

NOTICE OF OFFICE OF MANAGEMENT AND BUDGET ACTION

Date 04/11/2014

Department of Commerce
National Oceanic and Atmospheric Administration

FOR CERTIFYING OFFICIAL: Simon Szykman

FOR CLEARANCE OFFICER: Jennifer Jessup

In accordance with the Paperwork Reduction Act, OMB has taken action on your request received 04/08/2014

ACTION REQUESTED: No material or nonsubstantive change to a currently approved collection

TYPE OF REVIEW REQUESTED: Regular

ICR REFERENCE NUMBER: 201404-0648-001

AGENCY ICR TRACKING NUMBER:

TITLE: Alaska Region Permit Family of Forms

LIST OF INFORMATION COLLECTIONS: See next page

OMB ACTION: Approved without change

OMB CONTROL NUMBER: 0648-0206

The agency is required to display the OMB Control Number and inform respondents of its legal significance in accordance with 5 CFR 1320.5(b).

EXPIRATION DATE: 12/31/2014

DISCONTINUE DATE:

BURDEN:	RESPONSES	HOURS	COSTS
Previous	757	316	952
New	757	316	952
Difference			
Change due to New Statute	0	0	0
Change due to Agency Discretion	0	0	0
Change due to Agency Adjustment	0	0	0
Change due to PRA Violation	0	0	0

TERMS OF CLEARANCE: Terms of the previous clearance remain in effect.

OMB Authorizing Official: Dominic J. Mancini
Acting Deputy Administrator,
Office Of Information And Regulatory Affairs

List of ICs

IC Title	Form No.	Form Name	CFR Citation
Alaska Region Permit Family of Forms - Federal Fisheries Permit	NA	Application for Federal Fisheries Permit	
Alaska Region Permit Family of Forms - Federal Processor Permit	NA	Alaska Region Federal Processor Permit Application	
Alaska Region Permit Family of Forms - Exempted Fisheries Permit			50 CFR 679.6

**JUSTIFICATION FOR CHANGE
ALASKA REGION PERMIT FAMILY OF FORMS
OMB CONTROL NO. 0648-0206**

JUSTIFICATION: Revise Federal Fisheries Permit (FFP) and Federal Processor Permit (FPP) application submission, approval, surrender, revision, and receipt [Associated rule, RIN 0648-AX78]

National Marine Fisheries Service, Alaska Region (NMFS) manages the United States (U.S.) groundfish fisheries of the exclusive economic zone off Alaska under the Fishery Management Plan for Groundfish of the Gulf of Alaska and the Fishery Management Plan for Groundfish of the Bering Sea and Aleutian Islands Management Area (FMPs). The FMPs were prepared by the North Pacific Fishery Management Council and approved by the Secretary of Commerce under authority of the Magnuson-Stevens Fishery Conservation and Management Act, 16 U.S.C. 1801 et seq. The FMPs are implemented by regulations at 50 CFR part 679.

For a person to participate in Federal fisheries, NMFS requires a Federal Fisheries Permit (FFP), a Federal Processor Permit (FPP), or an Experimental Fisheries Permit (EFP).

- ◆ The FFP is required for vessels that are used to fish for groundfish in the GOA or BSAI or engage in any fishery that requires retention of groundfish, such as the commercial halibut fishery. These vessels include catcher vessels, catcher/processors, motherships, tender vessels (buying stations), and support vessels assisting other vessels in those fisheries.
- ◆ The FPP is required for shoreside processors, stationary floating processors (SFPs), and for Community Quota Entity (CQE) floating processors that receive, process, purchase, or arrange to purchase unprocessed groundfish harvested in the GOA or BSAI.
- ◆ The EFP is issued for limited experimental purposes to support projects that could benefit the groundfish fisheries and the environment and result in gathering information not otherwise available through research or commercial fishing operations. No changes are made to this permit.

This action would revise FFP and FPP application submission, approval, surrender, revision, and receipt of these permits. The revisions would benefit the fisheries participants by reducing the time, expense, and administrative effort associated with submitting permit requests to NMFS.

- ◆ Instead of an original FFP or FPP, a current, legible copy of an FFP or FPP (such as a fax copy) would be acceptable when fishing and/or processing groundfish.
- ◆ Instead of returning an original FFP or FPP to NMFS to revise or to surrender a permit, an application would notify NMFS of the requested change.

An FFP is in effect for three years. The FFP numbers in this summary reflect the number of FFPs issued for 2012. There is no change in the respondents' response time.

Federal Fisheries Permit, respondents	
Total number of respondents (1603/3)	534
1,252 catcher vessels;	
151 catcher/processors	
28 motherships	
162 tender vessels	
10 support vessels	
Total number of responses	534
Response per participant = 1	
Total Time burden (186.90)	187 hrs
Time per response (21 min x 534)	
Total personnel costs (\$25/hr x 187)	\$4,675
Total miscellaneous costs (656.55)	\$657
Submit by fax (\$6 x 75 = 450)	
Submit by mail (.45 x 459 = 206.55)	

The time per response for Federal Government is changed from 45 minutes to 25 minutes, because the mailing of an original permit is no longer required.

Federal Fisheries Permit, Federal Government	
Total number of responses	534
Total time burden (222.50)	223 hrs
Time per response (25 min)	
Total personnel cost (\$25/hr x 223)	\$5,575
Total miscellaneous costs	\$0

An FPP is in effect for one year. The FPP numbers in this summary reflect FPPs issued for 2012. There is no change in the respondents' response time.

Federal Processor Permit, respondents	
Total number of respondents	124
110 shoreside processors	
14 SFPs	
0 CQE floating processors	
Total number of responses	124
Response per year = 1	
Total time burden (43.04)	43 hrs
Time per response (21 min) x 124	
Total personnel cost (\$25/hr x 43 hr)	\$1,075
Total miscellaneous cost	\$189
Submit by fax (\$6 x 24 = 144)	
Submit by mail (.45 x 100 = 45)	

The time per response for Federal Government is changed from 45 minutes to 25 minutes, because the mailing of an original permit is no longer required.

Federal Processor Permit, Federal Government	
Total number responses	124
Total time burden (51.67)	52 hrs
Time per response (25 min)	
Total personnel cost (\$25/hr x 52 hrs)	\$1,300
Total miscellaneous cost	\$0

This action would result in a total reduction of Federal burden hours of 290 hr. There are adjustments to the responses, hours and costs, due to updating the numbers of responses: 195 fewer responses, and thus 6 fewer hours, as well as \$88 less in recordkeeping/reporting costs.

PAPERWORK REDUCTION ACT CHANGE WORKSHEET

Agency/Subagency	OMB Control Number _____ - _____	
<i>Enter only items that change</i>		
	Current record	New record
Agency form number (s)		
Annual reporting and recordkeeping hour burden		
Number of respondents		
Total annual responses		
Percent of these responses collected electronically	%	%
Total annual hours		
Difference		
Explanation of difference		
Program change		
Adjustment		
Annual reporting and recordkeeping cost burden (in thousands of dollars)		
Total annualized Capital/Startup costs		
Total annual costs (O&M)		
Total annualized cost requested		
Difference		
Explanation of difference		
Program change		
Adjustment		
Other changes**		
Signature of Senior Official or designee:	Date:	For OIRA Use _____ _____

** This form cannot be used to extend an expiration date.

<p>APPLICATION FOR FEDERAL PROCESSOR PERMIT (FPP)</p>	<p>United States Department of Commerce National Oceanic and Atmospheric Administration National Marine Fisheries Service (NMFS) Restricted Access Management (RAM) P.O. Box 21668 Juneau, Alaska 99802-1668 Telephone: (800) 304-4846 toll free or (907) 586-7202 Juneau Fax: (907) 586-7354</p>
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**An FPP is in effect for one year, running from March 1 through the last day of February.
NOTICE: Only persons who are U.S. Citizens are authorized to receive or hold a Federal Processor Permit.**

<i>TYPE OF FPP REGISTRATION</i>
<p>Indicate the category of processor below.</p> <p>[<input type="checkbox"/>] Shoreside Processor [<input type="checkbox"/>] Stationary Floating Processor (SFP) [<input type="checkbox"/>] CQE* Floating Processor *Community Quota Entity</p> <p>IMPORTANT! ATTACH a copy of the U.S. Coast Guard Abstract of Title or Certificate of Documentation with this application if ownership of the vessel used as an SFP or CQE floating processor listed in Block B has changed or if an FPP has never been issued using this vessel.</p>
<p>Indicate the type of permit request.</p> <p>[<input type="checkbox"/>] New FPP [<input type="checkbox"/>] Renew FPP [<input type="checkbox"/>] Amend FPP [<input checked="" type="checkbox"/>] Surrender FPP</p> <p>If requesting to renew, amend, or surrender an existing FPP, please provide current FPP number _____</p> <p>You must complete all blocks relevant to your processor and activities. Failure to complete all relevant blocks can result in improper permitting.</p> <p style="text-align: center;"><i>NOTE: For the Federal Processor Permit application to be considered complete, all fees due to NMFS per § 679.55 must be paid.</i></p>

<i>BLOCK A -- OWNER INFORMATION</i>		
1. Name of Owner(s)	2. NMFS Person ID	
3. Business Mailing Address	[<input type="checkbox"/>] Permanent	[<input type="checkbox"/>] Temporary
4. Business Telephone Number	5. Business Fax Number	6. Business E-Mail Address
7. Managing Company Name (if any)		

BLOCK B -- STATIONARY FLOATING PROCESSOR (SFP) INFORMATION

1. Name of SFP		2. Is this a vessel of the United States? [] YES [] NO	
3. USCG Documentation No.	4. ADF&G Vessel Registration No.	5. ADF&G Processor Code	
6. Length Overall (LOA) in feet Registered Length in feet	7. Gross Tonnage Net Tonnage	8. Shaft Horsepower	
9. Homeport of Vessel (<i>city and state</i>)			
<p>10. GOA Inshore Processing Endorsement An SFP that wishes to receive a Gulf of Alaska (GOA) inshore processing endorsement must check this box</p> <p align="center">[] GOA Inshore Processing Endorsement</p> <p>A GOA inshore processing endorsement is required in order to process GOA inshore pollock and Eastern GOA inshore Pacific cod. An SFP that holds an inshore processing endorsement is prohibited from processing GOA pollock and GOA Pacific cod in more than one single geographic location during a fishing year and is also prohibited from operating as a catcher/processor in the Bering Sea and Aleutian Islands Management Area (BSAI). Once issued, a GOA inshore processing endorsement cannot be rescinded for the duration of the fishing year (<i>see instructions for further explanation</i>).</p>			

BLOCK E -- APPLICANT SIGNATURE

Under penalties of perjury, I hereby declare that I, the undersigned, completed this application, and the information contained herein is true, correct, and complete to the best of my knowledge and belief.

Applicant Name (<i>please print or type</i>)	Signature	Date
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PUBLIC REPORTING BURDEN STATEMENT

Public reporting burden for this collection of information is estimated to average 21minutes per response, including the time for reviewing the instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to NOAA, National Marine Fisheries Service, Alaska Region, Attn: Assistant Regional Administrator, Sustainable Fisheries Division, P.O. Box 21668, Juneau, AK 99802-1668.

ADDITIONAL INFORMATION

Before completing this form please note the following: 1) The NMFS may not conduct or sponsor this information request, and you are not required to respond to this information request, unless the form displays a currently valid OMB control number; 2) This information is mandatory and is required to manage commercial fishing effort in the GOA and BSAI under 50 CFR 679 and under 402(a) of the Magnuson-Stevens Act (16 U.S.C. 1801, et seq.); 3) Responses to this information request are confidential under section 402(b) of the Magnuson-Stevens Act as amended in 2006. It is also confidential under NOAA Administrative Order 216-100, which sets forth procedures to protect confidentiality of fishery statistics.

Instructions

**APPLICATION FOR
FEDERAL PROCESSOR PERMIT (FPP)**

NOTE: For the Federal Processor Permit application to be considered complete, all fees due to NMFS per § 679.55 must be paid.

A **Federal Processor Permit (FPP)** is required before the manager of a shoreside processor, stationary floating processor (SFP), or a community quota entity (CQE) floating processor may receive or process groundfish harvested in the Gulf of Alaska (GOA) or Bering Sea and Aleutian Islands Management Area (BSAI) (see 50 CFR 679.4(f)).

Observer fee liability.

Persons holding a Federal Processor Permit may be required to pay an ex-vessel value-based fee to NMFS to support the funding and development of observers on vessels and in plants in the new “partial observer coverage category.” This fee is intended to be split evenly between the vessel owner/operator and the processor. However, owner of a shoreside processor or stationary floating processor named on an FPP are responsible for collecting the fee, including the vessel’s portion, at the time of landing and remitting the full fee amount to NMFS.

An FPP permit holder who has incurred a fee liability must pay the fee to NMFS by **February 15** of the year following the calendar year in which the landing was made. Full payment of the observer fee liability is required before NMFS will issue a new or renewed FPP.

For additional information on the observer fee liability please refer to the NOAA Fisheries website at: <http://alaskafisheries.noaa.gov/sustainablefisheries/observers/default.htm>.

An FPP is in effect for one year, running from March 1 through the last day of February.

Processing or to process means the preparation of, or to prepare, fish or crab to render it suitable for human consumption, industrial uses, or long-term storage, including but not limited to cooking, canning, smoking, salting, drying, freezing, or rendering into meal or oil, but does not mean icing, bleeding, heading, or gutting (see 50 CFR 679.2).

GENERAL INFORMATION

NOTE: It is important that all blocks are completed and all necessary documents are attached. Failure to answer any of the questions, provide attachments, or to sign the application could result in delays in the processing of your application.

- ◆ Complete a separate application for each processor. Application forms and instructions are also available on the NMFS, Alaska Region web site at www.alaskafisheries.noaa.gov/ram.
- ◆ **ATTACH** a copy of the United States Coast Guard (USCG) Abstract of Title or Certificate of Documentation with this application if ownership has changed or if a Federal Processor Permit has never been issued for this processor.
- ◆ Type or print legibly in ink.

- ◆ Retain a copy of the completed application for your records.

FPP applications may be submitted by:

Mail to: NMFS, Alaska Region
Restricted Access Management (RAM) Program
P.O. Box 21668
Juneau, AK 99802

Deliver to: Federal Building
709 W. 9th Street, Suite 713
Juneau, AK 99801

Or fax to: 907-586-7354
Applications may be faxed to RAM; however, permits cannot and will not be faxed back.

Items will be sent to you by first class mail, unless you provide alternate instructions and include a prepaid mailer with appropriate postage or a corporate account number for express delivery. Additional information is available from Restricted Access Management (RAM), as follows:

Website: <http://www.alaskafisheries.noaa.gov>

Telephone (toll free): 800-304-4846 (press “2”)

Telephone (in Juneau): 907-586-7202 (press “2”)

E-Mail: RAM.Alaska@noaa.gov

SPECIAL HANDLING OF PERMITS

Please allow at least ten working days for your application to be processed. Do not wait until right before an opening to apply for your permit, as you may not receive it on time. Items will be sent by first class mail, unless you provide alternate instructions for NMFS to send by a faster method than regular mail.

eLandings

A shoreside processor, SFP, or CQE floating processor that is issued an FPP under 50 CFR part 679.4(f) must **daily record and report groundfish using** eLandings or other NMFS-approved software. For more information on eLandings, see <http://www.alaskafisheries.noaa.gov/elandings/faq.htm#ecr>.

COMPLETING THE APPLICATION

NOTE: The FPP must be renewed annually.

TYPE OF FPP REGISTRATION

1. Indicate the category of processor: Shoreside Processor, SFP, or CQE Floating Processor

NOTE: ATTACH a copy of the USCG Abstract of Title or Certificate of Documentation with this application if ownership of the vessel used as a SFP listed in Block B or CQE floating processor listed in Block D has changed or if an FPP has never been issued using this vessel.

2. Indicate the type of permit request: New FPP, Renew FPP, Amend FPP, **or Surrender FPP**.

3. If this application is to renew, amend, **or surrender** an existing FPP, please provide your current FPP number.

NOTE: If you are surrendering your permit, complete Block A and Block B, C, or D, sign the application in Block E, and submit to NMFS.

BLOCK A -- OWNER INFORMATION

1. Enter the full name of the owner of the vessel used as an SFP listed in Block B, the owner of the shoreside processor listed in Block C, or the owner of the CQE floating processor listed in Block D.

NOTE: If there is more than one owner, list the principal owner first. The permit will be issued to the first owner listed, with an *et al.* notation. The permit will be issued to the owner of the SFP, shoreside processor, or CQE floating processor -- not to operators or lessees.

2. Enter NMFS person ID.
- 3-4. Enter your complete **permanent** business mailing address, including street or P.O. Box number, state, and zip code. Your permit will be sent to this address. If you want the permit sent to somewhere other than to the permanent address, indicate temporary address and include street or P.O. Box number, city, state, and zip code.
- 5-7. Enter the business telephone number, business fax number, including area code, and business e-mail address. It is very important that you provide a number where we can contact you, or where we can leave messages for you. If questions arise concerning your application, and we are unable to contact you, issuance of your permit will be delayed.
8. Enter the name of the company, if other than the owner, that manages the operations of the SFP, shoreside processor, or CQE floating processor.

BLOCK B -- STATIONARY FLOATING PROCESSOR (SFP) INFORMATION

Complete this block if you are requesting an FPP for an SFP.

1. Enter the complete vessel name as displayed in the official documentation.
2. Check whether or not the vessel is a vessel of the United States.
3. Enter the USCG documentation number (example: 566722).
4. Enter the 5-digit State of Alaska Department of Fish & Game (ADF&G) vessel registration number (example: 51233).
5. Enter the ADF&G Processor Code.
6. Enter the vessel's length overall (LOA) in feet and registered length in feet.

The **LOA** of a vessel means the centerline longitudinal distance, rounded to the nearest foot, measured between: (1) the outside foremost part of the vessel visible above the waterline, including bulwarks, but excluding bowsprits and similar fittings or attachments, and (2) the outside aftermost part of the vessel visible above the waterline including bulwarks, but excluding rudders, outboard motor brackets, and similar fittings or attachments (50 CFR 679.2).

7. Enter registered gross tonnage (U.S. tons) and net tonnage (U.S. tons) as stated in the official documentation.
8. Enter the shaft horsepower.
9. Enter the home port of vessel (city and state) as recorded in the official documentation.
10. GOA Inshore Processing Endorsement.
SFPs that wish to receive a GOA inshore processing endorsement must complete this question.

GOA Inshore Processing Endorsement. A GOA inshore processing endorsement is required in order to process Eastern GOA inshore Pacific cod and GOA inshore pollock. SFPs that hold an inshore processing endorsement are prohibited from processing GOA pollock and Eastern GOA Pacific cod in more than one single geographic location during a fishing year and are also prohibited from operating as a catcher/processor in the BSAI. Vessels holding the GOA inshore processing endorsement face additional operating restrictions (see 50 CFR 679.7).

Once issued, a GOA inshore processing endorsement cannot be rescinded for the duration of a fishing year. It may be changed for the next fishing year by submitting an application for permit amendment prior to the beginning of that fishing year.

For more information on the inshore/offshore regulations, contact Sustainable Fisheries Division toll free at 800-304-4846 (select option #3) or 907-586-7228.

BLOCK C -- SHORESIDE PROCESSOR INFORMATION

Complete this block if you are requesting an FPP for a shoreside processor.

- 1-2. Enter the name of the shoreside processor and ADF&G processor code.
3. Enter the business address including street or P.O. box number, city, state, and zip code.
Indicate whether this is a permanent or temporary address.
4. Enter the physical location where the plant, at which the owner of shoreside processor in Block A, question 1, is operating. **DO NOT USE POST OFFICE BOX NUMBERS.**
5. Indicate if this processor is replacing a previous processing business at this facility.
If YES, enter name of previous processor.
6. Indicate if there are multiple processing businesses using this facility.
If YES, list the names of those other processing businesses.
7. Indicate if the applicant in Block A owns the plant at which the shoreside processor listed in Block C, question 1, is operating.
- 8-10. Enter the business telephone number and business fax number, including area code, and business e-mail address. It is very important that you provide a number where we can contact you, or where we can leave messages for you. If questions arise concerning your application, and we are unable to contact you, issuance of your permit will be delayed.

BLOCK D – CQE FLOATING PROCESSOR INFORMATION

Complete this block if you are requesting an FPP for a CQE Floating Processor.

1. Enter the complete vessel name as displayed in the official documentation.
2. Check whether or not the vessel is a vessel of the United States.
3. Enter the USCG documentation number (example: 566722).
4. Enter the 5-digit State of Alaska Department of Fish & Game (ADF&G) vessel registration number (example: 51233).
5. Enter the ADF&G Processor Code.
6. Enter the vessel's length overall (LOA) in feet and registered length in feet.

The **LOA** of a vessel means the centerline longitudinal distance, rounded to the nearest foot, measured between: (1) the outside foremost part of the vessel visible above the waterline, including bulwarks, but excluding bowsprits and similar fittings or attachments, and (2) the outside aftermost part of the vessel visible above the waterline including bulwarks, but excluding rudders, outboard motor brackets, and similar fittings or attachments (50 CFR 679.2).

7. Enter registered gross tonnage (U.S. tons) and net tonnage (U.S. tons) as stated in the official documentation.
8. Enter the shaft horsepower.
9. Enter the home port (city and state) as recorded in the official documentation.
10. Requirements for CQE Floating Processor

A CQE Floating Processor endorsement is required for vessels processing Pacific cod within the marine municipal boundaries of CQE communities in the Western or Central GOA. Eligible vessels are limited to Federally licensed vessels not meeting the definition of an SFP that have not harvested groundfish off Alaska in the same calendar year. A vessel meeting these requirements that wishes to receive a GOA CQE floating processor endorsement must check the box.

Vessels are prohibited from holding both a GOA CQE Floating Processor endorsement and a GOA Mothership endorsement during the same calendar year. Once issued, a GOA inshore processing endorsement cannot be rescinded for the duration of a fishing year.

BLOCK E -- APPLICANT=S SIGNATURE

The owner or **designated representative** of the shoreside processor, SFP, or CQE floating processor must print name, sign, and date the application certifying that all information is true, correct, and complete to the best of his or her knowledge and belief. If the owner is a company, the designated representative must sign and date the application and attach authorization.

APPLICATION FOR FEDERAL FISHERIES PERMIT (FFP)

United States Department of Commerce
 National Oceanic and Atmospheric Administration
 National Marine Fisheries Service (NMFS), Alaska Region
 Restricted Access Management (RAM)
 P.O. Box 21668
 Juneau, Alaska 99802-1668
 Telephone: (800) 304-4846 toll free or (907) 586-7202 Juneau
 FAX: (907) 586-7354



IMPORTANT NOTICES

- ◆ Only persons who are U.S. Citizens are authorized to receive or hold a Federal Fisheries Permit (FFP).
- ◆ As an FFP holder fishing in State waters, you are responsible to know whether your catch is deducted from a Federal Total Allowable Catch (TAC) or from the State Guideline Harvest Level (GHL) and to comply with Federal fishery requirements when your groundfish catch will be deducted from the Federal TAC. For example, if you fish for groundfish from State waters adjacent to the Bering Sea and Aleutian Islands Management Area (BSAI) or Gulf of Alaska (GOA) during the Federal fishing season when directed fishing is closed to the groundfish species, your gear, or operation type under Federal regulations and your catch of groundfish is deducted from a Federal groundfish TAC, you may be in violation of a Federal groundfish closure. *(See instructions for additional information)*
- ◆ All applicants who plan to participate in directed fisheries for Pacific cod, pollock, and/or Atka mackerel with any gear type other than jig gear must also complete **Block D**.
- ◆ If ownership in **Block A** has changed or if this is a permit application for a vessel to which an FFP has never been issued, documentation of vessel ownership must be provided with this application. To demonstrate vessel ownership you must provide:
 - for US Coast Guard (USCG) Documented Vessels, a copy of the USCG Abstract of Title or Certificate of Documentation.
 - for undocumented vessels, a copy of the State of Alaska vessel license or registration.

TYPE OF FFP REGISTRATION

Indicate the type of request.

New FFP Renew FFP Amend FFP Surrender FFP

If this application is submitted to renew, amend, or surrender an existing FFP, please provide your current FFP number _____

If you are renewing, amending, **or surrendering** your permit, refer to “General Information” that specifies which sections of the application must be completed in addition to the amendments being made.

BLOCK A -- OWNER INFORMATION

1. Owner Name:		2. NMFS Person ID:	
3. Business Mailing Address: <input type="checkbox"/> Permanent <input type="checkbox"/> Temporary		4. Managing Company Name <i>(if any)</i> :	
5. Business Telephone No.:	6. Business Fax No.:	7. Business E-Mail Address <i>(if available)</i> :	

BLOCK B -- VESSEL INFORMATION		
1. Vessel Name:	2. Home Port (<i>city and state</i>):	3. ADF&G Processor Code:
4. Is this vessel a vessel of the United States? [] YES [] NO	5. USCG Documentation No.	6. ADF&G Vessel Registration No.
7. Length Overall (LOA) in feet: _____ Registered Length in feet: _____	8. Gross Tonnage: _____ Net Tonnage: _____	9. Shaft Horsepower: _____

BLOCK C -- PERMIT INFORMATION
<p>1. AREA OF OPERATION Check one or both areas of operation, as appropriate.</p> <p>[] Gulf of Alaska (GOA) [] Bering Sea/Aleutian Islands Management Area (BSAI)</p>
<p>2. TYPE OF VESSEL OPERATION Indicate below the type(s) of vessel operation you request in the Federal groundfish fishery. An FFP may be issued for any combination of catcher vessel, catcher/processor, mothership, and/or tender vessel. In addition, an FFP may also be issued for a support vessel.</p> <p>Check one or any combination of the following operation types that apply:</p> <p>[] Catcher Vessel [] Catcher/processor [] Mothership [] Tender Vessel (<i>buying station</i>)</p> <p>A vessel permitted as a catcher vessel, catcher/processor, mothership, and/or tender vessel may conduct all operations authorized for a support vessel.</p> <p>Or check: [] Support Vessel</p> <p>A vessel permitted as a support vessel may not conduct activities as a catcher vessel, catcher/processor, mothership, and/or tender vessel.</p>
<p>3. GEAR TYPES (<i>catcher vessels and catcher/processors only</i>)</p> <p>Check only the gear types used for groundfish fishing:</p> <p>[] Trawl [] Hook-and-line [] Pots [] Jig [] Troll</p> <p>Harvesting vessels (catcher and catcher/processor vessels) must complete Block D if you plan to participate in the directed fisheries for Pacific cod, pollock and/or Atka mackerel with any gear other than jig gear.</p>
<p>4. GOA INSHORE PROCESSING ENDORSEMENT (<i>Catcher/processors under 125 ft LOA only</i>)</p> <p>Catcher/processors under 125 ft LOA that wish to process GOA inshore pollock or GOA inshore Pacific cod must apply for a GOA inshore processing endorsement by checking the box below. A catcher/processor with a GOA inshore processing endorsement is prohibited from processing more than 126 mt of GOA pollock and GOA Pacific cod, in the aggregate, during any reporting week. Once issued, a GOA inshore processing endorsement cannot be rescinded for the duration of a fishing year.</p> <p>[] GOA Inshore Processing Endorsement</p> <p>See application instructions for further explanation.</p>

BLOCK D -- SPECIES ENDORSEMENTS AND AMENDMENTS

- ◆ Indicate below whether you plan to participate in any of the following directed fisheries with gear other than jig gear: (1) Pacific cod; (2) pollock; and (3) BSAI Atka mackerel;
- ◆ Your selections will remain valid until you submit a new FFP application removing those endorsements by checking "Remove Endorsement(s)" boxes below.
- ◆ Vessels permitted in these fisheries must have a **vessel monitoring system (VMS)** operable at any time the directed fishery is open.
- ◆ See the application instructions for more information on the VMS requirements

PACIFIC COD	POLLOCK	BSAI ATKA MACKEREL
GEAR TYPE	GEAR TYPE	GEAR TYPE
[<input type="checkbox"/>] Trawl	[<input type="checkbox"/>] Trawl	[<input type="checkbox"/>] Trawl
[<input type="checkbox"/>] Hook-and-Line	[<input type="checkbox"/>] Hook-and-Line	[<input type="checkbox"/>] Hook-and-Line
[<input type="checkbox"/>] Pot	[<input type="checkbox"/>] Pot	[<input type="checkbox"/>] Pot
[<input type="checkbox"/>] Remove Endorsement(s)	[<input type="checkbox"/>] Remove Endorsement(s)	[<input type="checkbox"/>] Remove Endorsement(s)

BLOCK E -- APPLICANT SIGNATURE

Under penalties of perjury, I hereby declare that I, the undersigned, completed this application, and the information contained herein is true, correct, and complete to the best of my knowledge and belief.

Applicant Name <i>(please print or type)</i>	Signature	Date

PUBLIC REPORTING BURDEN STATEMENT

Public reporting burden for this collection of information is estimated to average 21 minutes per response, including the time for reviewing the instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to NOAA, National Marine Fisheries Service, Alaska Region, Attn: Assistant Regional Administrator, Sustainable Fisheries Division, P.O. Box 21668, Juneau, AK 99802-1668.

ADDITIONAL INFORMATION

Before completing this form please note the following: 1) The NMFS may not conduct or sponsor this information request, and you are not required to respond to this information request, unless the form displays a currently valid OMB control number; 2) This information is mandatory and is required to manage commercial fishing effort in the GOA and BSAI under 50 CFR 679 and under 402(a) of the Magnuson-Stevens Act (16 U.S.C. 1801, *et seq.*); 3) Responses to this information request are confidential under section 402(b) of the Magnuson-Stevens Act as amended in 2006. It is also confidential under NOAA Administrative Order 216-100, which sets forth procedures to protect confidentiality of fishery statistics.

INSTRUCTIONS
APPLICATION FOR FEDERAL FISHERIES PERMIT (FFP)

A **Federal Fisheries Permit (FFP)** is required for all vessels of the United States used to fish for groundfish in the Gulf of Alaska (GOA) or Bering Sea and Aleutian Islands Management Area (BSAI) **or engaged in any fishery that requires retention of groundfish**. This includes catcher vessels fishing for groundfish, catcher/processors fishing for and processing groundfish, motherships processing groundfish, tender vessels (buying stations) tendering groundfish, and support vessels assisting other groundfish vessels (§ 679.4).

Groundfish includes but may not be limited to: pollock, Pacific cod, sablefish, Atka mackerel, rockfish, sharks, skates, sculpins, octopuses, squids, and any species of flatfish except Pacific halibut (§ 679.2)

Note: As an FFP holder fishing in State waters, you are responsible to know whether your catch is deducted from a Federal Total Allowable Catch (TAC) or from the State Guideline Harvest Level (GHL) and to comply with Federal fishery requirements when your groundfish catch will be deducted from the Federal TAC.

For example, if you fish for groundfish from State waters adjacent to the BSAI or GOA during the federal fishing season when directed fishing is closed to the groundfish species, your gear, or operation type under Federal regulations and your catch of groundfish is deducted from a Federal groundfish TAC, you may be in violation of a Federal groundfish closure. See instructions for additional information.

NMFS uses observer data, eLandings, vessel monitoring system (VMS), and other data to determine location and time of catch from each haul or delivery. Data on location and time of catch are combined with information on each groundfish management program to determine what groundfish catch is deducted from the applicable allocation for each groundfish species.

For example, the observer and eLandings data that NMFS receives identifies the State statistical area where the catch occurred, and the date when fishing occurred. These data are linked to the State and Federally-managed fisheries accounts to deduct the appropriate amounts of groundfish.

Generally, any groundfish caught in State waters adjacent to the BSAI or GOA during a Federal fishing season is deducted from the Federal TAC unless it was caught while participating in the State GHL groundfish fishery.

For information on openings and closures of Federally-managed groundfish fisheries contact NMFS, Alaska Region, Sustainable Fisheries Division, In-Season Management at (907) 586-7228.

Processing or to process means the preparation of, or to prepare, fish or crab to render it suitable for human consumption, industrial uses, or long-term storage, including but not limited to cooking, canning, smoking, salting, drying, freezing, or rendering into meal or oil, but does not mean icing, bleeding, heading, or gutting (see **50 CFR 679.2**)

*If you are **amending, renewing, or surrendering** your FFP, enter the FFP number where indicated and fill out **Block A**, the information you are changing, and sign and date the application in **Block E**.*

GENERAL INFORMATION

Note: It is important that all blocks are completed and all necessary documents are attached. Failure to answer any of the questions, provide attachments, or to sign the application could result in delays in the processing of your application.

- ◆ Complete a separate application for each vessel. Application forms and instructions are also available on the NMFS, Alaska Region website at <http://alaskafisheries.noaa.gov>.
- ◆ **ATTACH documentation of vessel ownership** if ownership listed in Block A has changed or if this is a permit application for a vessel for which an FFP has never been issued. To demonstrate vessel ownership you must provide:
 - For U.S. Coast Guard (USCG) Documented Vessels, a copy of the USCG Abstract of Title or Certificate of Documentation
 - For undocumented vessels, a copy of the State of Alaska vessel license or registration.
- ◆ Type or print legibly in ink.
- ◆ Retain a copy of completed application for your records.

Submit the completed application:

- ◆ by mail to: NMFS, Alaska Region
Restricted Access Management (RAM)
P.O. Box 21668
Juneau, AK 99802
- ◆ by fax to: RAM at (907) 586-7354
- ◆ by hand delivery to: Federal Building
NMFS Alaska Region (RAM)
709 W. 9th Street, Suite 713
Juneau, AK 99801

Please allow at least ten working days for your application to be processed. Do not wait until right before an opening to apply for your permit, as you may not receive it on time.

eLandings, eLogbooks, and Logbooks

Catcher/processors and motherships that are issued **an FFP** under 50 CFR part 679.4(b) must **daily record and report groundfish using** a combination of daily cumulative production logbook (DCPL) and eLandings (or other NMFS approved software) **or a combination of eLogbook and eLandings**. Two types of catcher/processor DCPL are available: one if using trawl gear and one if using longline or pot gear. **Two types of catcher/processor eLogbook are available: catcher/processor trawl gear and catcher/processor longline or pot gear**. For more information on eLandings **and eLogbooks**, see <http://alaskafisheries.noaa.gov/elandings/faq.htm#ecr>.

All catcher vessels that are issued **an FFP** under 50 CFR part 679.4(b) must use a daily fishing logbook (DFL) to daily record and report groundfish. Two types of catcher vessel logbook are available: one if using trawl gear and one is using longline or pot gear.

The following table shows the method of groundfish reporting for each of the groundfish operation types according to regulations at §§ 679.4, 679.5, and 679.100.

Operation Type Versus Method of Reporting

Operation Type	DFL	DCPL & eLandings	eLogbook & eLandings
CP trawl, harvesting AFA or CDQ pollock			X
CP trawl, non-AFA		X	
CP longline named on LLP with Pacific cod hook-and-line endorsement for the Bering Sea, Aleutian Islands, or both the Bering Sea and Aleutian Islands			X
CP longline or pot, other		X	
Mothership		X	
Catcher vessel, any gear	X		

You may request that a **DCPL or DFL** be sent to you separately from the **FFP**.

- ◆ by website at <http://alaskafisheries.noaa.gov/comment/lborderform.aspx>
- ◆ by telephone at 907-586-7228, or
- ◆ by fax at 907-586-7465.

Items will be sent to you by first class mail, unless you provide alternate instructions and include a prepaid mailer with appropriate postage or a corporate account number for express delivery.

Express Mail. If you would like to have your logbook sent to you by U.S. Postal Express Mail, determine from the table below the weight and dimensions of the appropriate documents. Send NMFS an express mail envelope with the correct amount of postage prepaid or send express mail stamps UNATTACHED to an envelope.

NOTE: If the express mail envelope you send is too small or the postage attached is less than the amount required, your logbook will be sent to you by regular U.S. mail.

Other Express Carriers. If you would like to have your logbook sent to you by a private express carrier, e.g., Federal Express, UPS, DHL, etc., submit your account number and name of carrier or a prepaid envelope with the FFP application.

Logbook Information

	Dimensions (inches)	Weight (lb, oz)
Catcher vessel, trawl gear DFL	11 x 12	3.4
Catcher vessel, longline and pot gear DFL	12 x 17	4.0
Catcher/processor, trawl gear DCPL	11 x 12	3.0
Catcher/processor, longline and pot gear DCPL	12 x 17	3.6
Mothership DCPL	11 x 12	3.0

Additional information is available from Restricted Access Management (RAM), as follows:

Website: <http://www.alaskafisheries.noaa.gov>

Telephone (toll free): 800-304-4846 (press “2”)

Telephone (in Juneau): 907-586-7202 (press “2”)

E-Mail: RAM.Alaska@noaa.gov

COMPLETING THE APPLICATION

TYPE OF FFP REGISTRATION

Indicate the type of request: New FFP, Renewed FFP, Amended FFP, or Surrendered FFP. If this application is submitted to renew, amend, or surrender an existing FFP, please provide your current FFP number.

NOTE: If you are surrendering your permit, complete Block A **and** Block B, sign the application in Block E, and **submit to NMFS**.

BLOCK A -- OWNER INFORMATION

1. Name. Enter the full name(s) of the owner(s) of the vessel listed in Block B.

NOTE: If there is more than one owner, list the principal owner first. The permit will be issued to the first owner listed, with *et al.* notation. The permit **MUST** be issued to the owner of the vessel, not to operators or lessees.

2. NMFS Person ID.
3. Permanent Business Mailing Address. Indicate whether address is permanent or temporary. Enter your complete **permanent** business mailing address, including street or P.O. Box, city, state, and zip code. Your permit will be sent to this address, unless otherwise notified.

Temporary Business Mailing Address. Address you want the permit sent if somewhere other than to the permanent address. Include street or P.O. Box number, city, state, and zip code.

4. Managing Company. Enter the name of the company, other than the owner, that manages the operations of the vessel (if any).
- 5-7. Business Telephone No., Fax No., and e-mail Address. Enter the business telephone number and business fax number including area code, and business e-mail address (if available) that are used by the vessel owner. It is very important that you provide a number where we can contact you, or where we can leave messages for you. If questions arise concerning your application, and we are unable to contact you, issuance of your permit will be delayed.

BLOCK B -- VESSEL INFORMATION

1. Enter the complete vessel name as displayed in the official documentation.
2. Enter the home port (city and state) as recorded in official documentation.
3. Enter State of Alaska, Department of Fish and Game (ADF&G) Processor Code.
4. Answer YES or NO if this vessel is a vessel of the United States.

5. Enter U.S. Coast Guard (USCG) documentation number (example: 566722).
6. Enter the 5-digit ADF&G vessel registration number (example: 51233).
7. Enter the vessel's length overall (LOA) in feet and registered length in feet.

The **LOA** of a vessel means the centerline longitudinal distance, rounded to the nearest foot, measured between:

- ◆ the outside foremost part of the vessel visible above the waterline, including bulwarks, but excluding bowsprits and similar fittings or attachments, and
 - ◆ the outside aftermost part of the vessel visible above the waterline including bulwarks, but excluding rudders, outboard motor brackets, and similar fittings or attachments.
8. Enter registered gross tonnage (U.S. tons) and net tonnage (U.S. tons) as stated in the official documentation.
 9. Enter the shaft horsepower.

BLOCK C -- PERMIT INFORMATION

1. Area of operation
Check one or both areas of operation (GOA or BSAI) to indicate the areas of operation for which you are requesting.
2. Vessel Operation Categories
A vessel permitted as a catcher vessel, catcher/processor, mothership, and/or tender vessel may conduct all operations authorized for a support vessel. A vessel permitted as a support vessel may not conduct activities as a catcher vessel, catcher/processor, mothership, and/or tender vessel.

Indicate one or a combination of the vessel operation categories in the groundfish fisheries for which you are requesting.

Catcher Vessel - A vessel that is used for catching fish and that does not process fish on board.

Catcher/Processor - A vessel that is used for catching fish and processing that fish on board.

Mothership - A vessel that receives and processes groundfish from other vessels.

Tender Vessel (buying station) - A vessel that is used to transport unprocessed fish or shellfish received from another vessel to an associated processor.

Or check:

Support Vessel - Any vessel that is used in support of other vessels regulated under 50 CFR 679, including, but not limited to, supplying a fishing vessel with water, fuel, provisions, fishing equipment, fish processing equipment or other supplies, or transporting processed fish. The term "support vessel" does not include processor vessels or tender vessels.

3. Gear Type
If the FFP request is for a catcher vessel or catcher/processor, indicate the gear type(s) used for groundfish operations.
4. GOA Inshore Processing Endorsement
An operator of a catcher/processor under 125 ft LOA that wishes to process GOA inshore pollock or GOA inshore Pacific cod must apply for a GOA inshore processing endorsement by checking No. 4 of Block C on the application.

NOTE: A catcher/processor with a GOA inshore processing endorsement is prohibited from processing more than 126 mt of GOA pollock and GOA Pacific cod, in the aggregate, during any reporting week.

Once issued, a GOA inshore processing endorsement cannot be rescinded for the duration of a fishing year. It may be changed for the next fishing year by submitting an application for a permit amendment prior to the beginning of that fishing year.

For more information on the inshore/offshore regulations, contact Sustainable Fisheries Division toll free at (800) 304-4846 (select option #3) or (907) 586-7228.

BLOCK D -- PACIFIC COD, POLLOCK, ATKA MACKEREL FISHERIES

If you plan to participate in the directed fisheries for Pacific cod, pollock, and/or Atka mackerel with any gear other than jig gear, your FFP must be endorsed for these species in the management area(s) in which you plan to participate.

Vessels participating in these directed fisheries in the EEZ off Alaska are required to have on board and use a Vessel Monitoring System (VMS) while the directed fisheries are open, regardless of where the vessel is fishing at the time (including Alaska State waters) or what the vessel is targeting.

BLOCK E -- APPLICANT SIGNATURE

The **owner or authorized representative** must **print name**, sign, and date the application certifying that all information is true, correct, and complete to the best of his or her knowledge and belief. The application will be considered incomplete without this signature. **If authorized representative, attach authorization.**