue      Exit



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### Welcome to the Standard Atlantic Fisheries Information System - SAFIS

5/1/04 – Federal dealers in the Northeast are required to submit landings data through SAFIS starting today.

4/2/04 – Entangled Right Whale Update: The satellite telemetry buoy attached to a line trailing the whale continues to function and indicates the whale is still swimming, and generally moving northward. It is currently off the coast of Virginia. Weather in this area continues with high seas (6-8 feet) including rain and fog.



- SAFIS Help 
- Web Pages 
- About SAFIS 
- Functions 



GOOD MORNING JOHN DOE FISH COMPANY.



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### Welcome to the Standard Atlantic Fisheries Information System - SAFIS

10/31/2003 NMFS version of SAFIS is in testing phase

Define Favorite Species List Items

Please Select Your Favorites Species List Items for -> **FIN FISH**

	Species Common Name	Grade-Market-Unit
<input checked="" type="checkbox"/>	BUTTERFISH	Round-Large/Mix-Lb
<input type="checkbox"/>	BUTTERFISH	Round-Medium -Lb
<input type="checkbox"/>	BUTTERFISH	Round-Small-Lb
<input type="checkbox"/>	BUTTERFISH	Round-Super Super Small-Lb
<input type="checkbox"/>	BUTTERFISH	Round-Unknown-Lb
<input type="checkbox"/>	CAPELIN	Round-Unknown-Lb
<input checked="" type="checkbox"/>	<b>CARP</b>	<b>Round-Unknown-Lb</b>
<input type="checkbox"/>	CATFISH, BLUE	Round-Unknown-Lb

Save Exit

About SAFIS  Functions 

Please enter your username and password then click GO to enable all functions

## GOOD AFTERNOON JOHN DOE FISH CO



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Standard Fisheries Information Sys Reports

#### Standard Fisheries Information System Reports

- Landings and Dollars by Vessel
- Landings and Dollars by License Holder
- Landings and Dollars by Species
- Landings and Dollars by Date Range
- Dealer Log Book Receipt

Execute Report      Exit

About SAFIS       Functions 

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Search Criteria

Enter Date Range For Selected Report

From Date: 09/22/02 MM/DD/YY  
To Date: 09/27/02 MM/DD/YY

Execute Report      Exit



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GOOD MORNING JOHN DOE FISH COMPANY.

JOHN DOE FISH COMPANY

Landings and Dollars by Speci

From: 22-SEP-02 To: 27-SEP-02

Common Nam	Landing Dat	Date Of Pu	Pounds	Price	Dollars
ALBACORE	09/23/02	09/23/02	100	.8	\$80
				<b>Total:</b>	<b>\$80</b>
BASS, STRIPED	09/26/02	09/26/02	60	1.5	\$90
				<b>Total:</b>	<b>\$90</b>
COD, ATLANTIC	09/26/02	09/26/02	3	2	\$6
	09/25/02	09/25/02	4	3	\$12
	09/24/02	09/24/02	9	2	\$18
	09/24/02	09/24/02	4	5	\$20
	09/23/02	09/23/02	100	.23	\$23
	09/24/02	09/24/02	5	5	\$25
	09/26/02	09/26/02	5	6	\$30
	09/24/02	09/24/02	6	8	\$48
	09/26/02	09/26/02	45	1.2	\$54
	09/23/02	09/23/02	33	2	\$66
	09/24/02	09/24/02	33	2	\$66
	09/23/02	09/23/02	100	.75	\$75
	09/26/02	09/26/02	75	1.29	\$96.75
	09/24/02	09/24/02	44	3	\$132
	09/24/02	09/24/02	44	3	\$132
	09/23/02	09/23/02	150	1.25	\$187.5
	09/23/02	09/23/02	35	6	\$210
				<b>Total:</b>	<b>\$1,201.25</b>
CUSK	09/26/02	09/26/02	40		



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### Welcome to the Standard Atlantic Fisheries Information System - SAFIS

10/31/2003 NMFS version of SAFIS is in testing phase



Forms

Please enter an Email Address  
Daily Confirmations will be emailed to this address.

OK

- SAFIS Help 
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Please enter your username and password then click GO to enable all functions  
**GOOD AFTERNOON JOHN DOE FISH CO**



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### Welcome to the Standard Atlantic Fisheries Information System - SAFIS

10/31/2007 NMFS version of SAFIS is in testing phase

**SAFIS Dealer Report Confirmation**

Dealer Name: Good Afternoon JOHN DOE FISH CO  
 Location: ONE BLACKBURN DRIVE  
 GLOUCESTER, RI 01930  
 State License/Permit #  
 Federal Permit # 0001

Email Address for Report Confirmation Purposes: johndoefishcompany@xxxxx.net

I certify that the information provided is true, complete and correct  
 to the best of my knowledge, and made in good faith:  
**MAKING A FALSE STATEMENT THROUGH THIS APPLICATION IS PUNISHABLE BY LAW (18 U.S.C. 1001)**

User SAFIS Account Password: \*\*\*\*

**CONFIRM SUBMISSION and EXIT**      **EXIT - NO CONFIRMATION**

About SAFIS       Functions 

Please enter your username and password then click GO to enable all functions  
**GOOD AFTERNOON JOHN DOE FISH CO**

Enter your SAFIS account password. This serves as validation that an authorized user has entered this report.

351.303. *See also Antidumping and Countervailing Duty Proceedings: Electronic Filing Procedures; Administrative Protective Order Procedures*, 76 FR 39263 (July 6, 2011).

This notice serves as a reminder that any party submitting factual information in an AD/CVD proceeding must certify to the accuracy and completeness of that information. *See* section 782(b) of the Act. Parties are hereby reminded that revised certification requirements are in effect for company/government officials as well as their representatives in all AD/CVD investigations or proceedings initiated on or after March 14, 2011. *See Certification of Factual Information to Import Administration During Antidumping and Countervailing Duty Proceedings: Interim Final Rule*, 76 FR 7491 (February 10, 2011) (“*Interim Final Rule*”) amending 19 CFR 351.303(g)(1) and (2) and supplemented by *Certification of Factual Information To Import Administration During Antidumping and Countervailing Duty Proceedings: Supplemental Interim Final Rule*, 76 FR 54697 (September 2, 2011). The formats for the revised certifications are provided at the end of the *Interim Final Rule*. The Department intends to reject factual submissions if the submitting party does not comply with the revised certification requirements.

Pursuant to 19 CFR 351.103(d), the Department will maintain and make available a service list for these proceedings. To facilitate the timely preparation of the service list(s), it is requested that those seeking recognition as interested parties to a proceeding contact the Department in writing within 10 days of the publication of the Notice of Initiation.

Because deadlines in Sunset Reviews can be very short, we urge interested parties to apply for access to proprietary information under administrative protective order (“APO”) immediately following publication in the **Federal Register** of this notice of initiation by filing a notice of intent to participate. The Department’s regulations on submission of proprietary information and eligibility to receive access to business proprietary information under APO can be found at 19 CFR 351.304–306.

#### Information Required From Interested Parties

Domestic interested parties defined in section 771(9)(C), (D), (E), (F), and (G) of the Act and 19 CFR 351.102(b) wishing to participate in a Sunset Review must respond not later than 15 days after the date of publication in the **Federal Register** of this notice of initiation by

filing a notice of intent to participate. The required contents of the notice of intent to participate are set forth at 19 CFR 351.218(d)(1)(ii). In accordance with the Department’s regulations, if we do not receive a notice of intent to participate from at least one domestic interested party by the 15-day deadline, the Department will automatically revoke the order without further review. *See* 19 CFR 351.218(d)(1)(iii).

If we receive an order-specific notice of intent to participate from a domestic interested party, the Department’s regulations provide that *all parties* wishing to participate in a Sunset Review must file complete substantive responses not later than 30 days after the date of publication in the **Federal Register** of this notice of initiation. The required contents of a substantive response, on an order-specific basis, are set forth at 19 CFR 351.218(d)(3). Note that certain information requirements differ for respondent and domestic parties. Also, note that the Department’s information requirements are distinct from the Commission’s information requirements. Please consult the Department’s regulations for information regarding the Department’s conduct of Sunset Reviews.<sup>1</sup> Please consult the Department’s regulations at 19 CFR Part 351 for definitions of terms and for other general information concerning antidumping and countervailing duty proceedings at the Department.

This notice of initiation is being published in accordance with section 751(c) of the Act and 19 CFR 351.218 (c).

Dated: March 25, 2013.

**Edward C. Yang**,

*Senior Director, China/Non-Market Economy Unit.*

[FR Doc. 2013–07550 Filed 4–1–13; 8:45 am]

**BILLING CODE 3510–DS–P**

<sup>1</sup> In comments made on the interim final sunset regulations, a number of parties stated that the proposed five-day period for rebuttals to substantive responses to a notice of initiation was insufficient. This requirement was retained in the final sunset regulations at 19 CFR 351.218(d)(4). As provided in 19 CFR 351.302(b), however, the Department will consider individual requests to extend that five-day deadline based upon a showing of good cause.

## DEPARTMENT OF COMMERCE

### National Oceanic and Atmospheric Administration

#### Proposed Information Collection; Comment Request; Northeast Region Dealer Purchase Reports

**AGENCY:** National Oceanic and Atmospheric Administration (NOAA), Commerce.

**ACTION:** Notice.

**SUMMARY:** The Department of Commerce, as part of its continuing effort to reduce paperwork and respondent burden, invites the general public and other Federal agencies to take this opportunity to comment on proposed and/or continuing information collections, as required by the Paperwork Reduction Act of 1995.

**DATES:** Written comments must be submitted on or before June 3, 2013.

**ADDRESSES:** Direct all written comments to Jennifer Jessup, Departmental Paperwork Clearance Officer, Department of Commerce, Room 6616, 14th and Constitution Avenue NW., Washington, DC 20230 (or via the Internet at [Jjessup@doc.gov](mailto:Jjessup@doc.gov)).

**FOR FURTHER INFORMATION CONTACT:** Requests for additional information or copies of the information collection instrument and instructions should be directed to David Ulmer, (757) 723–0303 or [David.Ulmer@noaa.gov](mailto:David.Ulmer@noaa.gov).

#### SUPPLEMENTARY INFORMATION:

##### I. Abstract

This request is for extension of a current information collection.

Federally permitted dealers, and any individual acting in the capacity of a dealer, must submit to NOAA’s National Marine Fisheries Service (NMFS) Regional Administrator or to the official designee a detailed report of all fish purchased or received for a commercial purpose, other than solely for transport on land by one of the available electronic reporting mechanisms approved by NMFS. The information obtained is used by economists, biologists, and managers in the management of the fisheries. The data collection parameters are consistent with the current requirements for Federal dealers under the authority of the Magnuson-Stevens Fishery Conservation and Management Act.

##### II. Method of Collection

Dealers submit purchase information through an electronic process by either the Web-based system as administered by the Atlantic Coast Cooperative Statistics Program, the computer-based

trip ticket program issued by the NMFS or through a NMF- approved proprietary mechanism, a private industry program which has met NMFS requirements in being able to enter data and submit files in accordance to NMFS regulations.

### III. Data

*OMB Control Number:* 0648–0229.

*Form Number:* None.

*Type of Review:* Regular submission (extension of a current information collection).

*Affected Public:* Business or other for profit organizations.

*Estimated Number of Respondents:* 781.

*Estimated Time Per Response:* 4 minutes per fishing trip.

*Estimated Total Annual Burden Hours:* 2,643.

*Estimated Total Annual Cost to Public:* \$460,200 in recordkeeping/reporting costs.

### IV. Request for Comments

Comments are invited on: (a) Whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden (including hours and cost) of the proposed collection of information; (c) ways to enhance the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology.

Comments submitted in response to this notice will be summarized and/or included in the request for OMB approval of this information collection; they also will become a matter of public record.

Dated: March 28, 2013.

**Gwellnar Banks,**

*Management Analyst, Office of the Chief Information Officer.*

[FR Doc. 2013–07624 Filed 4–1–13; 8:45 am]

**BILLING CODE 3510–22–P**

## DEPARTMENT OF COMMERCE

### National Oceanic and Atmospheric Administration

#### Proposed Information Collection; Comment Request; Southwest Region Permit Family of Forms

**AGENCY:** National Oceanic and Atmospheric Administration (NOAA), Commerce.

**ACTION:** Notice.

**SUMMARY:** The Department of Commerce, as part of its continuing effort to reduce paperwork and respondent burden, invites the general public and other Federal agencies to take this opportunity to comment on proposed and/or continuing information collections, as required by the Paperwork Reduction Act of 1995.

**DATES:** Written comments must be submitted on or before June 3, 2013.

**ADDRESSES:** Direct all written comments to Jennifer Jessup, Departmental Paperwork Clearance Officer, Department of Commerce, Room 6616, 14th and Constitution Avenue NW., Washington, DC 20230 (or via the Internet at [Jjessup@doc.gov](mailto:Jjessup@doc.gov)).

**FOR FURTHER INFORMATION CONTACT:** Requests for additional information or copies of the information collection instrument and instructions should be directed to Craig D'Angelo, (562) 980–4024 or [Craig.Dangelo@noaa.gov](mailto:Craig.Dangelo@noaa.gov).

#### SUPPLEMENTARY INFORMATION:

##### I. Abstract

This request is for revision and extension of a current information collection. Under the Magnuson-Stevens Fishery Conservation and Management Act, 16 U.S.C. 1801 et seq., permits are required for persons to participate in Federally-managed fisheries off the West Coast. There are three types of permits: Basic fishery permits for Highly Migratory Species (HMS), limited entry permits for Coastal Pelagic Species (CPS) and experimental fishing permits (EFPs). Appeals and certain waiver requests may also be submitted. Transfer applications may also be required.

The permit application forms provide basic information about permit holders and the vessels and gear being used. This information is important for understanding the nature of the fisheries and provides a link to participants. It also aids in enforcement of regulations. Minor modifications of the current HMS permit application will occur to simplify application questions.

##### II. Method of Collection

Forms are available on the internet; paper applications are also available and may be submitted by mail or FAX. In addition, an online submission option is expected to be available for Highly Migratory Species permits by April 30, 2013.

##### III. Data

*OMB Control Number:* 0648–0204.

*Form Number:* None.

*Type of Review:* Regular submission (revision and extension of a current information collection).

*Affected Public:* Business or other for-profit organizations.

*Estimated Number of Respondents:* 1,695 (HMS), 65 (CPS).

*Estimated Time per Response:* HMS permit renewal applications, 6 minutes; CPS transfers, 15 minutes; new HMS permits, 60 minutes; additional information (when requested) for the CPS fishery, 1 hour; appeals, 2 hours.

*Estimated Total Annual Burden Hours:* 170.

*Estimated Total Annual Cost to Public:* \$25,855.

### IV. Request for Comments

Comments are invited on: (a) Whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden (including hours and cost) of the proposed collection of information; (c) ways to enhance the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology.

Comments submitted in response to this notice will be summarized and/or included in the request for OMB approval of this information collection; they also will become a matter of public record.

Dated: March 27, 2013.

**Gwellnar Banks,**

*Management Analyst, Office of the Chief Information Officer.*

[FR Doc. 2013–07575 Filed 4–1–13; 8:45 am]

**BILLING CODE 3510–22–P**

## DEPARTMENT OF COMMERCE

### National Oceanic and Atmospheric Administration

**RIN 0648–XC528**

#### Fisheries of the Caribbean, Gulf of Mexico, and South Atlantic; Reef Fish Fishery of the Gulf of Mexico; Exempted Fishing Permit

**AGENCY:** National Marine Fisheries Service (NMFS), National Oceanic and Atmospheric Administration (NOAA), Commerce.

**ACTION:** Notice of receipt of an application for an exempted fishing permit; request for comments.