

NOTICE OF OFFICE OF MANAGEMENT AND BUDGET ACTION

Date 08/20/2013

Department of Commerce
National Oceanic and Atmospheric Administration

FOR CERTIFYING OFFICIAL: Simon Szykman

FOR CLEARANCE OFFICER: Jennifer Jessup

In accordance with the Paperwork Reduction Act, OMB has taken action on your request received 07/19/2013

ACTION REQUESTED: Extension without change of a currently approved collection

TYPE OF REVIEW REQUESTED: Regular

ICR REFERENCE NUMBER: 201306-0648-016

AGENCY ICR TRACKING NUMBER:

TITLE: Southwest Region Permit Family of Forms

LIST OF INFORMATION COLLECTIONS: See next page

OMB ACTION: Approved without change

OMB CONTROL NUMBER: 0648-0204

The agency is required to display the OMB Control Number and inform respondents of its legal significance in accordance with 5 CFR 1320.5(b).

EXPIRATION DATE: 08/31/2016

DISCONTINUE DATE:

BURDEN:	RESPONSES	HOURS	COSTS
Previous	923	139	27,304
New	889	135	26,112
Difference			
Change due to New Statute	0	0	0
Change due to Agency Discretion	-34	0	0
Change due to Agency Adjustment	0	-4	-1,192
Change due to PRA Violation	0	0	0

TERMS OF CLEARANCE:

OMB Authorizing Official: Dominic J. Mancini
Acting Deputy Administrator,
Office Of Information And Regulatory Affairs

List of ICs

IC Title	Form No.	Form Name	CFR Citation
Coastal Pelagic Species Permit Renewals, Transfers and Appeals	NA	Coastal Pelagics Fishing Limited Entry Permit Application	50 CFR 660.718
HMS Permits	NA	Pacific HMS Vessel Permit Application	
Exempted Fishing Permits			50 CFR 660.516

PAPERWORK REDUCTION ACT SUBMISSION

Please read the instructions before completing this form. For additional forms or assistance in completing this form, contact your agency's Paperwork Clearance Officer. Send two copies of this form, the collection instrument to be reviewed, the supporting statement, and any additional documentation to: Office of Information and Regulatory Affairs, Office of Management and Budget, Docket Library, Room 10102, 725 17th Street NW, Washington, DC 20503.

1. Agency/Subagency originating request	2. OMB control number b. <input type="checkbox"/> None a. _____ - _____
3. Type of information collection (<i>check one</i>) a. <input type="checkbox"/> New Collection b. <input type="checkbox"/> Revision of a currently approved collection c. <input type="checkbox"/> Extension of a currently approved collection d. <input type="checkbox"/> Reinstatement, without change, of a previously approved collection for which approval has expired e. <input type="checkbox"/> Reinstatement, with change, of a previously approved collection for which approval has expired f. <input type="checkbox"/> Existing collection in use without an OMB control number For b-f, note Item A2 of Supporting Statement instructions	4. Type of review requested (<i>check one</i>) a. <input type="checkbox"/> Regular submission b. <input type="checkbox"/> Emergency - Approval requested by _____ / _____ / _____ c. <input type="checkbox"/> Delegated
	5. Small entities Will this information collection have a significant economic impact on a substantial number of small entities? <input type="checkbox"/> Yes <input type="checkbox"/> No
	6. Requested expiration date a. <input type="checkbox"/> Three years from approval date b. <input type="checkbox"/> Other Specify: _____ / _____
7. Title	
8. Agency form number(s) (<i>if applicable</i>)	
9. Keywords	
10. Abstract	
11. Affected public (<i>Mark primary with "P" and all others that apply with "x"</i>) a. ___ Individuals or households d. ___ Farms b. ___ Business or other for-profit e. ___ Federal Government c. ___ Not-for-profit institutions f. ___ State, Local or Tribal Government	12. Obligation to respond (<i>check one</i>) a. <input type="checkbox"/> Voluntary b. <input type="checkbox"/> Required to obtain or retain benefits c. <input type="checkbox"/> Mandatory
13. Annual recordkeeping and reporting burden a. Number of respondents _____ b. Total annual responses _____ 1. Percentage of these responses collected electronically _____ % c. Total annual hours requested _____ d. Current OMB inventory _____ e. Difference _____ f. Explanation of difference 1. Program change _____ 2. Adjustment _____	14. Annual reporting and recordkeeping cost burden (<i>in thousands of dollars</i>) a. Total annualized capital/startup costs _____ b. Total annual costs (O&M) _____ c. Total annualized cost requested _____ d. Current OMB inventory _____ e. Difference _____ f. Explanation of difference 1. Program change _____ 2. Adjustment _____
15. Purpose of information collection (<i>Mark primary with "P" and all others that apply with "X"</i>) a. ___ Application for benefits e. ___ Program planning or management b. ___ Program evaluation f. ___ Research c. ___ General purpose statistics g. ___ Regulatory or compliance d. ___ Audit	16. Frequency of recordkeeping or reporting (<i>check all that apply</i>) a. <input type="checkbox"/> Recordkeeping b. <input type="checkbox"/> Third party disclosure c. <input type="checkbox"/> Reporting 1. <input type="checkbox"/> On occasion 2. <input type="checkbox"/> Weekly 3. <input type="checkbox"/> Monthly 4. <input type="checkbox"/> Quarterly 5. <input type="checkbox"/> Semi-annually 6. <input type="checkbox"/> Annually 7. <input type="checkbox"/> Biennially 8. <input type="checkbox"/> Other (describe) _____
17. Statistical methods Does this information collection employ statistical methods <input type="checkbox"/> Yes <input type="checkbox"/> No	18. Agency Contact (person who can best answer questions regarding the content of this submission) Name: _____ Phone: _____

19. Certification for Paperwork Reduction Act Submissions

On behalf of this Federal Agency, I certify that the collection of information encompassed by this request complies with 5 CFR 1320.9

NOTE: The text of 5 CFR 1320.9, and the related provisions of 5 CFR 1320.8(b)(3), appear at the end of the instructions. *The certification is to be made with reference to those regulatory provisions as set forth in the instructions.*

The following is a summary of the topics, regarding the proposed collection of information, that the certification covers:

- (a) It is necessary for the proper performance of agency functions;
- (b) It avoids unnecessary duplication;
- (c) It reduces burden on small entities;
- (d) It used plain, coherent, and unambiguous terminology that is understandable to respondents;
- (e) Its implementation will be consistent and compatible with current reporting and recordkeeping practices;
- (f) It indicates the retention period for recordkeeping requirements;
- (g) It informs respondents of the information called for under 5 CFR 1320.8(b)(3):
 - (i) Why the information is being collected;
 - (ii) Use of information;
 - (iii) Burden estimate;
 - (iv) Nature of response (voluntary, required for a benefit, mandatory);
 - (v) Nature and extent of confidentiality; and
 - (vi) Need to display currently valid OMB control number;
- (h) It was developed by an office that has planned and allocated resources for the efficient and effective management and use of the information to be collected (see note in Item 19 of instructions);
- (i) It uses effective and efficient statistical survey methodology; and
- (j) It makes appropriate use of information technology.

If you are unable to certify compliance with any of the provisions, identify the item below and explain the reason in Item 18 of the Supporting Statement.

Signature of Senior Official or designee

Date

Agency Certification (signature of Assistant Administrator, Deputy Assistant Administrator, Line Office Chief Information Officer, head of MB staff for L.O.s, or of the Director of a Program or StaffOffice)

Signature

Date

Signature of NOAA Clearance Officer

Signature

Date

**SUPPORTING STATEMENT
SOUTHWEST REGION PERMIT FAMILY OF FORMS
OMB CONTROL NO. 0648-0204**

A. JUSTIFICATION

This request is for extension of a current information collection.

1. Explain the circumstances that make the collection of information necessary.

The Magnuson-Stevens Fishery Conservation and Management Act (Magnuson-Stevens Act) established regional fishery management councils, including the Pacific Fishery Management Council (Pacific Council), to develop fishery management plans (FMP) for fisheries in the United States (U.S.) exclusive economic zone (EEZ). These plans, if approved by the Secretary of Commerce, are implemented by Federal regulations, which are enforced by the National Marine Fisheries Service (NMFS) and the United States Coast Guard (USCG), in cooperation with State agencies to the extent possible. FMPs are intended to regulate fishing for stocks to prevent overfishing and achieve the optimum yield from the fisheries for the benefit of the U.S.

The Pacific Council has prepared FMPs for: 1) the coastal pelagic species (CPS) fishery off the U.S. West Coast and 2) U.S. West Coast Fisheries for Highly Migratory Species. Each of these FMPs created permit programs which are administered by the Southwest Region, NMFS. Both permits are valid for a two-year term.

There are three types of permits: basic fishery permits (e.g., highly migratory species (HMS)), limited entry permits for selected fisheries (e.g., West Coast coastal pelagic fishery), and experimental fishing permits (EFP). Basic permits are used in all fisheries where there are no specific limitations or eligibility criteria for entry to the fishery. Limited access/entry permits are used to prevent overcapitalization or address other management goals in the fishery. EFPs are issued to applicants for fishing activities that would otherwise be prohibited under a FMP. A specific form for an EFP application is not required; however, an application for an EFP must provide a narrative description of the proposed activity to fully document the intended operations. This documentation allows NMFS, the Pacific Council and affected state/territorial fishery agency to evaluate the consequences of the experimental fishing activity and weigh the benefits and costs. EFPs allow innovation that may relieve excessive fishing effort or discover new methods that may resolve existing technological barriers to better management of the fishery and resource. There are provisions for transfers among owners and for appeals of actions of certain limited entry permits such as the coastal pelagic species limited entry permits. There are provisions in the permit process for appeals of permit denials.

General permit requirements are found in 50 CFR 660.1-3 (Subpart A) with the specific requirements contained in relevant sections of 50 CFR 660 (Subpart I and Subpart K).

Permits and the information obtained through permit applications are essential in the management of these fisheries. They serve to identify actual or potential participants in the various fisheries. These data are needed to help measure the impacts of management controls on the participants in the fisheries. Permits are also effective tools in the enforcement of other

fishery regulations. The threat of permit sanctions that would exclude a vessel from the fishery may be more effective than fines for violations of specific fishery regulations. Further, transferable limited access/entry permits may have a resale value and may be an asset that the government can seize in settlement of penalties for fishery violations.

Permits also provide an important link between the NMFS and fishermen via the permit application process. They make it easier for NMFS staff to contact fishermen and advise them of changes in the regulations or fishery conditions and give fishermen a direct point of contact in case they have questions or issues they want to bring to the attention of NMFS or a fishery management council.

Section 303 (b) (1) of the Magnuson-Stevens Act specifically authorizes the establishment of permit requirements. Almost all international, federal, state, and local fishery management authority uses permits as part of their management systems.

2. Explain how, by whom, how frequently, and for what purpose the information will be used. If the information collected will be disseminated to the public or used to support information that will be disseminated to the public, then explain how the collection complies with all applicable Information Quality Guidelines.

The information requested on the NMFS Southwest Region federal fisheries permit application forms by the Southwest Region is utilized to determine whether the applicant meets the eligibility criteria for a permit for the fishery the applicant intends to participate in. The data may also be used by several offices of NMFS, USCG, and state and territorial fishery - management, research, and enforcement agencies. As requested, summaries of permit application information are provided to the Western Pacific and Pacific Councils, member states, and interested parties to describe the characteristics of the fishery and estimate the nature and magnitude of the impacts of fishery regulations on different permit holders. Information on the vessel (as provided in documentation or registration certificates) is used to ascertain actual or potential participants in different sectors of each fishery and the amount of harvesting pressure they might exert on the fishery. This is important in determining the potential effectiveness and impacts of different management approaches and in assessing the capacity of the fleets in the fisheries.

The information collected is basic data on applicants, such as name of owner and vessel operator, name of vessel and its official number, address, telephone number, and radio call sign. Independently verifiable data on the vessel's USCG documentation or state registration certificates identify the legal ownership of the vessel being permitted. This latter requirement is essential for imposing permit sanctions, which are an effective fisheries enforcement tool. Since many vessels may be owned by partnerships or corporations, identification of ownership on the application form allows NMFS to sanction the company as well as the individual vessel operator for repeated violations of federal regulations. By having addresses, NMFS can mail fishery information to vessel owners and operators; also permit renewal forms are sent to permit holders quickly and easily. Telephone numbers (business, home, facsimile) are used to assist NMFS in processing the application by allowing questions to be resolved more quickly than by correspondence.

Disclosure of the Employer Identification Numbers of the corporate applicants is mandatory in accordance with the Debt Collection Improvement Act (31 U.S.C. 7701), which requires that agencies obtain taxpayer identification numbers from persons applying for Federal permits. Vessel owner or his or her agent's signature is required as legally binding actions, which ensure eligibility to receive or transfer a permit under specific FMP regulations. False statements without the signature of the applicant would be difficult to prosecute.

Appeals of permit denials must be accompanied by documentation from the appellant to demonstrate why the permit should have been granted. This could include fishing logs, invoices from fish sales, State landings records, auction house receipts, financial transaction records relative to vessel ownership, or other records to demonstrate that the appellant had met the eligibility criteria for the particular fishery.

The implementing regulations of Amendment 10 to the CPS FMP revised criteria and provisions to allow limited entry permits to be transferred to permit owners or associated vessels. A permit may be transferred only once during a calendar year. Information obtained from transfer and renewal applications allows NMFS to determine eligibility and status of each vessel and permit and overall fishery capacity goals. The coastal pelagic species permit collection is necessary for NMFS to administer and monitor the limited entry program for the fishery. Vessels authorized to fish in a restricted U.S. West Coast fishery need to be distinguished from unauthorized vessels with regard to assessing economic impacts and enforcement at sea.

It is anticipated that the information collected will be disseminated to the public or used to support publicly disseminated information. NOAA Fisheries will retain control over the information and safeguard it from improper access, modification, and destruction, consistent with NOAA standards for confidentiality, privacy, and electronic information. See response to Question 10 of this Supporting Statement for more information on confidentiality and privacy. The information collection is designed to yield data that meet all applicable information quality guidelines. Prior to dissemination, the information will be subjected to quality control measures and a pre-dissemination review pursuant to Section 515 of Public Law 106-554.

3. Describe whether, and to what extent, the collection of information involves the use of automated, electronic, mechanical, or other technological techniques or other forms of information technology.

The Southwest Region's (SWR) Website at <http://swr.nmfs.noaa.gov/permits.htm> is used to inform the public about the SWR permit programs and provides a means by which the application forms for the Pacific HMS and coastal pelagic species limited entry permits may be obtained. In April 2013, a public interface option became available through the National Permits System (NPS) website at <https://fisheriespermits.noaa.gov> to allow SWR permit applicants to apply for Pacific HMS permits via the internet. EFP applications have no forms associated with them. These applications are relatively rare events and cannot be predicted in advance.

4. Describe efforts to identify duplication.

One Federal program that provides some similar information is the vessel documentation program of the U.S. Coast Guard. The permit process incorporates verification of the current USCG Certificate of Documentation data on file for vessels to determine information about the

vessels and their ownership. This is achieved via a NMFS internet site that reflects quarterly updates from USCG data sources. Similar verification is occasionally conducted via state vessel registration contacts. The permit application form no longer contains a requirement to provide duplicate information.

5. If the collection of information involves small businesses or other small entities, describe the methods used to minimize burden.

All of the vessels in the Pacific coastal pelagic fishery are small business entities of similar size and are affected comparably. All fishing operations involving vessels in the highly migratory fisheries, except the large scale tuna purse seine vessels, can be categorized as small businesses. However, the reporting burden of applying for a permit is slight relative to the overall cost of fishing. No special measures are needed to accommodate different sized businesses. Only the minimum data to meet the permit program objectives are requested from the permit applications.

6. Describe the consequences to the Federal program or policy activities if the collection is not conducted or is conducted less frequently.

If permit data are not available or are collected less frequently, NMFS will have difficulty monitoring the fishery, determining entry and exit patterns, and providing information needed to ensure full impact analysis from the regulatory programs. NMFS Enforcement will be unable to assure the identity of current permit holders for purposes of compliance monitoring and enforcement of the regulations. There will be less frequent contact with fishermen and our ability to contact permit holders to consult them prior to adopting new regulations and to advise them of regulatory changes will diminish. Our ability to process transfers of marketable permits under the current CPS limited access permit programs will be compromised.

7. Explain any special circumstances that require the collection to be conducted in a manner inconsistent with OMB guidelines.

The collection is consistent with the OMB Guidelines.

8. Provide information on the PRA Federal Register Notice that solicited public comments on the information collection prior to this submission. Summarize the public comments received in response to that notice and describe the actions taken by the agency in response to those comments. Describe the efforts to consult with persons outside the agency to obtain their views on the availability of data, frequency of collection, the clarity of instructions and recordkeeping, disclosure, or reporting format (if any), and on the data elements to be recorded, disclosed, or reported.

A Federal Register Notice published on April 2, 2013 (78 FR 19649) solicited public comment on this collection; none was received.

Efforts to consult with persons outside the agency included surveying members of the Pacific Council HMS Industry Advisory Subpanel, some CPS permit holders and recent HMS permit applicants. A total of 42 people was surveyed with 8 responses received. The questions asked were, for the applicable forms:

- Were the directions easy to understand?
- Do you understand how the data is used?
- Is ___ minutes a reasonable time to complete the permit application when additional information has not been requested?

All responses indicated that the directions were easy to understand. The online option was viewed very favorably with all respondents indicating that the directions were clear. One respondent noted that they did not know how the data was used other than to identify them and their vessel as a permit holder, which is in fact the primary purpose of the data collection. For online applications, NMFS was able to verify the average online renewal application time to be less than 15 minutes. We received no feedback on the respondents' time needed for paper applications.

9. Explain any decisions to provide payments or gifts to respondents, other than remuneration of contractors or grantees.

No payments or gifts are involved in this program.

10. Describe any assurance of confidentiality provided to respondents and the basis for assurance in statute, regulation, or agency policy.

As stated on the forms: under the Magnuson-Stevens Act and NOAA Administrative Order 216-100, information submitted in accordance with regulatory requirements under the Act is confidential. This includes confidential information submitted with a permit application. Personal and proprietary information is not released to the public.

11. Provide additional justification for any questions of a sensitive nature, such as sexual behavior and attitudes, religious beliefs, and other matters that are commonly considered private.

No questions of a sensitive nature are asked.

12. Provide an estimate in hours of the burden of the collection of information.

It is estimated that 90 percent of **HMS permit holders** (1,526 of 1,695 current valid permit holders) renew their permits every 2 years with minimal changes in information, taking 6 minutes per year. Thus, 1,526 vessels will renew with a burden of 153 hours, or an annualized burden of 77 hours (763 responses). Permit renewals are staggered, with only half of current permit holders expected to renew in a given year. In addition, there will be substitution vessels for the 10 percent (170 vessels) which depart the fishery, at a burden of 30 minutes for a new permit, with a total burden estimated at 85 hours. The annualized burden for this sector is 42 hours per year (85 responses). **Thus, the total annualized burden is 119 hours (77 + 42). Total annualized responses are 848.**

For the **West Coast Coastal Pelagic** fishery permits, there will be no new permits issued, only biannual renewals. It is estimated that there will be 65 respondents renewing x 15 minutes,

equaling 16 hours. The annualized burden for this sector would be 8 hours (33 responses). Transfers are estimated at 7 respondents/responses x 30 minutes/response = 3 hours, 30 minutes (4 hours) (annualized to 2 hours, 4 responses). Appeals are estimated at 5 respondents x 2 hours/response = 10 hours (annualized to 5 hours; 3 responses). **Thus, the total annualized burden is 15 hours (8 + 2 + 5). Total annualized responses are 40.**

For EFPs, it is estimated that there will be one new permit issued each year x 60 minutes to complete. **Thus, the total annualized burden hours would be 1 hour and 1 response per year.**

The total number of unique respondents will be 1,761 over two years. The total annualized responses will be 889 (40 CPS + 848 HMS + 1 EFP). The total annualized burden hours will be 135.

The estimated total annual labor cost to respondents is estimated at \$2,693 per year. This was derived by multiplying the number of hours of burden each year times an hourly cost rate of \$20, the estimated total cost for administrative staff support in an office setting.

13. Provide an estimate of the total annual cost burden to the respondents or record-keepers resulting from the collection (excluding the value of the burden hours in Question 12 above).

There is no "start-up" capital cost for complying with these requirements. Eighty percent of HMS applicants (678 applicants) and 33 CPS applicants are expected to mail in permit applications. The annual cost to the respondents for postage, faxes, copies, etc. related to this collection for mail-in permit applications is estimated at \$654.12 (approximately \$0.92 per response). With the implementation of NPS, approximately 20% of HMS permit applicants (170 applicants) are expected to use the online permit application option. The online permit application process eliminated cost from stamps and envelopes and the remaining cost will be for copies of HMS permits (approximately \$0.10 per person) and is estimated to be \$17. The total cost to HMS and CPS applicants for applications is estimated at \$671.12.

A total of 848 Pacific HMS permit applications (763 renewals and 85 new applications) are expected per year. At \$30 per application fee, this will add \$25,440.

For the one EFP permit, adding \$1 for mailing brings the **total to \$26,112.**

14. Provide estimates of annualized cost to the Federal government.

The estimated annualized cost to the government is estimated at \$16,616.64. This is based on the approximate cost of a GS-7/8 Permits Technician in the Los Angeles Area for sending out permit renewal notices, reviewing application, responding to inquiries, awarding the permit and processing the data. The breakdown is as follows:

Mail-in HMS and CPS permit applications:

1.10 hrs x 711 responses = 782.1 (782) hrs x \$19.23 per hr = \$15,039.78

Online permit applications:

29 minutes x 170 responses 82.17 (82) hrs x \$19.23 per hr = \$1,576.86

15. Explain the reasons for any program changes or adjustments.

Adjustments:

Annual HMS permit responses have remained about the same, with only a slight decrease of 34 permits, with other response categories remaining the same. There is an associated decrease in burden of 4 hours.

Due to fewer responses, but mainly due to the anticipated use of the online permit application through NPS (approved under a 2012 change request), the reporting/recordkeeping cost has decreased by \$1,192.

16. For collections whose results will be published, outline the plans for tabulation and publication.

No publications based solely on permit data are planned at this time.

17. If seeking approval to not display the expiration date for OMB approval of the information collection, explain the reasons why display would be inappropriate.

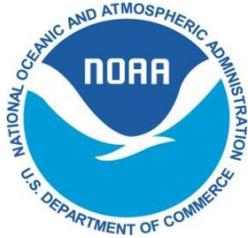
The expiration dates will be shown on the application forms.

18. Explain each exception to the certification statement.

There are no exceptions.

B. COLLECTIONS OF INFORMATION EMPLOYING STATISTICAL METHODS

This collection does not employ statistical methods.



U.S. DEPARTMENT OF COMMERCE
 NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION
 NATIONAL MARINE FISHERIES SERVICE
 501 WEST OCEAN BLVD
 SUITE 4200
 LONG BEACH, CA 90802

OMB Control No. 0648-0204
 Expiration Date: 08/31/2013

Permit Application Fee: \$30

Pacific Highly Migratory Species Vessel Permit Application

SECTION 1 VESSEL INFORMATION (please print legibly or type)

USCG DOC. OR STATE REG. NO. VESSEL		VESSEL NAME			
HOME PORT AND STATE			USCG DOCUMENTED HAIL PORT AND STATE		
RADIO CALL SIGN		DOES THIS VESSEL HAVE AN OPERATIONAL VMS? YES _____ NO _____			
REGISTERED LENGTH (FT.)	REGISTERED BREADTH (FT.)	REGISTERED DEPTH (FT.)	GROSS TONS	NET TONS	
HOLD CAPACITY (SHORT TONS, 1 ST=2,000 LBs)		REFRIGERATION TYPE ICE _____ BRINE _____ SPRAY BRINE _____ BLOWER/BLAST _____ OTHER _____			
PROPULSION TYPE GAS _____ DIESEL _____ OTHER _____		HORSEPOWER		HULL MATERIAL	
YEAR BUILT		LOCATION BUILT		CREW SIZE (INCLUDING OFFICERS)	
PREVIOUS VESSEL NAMES		VESSEL FLAG		EFFECTIVE DATES	

SECTION 2 SELECT THE TYPE OF GEAR TO BE AUTHORIZED BY THE HMS PERMIT

COMMERCIAL:			RECREATIONAL:		
HARPOON	PURSE SEINE	CHARTER VESSEL			
TROLL/JIG	LONGLINE	PASSENGER CAPACITY _____			
DRIFT GILLNET	BAITBOAT				

SECTION 3 VESSEL OWNERSHIP INFORMATION

EACH HOLDER OF THIS PERMIT (INCLUDING ALL MEMBERS OF AN ASSOCIATION OR JOINT VENTURE) IS A U.S. CITIZEN. IF THE VESSEL IS OWNED BY A TRUST, PARTNERSHIP, CORPORATION, THE PERMIT HOLDER IS AN "ELIGIBLE OWNER" AS DESCRIBED ON PAGE TWO OF THIS FORM

YES _____ NO _____

PERMIT HOLDER and/or COMPANY NAME			DATE CORPORATION FILED	EIN/SSN	
BUSINESS ADDRESS		CITY	STATE	ZIP	
BUSINESS TELEPHONE	BUSINESS FAX	BUSINESS CELL PHONE		BUSINESS E-MAIL	
MANAGING OWNER NAME LAST	FIRST	MIDDLE	SUFFIX	DATE OF BIRTH (MONTH/DAY/YEAR)	
SECOND OWNER NAME LAST	FIRST	MIDDLE	SUFFIX	DATE OF BIRTH (MONTH/DAY/YEAR)	
THIRD OWNER NAME LAST	FIRST	MIDDLE	SUFFIX	DATE OF BIRTH (MONTH/DAY/YEAR)	

SECTION 4 SIGNATURE (All applications must be signed and dated)

I certify that the above information is complete, true, and correct to the best of my knowledge and belief.

OWNER'S SIGNATURE:	NAME: (Print legibly or type)	DATE:
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General - This information is being collected by the National Marine Fisheries Service to ensure that timely and accurate records are available concerning the fishing vessels of the U.S. that participate in Pacific Ocean fisheries for highly migratory species, consistent with the requirements of the Fishery Management Plan for U.S. West Coast Fisheries for Highly Migratory Species. The collection of information concerning U.S. fishing vessels harvesting tuna in the eastern Pacific Ocean is also necessary for the U.S. to comply with its obligations as a member of the Inter-American Tropical Tuna Commission.

This permit is required for commercial fishing vessels and recreational charter vessels that harvest any of the species that are included in the FMP. They are: Billfish/Swordfish: striped marlin (*Tetrapturus audax*); swordfish (*Xiphias gladius*). Sharks: common thresher shark (*Alopias vulpinus*); shortfin mako or bonito shark (*Isurus oxyrinchus*); blue shark (*Prionace glauca*). Tunas: north Pacific albacore (*Thunnus alalunga*); yellowfin tuna (*Thunnus albacares*); bigeye tuna (*Thunnus obesus*); skipjack tuna (*Katsuwonus pelamis*); northern bluefin tuna (*Thunnus orientalis*). Other: dorado or dolphinfish (*Coryphaena hippurus*)

Eligible Owner – The following vessel owners are eligible to hold a Pacific HMS Permit:

- (1) An individual who is a citizen of the United States.
- (2) An association, trust, joint venture, or other entity if— (A) each of its members is a citizen of the United States; and (B) it is capable of holding title to a vessel under the laws of the United States or a State.
- (3) A partnership if— (A) each general partner is a citizen of the United States; and (B) the controlling interest in the partnership is owned by citizens of the United States.
- (4) A corporation if— (A) it is incorporated under the laws of the United States or a State; (B) its chief executive officer, by whatever title, and the chairman of its board of directors are citizens of the United States; and (C) no more of its directors are noncitizens than a minority of the number necessary to constitute a quorum.
- (5) The United States Government.
- (6) The government of a State.

Instructions -

Section 1 - Enter vessel information as it appears on the Coast Guard documentation or, if the vessel is not Coast Guard documented, as it appears on the state registration certificate.

Home Port - Enter the city and state where the vessel is customarily kept, not necessarily the home port listed on the certificate of documentation.

Hail Port - Enter the city and state listed on the certificate of documentation

Radio Call Sign - If your vessel has no radio, leave blank

Operational VMS - Global positioning system using satellites to track vessel movements

Hold Capacity - Short Tons is preferred.

Provide all other information required to the best of your ability.

Section 2 - For commercial fishing vessels, mark only gears that the vessel will use to harvest highly migratory species. Indicate only whether a recreational vessel is a charter or commercial passenger fishing vessel (CPFV). It is not necessary to list any gear for recreational vessels.

Section 3 - Enter an Employer Identification Number (EIN) if you have one, and the vessel owners' Social Security Number(s). The owner's date of birth will be used as an additional identifier and to establish the two-year renewal date for the permit. Enter the month, day, and year. Contact information for all owners of the vessel is required. If there are more than three owners, use an additional sheet.

Privacy Act Statement: Federal Regulations (at 50 CFR Part 660) authorize collection of this information. This information is used to verify the identity of the applicant(s) and to accurately retrieve confidential records related to federal commercial fishery permits. Where the requested information is a Social Security Number, disclosure is mandatory in accordance with the Debt Collection Act (31 U.S.C. 7701).

Your Social Security Number (SSN) is confidential and is protected under the Privacy Act. Disclosure of your SSN is mandatory. The primary purpose for requiring the SSN and EIN is to verify the identity of individuals/entities doing business with the government as required by the Debt Collection Improvement Act of 1996 (Public Law 104-134).

Paperwork Reduction Act - Public reporting burden for this collection of information is estimated to average 30 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining data, and completing and reviewing the collection of information. Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Regional Administrator, National Marine Fisheries Service, 501 West Ocean Blvd., Long Beach, CA 90802-4213.

Permit application fee: \$30.00 Payable to "US Department of Commerce – NOAA
"Please write your Vessel number and name in the memo section of your check.



UNITED STATES DEPARTMENT OF COMMERCE
National Oceanic and Atmospheric Administration
NATIONAL MARINE FISHERIES SERVICE
Southwest Region
501 West Ocean Boulevard, Suite 4200
Long Beach, California 90802-4213

OMB CONTROL NO. 0648-0204
Expiration Date: 08/31/2013

COASTAL PELAGICS FISHING LIMITED ENTRY PERMIT PERMIT RENEWAL/PERMIT TRANSFER/CHANGE OF ADDRESS OR REPLACEMENT OF LOST PERMIT

ACTION DESIRED (Check appropriate box):

- RENEWAL** Complete applicant (1) Current vessel information (2)
- PERMIT TRANSFER** Complete applicant (1) Current vessel information (2) New permit owner (3) New vessel information (4)
- PERMIT CHANGE OF ADDRESS** Complete applicant (1) Current vessel information (2). Put new address in new owner (3).
- REPLACEMENT OF LOST PERMIT** Complete applicant (1) Current vessel information (2).

(1) APPLICANT INFORMATION

Name of current permit owner		Permit Number
Address		Phone No.
Signature	Print Name	Date

(2) VESSEL INFORMATION

Vessel Name		Gross Tonnage
Official Number (Coast Guard)	State Official Number	

This form is intended to be used for all transfers of limited entry permits to either a new vessel and/or a new owner. If two or more permits are being applied to a larger vessel, more than one form will be needed. Please refer to the implementing regulations at 50 CFR 660.514 for details.

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(3) NEW PERMIT OWNER

Name of intended permit owner		Permit Number
Address		Phone No.
Signature	Print Name	Date

(4) NEW VESSEL INFORMATION

Vessel Name		Gross Tonnage
Official Number (Coast Guard)	State Official Number	

Comparable capacity means gross tonnage as determined by the formula in 46 CFR 69.209(a) for a vessel not designed for sailing, plus 10 percent of the vessel's calculated gross tonnage.

Gross tonnage (GT) means gross tonnage as determined by the formula in 46 CFR 69.209(a) for a vessel not designed for sailing ($.67 \times \text{length} \times \text{breadth} \times \text{depth}/100$). A vessel's length, breadth, and depth are those specified on the vessel's certificate of documentation issued by the U.S. Coast Guard or state.

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Warning: A false statement on this form is punishable by permit sanctions (revocation, suspension, or modification) under 15 CFR part 904, a civil penalty up to \$100,000 under 16 USC 1858, and as a federal crime under 18 USC 1001.

The purpose of this information collection is to limit the number of vessels fishing for coastal pelagic species according to the provisions of the Fishery Management Plan for Coastal Pelagic Species, which was approved by the Secretary of Commerce and implemented by federal regulations on December 15, 1999 (64 FR 69888). The information on this form is mandatory for owners of vessels who wish to participate in the limited entry fishery, and is required by 50 CFR 660.512. Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.

Paperwork Reduction Act - Public reporting burden for this collection is estimated to average 30 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Southwest Region, NMFS, 501 West Ocean Blvd., Suite 4200, Long Beach, California 90802-4213.

trip ticket program issued by the NMFS or through a NMF- approved proprietary mechanism, a private industry program which has met NMFS requirements in being able to enter data and submit files in accordance to NMFS regulations.

III. Data

OMB Control Number: 0648–0229.

Form Number: None.

Type of Review: Regular submission (extension of a current information collection).

Affected Public: Business or other for profit organizations.

Estimated Number of Respondents: 781.

Estimated Time Per Response: 4 minutes per fishing trip.

Estimated Total Annual Burden Hours: 2,643.

Estimated Total Annual Cost to Public: \$460,200 in recordkeeping/reporting costs.

IV. Request for Comments

Comments are invited on: (a) Whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden (including hours and cost) of the proposed collection of information; (c) ways to enhance the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology.

Comments submitted in response to this notice will be summarized and/or included in the request for OMB approval of this information collection; they also will become a matter of public record.

Dated: March 28, 2013.

Gwellnar Banks,

Management Analyst, Office of the Chief Information Officer.

[FR Doc. 2013–07624 Filed 4–1–13; 8:45 am]

BILLING CODE 3510–22–P

DEPARTMENT OF COMMERCE

National Oceanic and Atmospheric Administration

Proposed Information Collection; Comment Request; Southwest Region Permit Family of Forms

AGENCY: National Oceanic and Atmospheric Administration (NOAA), Commerce.

ACTION: Notice.

SUMMARY: The Department of Commerce, as part of its continuing effort to reduce paperwork and respondent burden, invites the general public and other Federal agencies to take this opportunity to comment on proposed and/or continuing information collections, as required by the Paperwork Reduction Act of 1995.

DATES: Written comments must be submitted on or before June 3, 2013.

ADDRESSES: Direct all written comments to Jennifer Jessup, Departmental Paperwork Clearance Officer, Department of Commerce, Room 6616, 14th and Constitution Avenue NW., Washington, DC 20230 (or via the Internet at Jjessup@doc.gov).

FOR FURTHER INFORMATION CONTACT: Requests for additional information or copies of the information collection instrument and instructions should be directed to Craig D'Angelo, (562) 980–4024 or Craig.Dangelo@noaa.gov.

SUPPLEMENTARY INFORMATION:

I. Abstract

This request is for revision and extension of a current information collection. Under the Magnuson-Stevens Fishery Conservation and Management Act, 16 U.S.C. 1801 et seq., permits are required for persons to participate in Federally-managed fisheries off the West Coast. There are three types of permits: Basic fishery permits for Highly Migratory Species (HMS), limited entry permits for Coastal Pelagic Species (CPS) and experimental fishing permits (EFPs). Appeals and certain waiver requests may also be submitted. Transfer applications may also be required.

The permit application forms provide basic information about permit holders and the vessels and gear being used. This information is important for understanding the nature of the fisheries and provides a link to participants. It also aids in enforcement of regulations. Minor modifications of the current HMS permit application will occur to simplify application questions.

II. Method of Collection

Forms are available on the internet; paper applications are also available and may be submitted by mail or FAX. In addition, an online submission option is expected to be available for Highly Migratory Species permits by April 30, 2013.

III. Data

OMB Control Number: 0648–0204.

Form Number: None.

Type of Review: Regular submission (revision and extension of a current information collection).

Affected Public: Business or other for-profit organizations.

Estimated Number of Respondents: 1,695 (HMS), 65 (CPS).

Estimated Time per Response: HMS permit renewal applications, 6 minutes; CPS transfers, 15 minutes; new HMS permits, 60 minutes; additional information (when requested) for the CPS fishery, 1 hour; appeals, 2 hours.

Estimated Total Annual Burden Hours: 170.

Estimated Total Annual Cost to Public: \$25,855.

IV. Request for Comments

Comments are invited on: (a) Whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden (including hours and cost) of the proposed collection of information; (c) ways to enhance the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology.

Comments submitted in response to this notice will be summarized and/or included in the request for OMB approval of this information collection; they also will become a matter of public record.

Dated: March 27, 2013.

Gwellnar Banks,

Management Analyst, Office of the Chief Information Officer.

[FR Doc. 2013–07575 Filed 4–1–13; 8:45 am]

BILLING CODE 3510–22–P

DEPARTMENT OF COMMERCE

National Oceanic and Atmospheric Administration

RIN 0648–XC528

Fisheries of the Caribbean, Gulf of Mexico, and South Atlantic; Reef Fish Fishery of the Gulf of Mexico; Exempted Fishing Permit

AGENCY: National Marine Fisheries Service (NMFS), National Oceanic and Atmospheric Administration (NOAA), Commerce.

ACTION: Notice of receipt of an application for an exempted fishing permit; request for comments.