

# NOTICE OF OFFICE OF MANAGEMENT AND BUDGET ACTION

Date 06/25/2013

Department of Commerce  
National Oceanic and Atmospheric Administration

FOR CERTIFYING OFFICIAL: Simon Szykman

FOR CLEARANCE OFFICER: Jennifer Jessup

In accordance with the Paperwork Reduction Act, OMB has taken action on your request received 03/26/2013

ACTION REQUESTED: Revision of a currently approved collection

TYPE OF REVIEW REQUESTED: Regular

ICR REFERENCE NUMBER: 201303-0648-002

AGENCY ICR TRACKING NUMBER:

TITLE: Antarctic Marine Living Resources Conservation and Management Measures

LIST OF INFORMATION COLLECTIONS: See next page

OMB ACTION: Approved without change

OMB CONTROL NUMBER: 0648-0194

The agency is required to display the OMB Control Number and inform respondents of its legal significance in accordance with 5 CFR 1320.5(b).

EXPIRATION DATE: 06/30/2016

DISCONTINUE DATE:

BURDEN:	RESPONSES	HOURS	COSTS
Previous	1,138	294	132,373
New	1,502	386	132,523
Difference			
Change due to New Statute	0	0	0
Change due to Agency Discretion	0	0	0
Change due to Agency Adjustment	364	92	150
Change due to PRA Violation	0	0	0

TERMS OF CLEARANCE:

OMB Authorizing Official: Dominic J. Mancini  
Acting Deputy Administrator,  
Office Of Information And Regulatory Affairs

List of ICs			
IC Title	Form No.	Form Name	CFR Citation
CEMP permit application and annual report			50 CFR 300.107
Applications for new or exploratory fishery			50 CFR 300.105 and 300.106
Harvest and/or transshipment applications	NA	Application for permit to harvest and/or transship AMLR	
Radio transmissions			50 CFR 300.107
VMS installation, maintenance, certification and costs only for transmission	NA	VMS Certification Statement	
Vessel Marking			50 CFR 300.108
Gear marking			50 CFR 300.108
Observer notificaiton telephone call			50 CFR 300.113
Dealer import and/or re-export permit applications	NA	AMLR Dealer permit application	
Catch data submission - with fresh toothfish	NA	Reporting doc for catch documents accompanying fresh, air-shipped shipments of toothfish	
Pre-approval of toothfish catch documents/reporting docs if fresh	NA	Application for preapproval of catch documents of toothfish	
Dealer export/re-export and catch documents and conservation statement	NA, NA	Dissotichus catch/re-export document, Application for re-export of catch documents	
Import tickets	NA	AMLR Import Ticket	

# PAPERWORK REDUCTION ACT SUBMISSION

**Please read the instructions before completing this form. For additional forms or assistance in completing this form, contact your agency's Paperwork Clearance Officer. Send two copies of this form, the collection instrument to be reviewed, the supporting statement, and any additional documentation to: Office of Information and Regulatory Affairs, Office of Management and Budget, Docket Library, Room 10102, 725 17th Street NW, Washington, DC 20503.**

1. Agency/Subagency originating request	2. OMB control number <span style="float: right;">b. <input type="checkbox"/> None</span> a. _____ - _____
3. Type of information collection ( <i>check one</i> ) a. <input type="checkbox"/> New Collection b. <input type="checkbox"/> Revision of a currently approved collection c. <input type="checkbox"/> Extension of a currently approved collection d. <input type="checkbox"/> Reinstatement, without change, of a previously approved collection for which approval has expired e. <input type="checkbox"/> Reinstatement, with change, of a previously approved collection for which approval has expired f. <input type="checkbox"/> Existing collection in use without an OMB control number For b-f, note Item A2 of Supporting Statement instructions	4. Type of review requested ( <i>check one</i> ) a. <input type="checkbox"/> Regular submission b. <input type="checkbox"/> Emergency - Approval requested by _____ / _____ / _____ c. <input type="checkbox"/> Delegated
7. Title	5. Small entities Will this information collection have a significant economic impact on a substantial number of small entities? <input type="checkbox"/> Yes <input type="checkbox"/> No
8. Agency form number(s) ( <i>if applicable</i> )	6. Requested expiration date a. <input type="checkbox"/> Three years from approval date b. <input type="checkbox"/> Other Specify: _____ / _____
9. Keywords	10. Abstract
11. Affected public ( <i>Mark primary with "P" and all others that apply with "x"</i> ) a. ___ Individuals or households d. ___ Farms b. ___ Business or other for-profit e. ___ Federal Government c. ___ Not-for-profit institutions f. ___ State, Local or Tribal Government	12. Obligation to respond ( <i>check one</i> ) a. <input type="checkbox"/> Voluntary b. <input type="checkbox"/> Required to obtain or retain benefits c. <input type="checkbox"/> Mandatory
13. Annual recordkeeping and reporting burden a. Number of respondents _____ b. Total annual responses _____ 1. Percentage of these responses collected electronically _____ % c. Total annual hours requested _____ d. Current OMB inventory _____ e. Difference _____ f. Explanation of difference 1. Program change _____ 2. Adjustment _____	14. Annual reporting and recordkeeping cost burden ( <i>in thousands of dollars</i> ) a. Total annualized capital/startup costs _____ b. Total annual costs (O&M) _____ c. Total annualized cost requested _____ d. Current OMB inventory _____ e. Difference _____ f. Explanation of difference 1. Program change _____ 2. Adjustment _____
15. Purpose of information collection ( <i>Mark primary with "P" and all others that apply with "X"</i> ) a. ___ Application for benefits e. ___ Program planning or management b. ___ Program evaluation f. ___ Research c. ___ General purpose statistics g. ___ Regulatory or compliance d. ___ Audit	16. Frequency of recordkeeping or reporting ( <i>check all that apply</i> ) a. <input type="checkbox"/> Recordkeeping b. <input type="checkbox"/> Third party disclosure c. <input type="checkbox"/> Reporting 1. <input type="checkbox"/> On occasion 2. <input type="checkbox"/> Weekly 3. <input type="checkbox"/> Monthly 4. <input type="checkbox"/> Quarterly 5. <input type="checkbox"/> Semi-annually 6. <input type="checkbox"/> Annually 7. <input type="checkbox"/> Biennially 8. <input type="checkbox"/> Other (describe) _____
17. Statistical methods Does this information collection employ statistical methods <input type="checkbox"/> Yes <input type="checkbox"/> No	18. Agency Contact (person who can best answer questions regarding the content of this submission)  Name: _____ Phone: _____

## 19. Certification for Paperwork Reduction Act Submissions

On behalf of this Federal Agency, I certify that the collection of information encompassed by this request complies with 5 CFR 1320.9

**NOTE:** The text of 5 CFR 1320.9, and the related provisions of 5 CFR 1320.8(b)(3), appear at the end of the instructions. *The certification is to be made with reference to those regulatory provisions as set forth in the instructions.*

The following is a summary of the topics, regarding the proposed collection of information, that the certification covers:

- (a) It is necessary for the proper performance of agency functions;
- (b) It avoids unnecessary duplication;
- (c) It reduces burden on small entities;
- (d) It used plain, coherent, and unambiguous terminology that is understandable to respondents;
- (e) Its implementation will be consistent and compatible with current reporting and recordkeeping practices;
- (f) It indicates the retention period for recordkeeping requirements;
- (g) It informs respondents of the information called for under 5 CFR 1320.8(b)(3):
  - (i) Why the information is being collected;
  - (ii) Use of information;
  - (iii) Burden estimate;
  - (iv) Nature of response (voluntary, required for a benefit, mandatory);
  - (v) Nature and extent of confidentiality; and
  - (vi) Need to display currently valid OMB control number;
- (h) It was developed by an office that has planned and allocated resources for the efficient and effective management and use of the information to be collected (see note in Item 19 of instructions);
- (i) It uses effective and efficient statistical survey methodology; and
- (j) It makes appropriate use of information technology.

If you are unable to certify compliance with any of the provisions, identify the item below and explain the reason in Item 18 of the Supporting Statement.

Signature of Senior Official or designee

Date

Agency Certification (signature of Assistant Administrator, Deputy Assistant Administrator, Line Office Chief Information Officer, head of MB staff for L.O.s, or of the Director of a Program or StaffOffice)

Signature

Date

Signature of NOAA Clearance Officer

Signature

Date

**SUPPORTING STATEMENT  
ANTARCTIC MARINE LIVING RESOURCE REGULATIONS  
OMB CONTROL NO. 0648-0194**

**A. JUSTIFICATION**

This request is for revision and extension of a current information collection.

**1. Explain the circumstances that make the collection of information necessary.**

The 1982 [Convention for the Conservation of Antarctic Marine Living Resources](#) established the [Commission for the Conservation of Antarctic Marine Living Resources](#) (CCAMLR) for the purpose of protecting and conserving the marine living resources in the waters surrounding Antarctica. The Convention is based upon an ecosystem approach to the conservation of marine living resources and incorporates standards designed to ensure the conservation of individual populations and species and the Antarctic marine ecosystem as a whole.

The United States (U.S.) is a contracting party to the Convention and a member of CCAMLR and the Scientific Committee established by the Commission.

On November 8, 1984, the President signed Public Law 98-623, the [Antarctic Marine Living Resources Convention Act](#) (the Act). Antarctic Conservation Act of 1978 The Act directs and authorizes the United States to take actions necessary to meet its treaty obligations as a contracting party to the Convention.

This collection of information is updated to include the use of the revised application for re-export of toothfish by U.S. Antarctic marine living resource (AMLR) dealer permit holders seeking to re-export product out of the United States. The burden associated with the revised re-export approval application does not change.

**2. Explain how, by whom, how frequently, and for what purpose the information will be used. If the information collected will be disseminated to the public or used to support information that will be disseminated to the public, then explain how the collection complies with all applicable Information Quality Guidelines.**

The reporting requirements included in this collection concern CCAMLR Ecosystem Monitoring Program (CEMP) activities, scientific research in the CAMLR Convention Area, U.S. harvesting permit applicants and/or harvesting vessel operators, and U.S. importers and re-exporters of AMLR.

U.S. regulations require permits of U.S. individuals engaged in AMLR harvesting, transshipping, and importing or entering and/or conducting activities in a CEMP site to apply for and hold a permit for such activities. Individuals involved in certain scientific research in the CAMLR Convention Area are required to report information.

The regulations comply with and give effect to the Convention. Members of the Convention are required to provide, in the manner and at such intervals as may be prescribed, information about

harvesting activities, including fishing areas and vessels, so as to enable reliable catch and effort statistics to be compiled.

Members agree in Article XX of the Convention to take advantage of opportunities to assess the impact of harvesting. Members are also required to provide information to the Commission on steps to be taken to implement the conservation measures adopted by the Commission. Article XXI requires the Contracting Parties to take appropriate action to ensure compliance with the Convention and with the conservation measures adopted by the Commission. Each Contracting Party is required to transmit to the Commission information on these actions, including the imposition of sanctions for any violation.

The Department of Commerce (DOC) has reviewed the Convention and the conservation measures adopted by the Commission and concluded that a permit system is the most effective way to monitor Antarctic resources, provide treaty data to the Commission, meet international obligations, and ensure compliance, without unduly burdening harvesting and importing activities.

U.S. regulations govern the harvest and importation into the United States of any AMLR, part or product, by any person subject to the jurisdiction of the United States, including U.S. citizens and those wishing to import into the United States.

Under the Antarctic Conservation Act of 1978, the National Science Foundation (NSF) has permitting authority for collection of native birds and mammals and certain plants south of 60 degrees South latitude. To minimize the public paperwork burden, regulations set forth at 50 CFR 300 Subpart G exempt an Antarctic harvester or importer holding a permit or an award (as evidenced by an award letter) from NSF or an endangered species or marine mammal permit from the National Marine Fisheries Service (NMFS), from the need to obtain a separate AMLR permit from NMFS. Although a NMFS AMLR permit is not required of NSF permit or award holders, they must comply with both preexisting data requirements related to the NSF or NMFS permit or award and CCAMLRL data requirements.

## **I. CEMP Entry Permits**

AMLR regulations require that persons proposing to enter or conduct research in a CEMP site submit an application for an entry permit. If issued a permit, the holder must abide by all the conditions in the permit, including submission of an annual report describing the activities conducted and any actions not in compliance with the site's Management Plan. These permits are valid for five years. In the event that a CEMP site is also listed as a specially protected site under the Antarctic Conservation Act of 1978, applicants are redirected to the National Science Foundation to submit an application for a dual permit. Although one permit will serve both purposes, annual reporting is directed to each agency individually for areas within the agency's expertise.

## **II. Harvest or Transshipment**

### **A. New and exploratory fisheries**

The U.S. issued a Convention area-harvesting permit to a single U.S. fisherman for a new crab fishery in 1991. When informed of the permit, CCAMLR expressed its strong and collective concern that, although the United States had required the permit holder to submit a Research and Data Collection Plan as a part of his application, the fishery had been authorized without prior knowledge of the demographic characteristics of the species.

The Commission agreed that it was particularly important for members to fulfill their treaty obligation to provide statistical, biological, and other information, and as a consequence, adopted conservation measures for new and exploratory fisheries.

#### **1. New fisheries**

The Commission adopted a measure which requires Members to notify the Commission when it is considering initiating a new fishery in the Convention area. The Commission must receive the notification not less than three months in advance of the next regular meeting of the Commission. The Member may not initiate the new fishery pending Commission review. The notification to the Commission must be accompanied by as much of the following information as the Member is able to provide:

- The nature of the proposed fishery including target species, methods of fishing, proposed region and any minimum level of catches that would be required to develop a viable fishery
- Biological information from comprehensive research/survey cruises, such as distribution, abundance, demographic data and information on stock identity
- Details of dependent and associated species and the likelihood of them being affected by the proposed fishery
- Information from other fisheries in the region or similar fisheries elsewhere that may assist in the valuation of potential yield.

Information on proposed new fisheries is considered by the Scientific Committee, which then advises the Commission. After Commission review, the Commission takes action, as it deems necessary.

#### **2. Exploratory fisheries**

The Commission adopted a measure on exploratory fisheries. An exploratory fishery is one, which has previously been classified as a new fishery and reviewed by the Scientific Committee and Commission prior to its initiation.

An exploratory fishery continues to be classified as an exploratory fishery until sufficient information is available to evaluate the fishery's potential yield, review its potential impacts on dependent and related species, and allow the Scientific Committee to formulate and provide advice to the Commission on appropriate harvest catch levels, effort levels, and fishing gear.

To ensure that adequate information is available to the Scientific Committee for evaluation during the period when a fishery is classified as exploratory, the Scientific Committee develops and annually updates a Data Collection Plan. Each Member active in the fishery annually submits to CCAMLR the data specified by the Data Collection Plan. Fishing capacity and effort is limited by a precautionary catch limit at a level not substantially above that necessary to obtain the data specified in the Data Collection Plan.

The Data Collection Plan includes, as appropriate:

- A description of the catch, effort, and related biological, ecological, and environmental data required undertaking an evaluation of the fishery
- A plan for directing fishing effort during the exploratory phase to permit the acquisition of relevant data to evaluate the fishery potential and the ecological relationships among harvested, dependent, and related populations and the likelihood of adverse impacts
- An evaluation of the time-scales involved in determining the responses of harvested, dependent and related populations to fishing activities

Each Member active in the fishery or intending to authorize a vessel to enter the fishery annually prepares and submits to CCAMLR a Research and Fishery Operations Plan. The plan is to include as much of the following as possible, to assist the Scientific Committee in its preparation of the Data Collection Plan:

- The nature of the exploratory fishery, including target species, methods of fishing, proposed region and maximum catch levels proposed for the forthcoming season
- Specification and full description of the types of fishing gear to be used
- Biological information from comprehensive research/survey cruises, such as distribution, abundance, demographic data, and information on stock identity
- Details of dependent and related species and the likelihood of them being affected by the proposed fishery
- Information from other fisheries in the region or similar fisheries elsewhere that may assist in the evaluation of potential yield
- If the proposed fishery will be undertaken using bottom trawl gear, information on the known and anticipated impacts of this gear on vulnerable marine ecosystems, including benthos and benthic communities.

## **B. Harvesting and/or Transshipment Permit**

CCAMLR requires details on every vessel a member country licenses to fish in the Convention Area, including the name of the fishing vessel (any previous names, if known); registration number; vessel's International Maritime Organization (IMO) number, if issued; external markings and port registry; the nature of the authorization to fish granted by the Flag State, specifying time periods authorized for fishing; areas of fishing; species targeted; gear used; previous flag, if any; international radio call sign; the name and address of the vessel's owner(s) and any beneficial owner(s), if known; name and address of license owner, if different from vessel owner; type of vessel; where and when built; length; three color photographs of the vessel; and where applicable, details of the implementation of the tamper-proof requirements on the satellite-linked vessel monitoring device.

The Commission requested, to the extent practicable, the following additional information for vessels: name and address of operator, if different from vessel owner; name and nationality of master and, where relevant, of fishing master; type of fishing method or method; beam in meters; gross registered tonnage; vessel communication types and numbers; normal crew complement; power of main engine or engines in kilowatts; carrying capacity in tons; number of fish holds and their capacity in cubic meters; and any other information in respect of each licensed vessel considered appropriate (e.g., ice classification) for the purposes of the implementation of the conservation measure.

NMFS requires that this information be supplied on the AMLR harvesting permit application. The application to harvest and/or transship AMLR is in three parts.

“Part One” requires the applicant to identify whether the proposed activity is for harvesting AMLR and/or transshipping toothfish. If the proposed AMLR harvesting is either a new fishery or an exploratory fishery, specific narrative description is required as noted above.

“Part Two” is a Vessel Identification Form (VIF). It collects information necessary to identify the vessel, vessel ownership interests, the name of the vessel operator and master, the crew complement, vessel communication numbers and types, and details of the vessel monitoring system to be used on the vessel and report this information to the Executive Secretary of CCAMLR.

“Part Three” is a Harvesting Information Form (HIF). It collects narrative identifying each AMLR target species proposed for harvest, the total tonnage requested (a figure), the CCAMLR statistical area to be fished, the gear to be used and the type and amount of bycatch anticipated. This information is required in order to determine whether the proposed fishery is consistent with CCAMLR conservation measures. The harvesting permit notes the obligation of a permit holder to provide catch data to CCAMLR.

The application to harvest and/or transship AMLR includes a section to be completed if a vessel owner is seeking authorization to harvest AMLR and a section if a vessel owner is seeking authorization to transship toothfish. The applicant can complete one or both sections. A permit is issued to the applicant in one of two forms, depending upon whether the vessel is both harvesting AMLR and receiving transshipments of toothfish or only receiving transshipments of toothfish.

The forms associated with applying for a harvesting and/or transshipment permit are:

- Application for Permit to Transship AMLR
- Harvesting Information Form
- Vessel Identification Form
- Additional Information for Vessels Participating in a New, Exploratory, or Other AMLR Fishery

### **C. Radio Contact**

It may be necessary for operators of harvesting vessels to provide vessel position or other information via radio when requested by the NMFS, the U.S. Coast Guard, an authorized officer, or CCAMLR inspector. This is a routine and necessary law enforcement requirement when

dealing with vessels at sea. It consists generally of providing radio call signals and a set of position coordinates immediately prior to a boarding-at-sea for inspection of the vessel and the vessel's activities by law enforcement officials. Such radio contacts are infrequent and brief.

#### **D. Centralized Vessel Monitoring System**

The Commission adopted a conservation measure to implement centralized vessel monitoring system (C-VMS). This conservation measure requires vessels fishing in CCAMLR-managed waters to operate a VMS unit that automatically transmits the vessel's position at least every four hours to a land-based fisheries monitoring center of its Flag State.

Each Contracting Party to the Convention must forward the VMS reports and messages received to the CCAMLR Secretariat as soon as possible, but not later than four hours after receipt for exploratory longline fisheries or following departure from the Convention Area for all other fisheries. The Contracting Party may elect to have C-VMS data communicated directly to the Secretariat in parallel with those reports received by the Flag State.

The use of the centralized satellite-linked VMS is required by the AMLR regulations of all U.S. vessels harvesting AMLR in the CAMLR Convention area and for all shipments of frozen toothfish, regardless of where harvested, seeking entry into the United States. All U.S. vessels must operate VMS to and from their homeport to ensure VMS coverage throughout the entire fishing operation.

Data from individual vessels shall be used for compliance purposes only, i.e., active surveillance presence and /or inspections, including for the purposes of verifying the content of a Dissostichus Catch Document (DCD).

The United States has experienced numerous problems with shipments of frozen toothfish that were reported as having been harvested outside the Convention Area but were suspected of having been harvested illegally from inside the Convention area. The VMS data for these shipments did not meet the minimum requirements of Conservation Measure 10-04. It is the U.S. view that the C-VMS system should be applied both inside and outside the Convention Area as well as port-to-port. As a consequence, the U.S. required participation in C-VMS for the vessels that caught the toothfish contained in each frozen shipment seeking entry into the United States. Applications for pre-approval for which the C-VMS was not active as required will not be approved.

#### **E. Vessel marking**

The vessel's official number must be displayed on the port and starboard sides of the deckhouse or hull and on a weather deck. It identifies each vessel and should be visible at a distance at sea and from the air. The official number provides law enforcement personnel or inspectors with a means to monitor fishing, at-sea processing, and other related activities, to ascertain whether the vessel's observed activities are in accordance with those authorized for that vessel. NMFS, the U.S. Coast Guard, and other marine agencies in issuing violations, prosecutions, and other enforcement actions generally use the identifying number. The United States, however, does not currently maintain an enforcement presence in the CAMLR Convention area.

The U.S. does designate scientists and/or officers aboard its AMLR research vessels to serve as International CCAMLR Inspectors. CCAMLR Inspectors are authorized, by international agreement, to board vessels harvesting in the Convention area and to inspect for compliance with CCAMLR conservation measures. Vessels that fish in the Convention area are readily identified when the vessels are marked; vessel-marking violations are more readily prosecuted, allowing for more cost-effective enforcement. Cooperating fishers also use the vessel markings to report suspicious activities that they observe. Regulation-compliant fisheries ultimately benefit as unauthorized and illegal fishing is deterred and more burdensome regulations are avoided.

## **F. Gear Marking**

Under 50 CFR 300.108, the operator of vessel fishing pursuant to an AMLR harvesting permit in the CAMLR Convention area must mark all fishing gear with the vessel's official number, Federal permit or tag number, an official CCAMLR marker, or some other specified form of identification. The regulations further specify how the gear is to be marked, e.g., location or color. International CCAMLR Inspectors rely on this information to assure compliance with fisheries management regulations. NMFS, the U. S. Coast Guard, and other marine agencies in issuing violations, prosecutions, and other enforcement actions generally use the identifying number. Gear that is not properly identified can be confiscated. The United States, however, does not currently maintain an enforcement presence in the CAMLR Convention area. The United States does designate scientists and/or officers aboard its AMLR research vessels to serve as International CCAMLR Inspectors. CCAMLR Inspectors are authorized, by international agreement, to board vessels harvesting in the Convention area and to inspect for compliance with CCAMLR conservation measures, including gear restriction and gear marking requirements. Gear marking helps ensure that a vessel harvests fish from its own traps/pots/other gear and that traps/pots/other gear are not illegally placed or used. Gear violations are more readily prosecuted and this allows for more cost-effective enforcement.

NMFS regulations do not require the owner of the gear to confirm the specific markings used. There is no information on file. The markings allow identification of the owner of the gear in situations involving damage, loss and civil proceedings. There is no dissemination of information to the public.

## **G. Scientific Observers**

CCAMLR adopted a Scheme of International Scientific Observation in 1992 at its eleventh annual meeting. Observers placed on board fishing vessels, pursuant to the scheme, observe and report on the operations of fishing activities and their effects on target and associated species of living marine resources. Observers undertake tasks and record their observations pursuant to protocols and using formats approved by the CCAMLR Scientific Committee. These tasks include recording details of vessel operation; taking catch samples; recording biological data by species caught; recording bycatch; recording entanglement and incidental mortality of birds and mammals; recording procedures by which declared catch weight is measured; collecting and reporting factual data on sightings of fishing vessels in the Convention area, including vessel type identification, position and activity; and collect information on lost fishing gear and garbage disposal by fishing vessels at sea. NMFS regulations require that all U.S. vessels fishing in the Convention area, including vessels fishing for krill, and all U.S. vessels conducting longline

testing outside the Convention area prior to longline fishing within the Convention area, carry one or more scientific observers as required by CCAMLR conservation measures or NMFS regulations.

For this already established observer program, the only information collection subject to the Paperwork Reduction Act is the vessel owner, operator or fisher's request for an observer or observers. In preparation for a trip, the applicable person makes a telephone call either to the Southwest Fisheries Science Center/Antarctic Marine Living Resource Program or directly to an observer provider.

### **III. Import-Related Permits and Documents**

#### **A. Dealer permits**

The United States uses Antarctic harvesting permits to monitor and control the harvesting and associated activities of its vessels in the Convention Area. But in order to fully meet its treaty obligations, the United States must also monitor and control the importation of Antarctic marine living resources.

Import is defined in Section 303 of the Act as "to land on, bring into, or introduce into any place, subject to the jurisdiction of the United States, whether or not such landing constitutes an importation within the meaning of the customs laws of the United States." No resources harvested in violation of a conservation measure in force with respect to the United States can be imported into this country.

Any person importing AMLRs into the United States must either have a harvesting permit or a dealer permit. The dealer permit is combined with the re-export permit so that an applicant can apply for either or both on the same application. The dealer permit application requests the applicant to provide a name, company, and business address; to briefly describe the resource and note the amount to be imported annually. A copy of the permit must accompany any resources imported under its authority.

Some of the holders of AMLR dealer permits are foreign entities. NMFS regulations require foreign entities to designate a registered agent as a condition of securing a dealer permit to enable NMFS to better track and monitor trade in AMLR, identify potential trade in illegal, unreported, and unregulated (IUU) fishing for AMLR, and identify an agent for service of process.

An applicant wishing to import and/or re-export AMLR other than toothfish receives a permit authorizing such activity (which is limited to the amount of AMLR specified in the application and a finite period of time usually not more than twelve months) and, if importing, the import ticket the applicant is required to complete and submit.

An applicant wishing to import and/or re-export toothfish receives a permit valid for one year which is a prerequisite, but not an authorization, for trading in toothfish. As discussed in Section C below, a dealer importing and/or re-exporting frozen commodities of toothfish must also obtain a pre-approval authorization to import or export toothfish. Dealers importing and/or re-

exporting fresh, air-shipped toothfish are exempt from the pre-approval requirement but must submit a report of each of these fresh shipments within 24 hours of clearing U.S. Customs.

This information will aid NMFS in the development and maintenance of a comprehensive data base listing all dealers who not only import but also re-export their product, enabling NMFS to notify all known dealers in a timely fashion regarding time/area closures as well as any changing requirements. A copy of this re-export permit must accompany any shipment exiting the United States.

The form associated with applying for a dealer permit is the Application for Dealer Permit to Import and/or Re-export Antarctic Marine Living Resources

### **B. Completion of Dissostichus Catch Documents (DCD) by Vessel Masters**

For U.S. harvesting vessels, NMFS issues a non-transferable DCD that is uniquely identified for a vessel. Once the master of the harvesting vessel records the Dissostichus (Patagonian or Antarctic toothfish) catch information and conveys it to NMFS by the most rapid method of communication, NMFS records the catch information, via a CCAMLR web-based system, onto the unique DCD. Upon transshipping or landing toothfish, the master of the harvesting vessel obtains the signature(s) of; (a) the master of the vessel to which the catch is transferred; or (b) a responsible official designated by NMFS in the permit and the dealer who receives the catch at the port of landing. After the DCD is signed, the master of the harvesting vessel or the transshipping vessel provides a copy to NMFS using the most rapid electronic means possible. NMFS completes an Electronic-Dissostichus Catch Documents (E-DCD) using the CCAMLR Electronic Catch Document Scheme (E-CDS) web-based system.

### **C. Pre-Approval Applications for Toothfish**

E-DCDs are required to accompany all imports of toothfish. Paper documents are no longer accepted. There are no burden hours associated with the use of E-DCD for U.S. dealers who are importing toothfish.

No shipment of toothfish species can be released for entry into the customs territory of the United States unless accompanied by a complete, validated and electronically transmitted Dissostichus Catch Document issued through the E-CDS web-based system hosted by the CCAMLR Secretariat. A flag state issues a non-transferable DCD that is uniquely identified for a vessel. Once the master of the harvesting vessel records the catch information and conveys such catch information to their Flag State, it is recorded via the web-based system onto the unique Dissostichus Catch Document. Upon transshipment or landing toothfish, the landing state accesses the E-CDS web-based system and completes the landing information. At the time of export, the exporting state will also access and complete the export information required by the E-CDS system. Once an export has been completed, the E-CDS system, through the CCAMLR Secretariat, will notify the importing country of the impending import. In this case, NMFS' CDS officer will be notified via email that an export to the U.S. is in route. NMFS then has the capability of accessing the document associated with that particular import and matching the Electronic Catch Document up with the corresponding request for pre-approval. If all matches then NMFS approves the import.

Any dealer who imports toothfish must first obtain the document number and the export reference number on the E-DCD corresponding to the import shipment. The dealer enters these reference numbers on the pre-approval application for the shipment.

Under the pre-approval system for toothfish imports, any person who imports toothfish is required to complete an application to NMFS requesting pre-approval to allow import of all shipments that contain frozen toothfish. Shipments of fresh toothfish are exempted from the NMFS pre-approval process.

Toothfish caught on the high seas outside the Convention Area in Food and Agriculture Organization (FAO) Statistical Areas 51 or 57 may not be imported.

NMFS allows additional time within which dealers are required to supply U.S. Customs Number 7501 “Entry” number). The dealer is required to fax or express mail the documentation described above, along with a check for the required fee, so that NMFS receives it at least 15 business days prior to the anticipated date of import. However, some dealers have difficulty obtaining a U.S. Customs 7501 number 15 days in advance of a shipment’s arrival. For this reason, NMFS has revised the Application for Pre-approval of Catch Documents specific to the requirement for the 7501 number only. NMFS allows dealers to supply the 7501 number within 3 working days of a shipment’s arrival. All other information on the Application for Pre-Approval is due 15 days prior to the shipment’s arrival.

A separate DCD with a unique export reference number is required for each export. More than one DCD/export can be submitted under one pre-approval application; however, one DCD cannot be used to request pre-approval for several shipments. The quantity of toothfish listed on the DCD must match the quantity listed on the pre-approval application within a variance of 10 percent. The dealer is required to fax or express mail the documentation described above so that NMFS receives it at least 15 business days prior to the anticipated date of import. NMFS reviews the documentation submitted, notifies the dealer whether the import will be approved, and if approved issues a certificate of approval to the applicant.

Pre-approval applications for all frozen product must include an application fee of \$200.00. We estimate that we receive 640 applications annually, with 90 percent (576) expected to meet the pre-approval requirement, including the \$200.00 application fee.

The remaining fresh, air-shipped, shipments of toothfish, which do not require pre-approval, should continue to be reported within 24 hours of import using the Fresh Toothfish Reporting form which is identical in format to the pre-approval form.

The forms associated with applying for pre-approval are:

- Application for Pre-Approval of Catch Documents of Toothfish
- Reporting Form for catch Documents of Accompanying Fresh, Air-shipped Shipments of Toothfish

## **D. Re-Export Documentation for Toothfish**

In the case of re-exports of toothfish, an exporter completes an application for re-export of toothfish, specific to a DCD that is contained in the particular re-export shipment, the document and export reference number of the original DCD, the species and product type, weight to be re-exported, the name of the importer and point of import, and the exporter's name, address, email, and permit number. The dealer is also requested to send in the original import approval documentation as well. This helps verify the accuracy of the document and export reference numbers. The U.S. CDS Officer creates the re-export certificate online for the dealer and acts as the validating authority. This document is transmitted with the shipment upon re-export.

The form associated with the re-export of toothfish is the Application for Re-export of Toothfish. The application for re-export of toothfish has been revised to clarify information requirements and will be made available upon approval of this PRA collection.

## **E. Import Ticket**

Within 24 hours of any import of AMLR other than toothfish, the importer must submit a completed import ticket describing the resources imported. The import ticket collects the name and address of the individual and company importing the resource, the resource imported and the date, the quantity of the resource, the harvesting vessel or vessels, the flag nation, the U.S. Customs CF7501 number, the areas fished, the approximate harvest date, and number of the harvesting permit or license. The use of the import tickets referencing a pre-issued dealer permit facilitates the expeditious shipment of resources by the permit holder, while allowing the United States to monitor what is actually imported versus what is requested in the permit application. It is anticipated that information collected will be disseminated to the public or used to support publicly disseminated information.

## **Information Quality Guidelines**

NMFS will retain control over the information and safeguard it from improper access, modification, and destruction, consistent with National Oceanic and Atmospheric Administration (NOAA) standards for confidentiality, privacy and electronic information. See response to Question 10 of this Supporting Statement for more information on confidentiality and privacy. The information collection is designed to yield data that meet applicable information quality guidelines. Prior to dissemination, the information will be subjected to quality control measures and a pre-dissemination review pursuant to Section 515 of Public Law 106-554.

### **3. Describe whether, and to what extent, the collection of information involves the use of automated, electronic, mechanical, or other technological techniques or other forms of information technology.**

NMFS harvesting and dealer fillable adobe forms are available at [http://www.nmfs.noaa.gov/gpea\\_forms/forms.htm](http://www.nmfs.noaa.gov/gpea_forms/forms.htm), to be completed, printed and mailed. VMS data are electronically transmitted. DCDs are electronically generated, completed and transmitted.

The requirement that each vessel display an identification number on its deckhouse or hull, and its weather deck does not lend itself to advanced technology. The requirement that fishing gear be marked with an identifying number does not lend itself to information technology.

**4. Describe efforts to identify duplication.**

There is no duplication with collection efforts. This information is exclusive to this fishery, and not available elsewhere.

**5. If the collection of information involves small businesses or other small entities, describe the methods used to minimize burden.**

Since all of the respondents are considered small businesses, separate requirements based on size of business have not been developed. Only the minimum data are requested.

**6. Describe the consequences to the Federal program or policy activities if the collection is not conducted or is conducted less frequently.**

No or less frequent collection of data would not provide DOC/NOAA with data it must have for adequate and timely monitoring and reporting on the harvesting and importation of AMLR resources. NMFS and the U.S. Coast Guard could not enforce the fisheries management measures and CCAMLR Inspectors could not conduct effective gear inspections, if the gear and vessel are not marked.

**7. Explain any special circumstances that require the collection to be conducted in a manner inconsistent with OMB guidelines.**

Not Applicable.

**8. Provide information on the PRA Federal Register Notice that solicited public comments on the information collection prior to this submission. Summarize the public comments received in response to that notice and describe the actions taken by the agency in response to those comments. Describe the efforts to consult with persons outside the agency to obtain their views on the availability of data, frequency of collection, the clarity of instructions and recordkeeping, disclosure, or reporting format (if any), and on the data elements to be recorded, disclosed, or reported.**

A Federal Register Notice published on October 4, 2012 (77 FR 60677) solicited public comments. No comments were received.

In early 2013, comments were solicited from five respondents who currently hold an AMLR dealer permit. The respondents were asked the following questions:

1. Are the instructions for the permit application clear?
2. Are the instructions for the pre-approval application for imports clear?
3. We estimate that 15 minutes are needed to fill out a dealer permit application or a pre-approval application. Is this an accurate estimate?
4. Do you have any comments on these forms or instructions?

5. We are in the process of developing a new re-export application form that follows the same format as the pre-approval application. Please review the attached re-export application form and instruction sheet to answer the following question: Are the instructions for the re-export application clear?

Four of the five respondents provided responses. All indicated that the application instructions were clear and that the burden estimate is accurate. The only comment in response to question (4) was a suggestion that forms could be submitted electronically, with automatic receipt confirmation. Only one respondent replied to question (5), indicating that the instructions were clear.

NMFS, in coordination with other agencies, is preparing to make on-line applications available. However, the date of availability is not yet known.

**9. Explain any decisions to provide payments or gifts to respondents, other than remuneration of contractors or grantees.**

No payments or gifts are made.

**10. Describe any assurance of confidentiality provided to respondents and the basis for assurance in statute, regulation, or agency policy.**

As stated on the collection forms, the data collected will be kept confidential as required by section 402(b) of the Magnuson-Stevens Act and [NOAA Administrative Order 216-100](#), Confidentiality of Fisheries Statistics, and will not be released.

**11. Provide additional justification for any questions of a sensitive nature, such as sexual behavior and attitudes, religious beliefs, and other matters that are commonly considered private.**

There are no questions of a sensitive nature.

**12. Provide an estimate in hours of the burden of the collection of information.**

There are 86 unique respondents, 1,502 responses, 386 hours and \$13,510 in labor costs for this request.

	Requirements	Respondents	Responses	Hrs	Labor \$ @\$35/hr
a1)	One five-year CEMP permit application @ 1 hour	1	1/5 (rounded up to 1)	12 min, rounded up to 1 hour	\$35
a2)	One CEMP annual report @1 hour	1*	1	1	\$35
b)	Two applicants for new or exploratory fishery @ 28 hours	2*	2	56	\$1,960
c)	Five harvest and/or transshipment applications @ 2 hours each	5	5	10	\$350
d)	Radio transmissions in total for five vessels @ 5 minutes each	5*	10	1	\$35
e)	VMS installation and operation and transmission for 5 vessels @ 4 hours each to install (estimated 5-yr useful life) = 20 hours averaged over 5 years = 4 hours/yr; 2 hr/yr each to maintain = 10 hours/yr; one-time installation and activation checklist, 5 x 5 minutes = 25 minutes averaged over 5 years = 5 minutes/yr;	5*	5	14	\$490
f)	Fifteen minutes are required to paint each of three places on the vessel, or overall 45 minutes per vessel. Given adverse weather conditions, each number will need to be repainted or repaired annually. Five vessels x 3 markings/vessel x 15 minutes/marking = 3 ¾ (4) hours	5*	15	4	\$140
g)	Five vessels (two longline vessels, one crab vessel and two trawl vessels). Buoys/floats (used on longlines) may take 5 minutes each to paint/mark. Traps/pots may be marked or tagged in 2 minutes each. A tag sewn onto the net in about 2 minutes marks trawl gear. Total time varies with the type and amount of gear used. The number of floats used when longline gear is deployed depends largely on ice conditions. It usually ranges between 3-5 floats, so a value of 8 (2 vessels each using 4 floats) is used for purposes of this authorization. Approximately 300 pots were carried aboard the crab fishing vessels, which previously fished the CCAMLR area. The trawl vessel which has participated in the krill fishery uses 3 tags on its pelagic trawl while deployed: one at the head, one mid-net and one at the cod end. Using this information as a basis for requesting burden hours: 10 hours and 52 minutes (11 hours) annually is requested (8 buoys x 5 minutes for 40 minutes; 300 pots x 2 minutes for 600 minutes; and 3 places on two nets x 2 minutes for 12 minutes)	5*	314	11	\$385
h)	Five vessel representatives x 1 request for observer(s)	5*	5	25 min (1 hr)	\$35
i)	Ninety-two dealer import and/or re-export permit applications @ 15 minutes each (includes designating a registered agent)	80	92	23	\$805
j)	Two toothfish harvesting vessel masters submitting catch data for two harvests x 30 minutes each	2*	4	2	\$70
k)	Eighty dealers x 8 pre-approval applications (or reporting forms if fresh product) x15 minutes each	80*	640	160	\$5,600
l)	Ten dealers x 40 re-export catch documents @ 15 minutes each	10*	400	100	\$3500
m)	Two dealers x 4 import tickets @ 15 minutes each	2*	8	2	\$70
	<b>TOTALS</b>	<b>86</b>	<b>1,502</b>	<b>386</b>	<b>\$13,510</b>

\* Respondents in this column are not additive: unique respondents are the CEMP applicant, 5 vessels/vessel representatives and 80 dealers. All asterisked figures indicate the same set or a subset of one of these three respondent categories.

**13. Provide an estimate of the total annual cost burden to the respondents or record-keepers resulting from the collection (excluding the value of the burden hours in Question 12 above).**

A) Vessel VMS equipment purchase and installation = \$2,250.00, annualized based on estimated 5-yr useful life = \$450 x 5 = \$2,250.00 annualized cost for the fleet.

B) Annual vessel VMS maintenance per vessel = \$350 x 5 = \$1,750 annualized maintenance, for the fleet.

- C) Annual vessel transmission costs: \$0.06 per day x 6 transmissions/day/vessel = \$0.36 x 180 days: \$65.00 x 5 vessels = \$325 for the fleet.
- D) Five VMS certifications mailed @ \$0.46 each: \$2.30, annualized over five years to \$0.46.
- E) Eighty dealers x 1.15 import and/or re-export applications (total of 92) @ \$0.46 each: \$42.32 (\$42).
- F) Eighty dealers x 8 pre-approval applications @ \$200.00 each: \$128,000.
- G) Five vessel representatives x 1 telephone call @ \$0.50 each: \$2.50 (\$3).
- H) Vessel marking for 5 vessels, \$15 per vessel for paint and painting equipment.
- I) Gear marking for 5 vessels, \$15 per vessel for paint and painting equipment.
- J) One CEMP (annualized over 5 years), one CEMP annual report, and five harvesting/transshipment permit applications (with new or exploratory fishery application) mailed at @ \$0.46 each: \$2.94 (\$3).

**Total = \$ 132,523.**

**14. Provide estimates of annualized cost to the Federal government.**

<b>Requirement</b>	<b>Hours</b>
a) Processing one CEMP application @ 1 hour	1

<b>Requirement</b>	<b>Hours</b>
b) Processing two new/exploratory fishery application @ 2 hours.	4
c) Processing five harvest/transship applications @ 2 hours each	10
d) Receiving radio transmissions (negligible costs)	0
e) Receiving VMS transmissions (negligible cost)	0
f) Gear marking (no cost)	0
g) Vessel marking (no cost)	0
h) Processing 80 dealer import permit applications @ 30 minutes each Processing 12 re-export permit applications @ 2 hours each	88
i) Completing DCDs and E-DCDs 8@ 30 minutes each	4
j) Processing 640 pre-approval applications @ 2hours each	1,280
k) Processing 400 re-export catch documents @ 30minutes each	200
l) Processing 20 import tickets @ 30 minutes each	10
<b>Total hours</b>	<b>1,596</b>

Data processing @ \$14.00 per hour for 1,596 hours	\$ 22,344.00
Overhead/benefits @ 35%	\$ 7,820.00
<b>Total</b>	<b>\$ 30,164.00</b>

**15. Explain the reasons for any program changes or adjustments.**

Program change: there are changes to the toothfish re-export form with no associated burden or cost change.

Adjustments:

There is an increase of 376 responses and 94 burden hours due to the increased volume of re-export document requests. Minor adjustments due to fewer import tickets and rounding up 0 to 1 hour for observer notification telephone calls, resulted in a net increase of 364 responses and 92 hours.

Costs increased by \$150, including adjustment for increased volume of re-export document requests, increased paint and painting equipment costs and addition of postage costs for import/re-export pre-applications which had previously not been included.

**16. For collections whose results will be published, outline the plans for tabulation and publication.**

The Ecosystem Monitoring and Management Working Group of the CCAMLR Scientific Committee compiles the research and required permit reports submitted by Member States on CEMP site activity and presents these findings in a published form to the Commission. These published reports are available to Member delegations and to interested individuals from the Commission. NMFS does not plan to make available results of any of the information collections discussed above on the Internet. The Executive Secretary of CCAMLR publishes information on harvesting vessel licenses, harvesting activity, and toothfish trade on password protected sections of the CCAMLR website.

**17. If seeking approval to not display the expiration date for OMB approval of the information collection, explain the reasons why display would be inappropriate.**

No OMB expiration date will be displayed on the DCD because it is a CCAMLR form.

**18. Explain each exception to the certification statement.**

Not applicable.

**B. COLLECTIONS OF INFORMATION EMPLOYING STATISTICAL METHODS**

This collection does not employ statistical methods.

**UNITED STATES OF AMERICA  
DEPARTMENT OF COMMERCE  
NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION  
ANTARCTIC MARINE LIVING RESOURCES**

*Application for Re-Export of Catch Documents of Toothfish*

1. NAME OF IMPORTER (Contact Person): \_\_\_\_\_ PERMIT # \_\_\_\_\_  
 COMPANY NAME (Business): \_\_\_\_\_  
 COMPANY ADDRESS: \_\_\_\_\_ CITY \_\_\_\_\_ ST \_\_\_\_\_ ZIP \_\_\_\_\_  
 PHONE NUMBER: \_\_\_\_\_ EMAIL ADDRESS: \_\_\_\_\_

2.

Description of Fish					
Species	Type of Product	Net Weight Exported (kg)	Dissostichus Catch Document Number Attached	Export Reference # *	Pre-Approval # *

\*Attach original approval document(s)

Species: **TOP** *Dissostichus eleginoides*, **TOA** *Dissostichus mawsoni*

Type: **WHO** Whole; **HAG** Headed and gutted; **HAT** Headed and tailed; **FLT** Fillet; **HGT** Headed, gutted, tailed;  
**OTH** Other (specify)

3. DATE OF SHIPMENT DEPARTURE: \_\_\_\_\_ POINT OF EXPORT (City, State) \_\_\_\_\_

4.

Transit Information		
SEA:	AIR:	GROUND:
Container #: or Vessel Name: Bill of Lading #:	Flight #: Airway Bill #:	Truck Registration #: (i.e. tag #) Trucking Company Name & Nationality: or Railway Transport #:

5.

Name of Importer:		Address:	
Point of Unlading City:	Point of Unlading State/Province:	Point of Unlading Country:	

6. SIGNATURE: \_\_\_\_\_ DATE: \_\_\_\_\_

Currently there is no fee for obtaining a re-export certificate from NOAA

**Return this Application for Re-Export of Catch Documents of to the address below via overnight mail:**

National Seafood Inspection Laboratory /NOAA Fisheries  
3209 Frederic Street  
Pascagoula, MS 39567  
Attention: CCAMLR Data Management

Completion of this application is required to obtain a permit to re-export Antarctic marine living resources under provisions of the Antarctic Marine Living Resources Convention Act of 1984 (16 USC 2431 *et seq.*). No authorization to re-export will be issued without completion of this application. Completion of re-export certificates enable NMFS to track all re-exports to ensure that all AMLR that are being re-exported are products that were originally imported in compliance with all applicable regulations. Continuing measures and U.S. regulations implementing them are found in Volume 50, Part 300, Subpart G of the Code of Federal Regulations. Annual measures are available at CCAMLR website located at <http://www.ccamlr.org>.

Public reporting burden for completing this form is estimated to average 15 minutes per response, including the time reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Notwithstanding any other provision of the law, no person is required to respond nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to National Marine Fisheries Service, F/IA, 1315 East West Highway, Attention: CCAMLR Permit Office, Silver Spring, Maryland, 20910.

To the extent permitted under the Trade Secrets Act and the Freedom of Information Act, NMFS will maintain the confidentiality of information determined to be a trade secret or privileged and confidential commercial or financial information.

## INSTRUCTIONS FOR COMPLETING APPLICATION FOR RE-EXPORT OF TOOTHFISH

No marine mammal may be imported into the United States unless authorized and accompanied by an import permit issued under the Marine Mammal Protection Act and/or the Endangered Species Act of 1983. Do not use this application to request authorization to import an Antarctic marine mammal.

- 1) Provide contact name, current AMLR permit number, company name, business address, phone number and email address of entity applying for the re-export of catch documents prior to export.
- 2) Description of Fish:
  - a) Species: **TOP** *Dissostichus eleginoides*, **TOA** *Disostishus mawsoni*
  - b) Type of Product: **WHO** Whole; **HAG** Headed and gutted; **HAT** Headed and tailed; **FLT** Fillet; **HGT** Headed, gutted, tailed; **OTH** Other (specify)
  - c) Net Weight Exported (kg)
  - d) Provide the *Dissostichus* Catch Document Number from the original import
  - e) Provide the Export Reference number from the original import (this may be obtained from the original importer)
  - f) Provide the Pre-Approval number form the original import (this may be obtained from the original importer)
- 3) Provide date of shipment departure and point of export.
- 4) Transit Information:
  - a) If by Sea: Provide container number or vessel name and bill of lading number
  - b) If by Air: Provide flight number and airway bill number
  - c) If by Ground: Provide truck registration number (i.e. tag #), truck company name and truck company nationality or railway transport number
- 5) Provide name of importer and address. Provide Point of unloading city, state/providence and country.
- 6) Application must be signed and dated.

**Email completed Application to [Kim.Dawson.Guynn@NOAA.gov](mailto:Kim.Dawson.Guynn@NOAA.gov) and cc [Lori.Robinson@NOAA.gov](mailto:Lori.Robinson@NOAA.gov) or fax to 228 549-1795**

No resources may be imported in violation of conservation and management measures adopted by CCAMLR and adopted by the United States. Continuing measures and U.S. regulations implementing them appear in Volume 50, Part 300, Subpart G of the Code of Federal Regulations. Annual measures are noticed in the Federal Register and are also available at CCAMLR website located at <http://www.ccamlr.org>.

NOTE: The United States can only authorize imports of AMLR harvested by vessels licensed to fish by their flag states for AMLR and fishing consistent with measures adopted by the Commission for the Conservation of Antarctic Marine Living Resources for the conservation and management of the harvested species. No authorization to import will be granted for any product that has been harvested from FAO statistical area 51 or 57.

The Antarctic Marine Living Resources Act of 1984 is the U.S. statutory authority for requiring import permits. In addition, it addresses the activities of brokers and any U.S. entity in the market chain for Antarctic Marine Living Resources.

Section 306 (3) makes it unlawful to any person to ship, transport, offer for sale, sell, purchase, import, export, or have custody, control of, any Antarctic Marine Living Resource (or part thereof) which he knows or reasonably should have known, was harvested in violation of conservation measures in force with respect to the United States pursuant to Article IX of the Convention [i.e., CCAMLR] or in violation of any regulation promulgated under this title, without regard to the citizenship of the person that harvested, or vessel that was used in the harvesting of, the Antarctic Marine Living Resource (or part or product thereof). This is in addition to making it unlawful to import without a permit. Thus, this section applies to brokers and any other entity offering to sell Antarctic Marine Living Resources, whether that entity is an importer of record or not, and whether the resource enters the U.S. market or an overseas market.

Thus, in your contacts with brokers and with vessels that may be potential sources of AMLR, it is important that you inquire as to whether the vessel holds a currently valid license authorizing it to fish for AMLR species.

**CONSERVATION MEASURE 10-05 (2004)**  
**Catch Documentation Scheme for *Dissostichus* spp.**

Species	toothfish
Area	all
Season	all
Gear	all

The Commission,

Concerned that illegal, unregulated and unreported (IUU) fishing for *Dissostichus* spp. in the Convention Area threatens serious depletion of populations of *Dissostichus* spp.,

Aware that IUU fishing involves significant by-catch of some Antarctic species, including endangered albatross,

Noting that IUU fishing is inconsistent with the objective of the Convention and undermines the effectiveness of CCAMLR conservation measures,

Underlining the responsibilities of Flag States to ensure that their vessels conduct their fishing activities in a responsible manner,

Mindful of the rights and obligations of Port States to promote the effectiveness of regional fishery conservation measures,

Aware that IUU fishing reflects the high value of, and resulting expansion in markets for and international trade in, *Dissostichus* spp.,

Recalling that Contracting Parties have agreed to introduce classification codes for *Dissostichus* spp. at a national level,

Recognising that the implementation of a Catch Documentation Scheme for *Dissostichus* spp. (CDS) will provide the Commission with essential information necessary to provide the precautionary management objectives of the Convention,

Committed to take steps, consistent with international law, to identify the origins of *Dissostichus* spp. entering the markets of Contracting Parties and to determine whether *Dissostichus* spp. harvested in the Convention Area that is imported into their territories was caught in a manner consistent with CCAMLR conservation measures,

Wishing to reinforce the conservation measures already adopted by the Commission with respect to *Dissostichus* spp.,

Inviting non-Contracting Parties whose vessels fish for *Dissostichus* spp. to participate in the CDS,

hereby adopts the following conservation measure in accordance with Article IX of the Convention:

1. Each Contracting Party shall take steps to identify the origin of *Dissostichus* spp. imported into or exported from its territories and to determine whether *Dissostichus* spp. harvested in the Convention Area that is imported into or exported from its territories was caught in a manner consistent with CCAMLR conservation measures.

2. Each Contracting Party shall require that each master or authorised representative of its flag vessels authorised to engage in harvesting of *Dissostichus eleginoides* and/or *Dissostichus mawsoni* complete a *Dissostichus* catch document (DCD) for the catch landed or transhipped on each occasion that it lands or tranships *Dissostichus* spp.
3. Each Contracting Party shall require that each landing of *Dissostichus* spp. at its ports and each transhipment of *Dissostichus* spp. to its vessels be accompanied by a completed DCD. The landing of *Dissostichus* spp. without a catch document is prohibited.
4. Each Contracting Party shall, in accordance with their laws and regulations, require that their flag vessels which intend to harvest *Dissostichus* spp., including on the high seas outside the Convention Area, are provided with specific authorisation to do so. Each Contracting Party shall provide DCD forms to each of its flag vessels authorised to harvest *Dissostichus* spp. and only to those vessels.
5. A non-Contracting Party seeking to cooperate with CCAMLR by participating in this scheme may issue DCD forms, in accordance with the procedures specified in paragraphs 6 and 7, to any of its flag vessels that intend to harvest *Dissostichus* spp.
6. The DCD shall include the following information:
  - (i) the name, address, telephone and fax numbers of the issuing authority;
  - (ii) the name, home port, national registry number, and call sign of the vessel and, if issued, its IMO/Lloyd's registration number;
  - (iii) the reference number of the licence or permit, whichever is applicable, that is issued to the vessel;
  - (iv) the weight of each *Dissostichus* species landed or transhipped by product type, and
    - (a) by CCAMLR statistical subarea or division if caught in the Convention Area; and/or
    - (b) by FAO statistical area, subarea or division if caught outside the Convention Area;
  - (v) the dates within which the catch was taken;
  - (vi) the date and the port at which the catch was landed or the date and the vessel, its flag and national registry number, to which the catch was transhipped;
  - (vii) the name, address, telephone and fax numbers of the recipient(s) of the catch and the amount of each species and product type received.
7. Procedures for completing DCDs in respect of vessels are set forth in paragraphs A1 to A10 of Annex 10-05/A to this measure. The standard catch document is attached to the annex.

8. Each Contracting Party shall require that each shipment of *Dissostichus* spp. imported into or exported from its territory be accompanied by the export-validated DCD(s) and, where appropriate, validated re-export document(s) that account for all the *Dissostichus* spp. contained in the shipment. The import, export or re-export of *Dissostichus* spp. without a catch document is prohibited.
9. An export-validated DCD issued in respect of a vessel is one that:
  - (i) includes all relevant information and signatures provided in accordance with paragraphs A1 to A11 of Annex 10-05/A to this measure;
  - (ii) includes a signed and stamped certification by a responsible official of the exporting State of the accuracy of the information contained in the document.
10. Each Contracting Party shall ensure that its customs authorities or other appropriate officials request and examine the documentation of each shipment of *Dissostichus* spp. imported into or exported from its territory to verify that it includes the export-validated DCD(s) and, where appropriate, validated re-export document(s) that account for all the *Dissostichus* spp. contained in the shipment. These officials may also examine the content of any shipment to verify the information contained in the catch document or documents.
11. If, as a result of an examination referred to in paragraph 10 above, a question arises regarding the information contained in a DCD or a re-export document the exporting State whose national authority validated the document(s) and, as appropriate, the Flag State whose vessel completed the document are called on to cooperate with the importing State with a view to resolving such question.
12. Each Contracting Party shall promptly provide by the most rapid electronic means copies to the CCAMLR Secretariat of all export-validated DCDs and, where relevant, validated re-export documents that it issued from and received into its territory and shall report annually to the Secretariat data, drawn from such documents, on the origin and amount of *Dissostichus* spp. exported from and imported into its territory.
13. Each Contracting Party, and any non-Contracting Party that issues DCDs in respect of its flag vessels in accordance with paragraph 5, shall inform the CCAMLR Secretariat of the national authority or authorities (including names, addresses, phone and fax numbers and email addresses) responsible for issuing and validating DCDs.
14. Notwithstanding the above, any Contracting Party, or any non-Contracting Party participating in the CDS, may require additional verification of catch documents by Flag States by using, *inter alia*, VMS, in respect of catches<sup>1</sup> taken on the high seas outside the Convention Area, when landed at, imported into or exported from its territory.
15. If, following an examination under paragraph 10, questions under paragraph 11 or requests for additional verification of documents under paragraph 14, it is determined, after consultation with the States concerned, that a catch document is invalid, the import, export or re-export of *Dissostichus* spp. being the subject of the document is prohibited.

16. If a Contracting Party participating in the CDS has cause to sell or dispose of seized or confiscated *Dissostichus* spp., it may issue a Specially Validated *Dissostichus* Catch Document (SVDCD) specifying the reasons for that validation. The SVDCD shall include a statement describing the circumstances under which confiscated fish are moving in trade. To the extent practicable, Parties shall ensure that no financial benefit arising from the sale of seized or confiscated catch accrue to the perpetrators of IUU fishing. If a Contracting Party issues a SVDCD, it shall immediately report all such validations to the Secretariat for conveying to all Parties and, as appropriate, recording in trade statistics.
17. A Contracting Party may transfer all or part of the proceeds from the sale of seized or confiscated *Dissostichus* spp. into the CDS Fund created by the Commission or into a national fund which promotes achievement of the objectives of the Convention. A Contracting Party may, consistent with its domestic legislation, decline to provide a market for toothfish offered for sale with a SVDCD by another State. Provisions concerning the uses of the CDS Fund are found in Annex B.

<sup>1</sup> Excluding by-catches of *Dissostichus* spp. by trawlers fishing on the high seas outside the Convention Area. A by-catch shall be defined as no more than 5% of total catch of all species and no more than 50 tonnes for an entire fishing trip by a vessel

#### ANNEX 10-05/A

- A1. Each Flag State shall ensure that each *Dissostichus* catch document form that it issues includes a specific identification number consisting of:
  - (i) a four-digit number, consisting of the two-digit International Standards Organization (ISO) country code plus the last two digits of the year for which the form is issued;
  - (ii) a three-digit sequence number (beginning with 001) to denote the order in which catch document forms are issued.

It shall also enter on each *Dissostichus* catch document form the number as appropriate of the licence or permit issued to the vessel.

- A2. The master of a vessel which has been issued a *Dissostichus* catch document form or forms shall adhere to the following procedures prior to each landing or transshipment of *Dissostichus* spp.:
- (i) the master shall ensure that the information specified in paragraph 6 of this conservation measure is accurately recorded on the *Dissostichus* catch document form;
  - (ii) if a landing or transshipment includes catch of both *Dissostichus* spp., the master shall record on the *Dissostichus* catch document form the total amount of the catch landed or transhipped by weight of each species;
  - (iii) if a landing or transshipment includes catch of *Dissostichus* spp. taken from different statistical subareas and/or divisions, the master shall record on the *Dissostichus* catch document form the amount of the catch by weight of each species taken from each statistical subarea and/or division and indicating whether the catch was caught in an EEZ or on the high seas, as appropriate;
  - (iv) the master shall convey to the Flag State of the vessel by the most rapid electronic means available, the *Dissostichus* catch document number, the dates within which the catch was taken, the species, processing type or types, the estimated weight to be landed and the area or areas of the catch, the date of landing or transshipment and the port and country of landing or vessel of transshipment and shall request from the Flag State, a Flag State confirmation number.
- A3. If, for catches<sup>1</sup> taken in the Convention Area or on the high seas outside the Convention Area, the Flag State verifies, by the use of a VMS (as described in paragraph 1 of Conservation Measure 10-04), the area fished and that the catch to be landed or transhipped as reported by its vessel is accurately recorded and taken in a manner consistent with its authorisation to fish, it shall convey a unique Flag State confirmation number to the vessel's master by the most rapid electronic means available. The *Dissostichus* catch document will receive a confirmation number from the Flag State, only when it is convinced that the information submitted by the vessel fully satisfies the provisions of this conservation measure.
- A4. The master shall enter the Flag State confirmation number on the *Dissostichus* catch document form.
- A5. The master of a vessel that has been issued a *Dissostichus* catch document form or forms shall adhere to the following procedures immediately after each landing or transshipment of *Dissostichus* spp.:
- (i) in the case of a transshipment, the master shall confirm the transshipment obtaining the signature on the *Dissostichus* catch document of the master of the vessel to which the catch is being transferred;
  - (ii) in the case of a landing, the master or authorised representative shall confirm the landing by obtaining a signed and stamped certification on the *Dissostichus* catch document by a responsible official of the Port State of landing or free trade zone who is acting under the direction of either the customs or fisheries authority of the

Port State and is competent with regard to the validation of *Dissostichus* catch documents;

- (iii) in the case of a landing, the master or authorised representative shall also obtain the signature on the *Dissostichus* catch document of the individual that receives the catch at the port of landing or free trade zone;
  - (iv) in the event that the catch is divided upon landing, the master or authorised representative shall present a copy of the *Dissostichus* catch document to each individual that receives a part of the catch at the port of landing or free trade zone, record on that copy of the catch document the amount and origin of the catch received by that individual and obtain the signature of that individual.
- A6. In respect of each landing or transshipment, the master or authorised representative shall immediately sign and convey by the most rapid electronic means available a copy, or, if the catch landed was divided, copies, of the signed *Dissostichus* catch document to the Flag State of the vessel and shall provide a copy of the relevant document to each recipient of the catch.
- A7. The Flag State of the vessel shall immediately convey by the most rapid electronic means available a copy or, if the catch was divided, copies, of the signed *Dissostichus* catch document to the CCAMLR Secretariat to be made available by the next working day to all Contracting Parties.
- A8. The master or authorised representative shall retain the original copies of the signed *Dissostichus* catch document(s) and return them to the Flag State no later than one month after the end of the fishing season.
- A9. The master of a vessel to which catch has been transhipped (receiving vessel) shall adhere to the following procedures immediately after each landing of such catch in order to complete each *Dissostichus* catch document received from transshipping vessels:
- (i) the master of the receiving vessel shall confirm the landing by obtaining a signed and stamped certification on the *Dissostichus* catch document by a responsible official of the Port State of landing or free trade zone who is acting under the direction of either the customs or fisheries authority of the Port State and is competent with regard to the validation of *Dissostichus* catch documents;
  - (ii) the master of the receiving vessel shall also obtain the signature on the *Dissostichus* catch document of the individual that receives the catch at the port of landing or free trade;
  - (iii) in the event that the catch is divided upon landing, the master of the receiving vessel shall present a copy of the *Dissostichus* catch document to each individual that receives a part of the catch at the port of landing or free trade zone, record on that copy of the catch document the amount and origin of the catch received by that individual and obtain the signature of that individual.
- A10. In respect of each landing of transhipped catch, the master or authorised representative

of the receiving vessel shall immediately sign and convey by the most rapid electronic means available a copy of all the *Dissostichus* catch documents, or if the catch was divided, copies, of all the *Dissostichus* catch documents, to the Flag State(s) that issued the *Dissostichus* catch document, and shall provide a copy of the relevant document to each recipient of the catch. The Flag State of the receiving vessel shall immediately convey by the most rapid electronic means available a copy of the document to the CCAMLR Secretariat to be made available by the next working day to all Contracting Parties.

A11. For each shipment of *Dissostichus* spp. to be exported from the country of landing, the exporter shall adhere to the following procedures to obtain the necessary export validation of the *Dissostichus* catch document(s) that account for all the *Dissostichus* spp. contained in the shipment:

- (i) the exporter shall enter on each *Dissostichus* catch document the amount of each *Dissostichus* spp. reported on the document that is contained in the shipment;
- (ii) the exporter shall enter on each *Dissostichus* catch document the name and address of the importer of the shipment and the point of import;
- (iii) the exporter shall enter on each *Dissostichus* catch document the exporter's name and address, and shall sign the document;
- (iv) the exporter shall obtain a signed and stamped validation of the *Dissostichus* catch document by a responsible official of the exporting State.

A12. In the case of re-export, the re-exporter shall adhere to the following procedures to obtain the necessary re-export validation of the *Dissostichus* catch document(s) that account for all the *Dissostichus* spp. contained in the shipment:

- (i) the re-exporter shall supply details of the net weight of product of all species to be re-exported, together with the *Dissostichus* catch document number to which each species and product relates;
- (ii) the re-exporter shall supply the name and address of the importer of the shipment, the point of import and the name and address of the exporter;
- (iii) the re-exporter shall obtain a signed and stamped validation of the above details by the responsible official of the exporting State on the accuracy of information contained in the document(s);
- (iv) the responsible official of the exporting state shall immediately transmit by the most rapid electronic means a copy of the re-export document to the Secretariat to be made available next working day to all Contracting Parties.

The standard form for re-export is attached to this annex.

<sup>1</sup> Excluding by-catches of *Dissostichus* spp. by trawlers fishing on the high seas outside the Convention Area. A by-catch shall be defined as no more than 5% of total catch of all species and no more than 50 tonnes for an entire fishing trip by a vessel.

**DISSOSTICHUS CATCH DOCUMENT**

**V 1.4**

<b>Document Number</b>					<b>Flag State Confirmation Number</b>		
<b>PRODUCTION SECTION</b>							
<b>1. Issuing Authority of Document</b>		<b>Address</b>			<b>Tel:</b>		
Name					<b>Fax:</b>		
<b>2. Fishing Vessel Name</b>		<b>Home Port &amp; Registration Number</b>			<b>Call Sign</b>		<b>IMO/Lloyd's Number</b> (if issued)
3. Licence Number (if issued)		<b>4. From:</b>					
		<b>5. To:</b>					
<b>6. Description of Fish (Landed/Transhipped)</b>					<b>7. Description of Fish Sold</b>		
<b>Species</b>	<b>Type</b>	<b>Estimated Weight to be Landed (kg)</b>	<b>Area Caught*</b>	<b>Verified Weight Landed (kg)</b>	<b>Net Weight Sold (kg)</b>	Recipient name, address, telephone, fax and signature.	
						Recipient Name:	
						Signature:	
						Address:	
						Tel:	
						Fax:	
Species: <b>TOP</b> <i>Dissostichus eleginoides</i> , <b>TOA</b> <i>Dissostichus mawsoni</i>							
Type: <b>WHO</b> Whole; <b>HAG</b> Headed and gutted; <b>HAT</b> Headed and tailed; <b>FLT</b> Fillet; <b>HGT</b> Headed, gutted, tailed; <b>OTH</b> Other (specify)							
<b>8. Landing/Transshipment Information:</b> I certify that the above information is complete, true and correct. If any <i>Dissostichus</i> spp. was taken in the Convention Area, I certify that it was taken in a manner which is consistent with CCAMLR conservation measures:							
<b>Master of Fishing Vessel or Authorised Representative</b> (print in block letters)		<b>Signature and Date</b>		<b>Landing/Transshipment Port and Country/Area</b>		<b>Date of Landing/Transshipment</b>	
<b>9. Certificate of Transshipments:</b> I certify that the above information is complete, true and correct to the best of my knowledge.							
<b>Master of Receiving Vessel</b>		<b>Signature</b>	<b>Vessel Name</b>	<b>Call Sign</b>	<b>IMO/Lloyds Number</b> (if issued)		
<b>Transshipment within a Port Area:</b> countersignature by Port Authority if appropriate.							
<b>Name</b>		<b>Authority</b>		<b>Signature</b>		<b>Seal (Stamp)</b>	
<b>10. Certificate of Landing:</b> I certify that the above information is complete, true and correct to the best of my knowledge.							
<b>Name</b>	<b>Authority</b>	<b>Signature</b>	<b>Address</b>	<b>Tel.</b>	<b>Port of Landing</b>	<b>Date of Landing</b>	<b>Seal (Stamp)</b>
<b>11. EXPORT SECTION</b>					<b>12. Exporter Declaration:</b> I certify that the above information is complete, true and correct to the best of my knowledge.		
<b>Description of Fish</b>							
<b>Species</b>	<b>Product Type</b>	<b>Net Weight</b>	<b>Name</b>	<b>Address</b>	<b>Signature</b>	<b>Export Licence</b> (if issued)	
			<b>13. Export Government Authority Validation:</b> I certify that the above information is complete, true and correct to the best of my knowledge.				
			<b>Name/Title</b>	<b>Signature</b>	<b>Date</b>	<b>Seal (Stamp)</b>	
			<b>Country of export</b>			<b>Export reference number</b>	
<b>14. IMPORT SECTION</b>							
<b>Name of Importer</b>		<b>Address</b>					
Point of Unlading:		<b>City</b>	<b>State/Province</b>	<b>Country</b>			

\* Report FAO Statistical Area/Subarea/Division where catch was taken and indicate whether the catch was taken on the high seas or within an EEZ.



## **Vessel Monitoring Systems Certification Statement For Vessels Engaged in Fishing Activities covered by the Antarctic Marine Living Resources Convention Act**

INSTRUCTIONS: This Vessel Monitoring Systems (VMS) Certification Statement for the Vessels Engaged in Fishing Activities covered by the Antarctic Marine Living Resources Convention Act is provided by the National Marine Fisheries Service (NOAA Fisheries) pursuant to the regulatory requirements of 50 C.F.R. § 300. This certification statement is applicable for the VMS providers currently approved for use by NOAA Fisheries.

Installation checklists will be provided and revised if additional VMS providers are approved. Follow the checklist steps indicated by the vendor for the communications service and transmitting unit selected. The vessel owner or operator must follow the installation procedures when installing or re-installing a NOAA Fisheries-approved VMS unit. The vessel owner is responsible for all installation and activation costs. After completion of the installation and activation, the owner may confirm that NOAA Fisheries is receiving position reports by calling NOAA Office of Law Enforcement in Silver Spring, MD, at 301-427-2300, or by sending email to [vms@noaa.gov](mailto:vms@noaa.gov).

The vessel owner must sign the statement certifying compliance with the installation procedures, then submit the certification statement to the NOAA Office of Law Enforcement, 8484 Georgia Avenue, Suite 415, Silver Spring, MD 20910.

Vessel Name: \_\_\_\_\_ Vessel Doc. Number: \_\_\_\_\_

VMS Transmitting Unit Manufacturer: \_\_\_\_\_

VMS Communications Service Provider: \_\_\_\_\_

**Certification:**

In accordance with 50 C.F.R. § 300, as the owner of a vessel engaged in fishing activities covered by the Antarctic Marine Living Resources Convention Act, I hereby certify that the VMS system on my vessel has been installed in compliance with applicable procedures.

Vessel Owner Name: \_\_\_\_\_

Vessel Owner Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Under the provisions of the Paperwork Reduction Act of 1995 (PL 104-13) and the Privacy Act of 1974 (PL 93-579), you are advised that disclosure of the information requested in the Vessel Monitoring System (VMS) certification statement is mandatory for the purpose of managing the Atlantic shark fisheries. The certification statement is used to ensure proper operation of the VMS unit. Reporting burden for the collection of information is estimated to average 4 hours per installation, including time for reviewing instructions, searching existing data sources, gathering and maintaining data needed, and completing and reviewing the information. The burden for submission of this certification statement is estimated at 5 minutes per response. Confidentiality of the information provided will be treated in accordance with NOAA Administrative Order 216-100. It is the policy of the National Marine Fisheries Service not to release confidential data, other than in aggregate form, as the Magnuson-Stevens Act protects (in perpetuity) the confidentiality of those submitting data. Whenever data are requested, NMFS ensures the information identifying the pecuniary business activity of a particular individual is not identified. Because you have been provided with a currently valid OMB control number for a collection of information subject to the requirements of the Paperwork Reduction Act, you are required to respond to, or be subject to penalty for failing to comply with, this collection of information. Send comments regarding this burden estimate or suggestions for reducing this burden to: NMFS, Office of Law Enforcement, 8484 Georgia Avenue, Suite 415, Silver Spring, MD 20910.

UNITED STATES OF AMERICA  
DEPARTMENT OF COMMERCE  
NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION  
ANTARCTIC MARINE LIVING RESOURCES

**Reporting Form for Catch Documents Accompanying Fresh, Air-shipped Shipments of Toothfish**

1. NAME OF IMPORTER (Contact Person): \_\_\_\_\_

COMPANY NAME (Business): \_\_\_\_\_

COMPANY ADDRESS: \_\_\_\_\_ CITY \_\_\_\_\_ ST \_\_\_\_\_ ZIP \_\_\_\_\_

PHONE NUMBER : \_\_\_\_\_ FAX NUMBER: \_\_\_\_\_

2. DATE OF ARRIVAL: \_\_\_\_\_

3. PORT OF ARRIVAL (ALSO PORT OF UNLADING IF DIFFERENT THAN ARRIVAL): \_\_\_\_\_

4. CONSIGNEE(S) OF PRODUCT: \_\_\_\_\_

5. AMOUNT TO BE IMPORTED (in kgs): \_\_\_\_\_

6. U.S. CUSTOMS 7501 NUMBER : ...../...../...../...../...../...../...../...../...../...../.....  
(MUST BE 11 DIGITS/CHARACTERS LONG)

7. AIRLINE AND FLIGHT NUMBER FOR THIS SHIPMENT:  
\_\_\_\_\_

THE FOLLOWING ITEMS ARE FOUND ON THE DISSOSTICHUS CATCH DOCUMENT(S)

8. DOCUMENT NUMBER OF DCD(S): \_\_\_\_\_

9. FLAG STATE CONFIRMATION NUMBER: \_\_\_\_\_

10. EXPORT REFERENCE NUMBER: \_\_\_\_\_

11. INDICATE WHETHER A RE-EXPORT DOCUMENT IS ALSO ACCOMPANYING THIS SHIPMENT: Y or N

12. SIGNATURE: \_\_\_\_\_ TITLE: \_\_\_\_\_ DATE: \_\_\_\_\_

A fee for all shipments requiring pre-approval will be assessed at a rate of \$200 per application which includes staff time plus overhead with this function  
**Return this form along with Catch Documents a minimum of 24 hours after importing resource(s) to the fax number below:**  
(228) 762-7144  
Attention: CCAMLR Data Management

Completion of this application is required to obtain a permit to import Antarctic marine living resources under provisions of the Antarctic Marine Living Resources Convention Act of 1984 (16 USC 2431 et seq). No authorization to import will be issued without completion of this application. Pre-approval of toothfish imports enables NMFS to track all imports and ensure that all AMLR are being imported in compliance with all applicable regulations. Continuing measures and U.S. regulations implementing them appear in Volume 50, Part 300, Subpart G of the Code of Federal Regulations. Annual measures are noticed in the Federal Register and are also available at CCAMLR website located at <http://www.ccamlr.org>.

Public reporting burden for completing this form is estimated to average 15 minutes per response, including the time reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Notwithstanding any other provision of the law, no person is required to respond nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number. Send Comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to National Marine Fisheries Service, Office of International Affairs, 1315 East West Highway, Attention: CCAMLR Permit Office, Silver Spring, Maryland, 20910.

To the extent permitted under the Trade Secrets Act and the Freedom of Information Act, NMFS will maintain the confidentiality of information determined to be a trade secret or privileged and confidential commercial or financial information.

UNITED STATES OF AMERICA  
DEPARTMENT OF COMMERCE  
NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION  
ANTARCTIC MARINE LIVING RESOURCES

*Application for Pre-Approval of Catch Documents of Toothfish*

1. NAME OF IMPORTER (Contact Person): \_\_\_\_\_

COMPANY NAME (Business): \_\_\_\_\_

COMPANY ADDRESS: \_\_\_\_\_ CITY \_\_\_\_\_ ST \_\_\_\_\_ ZIP \_\_\_\_\_

PHONE NUMBER : \_\_\_\_\_ FAX NUMBER: \_\_\_\_\_

2. ESTIMATED DATE OF ARRIVAL: \_\_\_\_\_

3. PORT OF ARRIVAL (ALSO PORT OF UNLADING IF DIFFERENT THAN ARRIVAL): \_\_\_\_\_

4. CONSIGNEE(S) OF PRODUCT: \_\_\_\_\_

5. AMOUNT TO BE IMPORTED (in kgs): \_\_\_\_\_

6. U.S. CUSTOMS 7501 NUMBER : ...../...../...../...../...../...../...../...../...../...../.....  
(MUST BE 11 DIGITS/CHARACTERS LONG)(TO BE SUPPLIED AT LEAST 3 WORKING DAYS PRIOR TO SHIPMENTS ARRIVAL)

8. SHIPPING LINE AND CONTAINER NUMBERS FOR EACH CONTAINER IN THIS SHIPMENT.  
\_\_\_\_\_

THE FOLLOWING ITEMS ARE FOUND ON THE DISSOSTICHUS CATCH DOCUMENT(S)

9. DOCUMENT NUMBER OF DCD(S): \_\_\_\_\_

10. FLAG STATE CONFIRMATION NUMBER: \_\_\_\_\_

11. EXPORT REFERENCE NUMBER: \_\_\_\_\_

11. INDICATE WHETHER A RE-EXPORT DOCUMENT IS ALSO ACCOMPANYING THIS SHIPMENT: Y or N

12. SIGNATURE: \_\_\_\_\_ TITLE: \_\_\_\_\_ DATE: \_\_\_\_\_

A fee for all shipments requiring pre-approval will be assessed at a rate of \$200 per application which includes staff time plus overhead with this function  
**Return this Application for Pre-Approval along with Catch Documents a minimum of 15 days prior to importing resource(s) (with the exception of the U.S. Customs 7501 number which can be supplied at a later date but at least 3 working days prior to the shipments arrival into port) to the address below via overnight mail:**

National Seafood Inspection Laboratory /NOAA Fisheries  
3209 Frederic Street  
Pascagoula, MS 39567  
Attention: CCAMLR Data Management

Completion of this application is required to obtain a permit to import Antarctic marine living resources under provisions of the Antarctic Marine Living Resources Convention Act of 1984 (16 USC 2431 et seq). No authorization to import will be issued without completion of this application. Pre-approval of toothfish imports enables NMFS to track all imports and ensure that all AMLR are being imported in compliance with all applicable regulations. Continuing measures and U.S. regulations implementing them appear in Volume 50, Part 300, Subpart G of the Code of Federal Regulations. Annual measures are noticed in the Federal Register and are also available at CCAMLR website located at <http://www.ccamlr.org>.

Public reporting burden for completing this form is estimated to average 15 minutes per response, including the time reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Notwithstanding any other provision of the law, no person is required to respond nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number. Send Comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to National Marine Fisheries Service, F/IA, 1315 East West Highway, Attention: CCAMLR Permit Office, Silver Spring, Maryland, 20910.

To the extent permitted under the Trade Secrets Act and the Freedom of Information Act, NMFS will maintain the confidentiality of information determined to be a trade secret or privileged and confidential commercial or financial information.

**THE UNITED STATES OF AMERICA  
DEPARTMENT OF COMMERCE  
NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION**

***APPLICATION FOR DEALER PERMIT TO IMPORT and/or RE-EXPORT ANTARCTIC MARINE LIVING RESOURCES***

Under the provisions of the Antarctic Marine Living Resources Convention Act 1984, the following persons(s) \_\_\_\_\_  
\_\_\_\_\_ submit(s) this application to import/and re-export Antarctic Marine Living Resources.

- (1) COMPANY(Business) NAME: \_\_\_\_\_  
ADDRESS: \_\_\_\_\_  
CITY: \_\_\_\_\_ ST: \_\_\_\_\_ ZIP CODE: \_\_\_\_\_  
PHONE: \_\_\_\_\_ FAX: \_\_\_\_\_

***(If Nonresident then Provide the Following Information about Registered Agent)***

- (1a) COMPANY(Business) NAME: \_\_\_\_\_  
ADDRESS: \_\_\_\_\_  
CITY: \_\_\_\_\_ ST: \_\_\_\_\_ ZIP CODE: \_\_\_\_\_  
PHONE: \_\_\_\_\_ FAX: \_\_\_\_\_

- (2) SPECIES: \_\_\_\_\_  
(3) ESTIMATED ANNUAL TOTAL TONNAGE TO BE IMPORTED: \_\_\_\_\_

- (4) Please check one of the following:

\_\_\_\_\_ I am applying for a re-export permit in addition to an import permit.

\_\_\_\_\_ I am applying for a re-export only.

- (5) Signature: \_\_\_\_\_ Title: \_\_\_\_\_ Submitted Date : \_\_\_\_\_

Completion of this application is required to obtain a permit to import Antarctic marine living resources under provisions of the Antarctic Marine Living Resources Convention Act of 1984 (16 USC 2431 et seq). No permit will be issued without completion of this application. Permits to import AMLR enable NMFS to track all imports and ensure that all AMLR are being imported in compliance with all applicable regulations. Continuing measures and U.S. regulations implementing them appear in Volume 50, Part 300 Subpart G of the Code of Federal Regulations. Annual measures are noticed in the *Federal Register* and are also available at CCAMLR website located at <http://www.ccamlr.org>.

Public reporting burden for this collection of information is estimated to average 10 minutes per response, including the time reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Notwithstanding any other provision of the law, no person is required to respond nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, inless that collection of information displays a currently valid OMB Control Number. Send Comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to National Marine Fisheries Service, Office of International Affairs, 1315 East West Highway, Attention: CCAMLR Permit Office, Silver Spring, Maryland, 20910.

**UNITED STATES OF AMERICA  
DEPARTMENT OF COMMERCE  
NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION  
ANTARCTIC MARINE LIVING RESOURCES**

**IMPORT TICKET NO. \_\_\_\_\_**

NAME OF IMPORTER (INDIVIDUAL CONTACT): \_\_\_\_\_

COMPANY NAME (BUSINESS): \_\_\_\_\_

COMPANY ADDRESS: \_\_\_\_\_ CITY \_\_\_\_\_ ST \_\_\_\_\_ ZIP \_\_\_\_\_

RESOURCE IMPORTED AND DATE:

QUANTITY OF RESOURCE:

1. \_\_\_\_\_

1. \_\_\_\_\_

2. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

3. \_\_\_\_\_

HARVESTING VESSEL(S) NAME(S)

FLAG NATION

1. \_\_\_\_\_

1. \_\_\_\_\_

2. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

3. \_\_\_\_\_

Signature \_\_\_\_\_ Title (Business) \_\_\_\_\_ DATE: \_\_\_\_\_

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including the time reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send Comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to National Marine Fisheries Service, Office of International Affairs, 1315 East West Highway, Attention: CCAMLR Permit Office, Silver Spring, Maryland, 20910.

**Return this Import Ticket within 24 hours after importing resource(s) to the address below via overnight mail:**

Toothfish Import Control Program  
National Seafood Inspection Lab  
National Marine Fisheries Service  
3209 Frederic Street  
Pascagoula, MS 39567  
Attention: CCMALR Permit Office

OR

FAX : 228 762-7144  
Attention CCAMLR Permit Officer

**THE UNITED STATES OF AMERICA  
DEPARTMENT OF COMMERCE  
NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION**

***APPLICATION FOR PERMIT TO HARVEST and/or TRANSSHIP  
ANTARCTIC MARINE LIVING RESOURCES (AMLR)***

A Vessel Identification Form (VIF) is attached to this application for each vessel seeking a permit to harvest AMLR or transship *Dissostichus* species. A Harvesting Information Form (HIF) describing contemplated fisheries, catch, and operations is attached to this application for each vessel seeking a permit to harvest AMLR.

**Complete one.**

**Harvesting AMLR**

Under the provisions of the Antarctic Marine Living Resources Convention Act of 1984, the following person(s) \_\_\_\_\_ submit(s) this permit application for harvesting resources within the area covered by the Convention on the Conservation of Antarctic Marine Living Resources (CCAMLR).

Is this application for a fishery designated by the Commission for the Conservation of Antarctic Marine Living Resources as a: New Fishery \_\_\_\_\_ Exploratory fishery \_\_\_\_\_

**Transshipping toothfish**

Under the provisions of the Antarctic Marine Living Resources Convention Act of 1984, the following person(s) \_\_\_\_\_ submit(s) this permit application for transshipping toothfish species, wherever harvested.

**Signature**

Submitted by (Firm or individual):

Signature: \_\_\_\_\_

Title:

Date: \_\_\_\_\_

Detailed descriptions of the vessels and the methods of operation proposed are attached to this application. Completion of this application is required to obtain a permit to harvest Antarctic marine living resources under provisions of the Antarctic Marine Living Resources Act Convention of 1984 (16 USC 2431 et seq.). No permit will be issued without completion of this application.

**VESSEL IDENTIFICATION FORM (VIF)**

**FOR ALL VESSELS**

(1) VESSEL NAME: \_\_\_\_\_

(2) ALL PREVIOUS VESSEL NAMES AND FLAGS (IF KNOWN):

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

(3) NAME AND ADDRESS OF OWNER:

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

(4) NAME AND ADDRESS OF BENEFICIAL OWNERS (IF KNOWN):

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

(5) NAME AND ADDRESS OF LICENCE OWNER (IF DIFFERENT FROM VESSEL OWNER):

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(6) U.S. COAST GUARD DOCUMENTATION NUMBER: \_\_\_\_\_

(7) PORT OF HAIL: \_\_\_\_\_

(8) VESSEL'S EXTERNAL MARKINGS (ATTACH THREE COLOR PHOTOS):

(9) INTERNATIONAL RADIO CALL SIGN: \_\_\_\_\_

(10) VESSEL'S INTERNATIONAL MARITIME ORGANIZATION (IMO) NUMBER (IF ISSUED):

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(11) VESSEL TYPE: \_\_\_\_\_

(12) WHERE BUILT: \_\_\_\_\_

(13) WHEN BUILT: \_\_\_\_\_

(14) HULL NUMBER: \_\_\_\_\_

(15) LENGTH OVERALL: \_\_\_\_\_

(16) GROSS TONS: \_\_\_\_\_

(17) MAXIMUM SHAFT OR HORSE POWER: \_\_\_\_\_

(18) PROCESSING EQUIPMENT: \_\_\_\_\_

(19) HOLD CAPACITY (IN CUBIC METERS - BALE CAPACITY ONLY):

HOLD #1: \_\_\_\_\_ HOLD #2: \_\_\_\_\_ HOLD #3: \_\_\_\_\_ HOLD #4: \_\_\_\_\_

(IF MORE THAN 4 HOLDS, GIVE TOTAL HOLD CAPACITY)

(20) OTHER CARRYING CAPACITY (IN TONNES): \_\_\_\_\_

(21) DETAILS OF THE TAMPER-PROOF ELEMENTS OF THE SATELLITE-LINKED VESSEL MONITORING  
DEVICE (WHERE APPLICABLE): \_\_\_\_\_

(22) PROVIDE LAST AMLR PERMIT NUMBER \_\_\_\_\_ IF NONE CHECK \_\_\_\_\_

(23) WILL HARVESTING VESSEL BE SUPPORTED BY OR WORKING WITH A FOREIGN VESSEL? \_\_\_\_\_

IF YES, PROVIDE:

NAME OF THE VESSEL: \_\_\_\_\_

FLAG OF THE VESSEL: \_\_\_\_\_

INTERNATIONAL RADIO CALL SIGN: \_\_\_\_\_

TYPE OF VESSEL: \_\_\_\_\_

PERMIT NUMBER: \_\_\_\_\_

VESSEL'S INTERNATIONAL MARITIME ORGANIZATION (IMO) NUMBER (IF ISSUED):

\_\_\_\_\_

**ADDITIONAL INFORMATION FOR VESSELS PARTICIPATING IN A NEW OR EXPLORATORY FISHERY**

(1) NAME, ADDRESS OF OPERATOR (IF DIFFERENT FROM VESSEL OWNER):

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(2) NAME, NATIONALITY OF MASTER: \_\_\_\_\_

(3) NAME, NATIONALITY OF FISHING MASTER (WHERE RELEVANT):

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(4) VESSEL COMMUNICATION TYPES AND NUMBERS:

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(5) NORMAL CREW COMPLEMENT: \_\_\_\_\_

(6) ICE CLASSIFICATION: \_\_\_\_\_

**VESSEL OWNER'S/APPLICANT'S SIGNATURE**

The undersigned acknowledges that he/she is bound by Federal regulations governing the harvest of Antarctic Marine Living Resources in Convention on the Conservation of Antarctic Marine Living Resources (CCAMLR) waters. This permit is valid only so long as the undersigned complies with these applicable regulations.

Knowingly supplying false information for the purpose of obtaining a permit is a violation of Federal law punishable by a fine of up to \$10,000 and/or imprisonment up to 5 years.

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

TIN (Tax Identification Number) if a business: \_\_\_\_\_ Date of incorporation or birth: \_\_\_\_\_ (mm/dd/yyyy)

This information is used to verify the identity of the applicant(s) and to accurately retrieve confidential records related to federal permits. The primary purpose for requesting the TIN is for the collection and reporting on any delinquent amounts arising out of such person's relationship with the government pursuant to the Debt Collection Improvement Act of 1996 (Public Law 104-134). Personal information is confidential and protected under the Privacy Act (5 U.S.C. 552a). Business information may be disclosed to the public.

## HARVESTING INFORMATION FORM (HIF)

Use the space below (and supplemental sheets as necessary) to list each target species for which an AMLR harvesting permit is requested and to identify for each: the tonnage requested, CCAMLR statistical area to be fished, gear to be used, and type and amount of bycatch anticipated.

CONVENTION ON THE CONSERVATION OF ANTARCTIC  
MARINE LIVING RESOURCES (CCAMLR)

INSTRUCTIONS FOR COMPLETING  
APPLICATION FOR PERMIT TO HARVEST and/or TRANSSHIP  
ANTARCTIC MARINE LIVING RESOURCES (AMLR)  
VESSEL INFORMATION FORM (VIF)  
HARVESTING INFORMATION FORM (HIF)

Complete an application and VIF for each vessel for which a permit is requested. Applicants for a harvesting permit should also submit an HIF. Submit these documents at least 90 days before harvesting and/or transshipment activities are to begin. **If you are applying to participate in an exploratory fishery, submit an application to the Assistant Administrator no later than four months before the annual meeting of CCAMLR (i.e., no later than July 15 in any given year). Applicants wishing to harvest krill must submit an application to the Assistant Administrator for NMFS no later than June 1 prior to the krill season opening on December 1 of the same year.** This allows time for review, issuance and delivery of the permit. Timely submission will ensure prompt consideration of your request. Incomplete, unsigned, or improperly signed applications will be returned for completion.

Type all materials in English. Complete all items except the space near the top, right hand corner labeled "No." A number will be assigned by the Government of the United States.

**Application for Permit to Harvest and/or Transship Marine Living Resources**

- (1) **Harvest:** If this is an application to harvest AMLR, please complete the harvesting line.

**New or Exploratory Fisheries:** If this is an application to initiate a new fishery or participate in an exploratory fishery, please check either the New Fishery or Exploratory box.

***New Fishery:*** A new fishery is defined as a fishery on a species using a particular method in CCAMLR statistical subarea for which: (1) information on distribution, abundance, demography, potential yield and stock identity from comprehensive research/surveys or exploratory fishing has not yet been submitted to CCAMLR; (2) catch and effort data have never been submitted to CCAMLR; or (3) catch and effort data from the two most recent seasons in which fishing has occurred have not been submitted to CCAMLR. If you are applying to initiate a new fishery, submit an application to the Assistant Administrator no later than June 1 of the year in which you are requesting to fish. Your application must be accompanied by information on: (1) the nature of the proposed fishery, including target species, methods of fishing, proposed region, and any minimum level of catches that would be required to develop a viable fishery; (2) biological information from comprehensive research/survey cruises, such a distribution, abundance, demographic data and information on stock identity; (3) details of dependent and associated species and the likelihood of them being affected by the proposed fishery; and (4) information from other fisheries in the region or similar fisheries elsewhere that may assist in the valuation of potential yield. This information should be submitted as a narrative attached to the harvesting vessel application. Applicants wishing to harvest krill, must also, to the extent possible, identify the products to be derived from the anticipated catch of krill. If you need assistance in providing this information, please contact the National Marine Fisheries Service, Southwest Fisheries Science Center, Antarctic Ecosystem Research Group, P.O. Box 271, La Jolla, California 92038-0271 or phone (858) 546-5601.

***Exploratory Fishery:*** An exploratory fishery is a fishery that was originally a new fishery, but has not yet been fully developed. A fishery will continue to be classified by CCAMLR as an exploratory fishery until sufficient information is available to (1) evaluate the distribution, abundance, and demography of the target species, leading to an estimate of the fishery's potential yield; (2) review the fishery's potential impacts on dependent and associated species; and (3) allow the CCAMLR Scientific Committee to formulate and provide advice to the Commission for the Conservation of Antarctic Marine Living Resources on appropriate harvest catch levels and fishing gear.

- (2) **Transshipment:** If this is an application to transship *Dissostichus* species, whether harvested within or outside CCAMLR waters, please complete the transshipment line.

## VESSEL IDENTIFICATION FORM (VIF)

### INSTRUCTIONS FOR ALL VESSELS

- (1) **Vessel Name:** Type in the name of the vessel.
- (2) **All Previous Vessel Names and Flags (If Known):** Provide all, or as many, of the of the previous names and flags of the vessel as known.
- (3) **Owner's Name and Address:** Enter the names and mailing addresses of all owners of the vessel. If there is more than one owner, enter the first name and address on the VIF and the other names and addresses on attached pages. "Owner" includes any person, company, or government agency that owns the vessels; any charterer, whether bareboat, time or voyage; and any person or company that acts in the capacity of a charterer, including but not limited to parties to a management agreement, operations agreement, or any similar agreement that bestows control over the destination, function, or operation of the vessel.
- (4) **Names and Addresses of Beneficial Owners (If Known):** Enter the names and mailing addresses of all beneficial owners of the vessel. If there is more than one beneficial owner, enter the first name and address on the VIF and the other names and addresses on attached pages.
- (5) **Name and Address of License Owner (If Different From Vessel Owner):** Enter the names and mailing addresses of all owners of the vessel's license. If there is more than one owner of the license, enter the first name and address on the VIF and the other names and address on attached pages.
- (6) **U.S. Coast Guard Documentation Number:** Enter the Coast Guard Documentation number of the vessel.
- (7) **Port of Hail:** Enter the port of registration of the vessel.
- (8) **External Markings:** Attach three color photographs of the vessel (a) one photograph not smaller than 12x7 cm showing the starboard side of the vessel displaying its full overall length and complete structural features; (b) one photograph not smaller than 12x7 cm showing the port side of the vessel displaying its full overall length and complete structural features; and (c) one photograph not smaller than 12x7 cm showing the stern taken directly from astern.
- (9) **Call Sign:** Enter the vessel identifying markings permanently affixed to the vessel, normally the international radio call sign.
- (10) **Vessel's International Maritime Organization (IMO) and Lloyd's Registry Number (If Issued):** Provide the IMO and Lloyd's registry number if one has been issued for the vessel.
- (11) **Vessel Type:** Enter one of the following vessel types:

Stern Trawler (small/0-289 GRT, medium/290-1399 GRT large/1,400 plus GRT)	Longliner/Pot
Side Trawler	Longliner/Gillnet
Side Trawler/Purse Seiner	Gillnet
Pair Trawler	Troller
Danish Seiner	Factory/Mothership
Purse Seiner	Cargo/Transport
Longliner	Tanker
	Patrol/Cargo

If other than one of the above, specify.

- (12) **Where Built:** Indicate the city and shipbuilder where the vessel was originally built
- (13) **When Built:** Indicate the year the vessel was built.
- (14) **Hull Number: Enter the vessel's hull number.**
- (15) **Length Overall:** Enter the vessel's overall length to the nearest meter.

- (16) **Gross Tons:** Enter the registered gross tonnage, GRT, rounded to the nearest metric ton.
- (17) **Maximum Shaft Power or Horse Power:** Enter the vessel's maximum shaft power (kw at...rev/min) or horse power.
- (18) **Processing Equipment:** List the types of fish processing equipment aboard the vessel such as flash freezer, header, eviscerator, filleter, fish meal plant, fish oil plant, surimi plant, cannery, etc.
- (19) **(Fill out only for a harvesting permit) Hold Capacity:** Enter in cubic meters the hold capacity for each hold or other space (including flash freezers) in which fish or fish products may be stored. Use **bale** capacity only. If there are more than four (4) holds, give the total hold capacity in cubic meters. Otherwise, list the holds individually. Record this information **accurately;** enforcement officials and CCAMLR inspectors to determine the quantity of fish or fish products aboard the vessel use the data.
- (20) **Other Carrying Capacity:** Provide the carrying capacity in tons of the vessels' storage capacity exclusive of holds.
- (21) **Details of the Tamper-Proof Elements of the Vessel's Satellite-Linked Vessel Monitoring Device:** Describe the type and configuration of the vessel monitoring device installed on the vessel. Note: The device must be located within a sealed unit and be protected by official seals (or mechanisms) of a type that indicate whether the unit has been accessed or tampered with. The unit must be capable of preventing the input or output of false positions and not capable of being over-ridden manually, electronically or otherwise.
- (22) **(Fill out only for a harvesting permit)** Indicate if the vessel has fished in Convention waters in prior years by listing the last permit application number. If the vessel has never applied for a permit, indicate so with a check mark next to the blank marked "none."
- (23) If the permitted vessels will be supporting or working with foreign vessels that are not permitted by the United States, the name of the foreign vessel, the flag of the vessel, the international radio call sign, type of vessel, permit number, and IMO and Lloyd's Registry numbers (if issued).
- (24) In the event the modifications or amendments to this application become necessary, please submit a new application.

**INSTRUCTIONS FOR SUPPLYING ADDITIONAL INFORMATION FOR VESSELS PARTICIPATING IN A NEW OR EXPLORATORY FISHERY**

- (1) **Name and Address of Operator (If Different from Vessel Owner):** Enter the name and mailing address of the operator of the vessel.
- (2) **Name and Nationality of the Master:** Enter the name and nationality of the master (captain) of the vessel.
- (3) **Name and Nationality of the Fishing Master:** Enter the name and nationality of the fishing master of the vessel, if the vessel is operating with a fishing master in addition to the master of the vessel.
- (4) **Vessel Communication Types and Numbers:** Indicate the numbers of INMARSAT A, B or C.
- (5) **Normal crew complement:** Indicate the normal number of crew on the vessel.
- (6) **Ice classification:** Only vessels with a minimum classification standard of ICE-IC will be licensed for fishing in high latitude areas (Subareas 88.1 and 88.2).

Please sign and date as the Vessel Owner/Applicant at the bottom of the application also providing the TIN (Tax Identification Number) and Date of Incorporation. If the entity applying is not a corporation then Date of Birth can be substituted for Date of Incorporation.

This information is used to verify the identity of the applicant(s) and to accurately retrieve confidential records related to federal permits. The primary purpose for requesting the TIN is for the collection and reporting on any delinquent amounts arising out of such person's relationship with the government pursuant to the Debt Collection Improvement Act of 1996 (Public Law 104-134). Personal information is confidential and protected under the Privacy Act (5 U.S.C. 552a). Business information may be disclosed to the public.

## Harvesting Information Form (HIF)

- (1) **Target species:** Identify all target species. Categories include: finfish, krill, squid, crab, and other species. If krill is identified, to the extent possible, identify the products to be derived from the anticipated catch of krill. If finfish is identified, please specify from the list below which finfish will be harvested.

Antarctic Finfish include:

Humped rockrod (*Gobionotothen gibberifrons*)  
Marbled rockrod (*Notothenia rossii*)  
Grey rockrod (*Lepidorhirus squamifrons*)  
Striped-eyed rockcod (*Lepidonothon kempfi*)  
Patagonian toothfish (*Dissostichus eleginoides*)  
Antarctic toothfish (*D. mawsoni*)  
Lanternfish (*Electrona carlsbergi*)  
Patagonian rockrod (*Patagonothen brevicauda guntheri*)  
Antarctic silverfish (*Pleuragramma antarcticum*) Antarctic  
cods (*Trematomus* spp.)  
Blackfin icefish (*Chaenocephalus aceratus*)  
Spiny icefish (*Chaenodraco wilsoni*)  
Mackerel icefish (*Champscephalus gunnari*)  
Ocellated icefish (*Chionodraco rastrospinosus*)  
South Georgia icefish (*Pseudochaenichthys georgianus*)

- (2) **Total Tonnage Requested.** For each species, enter the requested amounts in metric tons. If more tonnage is needed after submission of the first application, another application is required to increase the earlier request. If an additional application is not submitted to increase the tonnage from the initial application, you may only harvest the amount initially requested.
- (3) **CCAMLR Statistical Areas:** See enclosed map for designation of CCAMLR areas. Enter the CCAMLR statistical area(s) where each species will be harvested.
- (4) **Gear to be used:** Identify the gear which will be used in harvesting each requested species.
- (5) **Type and amount of bycatch:** Identify the type and amount of bycatch anticipated to be taken in each Statistical Area while harvesting for each requested species and the planned disposition of the bycatch (i.e., whether it is to be retained or discarded).

Completed applications should be sent to the following address:

Assistant Administrator for Fisheries  
National Marine Fisheries Service  
1315 East-West Highway  
Silver Spring, Maryland 20910  
Attention: Office of International Affairs

NMFS manages Antarctic fisheries and the importation of resulting products under the Antarctic Marine Living Resources Convention Act, codified at 16 U.S.C. 2431 et seq. NMFS implements CCAMLR conservation measures by regulations at 50 CFR part 300, subparts A and G. Changes to the existing regulations are necessary to incorporate new conservation measures and facilitate enforcement. Public reporting burden for this collection of information is estimated to average 30 minutes per response in the case of a harvesting permit and 12 minutes in the case of a transshipment permit, including the time reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send Comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to National Marine Fisheries Service, 1315 East West Highway, Attention: Office of International Affairs, Silver Spring, Maryland, 20910. Responses to the collection are mandatory. Confidentiality of information collected will be subject to NOAA Administrative Order 216-100, "Confidentiality of Fishery Statistics." Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.

**SUMMARY:** The Department of Commerce, as part of its continuing effort to reduce paperwork and respondent burden, invites the general public and other Federal agencies to take this opportunity to comment on proposed and/or continuing information collections, as required by the Paperwork Reduction Act of 1995.

**DATES:** Written comments must be submitted on or before December 3, 2012.

**ADDRESSES:** Direct all written comments to Jennifer Jessup, Departmental Paperwork Clearance Officer, Department of Commerce, Room 6616, 14th and Constitution Avenue NW., Washington, DC 20230 (or via the Internet at [jjessup@doc.gov](mailto:jjessup@doc.gov)).

**FOR FURTHER INFORMATION CONTACT:** Requests for additional information or copies of the information collection instrument and instructions should be directed to Erica Kuligowski, [erica.kuligowski@nist.gov](mailto:erica.kuligowski@nist.gov), 301-975-2309.

#### SUPPLEMENTARY INFORMATION:

##### I. Abstract

This is a reinstatement with change of a previously approved information collection. The questionnaire approved by the Office of Management and Budget (OMB) in August 2011 has been revised in order to be a more effective tool for gathering information on the use of elevators during building evacuations. Some questions and possible answers to those questions have been revised or modified to ensure privacy of possible respondents.

NIST's research on elevators has primarily focused on the technical aspects of ensuring safe and reliable evacuation for the occupants of tall buildings. In addition, the International Code Council and the National Fire Protection Association provide requirements for the use of elevators for both occupant evacuation and fire fighter access into the building. However, there still is little understanding of how occupants use elevator systems during fire emergencies.

The main focus of this research effort is to gain an understanding of how elevators are currently used by occupants of existing multi-story buildings in the United States during fire emergencies. This research aims to summarize emergency plans and procedures from buildings that make use of one or multiple elevators from the existing elevator system (used for normal building traffic) for the evacuation of building occupants during

fire emergencies. Building managers and designated safety personnel from existing buildings in the United States, including federal buildings, will be contacted to fill out a questionnaire asking about how the buildings' evacuation plans incorporate the use of the existing elevator system to evacuate occupants during fire emergencies, specifically individuals with disabilities, if at all.

##### II. Method of Collection

This data will be collected electronically. Questionnaires will be made available on a secured Web site and the link to this Web site will be distributed by NIST staff to building property managers and designated safety personnel.

##### III. Data

*OMB Control Number:* 0693-0061.

*Form Number:* None.

*Type of Review:* Regular submission (reinstatement with change).

*Affected Public:* Selected individuals, such as building managers and designated safety personnel, who are familiar with or in charge of developing emergency procedures for multi-story buildings in the United States, including both federal and private sector buildings.

*Estimated Number of Respondents:* 1,500.

*Estimated Time per Response:* 15 minutes.

*Estimated Total Annual Burden Hours:* 375 hours each year.

*Estimated Total Annual Cost to Public:* \$0.

##### IV. Request for Comments

Comments are invited on: (a) Whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden (including hours and cost) of the proposed collection of information; (c) ways to enhance the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology.

Comments submitted in response to this notice will be summarized and/or included in the request for OMB approval of this information collection; they also will become a matter of public record.

Dated: September 28, 2012.

**Gwellnar Banks,**

*Management Analyst, Office of the Chief Information Officer.*

[FR Doc. 2012-24474 Filed 10-3-12; 8:45 am]

**BILLING CODE 3510-13-P**

#### DEPARTMENT OF COMMERCE

##### National Oceanic and Atmospheric Administration

##### Proposed Information Collection; Comment Request; Antarctic Marine Living Resources Conservation and Management Measures

**AGENCY:** National Oceanic and Atmospheric Administration (NOAA), Commerce.

**ACTION:** Notice.

**SUMMARY:** The Department of Commerce, as part of its continuing effort to reduce paperwork and respondent burden, invites the general public and other Federal agencies to take this opportunity to comment on continuing information collections, as required by the Paperwork Reduction Act of 1995.

**DATES:** Written comments must be submitted on or before December 3, 2012.

**ADDRESSES:** Direct all written comments to Jennifer Jessup, Departmental Paperwork Clearance Officer, Department of Commerce, Room 6616, 14th and Constitution Avenue NW., Washington DC 20230 (or via Internet at [Jjessup@doc.gov](mailto:Jjessup@doc.gov)).

**FOR FURTHER INFORMATION CONTACT:** Requests for additional information or copies of the information collection instrument(s) and instructions should be directed to Mi Ae Kim at (301) 427-8365 or [mi.ae.kim@noaa.gov](mailto:mi.ae.kim@noaa.gov).

#### SUPPLEMENTARY INFORMATION:

##### I. Abstract

The 1982 Convention on the Conservation of Antarctic Marine Living Resources (Convention) established the Commission for the Conservation of Antarctic Marine Living Resources (CCAMLR). CCAMLR meets annually to adopt measures to conserve and manage the marine living resources of the Convention Area. The United States is a Contracting Party to the Convention and a member of CCAMLR and its Scientific Committee. The Antarctic Marine Living Resources Convention Act (AMLRCA) directs and authorizes the United States to take actions necessary to meet its treaty obligations as a Contracting Party to the Convention. The regulations

implementing AMLRCA are at 50 CFR part 300, Subpart G.

The recordkeeping and reporting requirements at 50 CFR part 300 form the basis for this collection of information. The reporting requirements included in this collection concern CCAMLR Ecosystem Monitoring Program (CEMP) activities, United States (U.S.) harvesting permit applicants and/or harvesting vessel operators, and U.S. importers and re-exporters of Antarctic Marine Living Resources (AMLR).

## II. Method of Collection

Paper applications, electronic reports, satellite-linked vessel monitoring devices, radio and telephone calls, gear and vessel markings are required from participants and methods of transmittal include Internet, satellite, facsimile and mail transmission of forms, reports and information.

## III. Data

*OMB Control Number:* 0648-0194.

*Form Number:* None.

*Type of Review:* Regular submission (extension of a current information collection).

*Affected public:* Business or other for-profit organizations; individuals or households.

*Estimated Number of Respondents:* 86: 1 research entity; 5 vessel owners; 80 dealers.

*Estimated Time per Response:* One hour to apply for a CEMP research permit; one hour to report on permitted research; 28 hours to supply information on potential new or exploratory fishing; two hours to apply for a harvesting permit; 2 minutes to transmit information by radio; 4 hours to install a vessel monitoring device (VMS); two hours for annual VMS maintenance; 45 minutes to mark a vessel; 40 minutes to mark buoys; 10 hours to mark pot gear; six minutes to mark trawl nets; 15 minutes to apply for a dealer permit to import and/or re-export Antarctic marine living resources; 15 minutes to complete and submit a toothfish catch document; 15 minutes to apply for pre-approval of toothfish imports; 15 minutes to complete and submit re-export catch documents; fifteen minutes to submit import tickets.

*Estimated Total Annual Burden Hours:* 294.

*Estimated Total Annual Cost to Public:* \$132,373 in recordkeeping/reporting costs.

## IV. Request for Comments

Comments are invited on: (a) Whether the continuing collection of information

is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden (including hours and cost) of the continuing collection of information; (c) ways to enhance the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology.

Comments submitted in response to this notice will be summarized and/or included in the request for OMB approval of this information collection; they also will become a matter of public record.

Dated: September 28, 2012.

**Gwellnar Banks,**

*Management Analyst, Office of Chief Information Officer.*

[FR Doc. 2012-24414 Filed 10-3-12; 8:45 am]

**BILLING CODE 3510-22-P**

## DEPARTMENT OF COMMERCE

### National Oceanic and Atmospheric Administration

**RIN 0648-BC52**

#### Takes of Marine Mammals Incidental to Specified Activities; U.S. Navy Training and Testing Activities in the Hawaii-Southern California Training and Testing Study Area

**AGENCY:** National Marine Fisheries Service (NMFS), National Oceanic and Atmospheric Administration (NOAA), Commerce.

**ACTION:** Notice; receipt of application for letter of authorization; request for comments and information.

**SUMMARY:** NMFS has received a request from the U.S. Navy (Navy) for authorization to take marine mammals incidental to the training and testing activities conducted in the Hawaii-Southern California Training and Testing (HSTT) study area from January 2014 through January 2019. Pursuant to the Marine Mammal Protection Act (MMPA), NMFS is announcing our receipt of the Navy's request for the development and implementation of regulations governing the incidental taking of marine mammals and inviting information, suggestions, and comments on the Navy's application and request.

**DATES:** Comments and information must be received no later than November 5, 2012.

**ADDRESSES:** Comments on the application should be addressed to Michael Payne, Chief, Permits and Conservation Division, Office of Protected Resources, National Marine Fisheries Service, 1315 East-West Highway, Silver Spring, MD 20910-3225. The mailbox address for providing email comments is [ITP.Magliocca@noaa.gov](mailto:ITP.Magliocca@noaa.gov). NMFS is not responsible for email comments sent to addresses other than the one provided here. Comments sent via email, including all attachments, must not exceed a 10-megabyte file size.

*Instructions:* All comments received are a part of the public record and will generally be posted to <http://www.nmfs.noaa.gov/pr/permits/incidental.htm> without change. All Personal Identifying Information (for example, name, address, etc.) voluntarily submitted by the commenter may be publicly accessible. Do not submit Confidential Business Information or otherwise sensitive or protected information.

A copy of the Navy's application may be obtained by visiting the internet at: <http://www.nmfs.noaa.gov/pr/permits/incidental.htm>. The Navy's Draft Environmental Impact Statement (DEIS) for HSTT was made available to the public on May 11, 2012 (77 FR 27743). Documents cited in this notice may also be viewed, by appointment, during regular business hours, at the aforementioned address.

**FOR FURTHER INFORMATION CONTACT:** Michelle Magliocca, Office of Protected Resources, NMFS, (301) 427-8401.

#### SUPPLEMENTARY INFORMATION:

##### Background

Sections 101(a)(5)(A) and (D) of the MMPA (16 U.S.C. 1361 *et seq.*) direct the Secretary of Commerce to allow, upon request, the incidental, but not intentional, taking of small numbers of marine mammals by United States citizens who engage in a specified activity (other than commercial fishing) within a specific geographical region if certain findings are made and either regulations are issued or, if the taking is limited to harassment, a notice of a proposed authorization is provided to the public for review.

Authorization for incidental takings shall be granted if NMFS finds that the taking will have a negligible impact on the species or stock(s), will not have an unmitigable adverse impact on the availability of the species or stock(s) for subsistence uses (where relevant), and if the permissible methods of taking and requirements pertaining to the mitigation, monitoring and reporting of such takings are set forth. NMFS has