

NOTICE OF OFFICE OF MANAGEMENT AND BUDGET ACTION

Date 03/26/2012

Department of Commerce
National Oceanic and Atmospheric Administration
FOR CERTIFYING OFFICIAL: Simon Szykman
FOR CLEARANCE OFFICER: Diana Hynek

In accordance with the Paperwork Reduction Act, OMB has taken action on your request received 02/22/2012

ACTION REQUESTED: No material or nonsubstantive change to a currently approved collection
TYPE OF REVIEW REQUESTED: Regular
ICR REFERENCE NUMBER: 201202-0648-007
AGENCY ICR TRACKING NUMBER:
TITLE: Northeast Region Permit Family of Forms
LIST OF INFORMATION COLLECTIONS: See next page

OMB ACTION: Approved without change
OMB CONTROL NUMBER: 0648-0202

The agency is required to display the OMB Control Number and inform respondents of its legal significance in accordance with 5 CFR 1320.5(b).

EXPIRATION DATE: 04/30/2013

DISCONTINUE DATE:

BURDEN:	RESPONSES	HOURS	COSTS
Previous	206,738	29,198	6,271,725
New	209,491	29,586	6,273,429
Difference			
Change due to New Statute	0	0	0
Change due to Agency Discretion	2,753	388	1,704
Change due to Agency Adjustment	0	0	0
Change Due to Potential Violation of the PRA	0	0	0

TERMS OF CLEARANCE:

OMB Authorizing Official: Kevin F. Neyland
Deputy Administrator,
Office Of Information And Regulatory Affairs

List of ICs

IC Title	Form No.	Form Name	CFR Citation
Gillnet Designations/Requests for Tags	NA, NA	Gillnet Tag Order Form, Gillnet Tag Replacement Form	
Vessel permit application and renewal	NA, NA	Federal Fisheries Vessel Permit Application - Renewal, Federal Fisheries Vessel Permit Application - Initial	
Replacement/upgrade, permit history retention, vessel specification verification, unseaworthy vessel (proof of loss)	NA	Application for vessel replacement, upgrade and confirmation of permit history	
Herring vessels - bycatch notification of landing to Office of Law Enforcement			50 CFR 648.14
Midwater Trawl Exemption Request			50 CFR 648.80
LOA for WGOM and Cashes Ledge Closed Area Charter/Party Exemption Certificate			50 CFR 648.80
Summer Flounder Small-Mesh Exemption			50 CFR 648.104
Purse Seine Exemption			50 CFR 648.80
Cultivator Shoals Whiting and Nantucket Shoals Dogfish Exemptions			50 CFR 648.80
Vessels Standing by Entangled Whales - Notification			50 CFR 648.14
DAS Credit for Standing By Entangled Whale	NA	DAS credit request form	50 CFR 648.14
Raised Footrope Trawl Whiting Fishery Exemption (Sept 1 - Dec 31)			50 CFR 648.80
Monkfish Southern Fishery Management Area Landing Limit and Minimum Fish Size Exemption			50 CFR 648.94
VMS/Call-In Requirements - MS, Monkfish vessels days out of fishery; depart/landing for monkfish and occasional sea scallop vessels	NA	VMS call-in addition of question about landing an extra monkfish	50 CFR 648

List of ICs

IC Title	Form No.	Form Name	CFR Citation
Expedited submission of proposed SAPs			50 CFR 648.85
NE Multispecies VMS Power Down Exemption	NA	VMS Power Down Exemption Request Form	50 CFR 648.10
NE Multispecies Days at Sea Transfer Program	NA	Application to Permanently Transfer NE Multispecies Days at Sea	
Multispecies Area and DAS Declaration - Groundfish vessels fishing under an NE Multispecies DAS			50 CFR 648.10
NE Multispecies Proposed Sector Allocation			50 CFR 648
Northeast Region Dealer Permitting - Initial Permit and Renewal	NA, NA	Dealer Permit Application Form - Renewal, Federal Northeast Seafood Dealer -Initial Dealer Permit Application	
VMS proof of installation and confirmation of VMS connectivity with OLE (for 550 vessels per year), plus costs of installation, maintenance and automated polling	NA	VMS Certification of Activation	50 CFR 648.14
Foreign fishing vessels arrival/departure from EEZ notification			50 CFR 300.140
Foreign fishing vessel VMS purchase, installation/maintenance and position reporting costs and certification responses and costs	NA	VMS Certification of Installation	50 CFR 300.140
Area and DAS Declaration: Gulf of Maine Differential Days at Sea Area Heaving-To Declaration			50 CFR 648.10
Vessel operator permit application - initial and renewal	NA, NA	Vessel operator permit application - initial, Vessel Operator Application - Renewal	
SNE Little Tunny Gillnet and Winter Flounder State Waters Exemptions			50 CFR 648.80
State Waters Sea Scallop Exemption			50 CFR 648.80

List of ICs

IC Title	Form No.	Form Name	CFR Citation
NAFO Regulatory Area Request (exemption)			50 CFR 648.80
GOM/Nantucket Lightship Party/Charter Exemption			50 CFR 648.81
Change in Multipspecies Permit Category, Transiting to Another Port and Transfer at Sea			50 CFR 648.10
Lobster Area Designation and Requests for Trap Tags	NA, NA	Lobster Trap Tag Request Form, Lobster trap tag replacement request form	
Lobster Area 5 Waiver			50 CFR 697.26
State Quota Transfers			50 CFR 648.100, 648.160
Monkfish Fishery Days at Sea VMS Declaration			50 CFR 648.94
LOA for declaring that a vessel intends to fish south of the Gulf of Maine (GOM) Regulated Mesh Area			50 CFR 648.86
Herring VMS catch report			50 CFR 648.7
Herring vessel request for transfer at sea LOA			50 CFR 648.13
Herring Carrier LOA request			50 CFR 648.4
DAS for cancelled trip with new DAS request form	NA	DAS Credit Request Form	
VMS notification for coordination of monitored landing event, pre DAS credit request for cancelled trip			50 CFR 648.53

**JUSTIFICATION FOR CHANGE
NORTHEAST REGION PERMIT FAMILY OF FORMS
OMB CONTROL NO. 0648-0202**

The National Marine Fisheries Service (NMFS) is proposing a change to OMB Control No. 0648-0202, to add a new information collection requirement for vessels requesting a days-at-sea (DAS) credit for a canceled trip. There would be a maximum of 388 hours added to the public burden and \$1,704 in recordkeeping/reporting costs, associated with this change. This analysis was conducted assuming each permitted vessel will request one canceled trip DAS credit per fishing year.

In response to a fishing industry request, NMFS is proposing a provision that would allow vessels that have canceled a fishing trip due to unforeseen circumstances, but have not yet conducted any fishing activity, to be credited DAS upon written request of the vessel's owner/operator. This provision would apply to all fisheries that operate under a DAS management system, specifically the Northeast (NE) multispecies, monkfish, and Atlantic sea scallop fisheries. Under this provision, a canceled trip DAS credit would only be approved in cases where fishing gear was set or hauled and the vessel was, therefore, not in possession of any fish. The vessel's vessel monitoring system (VMS) will provide data that will help determine whether or not the vessel had engaged in setting or hauling fishing gear. To ensure the enforceability of this provision, vessels seeking a canceled trip DAS credit would be required to notify NMFS Office of Law Enforcement to coordinate a monitored landing event. The initial notification method depends upon the reporting requirements of the vessel's permit type. Vessels required to report using a VMS unit will be required to send a VMS email and vessels not required to use a VMS must call the interactive voice response (IVR) backup line. Additionally, after landing, both VMS and IVR vessels would be required to submit a DAS credit request form. *This change request addresses the VMS requirement and the DAS credit form only and adds an additional form to the 0648-0202 family of forms. The IVR requirement is being submitted in a change request to the 0648-0212 family of forms.*

The new DAS credit form will also be used when requesting a DAS credit under two previously implemented DAS credit provisions, specifically the Good Samaritan and the standing by

entangled whale DAS credits. These two provisions previously required an original written report rather than completing a NMFS issued standardized form. This change request does not require any additional information to be provided under these two previously implemented DAS credit provisions.

As of August 2011, there were 890 NE multispecies DAS permits, 672 monkfish DAS permits, and 346 scallop DAS vessels. Thus, this new requirement could apply to a maximum of 1,908 permit holders. However, of the 1,908 permits affected, 845 are VMS vessels and the remainder, 1,063, are either IVR vessels or inactive vessels. In practice, the number of affected vessels is expected to be substantially lower because DAS permitted fisheries are generally grouped together into a single suite of permits, however it is not possible to estimate the exact number prior to implementation of the requirement.

The VMS notification requirement of this change request only applies to the 845 vessels using VMS. The VMS email is estimated to take 5 minutes per vessel to fill out, for a total of 70 hours (5 min. x 845 vessels). Each VMS email will cost approximately \$1, for a total of \$845 (\$1 x 845 vessels x 1 submission per vessel).

All 1,908 permit holders will be required to fill out the DAS credit form when requesting a DAS credit from NMFS. Each DAS credit form will take 10 minutes to fill out and send in, for a total of 318 hours (10 min. x 1,908 vessels). The cost of each form will be \$0.45 (current cost of a stamp) for a total of \$859 (\$0.45 x 1,908 vessels x 1 submission per vessel).

The 0648-0202 burden table will be modified as shown below:

					Total Burden (hours)		Cost to:	
	# of Entities	Items per Entity	Total # of Items	Response Time (min)	Public	Government	Public	Govt.
VMS Email	845	1	845	5	70	141	\$845	\$3,525
Cancelled trip DAS Credit request	1,908	1	1,908	10	318	318	\$859	\$7,950
Net additional responses, hours and costs	No change		2,753		388	459	\$1,704	11,475

PAPERWORK REDUCTION ACT CHANGE WORKSHEET

Agency/Subagency	OMB Control Number _____ - _____	
<i>Enter only items that change</i>		
	Current record	New record
Agency form number (s)		
Annual reporting and recordkeeping hour burden		
Number of respondents		
Total annual responses		
Percent of these responses collected electronically	%	%
Total annual hours		
Difference		
Explanation of difference		
Program change		
Adjustment		
Annual reporting and recordkeeping cost burden (in thousands of dollars)		
Total annualized Capital/Startup costs		
Total annual costs (O&M)		
Total annualized cost requested		
Difference		
Explanation of difference		
Program change		
Adjustment		
Other changes**		
Signature of Senior Official or designee:	Date:	For OIRA Use _____ _____

** This form cannot be used to extend an expiration date.



National Marine Fisheries Service
Sustainable Fisheries Division
55 Great Republic Drive
Gloucester, MA 01930
(978) 281 - 9315

Days-at-Sea (DAS) Credit Request Form

Please complete the back side of this form including your signature, which verifies that you meet the requirements below. Submit this form and any supporting documentation to us at the address above or by fax at (978) 281-9135. If you have any questions, please contact us at (978) 281-9315.

I am requesting a DAS credit under one or more of the following circumstance and I meet all of the applicable requirements of the credit(s) I am requesting below:

 Good Samaritan DAS Credit

- I spent time at sea assisting in a USCG search and rescue operation or assisting the USCG in towing a disabled vessel, and can document the occurrence through the USCG
- The vessel is a Northeast (NE) multispecies, monkfish, or Atlantic sea scallop DAS permitted vessel

 Standing by entangled whales DAS credit

- I reported and stood by an entangled whale
- The vessel is a NE multispecies DAS permitted vessel
- I previously contacted the USCG and an authorized whale entanglement organization
- I was and remain available to answer questions on the condition of the animal, possible species identification, severity of entanglement, etc., and take photographs of the whale, if possible, regardless of the species of whale or whether the whale is alive or dead
- I remained on scene until the USCG or an authorized response team arrived, or it was determined that an authorized response team would not be able to arrive

 Canceled trip DAS credit

- I canceled a fishing trip before setting or hauling any fishing gear
- The vessel is a NE multispecies, monkfish, or Atlantic sea scallop DAS permitted vessel
- No fishing gear was set or hauled and no fish were caught or landed at any time during the trip
- The vessel trip report (logbook or VTR) for the canceled trip is submitted with this form
- This form was submitted within 30 days of my return to port from the canceled trip
- In addition to submitting this form I made an initial notification at sea as outlined below
 - I have a VMS onboard the vessel and sent a VMS email from sea to cancel the trip at the earliest opportunity prior to crossing the VMS demarcation line upon return to port, to NMFS.OLE.NE@NOAA.GOV with 'DAS CREDIT REQUEST – CANCELED TRIP' in the subject of the email. The email included at least the information in items 1 – 7 below
 - I do not have a VMS onboard the vessel and I called the interactive voice response (IVR) backup line at (888) 487-9994. I called at sea at the time the trip was canceled, or at the earliest opportunity prior to landing and included at least the information in items 1 – 7 below

Information that must be included for all VMS and IVR canceled trip DAS credit notifications

- | | |
|----------------------------------|--|
| 1. Operator name | 6. Estimated date/time of return to port |
| 2. Vessel name | 7. Statement that no fish were caught, landed, or onboard and no fishing activity occurred |
| 3. Vessel permit number | |
| 4. Port where vessel will return | |
| 5. Date trip started | |

Note: For requests submitted near the end of a fishing year, if approved, your credit will apply to the fishing year in which the trip in question occurred. Credited DAS may be carried over to the next fishing year, not to exceed the maximum amount of carryover DAS specified for the given fishery. The maximum DAS permitted for carryover per fishery are as follows: monkfish – 4 DAS; NE multispecies – 10 DAS; and Atlantic sea scallop – 10 DAS.

Information required for all DAS credit applications	
Owner name/corporation	
Vessel name	
Permit number	
USCG documentation or state registration number	
Vessel operator	
Trip departure date	
Trip landing date	

Additional information required if applying for a Good Samaritan DAS credit	
Date and time vessel ceased fishing	

Additional information required if applying for a standing by entangled whale DAS credit	
Date of first communication with the USCG	
Date and time vessel began stand-by status	
Date and time vessel ended stand-by status	

Additional information required if applying for a canceled trip DAS credit	
Date and time VMS email was sent or IVR backup line was called	
Reason for ending trip	

I, the undersigned, am the owner or legally authorized agent of the owner of the vessel named above. I affirm, subject to the penalties provided in 18 U.S.C. 1001, that all information that I have given in requesting this DAS credit is true and correct.

OMB# 0648-0202 Expires 04/30/2013

Name of Applicant (print clearly) _____

Signature of Applicant _____ Date _____

Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.

Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining data needed, and completing and reviewing the information. Send comments regarding this burden estimate or suggestions for reducing this burden to NMFS, 55 Great Republic Drive, Gloucester MA 01930; and to OMB, Paperwork Reduction Project, Washington, DC 20509. OMB# 0648-0202, Expires 04/30/2013.