

NOTICE OF OFFICE OF MANAGEMENT AND BUDGET ACTION

Date 05/08/2009

Department of Commerce
National Oceanic and Atmospheric Administration
FOR CERTIFYING OFFICIAL: Suzanne Hilding
FOR CLEARANCE OFFICER: Diana Hynek

In accordance with the Paperwork Reduction Act, OMB has taken action on your request received 03/25/2009

ACTION REQUESTED: No material or nonsubstantive change to a currently approved collection
TYPE OF REVIEW REQUESTED: Regular
ICR REFERENCE NUMBER: 200903-0648-009
AGENCY ICR TRACKING NUMBER:
TITLE: Highly Migratory Species Dealer Reporting Family of Forms
LIST OF INFORMATION COLLECTIONS: See next page

OMB ACTION: Approved without change
OMB CONTROL NUMBER: 0648-0040
The agency is required to display the OMB Control Number and inform respondents of its legal significance in accordance with 5 CFR 1320.5(b).

EXPIRATION DATE: 10/31/2010 DISCONTINUE DATE:

BURDEN:	RESPONSES	HOURS	COSTS
Previous	37,435	6,152	8,258
New	37,435	6,152	8,258
Difference			
Change due to New Statute	0	0	0
Change due to Agency Discretion	0	0	0
Change due to Agency Adjustment	0	0	0
Change Due to Potential Violation of the PRA	0	0	0

TERMS OF CLEARANCE: Terms of the previous clearance remain in effect.

OMB Authorizing Official: Kevin F. Neyland
Deputy Administrator,
Office Of Information And Regulatory Affairs

List of ICs

IC Title	Form No.	Form Name	CFR Citation
Application for authorization for non-government validation			50 CFR 635.5
HMS Dealer and Landings Biweekly Reports	NA, NA, NA	HMS Trade Biweekly Dealer Report, Atlantic Bluefin Tuna Dealer Landings and Trade Biweekly Report, Southeast Region Dealer Report for HMS - revised per AU89	
Atlantic Bluefin Tuna Daily Landing Report and Tag	NOAA 88-144	Atlantic Bluefin Tuna Daily Landing Report	
Statistical Documents, Catch Documents and Re-export Certificates	NA, NA, NA, NA, NA	Bluefin Tuna Catch Document, Bluefin Tuna Catch Document - Pacific, ICCAT Swordfish Statistical Document, ICCAT Bigeye Tuna Statistical Document, Re-export certificate	

PAPERWORK REDUCTION ACT CHANGE WORKSHEET

Agency/Subagency	OMB Control Number _____ - _____	
<i>Enter only items that change</i>		
	Current record	New record
Agency form number (s)		
Annual reporting and recordkeeping hour burden		
Number of respondents		
Total annual responses		
Percent of these responses collected electronically	%	%
Total annual hours		
Difference		
Explanation of difference		
Program change		
Adjustment		
Annual reporting and recordkeeping cost burden (in thousands of dollars)		
Total annualized Capital/Startup costs		
Total annual costs (O&M)		
Total annualized cost requested		
Difference		
Explanation of difference		
Program change		
Adjustment		
Other changes**		
Signature of Senior Official or designee:	Date:	For OIRA Use _____ _____

** This form cannot be used to extend an expiration date.

**HIGHLY MIGRATORY SPECIES DEALER REPORTING
FAMILY OF FORMS
OMB CONTROL NO. 0648-0040
Justification of Change**

*Minor adjustments associated with the
United States implementation of*

**Recommendation 08-12 of the International Commission for the
Conservation of Atlantic Tunas**

The International Commission for the Conservation of Atlantic Tunas (ICCAT) adopted a bluefin tuna catch document (BCD) program through a recommendation adopted at its November 2007 annual meeting (Recommendation 07-10). This program requires that every import or export of bluefin tuna into or from a participating nation be accompanied by a BCD. The catch document is used to record information about the fish and its origination, including area of catch, fishing vessel of capture, gear type, and length of the fish. This documentation helps ensure that all bluefin tuna entering the international trade market are from fisheries following ICCAT prescribed management measures, and thus helps deter illegal, unregulated, and unreported (IUU) fishing.

National Marine Fisheries Service (NMFS) implemented the BCD program in July 2008 (73 FR 31380, June 2, 2008). ICCAT subsequently approved revisions to the BCD form and re-export certificate in Recommendation 08-12 at its November 2008 annual meeting. As a result of this recommendation, the United States forms must now include a new data field identifying the destination country of the exported bluefin tuna. Under the Atlantic Tunas Convention Act, NMFS is required to implement ICCAT recommendations as necessary and appropriate.

NMFS seeks approval to add this field to the previously approved BCD form and re-export certificate, and adjust the instructions to indicate that this data field should be filled out by the exporter. For imports to the United States, this data field would be completed by the exporter of the exporting nation. The burden hours and costs for this instrument previously approved under 0648-0040 would not change as a result of this adjustment. The adjusted forms and instructions are attached.

UNITED STATES BLUEFIN TUNA CATCH DOCUMENT

Document No. _____

1. CATCH INFORMATION

A. VESSEL

	ATVP NO:			
	NAME:		FLAG:	ICCAT RECORD NO.:

B. CATCH DESCRIPTION

	LANDING DATE (ddmmyy):		AREA:	Pacific
	NO. FISH:		AVG WT (KG):	
	TOTAL WT (KG):		GEAR:	

C. GOVT. VALIDATION

	NAME OF AUTHORITY:		TITLE:		SEAL
	SIGNATURE:		DATE:		

2. TAG INFORMATION & PRODUCT DESCRIPTION

TAG #:	WT (KG):	Product Type		Product Type Descriptions
		F/FR	RD/GG/DR/FL/OT	
				F = Fresh FR = Frozen RD = Round GG = Gilled&Gutted DR = Dressed FL = Fillet OT = Other

3. TRADE INFORMATION

A. EXPORTER/SELLER

	COMPANY:		LICENSE NO:	
	ADDRESS:		STREET	CITY STATE OR PROVINCE
	NET WT (kg):		SIGNATURE:	

B. POINT OF EXPORT/DEPARTURE:

	CITY:		STATE:		DESTINATION COUNTRY:
	COUNTRY:	USA	DATE:		

C. TRANSPORTATION DESCRIPTION (ensure documents are available at import)

D. GOVT VALIDATION

	NAME OF AUTHORITY:		TITLE:		SEAL
	SIGNATURE:		DATE:		

E. IMPORTER/BUYER

	COMPANY:		LICENSE NO:	
	ADDRESS:		STREET	CITY STATE OR PROVINCE
	SIGNATURE:			

F. POINT OF IMPORT

	CITY:		STATE OR PROVINCE:	
	COUNTRY:		DATE:	

United States Bluefin Tuna Catch Document

Reporting Instructions for Bluefin Tuna Exports

Bluefin tuna exporters must fill out this form for each vessel's exported catch. Complete the document on the reverse side by entering the requested data in the appropriate data fields following the instructions below. (*See the bottom of this instruction sheet for Pacific bluefin tuna*). The document must be filled out completely prior to exporting bluefin tuna in accordance with 50 C.F.R. 300.185. The completed original document must accompany the exported consignment.

Submit a copy of the completed document to the National Marine Fisheries Service (NMFS) at one of the following addresses: by email to: BFTreporting@noaa.gov; by FAX to: 978-281-9340; or by mail to: HMS Management Division, One Blackburn Drive, Gloucester, MA, 01930. NMFS must receive a copy of the document within 24 hours of export.

FORM INSTRUCTIONS

Document Number: Use the following formula to generate a document number: *USYear - International Trade Permit (ITP) number* (numerals only, do not include the "SE" prefix)- *sequential number*. For example, an exporter with the ITP number SE 10543 who is exporting the first bluefin for the year 2008 would use the following doc. number: US2008-10543-1.

1. Catch Information (*NOTE: It may be necessary to obtain this information from the Atlantic Tunas Dealer Permit holder who first purchased the bluefin tuna.*)

A. Vessel: Enter the name of the vessel that captured the bluefin tuna and the vessel's Atlantic Tuna Vessel Permit (ATVP) number. For vessels with a length greater than 24 m, enter the ICCAT record number (see website <http://www.iccat.int/vesselsrecord.asp>)

B. Catch Description: Enter the Landing Date (date bluefin tuna was brought to dock and sold to Atlantic Tunas Dealer Permit holder), Area of catch, Gear type (choose from table below), Number of Fish entered on this form, Total Weight in kilograms of fish product entered on this form, and calculate average weight = (total weight/number of fish).

GEAR TYPES					
HAND	–	handline	LL	–	longline
RR	–	rod and reel	PS	–	purse seine
HARP	–	harpoon	TRAP	–	trap

2. Tag Information and Product Description

Enter the tag number for each bluefin tuna in the space provided. Enter the product type (choose from list on front of form) for each tagged fish and its weight in kilograms. If product type is "other" enter "OT" and a one-word description (*e.g.*, OT-toro).

3. Trade Information

A. Exporter/Seller: Enter the exporting company's name and International Trade Permit number, address, the total weight of bluefin tuna listed on this form, and sign once the form is printed.

B. Point of Departure/Export: enter the point of departure including city, state, and date of export. ***Enter the destination (country) of the export.***

C. Transportation Description: Ensure that a copy of official transportation documentation (*e.g.*, airway bill) is received by the importer, along with this form.

D. Government Validation: If bluefin tuna are tagged, leave this section blank (government validation is waived for tagged U.S. Atlantic and Pacific bluefin tuna). For untagged Pacific bluefin tuna, government validation is required. For validation, FAX the completed form to the NMFS-authorized validation official at: 866/252-3751. Be sure to specify return fax number and phone number. If your validated form has not been returned within 2 hours, call the validation official at 843-724-6425.

E. Importer/Buyer; and, F. Point of Import: leave blank – this section will be filled out by the foreign importer.

Pacific bluefin tuna exports: only the following fields are required: "Document Number," "Area" under "Catch Information," "Weight" and "Product Type" under "Tag Information and Product Description," and all "Trade Information" fields, including "Government Validation."

The following information is provided to you as required by the Paperwork Reduction Act (PRA) of 1995 (PL 104-13): Under the provisions of the PRA and the Privacy Act of 1974 (PL 93-579), you are advised that disclosure of the information requested on the United States bluefin tuna catch document is mandatory for the purpose of managing the bluefin tuna fishery. The data is used to monitor trade of Atlantic bluefin tuna. Reporting burden for the collection of information is estimated to average 5 minutes per completed form, including time for reviewing instructions, searching existing data sources, gathering and maintaining data needed, and completing and reviewing the information. Pursuant to Section 402(b) of the Magnuson-Stevens Act, and consistent with NOAA Administrative Order 216-100 (Confidentiality of Fisheries Statistics), the Agency does not release confidential information submitted in compliance with provisions of the Act, other than in aggregate form and under circumstances required or authorized by law. Whenever data are requested or released to the general public, the Agency ensures that information on the pecuniary business activity of a dealer is not identified. **Because you have been provided with a currently valid OMB control number for a collection of information subject to the requirements of the Paperwork Reduction Act you are required to respond to, or be subject to penalty for failing to comply with, this collection of information. Send comments regarding this burden estimate or suggestions for reducing this burden to: NMFS, Highly Migratory Species Division, 1 Blackburn Drive, Gloucester, MA 01930-2298.**

UNITED STATES BLUEFIN TUNA CATCH DOCUMENT

Document No. _____

1. CATCH INFORMATION

A. VESSEL

	ATVP NO:			
	NAME:		FLAG: US	ICCAT RECORD NO.:

B. CATCH DESCRIPTION

	LANDING DATE (ddmmyy):		AREA:	West Atlantic
	NO. FISH:		AVG WT (KG):	
	TOTAL WT (KG):		GEAR:	

C. GOVT. VALIDATION

	NAME OF AUTHORITY:		TITLE:		SEAL
	SIGNATURE:		DATE:		

2. TAG INFORMATION & PRODUCT DESCRIPTION

TAG #:	WT (KG):	Product Type		Product Type Descriptions
		F/FR	RD/GG/DR/FL/OT	
ABT - - - - -				F = Fresh
ABT - - - - -				FR = Frozen
ABT - - - - -				
ABT - - - - -				RD = Round
ABT - - - - -				GG = Gilled&Gutted
ABT - - - - -				DR = Dressed
ABT - - - - -				FL = Fillet
ABT - - - - -				OT = Other
ABT - - - - -				
ABT - - - - -				

3. TRADE INFORMATION

A. EXPORTER/SELLER

	COMPANY:		LICENSE NO:	
	ADDRESS:		STREET	CITY STATE OR PROVINCE
	NET WT (kg):		SIGNATURE:	

B. POINT OF EXPORT/DEPARTURE:

	CITY:		STATE:		DESTINATION COUNTRY:
	COUNTRY:	USA	DATE:		

C. TRANSPORTATION DESCRIPTION (ensure documents are available at import)

D. GOVT VALIDATION

	NAME OF AUTHORITY:		TITLE:		SEAL
	SIGNATURE:		DATE:		

E. IMPORTER/BUYER

	COMPANY:		LICENSE NO:	
	ADDRESS:		STREET	CITY STATE OR PROVINCE
	SIGNATURE:			

F. POINT OF IMPORT

	CITY:		STATE OR PROVINCE:	
	COUNTRY:		DATE:	

United States Bluefin Tuna Catch Document

Reporting Instructions for Bluefin Tuna Exports

Bluefin tuna exporters must fill out this form for each vessel's exported catch. Complete the document on the reverse side by entering the requested data in the appropriate data fields following the instructions below. (*See the bottom of this instruction sheet for Pacific bluefin tuna*). The document must be filled out completely prior to exporting bluefin tuna in accordance with 50 C.F.R. 300.185. The completed original document must accompany the exported consignment.

Submit a copy of the completed document to the National Marine Fisheries Service (NMFS) at one of the following addresses: by email to: BFTreporting@noaa.gov; by FAX to: 978-281-9340; or by mail to: HMS Management Division, One Blackburn Drive, Gloucester, MA, 01930. NMFS must receive a copy of the document within 24 hours of export.

FORM INSTRUCTIONS

Document Number: Use the following formula to generate a document number: *USYear - International Trade Permit (ITP) number* (numerals only, do not include the "SE" prefix)- *sequential number*. For example, an exporter with the ITP number SE 10543 who is exporting the first bluefin for the year 2008 would use the following doc. number: US2008-10543-1.

1. Catch Information (*NOTE: It may be necessary to obtain this information from the Atlantic Tunas Dealer Permit holder who first purchased the bluefin tuna.*)

A. Vessel: Enter the name of the vessel that captured the bluefin tuna and the vessel's Atlantic Tuna Vessel Permit (ATVP) number. For vessels with a length greater than 24 m, enter the ICCAT record number (see website <http://www.iccat.int/vesselsrecord.asp>)

B. Catch Description: Enter the Landing Date (date bluefin tuna was brought to dock and sold to Atlantic Tunas Dealer Permit holder), Area of catch, Gear type (choose from table below), Number of Fish entered on this form, Total Weight in kilograms of fish product entered on this form, and calculate average weight = (total weight/number of fish).

GEAR TYPES					
HAND – handline	LL – longline	RR – rod and reel			
HARP – harpoon	PS – purse seine	TRAP – trap			

2. Tag Information and Product Description

Enter the tag number for each bluefin tuna in the space provided. Enter the product type (choose from list on front of form) for each tagged fish and its weight in kilograms. If product type is "other" enter "OT" and a one-word description (*e.g.*, OT-toro).

3. Trade Information

A. Exporter/Seller: Enter the exporting company's name and International Trade Permit number, address, the total weight of bluefin tuna listed on this form, and sign once the form is printed.

B. Point of Departure/Export: enter the point of departure including city, state, and date of export. ***Enter the destination (country) of the export.***

C. Transportation Description: Ensure that a copy of official transportation documentation (*e.g.*, airway bill) is received by the importer, along with this form.

D. Government Validation: If bluefin tuna are tagged, leave this section blank (government validation is waived for tagged U.S. Atlantic and Pacific bluefin tuna). For untagged Pacific bluefin tuna, government validation is required. For validation, FAX the completed form to the NMFS-authorized validation official at: 866/252-3751. Be sure to specify return fax number and phone number. If your validated form has not been returned within 2 hours, call the validation official at 843-724-6425.

E. Importer/Buyer; and, F. Point of Import: leave blank – this section will be filled out by the foreign importer.

Pacific bluefin tuna exports: only the following fields are required: "Document Number," "Area" under "Catch Information," "Weight" and "Product Type" under "Tag Information and Product Description," and all "Trade Information" fields, including "Government Validation."

The following information is provided to you as required by the Paperwork Reduction Act (PRA) of 1995 (PL 104-13): Under the provisions of the PRA and the Privacy Act of 1974 (PL 93-579), you are advised that disclosure of the information requested on the United States bluefin tuna catch document is mandatory for the purpose of managing the bluefin tuna fishery. The data is used to monitor trade of Atlantic bluefin tuna. Reporting burden for the collection of information is estimated to average 5 minutes per completed form, including time for reviewing instructions, searching existing data sources, gathering and maintaining data needed, and completing and reviewing the information. Pursuant to Section 402(b) of the Magnuson-Stevens Act, and consistent with NOAA Administrative Order 216-100 (Confidentiality of Fisheries Statistics), the Agency does not release confidential information submitted in compliance with provisions of the Act, other than in aggregate form and under circumstances required or authorized by law. Whenever data are requested or released to the general public, the Agency ensures that information on the pecuniary business activity of a dealer is not identified. **Because you have been provided with a currently valid OMB control number for a collection of information subject to the requirements of the Paperwork Reduction Act you are required to respond to, or be subject to penalty for failing to comply with, this collection of information. Send comments regarding this burden estimate or suggestions for reducing this burden to: NMFS, Highly Migratory Species Division, 1 Blackburn Drive, Gloucester, MA 01930-2298.**

1. DOCUMENT NUMBER		ICCAT BLUEFIN TUNA RE-EXPORT CERTIFICATE		
2. RE-EXPORT SECTION:				
RE-EXPORTING COUNTRY/ENTITY/FISHING ENTITY				
POINT OF RE-EXPORT				
3. DESCRIPTION OF IMPORTED BLUEFIN TUNA				
Product Type <i>F/FR RD/GG/DR/FL/OT</i>	Net Weight (kg)	Flag CPC	Date of import	BCD No.
4. DESCRIPTION OF BLUEFIN TUNA FOR RE-EXPORT				
Product Type <i>F/FR RD/GG/DR/FL/OT</i>	Net Weight (kg)	Corresponding BCD number		
F=Fresh, FR=Frozen, RD=Round, GG=Gilled & Guttled, DR=Dressed, FL=Fillet, OT=Others (Describe the type of product:)				
STATE OF DESTINATION:				
5. RE-EXPORTER STATEMENT:				
I certify that the above information is complete, true and correct to the best of my knowledge and belief.				
Name	Address	Signature	Date	
6. GOVERNMENT VALIDATION:				
I validate that the above information is complete, true and correct to the best of my knowledge and belief.				
Name & Title	Signature	Date	Government Seal	
7. IMPORT SECTION				
IMPORTER STATEMENT:				
I certify that the above information is complete, true and correct to the best of my knowledge and belief.				
Importer Certification				
Name	Address	Signature	Date	
Final Point of Import: City State/Province CPC				

NOTE: IF A LANGUAGE OTHER THAN ENGLISH IS USED IN COMPLETING THIS FORM, PLEASE ADD THE ENGLISH TRANSLATION ON THIS DOCUMENT.

Note: Valid transport document and copies of the BCDs shall be attached.

U.S. RE-EXPORT CERTIFICATE for BLUEFIN TUNA
FORM INSTRUCTIONS

These instructions are for re-export of product previously imported to the United States.

Document Number: Block for coded document number. The document number will be filled in by the National Marine Fisheries Service at the time of government validation (see number 6, below).

(1) Re-exporting Country

Fill in the name of the Country (USA) that is re-exporting the bluefin tuna in the shipment.

(2) Point of Re-Export

Identify the City and State from which the bluefin tuna will be re-exported.

(3) Description of Imported Bluefin Tuna

Provide the following information, using one row per product type for Atlantic bluefin tuna and one row per BCD for Pacific bluefin tuna. (*Product type is not required for Pacific bluefin tuna*). (a) Product type: Identify the type of product imported as either FRESH or FROZEN and in ROUND, GILLED AND GUTTED, DRESSED, FILLET or OTHER form. For OTHER, describe the type of products in the shipment. (b) Net weight: Net weight (kg) per product for Atlantic bluefin tuna and per BCD for Pacific bluefin tuna. (c) Flag CPC of imported product: enter the country of the vessel that landed the product; (d) Date of import to United States; (e) Bluefin tuna catch document (BCD number(s) of imported product.

(4) Description of Re-Exported Bluefin Tuna

Provide the following information, with one row per product type for Atlantic bluefin tuna and one row per BCD for Pacific bluefin tuna. (*Product type is not required for Pacific bluefin tuna*). (a) Product type: Identify the type of product being shipped as either FRESH or FROZEN, and in ROUND, GILLED AND GUTTED, DRESSED FILLET, or OTHER form. For OTHER, describe the type of products in the shipment. (b) Net weight: Net weight (kg) per product for Atlantic bluefin tuna and per BCD for Pacific bluefin tuna. (c) Corresponding BCD numbers of re-exported product. (d) State of destination - the country which will receive the re-export.

(5) Re-Export Certification

The person or company re-exporting the bluefin tuna shipment must provide his/her name, address, signature, the date the shipment was re-exported, and the re-exporter's International Trade Permit number.

(6) Government Validation

FAX the completed form to the NMFS-authorized validation official at: 866/252-3751. Be sure to specify return fax and phone numbers. If your validated, numbered form with the total shipment weight entered in Box 6 has not been returned within 2 hours, call the validation official at 843/724-6425.

(7) Import Section

Leave blank – Box 7 will be completed by the final importer.

REPORTING INSTRUCTIONS

(1) The original re-export certificate should accompany the shipment. Remember to attach the corresponding BCDs.

(2) Send a copy of the completed re-export certificate and corresponding BCDs to: NMFS Northeast Regional Office, One Blackburn Drive, Gloucester, MA 01930; FAX 978-281-9340, or BFTReporting@noaa.gov.

(3) If the re-exported bluefin tuna are NOT tagged, then the U.S. re-exporter *must also send copies of this re-export certificate to the ICCAT Secretariat and government authority of the importing nation*. These addresses are available online at <http://www.nmfs.noaa.gov/sfa/hms/ITP/index.htm>.

**RECOMMENDATION BY ICCAT AMENDING
RECOMMENDATION 07-10 ON AN ICCAT BLUEFIN TUNA
CATCH DOCUMENTATION PROGRAM**

RECOGNIZING the situation of Atlantic bluefin tuna stocks and the impact that market factors have on the fishery;

TAKING INTO ACCOUNT the rebuilding plan for western Atlantic bluefin tuna and the recovery plan for eastern Atlantic and Mediterranean bluefin tuna that ICCAT has adopted, including the need for complementary market related measures;

RECOGNIZING the necessity to clarify and improve the implementation of the bluefin tuna catch documentation scheme, providing detailed instructions for the completion and the validation of the bluefin tuna catch document,

THE INTERNATIONAL COMMISSION FOR THE CONSERVATION
OF ATLANTIC TUNAS (ICCAT) RECOMMENDS THAT:

PART I GENERAL PROVISIONS

1. Each Contracting Party, Cooperating non-Contracting Party, Entity and Fishing Entity (hereafter referred to as CPCs) shall take the necessary steps to implement an ICCAT Bluefin Tuna Catch Documentation Scheme for the purpose of identifying the origin of any bluefin tuna in order to support the implementation of conservation and management measures.
2. For the purpose of this Programme:
 - a) "Domestic trade" means:
 - trade of bluefin tuna harvested in the ICCAT Convention area by a vessel or trap, which is landed in the territory of the CPC where the vessel is flagged or where the trap is established, and
 - trade of farmed bluefin tuna products originating from bluefin tuna harvested in the ICCAT Convention area by a vessel which is flagged to the same CPC where the farm is established, which is supplied to any entity in this CPC, and
 - trade between the Member States of the European Community of bluefin tuna harvested in the ICCAT Convention area by vessels flagged to one Member State or by a trap established in one Member State.
 - b) "Export" means:

Any movement of bluefin tuna in its harvested or processed form (including farmed) from the territory of the CPC where the fishing vessel is flagged or where the trap or farm is established to the territory of another CPC or non-Contracting Party, or from the fishing grounds to the territory of a CPC which is not the flag CPC of the fishing vessel or to the territory of a non-Contracting Party.
 - c) "Import" means:

Any introduction of bluefin tuna in its harvested or processed form (including farmed) into the territory of a CPC, which is not the CPC where the fishing vessel is flagged or where the trap or the farm is established.
 - d) "Re-export" means:

Any movement of bluefin tuna in its harvested or processed form (including farmed) from the territory of a CPC where it has been previously imported.
 - e) "flag State" means the State where the fishing vessel is flagged; "trap State" means the State where the trap is established; and "farm State" means the State where the farm is established.

3. CPCs shall require a completed Bluefin Tuna Catch Document (BCD) for each bluefin tuna:
 - a) landed at its ports,
 - b) delivered to its farms, and
 - c) harvested from its farms.

Each consignment of bluefin tuna domestically traded, imported into or exported or re-exported from its territories shall be accompanied by a validated BCD, except in cases where paragraph 9(c) applies and, as applicable, an ICCAT transfer declaration or a validated Bluefin Tuna Re-export Certificate (BFTRC). Any such landing, transfer, delivery, harvest, domestic trade, import, export or re-export of bluefin tuna without a completed and validated BCD or a BFTRC shall be prohibited.

4. In order to support an effective BCD, CPCs shall:
 - a) not place bluefin tuna into a farm not authorized by the CPC or listed in the ICCAT record,
 - b) not place bluefin tuna from different years or CPCs in the same cages unless effective measures are in place to determine the CPC of origin and catch year when the bluefin tuna are ultimately harvested from the farm
5. Each CPC shall provide BCD forms only to catching vessels and traps authorized to fish bluefin tuna in the Convention area, including as by-catch. Such forms are not transferable. Each BCD form shall have a unique document identification number. Document numbers shall be specific to the flag or trap State and assigned to the catching vessel or trap.
6. Domestic trade, export, import and re-export of fish parts other than the meat (i.e., heads, eyes, roes, guts and tails) shall be exempted from the requirements of this Recommendation.

PART II VALIDATION OF BCDs

7. The catching vessel master or trap operator, or its authorized representative, or the operator of farms, or the authorized representative of the flag, farm, or trap State, shall complete the BCD by providing the required information in appropriate sections and request validation in accordance with paragraph 9 for a BCD for catch landed, transferred to cages, harvested, transhipped, domestically traded or exported on each occasion that it lands, transfers, harvests, tranships, domestically trades or exports bluefin tuna.
8. A validated BCD shall include, as appropriate, the information identified in **Annex 1** attached. A BCD format is attached as **Annex 2**. In cases where a section of the BCD format does not provide enough room to completely track movement of BFT from catch to market, the needed information section of the BCD may be expanded as necessary and attached as an annex using the original BCD format and number. The authorized representative of the CPC shall validate the annex as soon as possible but not later than the next movement of BFT.
9.
 - a) The BCD must be validated by an authorized government official, or other authorized individual or institution, of the flag State of the catching vessel, the State of the seller/exporter, or the trap or farm State that caught, harvested, domestically traded or exported the bluefin tuna. If the catching vessel is operating under a charter arrangement, the BCD must be validated by an authorized governmental official or institution of the chartering entity's CPC.
 - b) The CPCs shall validate the BCD for all bluefin tuna products only when all the information contained in the BCD has been established to be accurate as a result of the verification of the consignment, and only when the accumulated validated amounts are within their quotas or catch limits of each management year, including, where appropriate, individual quotas allocated to catching vessels or traps, and when those products comply with other relevant ICCAT provisions of the conservation and management measures.
 - c) Validation under 9(a) shall not be required in the event that all bluefin tuna available for sale are tagged by the flag State of the catching vessel or the trap State that fished the bluefin tuna.

- d) Where the bluefin tuna quantities caught and landed are less than 1 metric ton or three fish, the logbook or the sales note may be used as a temporary BCD, pending the validation of the BCD within seven days and prior to export.

PART III VALIDATION OF BFTRCs

10. Each CPC shall ensure that each bluefin tuna consignment which is re-exported from its territory be accompanied by a validated Bluefin Tuna Re-export Certificate (BFTRC). In cases where bluefin tuna is imported live, the BFTRC shall not apply.
11. The operator who is responsible for the re-export shall complete the BFTRC by providing the required information in its appropriate sections and request its validation for the bluefin tuna consignment to be reexported. The completed BFTRC shall be accompanied by a copy of the validated BCD(s) relating to the bluefin tuna products previously imported.
12. The BFTRC shall be validated by an authorized government official or authority.
13. The CPC shall validate the BFTRC for all bluefin tuna product only when
 - a) all the information contained in the BFTRC has been established to be accurate,
 - b) the validated BCD(s) submitted in support to the BFTRC had been accepted for the importation of the products declared on the BFTRC and
 - c) the products to be re-exported are wholly or partly the same products on the validated BCD(s).
 - d) a copy of the BCD(s) shall be attached to the validated BFTRC.
14. The validated BFTRC shall include the information identified in **Annex 3** and **Annex 4** attached.

PART IV VERIFICATION AND COMMUNICATION

15. Each CPC shall communicate a copy of all validated BCDs or BFTRCs, except in cases where paragraph 9(c) applies, within five working days following the date of validation, or without delay where the expected duration of the transportation should not take more than five working days, to the following:
 - a) the competent authorities of the country where the bluefin tuna will be domestically traded, or transferred into a cage or imported, and
 - b) the ICCAT Secretariat.
16. The ICCAT Secretariat shall extract from the validated BCDs or BFTRCs communicated under paragraph 15 above the information marked with an asterisk (*) in **Annex 1** or **Annex 3** and enter this information in a database on a password protected section of its website, as soon as practicable.

At its request, the SCRS shall have access to the catch information contained in the database, except the vessel or trap names.

PART V TAGGING

17. CPCs may require their catching vessels or traps to affix a tag to each bluefin tuna preferably at the time of kill, but no later than the time of landing. Tags shall have unique country specific numbers and be tamper proof. The tag numbers shall be linked to the BCD and a summary of the implementation of the tagging program shall be submitted to the ICCAT Secretariat by the CPC. The use of such tags shall only be authorized when the accumulated catch amounts are within their quotas or catch limits of each management year, including, where appropriate, individual quotas allocated to vessels or traps.

PART VI VERIFICATION

18. Each CPC shall ensure that its competent authorities, or other authorized individual or institution, take steps to identify each consignment of bluefin tuna landed in, domestically traded in, imported into or exported or re-exported from its territory and request and examine the validated BCD(s) and related documentation of

each consignment of bluefin tuna. These competent authorities, or authorized individuals or institutions, may also examine the content of the consignment to verify the information contained in the BCD and in related documents and, where necessary, shall carry out verifications with the operators concerned.

19. If, as a result of examinations or verifications carried out pursuant to paragraph 18 above, a doubt arises regarding the information contained in a BCD, the final importing State and the CPC whose competent authorities validated the BCD(s) or BFTRCs shall cooperate to resolve such doubts.
20. If a CPC involved in trade of bluefin tuna identifies a consignment with no BCD, it shall notify the findings to the exporting State and, where known, the flag State.
21. Pending the examinations or verifications under paragraph 18 to confirm compliance of the bluefin tuna consignment with the requirements in the present Recommendation and any other relevant Recommendations, the CPCs shall not grant its release for domestic trade, import or export, nor, in the case of live bluefin tuna destined to farms, accept the transfer declaration.
22. Where a CPC, as a result of examination or verifications under paragraph 18 above and in cooperation with the validating authorities concerned, determines that a BCD or BFTRC is invalid, the domestic trade, import, export or re-export of the bluefin tuna concerned shall be prohibited.
23. The Commission shall request the non-Contracting Parties that are involved in domestic trade, import, export or re-export of bluefin tuna to cooperate with the implementation of the Program and to provide to the Commission data obtained from such implementation.

PART VII NOTIFICATION AND COMMUNICATION

24. Each CPC that validates BCDs in respect of its flag catching vessels, traps or farms in accordance with paragraph 9(a), shall notify the ICCAT Secretariat of the government authorities, or other authorized individuals or institutions (name and full address of the organization(s) and, where appropriate, name and title of the validating officials who are individually empowered, sample form of document, sample impression of stamp or seal, and as appropriate tag samples) responsible for validating and verifying BCDs or BFTRCs. This notification shall indicate the date at which this entitlement comes into force. A copy of the provisions adopted in national law for the purpose of implementing the bluefin tuna catch documentation program shall be communicated with the initial notification, including procedures to authorize non-governmental individuals or institutions. Updated details on validating authorities and national provisions shall be communicated to the ICCAT Secretariat in a timely fashion.
25. The information on validating authorities transmitted by notifications to the ICCAT Secretariat shall be placed on the password protected page of the database on validation held by the ICCAT Secretariat. The list of the CPCs having notified their validating authorities and the notified dates of entry into force of the validation shall be placed on a publicly accessible website held by the ICCAT Secretariat. CPCs are encouraged to access this information to help verify the validation of BCDs and BFTRCs.
26. Each CPC shall notify to the ICCAT Secretariat the points of contact (name and full address of the organization(s)) that should be notified when there are questions related to BCDs or BFTRCs.
27. Copies of validated BCDs and notification pursuant to paragraphs 24, 25 and 26 shall be sent by CPCs to the ICCAT Secretariat, by electronic means, whenever possible.
28. The Commission shall consider the introduction of an electronic system as informed by results reported to the Commission from the electronic statistical document pilot programs conducted by CPCs in accordance with *Recommendation by ICCAT on an Electronic Statistical Document Pilot Program* [Rec. 06-16]. Those CPCs which implement an electronic system in advance of the Commission shall ensure the electronic system meets the requirements of this measure and has the ability to produce paper copies upon request of national authorities from the exporting and importing Parties.
29. Copies of BCDs shall follow each part of split shipments or processed product, using the unique document number of the BCD to link them.
30. CPCs shall keep copies of documents issued or received for at least two years.

31. CPCs shall provide to the ICCAT Secretariat a report each year by October 1 for the period from July 1 of the preceding year to June 30 of the current year to provide the information described in **Annex 5**.

The ICCAT Secretariat shall post these reports on the password protected section of the ICCAT website, as soon as practicable.

At its request, the SCRS shall have access to the reports received by the ICCAT Secretariat.

32. The *Recommendation by ICCAT on an ICCAT Bluefin Tuna Catch Document Program* [Rec. 07-10] is repealed and replaced by this Recommendation.

Data to be Included in Bluefin Tuna Catch Document (BCD)

1. ICCAT Bluefin tuna catch document number*

2. Catch Information

Vessel or trap name*

Flag State*

ICCAT Record No.

Date, area of catch and gear used*

Number of fish, total weight, and average weight*¹

Tag No. (if applicable)

Government validation

Name of authority and signatory, title, address, signature, seal and date

3. Trade Information for live fish trade

Product description

Exporter/Seller information

Transportation description

Government validation

Name of authority and signatory, title, address, signature, seal and date

Importer/buyer

4. Transfer information

Towing vessel description

Vessel name, flag

ICCAT Record No. and towing cage number (if applicable)

5. Transshipment information

Carrier vessel description

Name

Flag State

ICCAT Record No.

Date

Port (name and country or position)

Product description

(F/FR; RD/GG/DR/FL/OT)

Total weight (NET)

Government validation

Name of authority and signatory, title, address, signature, seal and date

¹Weight shall be reported by round weight where available. If round weight is not used, specify the type of product (e.g., GG) in the "Total Weight" and "Average Weight" section of the form.

6. Farming information

Farming facility description

Name, flag of farm*, ICCAT FFB No.* and location of farm

Participation in national sampling program (yes or no)

Cage description

Date of caging, cage number

Fish description

Estimates of number of fish, total weight, and average weight*

Estimated size composition (<8 kg, 8-30 kg, >30 kg)

Government validation

Name of authority and signatory, title, address, signature, seal and date

7. Harvest from Farms information

Harvest description

Date of harvest*

Number of fish, total (round) weight, and average weight*

Tag numbers (if applicable)

Government validation

Name of authority and signatory, title, address, signature, seal and date

8. Trade information

Product description

(F/FR; RD/GG/DR/FL/OT)²

Total weight (NET)

Exporter/Seller information

Point of export or departure*

Export company name, address, signature and date

State of destination*

Description of transportation (relevant documentation to be attached)

Government validation

Name of authority and signatory, title, address, signature, seal and date

Importer/buyer information

Point of import or destination*

Import company name, address, signature and date³

² When different types of products are recorded in this section, the weight shall be recorded by each product type.

³ DATE to be filled by IMPORTER/BUYER in this section is the date of signature.

Bluefin Tuna Catch Document Form

1. ICCAT BLUE FIN TUNA CATCH DOCUMENT (BCD)				N° CC-YY-XXXXXX		1/2	
2. CATCH INFORMATION							
VESSEL/TRAP							
NAME :			FLAG		ICCAT RECORD No.		
			ATEC				
CATCH DESCRIPTION							
DATE (ddmmyy)		AREA		GEAR			
No. of FISH		TOTAL WEIGHT (kg)		AVERAGE WEIGHT (kg)			
TAGS No. (if applicable)				ICCAT RECORD N° of Joint Fishing Operation (if applicable)			
GOVERNMENT VALIDATION							
NAME OF AUTHORITY						SEAL	
TITLE							
SIGNATURE							
DATE							
3. TRADE INFORMATION							
PRODUCT DESCRIPTION							
LIVE WEIGHT (kg)		No. of FISH		ZONE			
EXPORTER/SELLER							
PT EXPORT/ DEPARTURE		COMPANY		ADDRESS			
FARM OF DESTINATION		STATE		ICCAT FFB No.			
SIGNATURE							
DATE							
TRANSPORTATION DESCRIPTION (Relevant documentation to be attached)							
GOVERNMENT VALIDATION							
NAME OF AUTHORITY						SEAL	
TITLE							
SIGNATURE							
DATE							
IMPORTER/BUYER							
COMPANY				PT IMPORT / DESTINATION (city, country, State)			
ADDRESS							
DATE OF SIGNATURE		SIGNATURE					
ANNEX(ES): YES / NO (circle one)							
4. TRANSFER INFORMATION							
TOWING VESSEL DESCRIPTION							
ICCAT TRANSFER DECLARATION N°							
NAME		FLAG		ICCAT RECORD No.			
No. of FISH DEAD DURING TRANSFER				TOTAL WEIGHT OF DEAD FISH (kg)			
TOWING CAGE DESCRIPTION				CAGE N°			
ANNEX(ES): YES / NO (circle one)							
5. TRANSHIPMENT INFORMATION							
CARRIER VESSEL DESCRIPTION							
NAME		FLAG		ICCAT RECORD No.			
DATE(ddmmyy)		PORT NAME		PORT STATE			
POSITION (LAT/LONG)							
PRODUCT DESCRIPTION (Indicate net weight in kg for each type of product)							
F	RD (kg)	GG (kg)	DR (kg)	FL (kg)	OT(kg)	TOTAL WT F (kg)	
FR	RD (kg)	GG (kg)	DR (kg)	FL (kg)	OT(kg)	TOTAL WT FR	
GOVERNMENT VALIDATION							
NAME OF AUTHORITY						SEAL	
TITLE							
SIGNATURE							
DATE							
ANNEX(ES): YES / NO (circle one)							

ICCAT BLUE FIN TUNA CATCH DOCUMENT (BCD)				N° CC-YY-XXXXXX				2/2	
6. FARMING INFORMATION									
FARMING FACILITY DESCRIPTION	NAME		STATE		ICCAT FFB N°				
	NATIONAL SAMPLING PROGRAM? Yes or No (circle one)				LOCATION				
CAGE DESCRIPTION	DATE(ddmmyy)		CAGE No.						
FISH DESCRIPTION	No. of FISH		TOTAL WEIGHT (kg)		AVERAGE WEIGHT (kg)				
OBSERVER INFORMATION	NAME		TITLE		SIGNATURE				
	SIZE COMPOSITION		< 8kg		8-30 kg		> 30 kg		
GOVERNMENT VALIDATION									
	NAME OF AUTHORITY				SEAL				
	TITLE								
	SIGNATURE								
	DATE								
ANNEX(ES): YES / NO (circle one)									
7. HARVESTING INFORMATION									
HARVESTING DESCRIPTION									
DATE (ddmmyy)		No. of FISH		TOTAL ROUND WEIGHT (kg)					
AVERAGE WEIGHT (kg)		TAGS No. (if applicable)							
GOVERNMENT VALIDATION									
	NAME OF AUTHORITY				SEAL				
	TITLE								
	SIGNATURE								
	DATE								
8. TRADE INFORMATION									
PRODUCT DESCRIPTION (Indicate net weight in kg for each type of product)									
F	RD (kg)	GG (kg)	DR (kg)	FL (kg)	OT(kg)	TOTAL WT F			
FR	RD (kg)	GG (kg)	DR (kg)	FL (kg)	OT(kg)	TOTAL WT FR			
EXPORTER/SELLER									
PT EXPORT / DEPARTURE		COMPANY			ADDRESS				
STATE OF DESTINATION									
SIGNATURE									
DATE									
TRANSPORTATION DESCRIPTION (Relevant documentation to be attached)									
GOVERNMENT VALIDATION									
	NAME OF AUTHORITY				SEAL				
	TITLE								
	SIGNATURE								
	DATE								
IMPORTER/BUYER									
COMPANY				PT IMPORT / DESTINATION (city, country, State)					
ADDRESS									
DATE		SIGNATURE							
ANNEX(ES): YES / NO (circle one)									

Data to be Included in the Bluefin Tuna Re-export Certificate (BFTRC)

1. Document number of the BFTRC*

2. Re-export section

Re-exporting CPC/Entity/Fishing Entity
Point of re-export*

3. Description of imported bluefin tuna

Product type F/FR RD/GG/DR/FL/OT¹
Net weight (kg)
BCD number(s) and date(s) of importation*
Flag(s) of fishing vessel(s) or state of establishment of the trap, where appropriate

4. Description of bluefin tuna to be re-exported

Product type F/FR RD/GG/DR/FL/OT*¹
Net weight (kg)*
Corresponding BCD number(s) from section 3
State of destination

5. Statement of re-exporter

Name
Address
Signature
Date

6. Validation by governmental authorities

Name and address of the authority
Name and position of the official
Signature
Date
Government seal

7. Import section

Statement by the importer in the CPC of import of the bluefin tuna consignment
Name and address of the importer
Name and signature of the importer's representative and date
Point of import: City and CPC*

Note: Copies of the BCD(s) and Transport document(s) shall be attached.

¹When different types of products are recorded in this section, the weight shall be recorded by each product type.

1. DOCUMENT NUMBER		ICCAT BLUEFIN TUNA RE-EXPORT CERTIFICATE			
2. RE-EXPORT SECTION:					
RE-EXPORTING COUNTRY/ENTITY/FISHING ENTITY					
POINT OF RE-EXPORT					
3. DESCRIPTION OF IMPORTED BLUEFIN TUNA					
Product Type <i>F/FR RD/GG/DR/FL/OT</i>		Net Weight (kg)	Flag CPC	Date of import	BCD No.
4. DESCRIPTION OF BLUEFIN TUNA FOR RE-EXPORT					
Product Type <i>F/FR RD/GG/DR/FL/OT</i>		Net Weight (kg)	Corresponding BCD number		
F=Fresh, FR=Frozen, RD=Round, GG=Gilled & Guttled, DR=Dressed, FL=Fillet, OT=Others (Describe the type of product:)					
STATE OF DESTINATION:					
5. RE-EXPORTER STATEMENT:					
I certify that the above information is complete, true and correct to the best of my knowledge and belief.					
Name	Address	Signature	Date		
6. GOVERNMENT VALIDATION:					
I validate that the above information is complete, true and correct to the best of my knowledge and belief.					
Name & Title	Signature	Date	Government Seal		
7. IMPORT SECTION					
IMPORTER STATEMENT:					
I certify that the above information is complete, true and correct to the best of my knowledge and belief.					
Importer Certification					
Name	Address	Signature	Date		
Final Point of Import: City	State/Province	CPC			

NOTE: IF A LANGUAGE OTHER THAN ENGLISH IS USED IN COMPLETING THIS FORM, PLEASE ADD THE ENGLISH TRANSLATION ON THIS DOCUMENT.

Note: Valid transport document and copies of the BCDs shall be attached.

Report on the Implementation of the ICCAT Bluefin Tuna Catch Documentation Programme

Reporting CPC:

Period of reference: 1 July [2XXX] to 30 June [2XXX]

1. Information extracted from BCDs

- number of BCDs validated:
- number of validated BCDs received:
- total amount of bluefin tuna products traded domestically, with breakdown by fishing areas and fishing gears,
- total amount of bluefin tuna products imported, exported, transferred to farms, re-exported with breakdown by CPC of origin, re-export or destination, fishing areas and fishing gears,
- number of verifications of BCDs requested to other CPCs and summary results:
- number of requests for verifications of BCDs received from other CPCs and summary results:
- total amount of bluefin tuna consignments subject to a prohibition decision with breakdown by products, nature of operation (domestic trade, import, export, re-export, transfer to farms), reasons for prohibition and CPCs and/or non-Contracting Parties of origin or destination.

2. Information on cases under Part VI paragraph 18.

- number of cases
- total amount of bluefin tuna with breakdown by products, nature of operation (domestic trade, import, export, re-export, transfer to farms), CPCs or other countries referred to in Part VI paragraph 18 above.

**RECOMMENDATION BY ICCAT AMENDING
RECOMMENDATION 07-10 ON AN ICCAT BLUEFIN TUNA
CATCH DOCUMENTATION PROGRAM**

RECOGNIZING the situation of Atlantic bluefin tuna stocks and the impact that market factors have on the fishery;

TAKING INTO ACCOUNT the rebuilding plan for western Atlantic bluefin tuna and the recovery plan for eastern Atlantic and Mediterranean bluefin tuna that ICCAT has adopted, including the need for complementary market related measures;

RECOGNIZING the necessity to clarify and improve the implementation of the bluefin tuna catch documentation scheme, providing detailed instructions for the completion and the validation of the bluefin tuna catch document,

THE INTERNATIONAL COMMISSION FOR THE CONSERVATION
OF ATLANTIC TUNAS (ICCAT) RECOMMENDS THAT:

PART I GENERAL PROVISIONS

1. Each Contracting Party, Cooperating non-Contracting Party, Entity and Fishing Entity (hereafter referred to as CPCs) shall take the necessary steps to implement an ICCAT Bluefin Tuna Catch Documentation Scheme for the purpose of identifying the origin of any bluefin tuna in order to support the implementation of conservation and management measures.
2. For the purpose of this Programme:
 - a) "Domestic trade" means:
 - trade of bluefin tuna harvested in the ICCAT Convention area by a vessel or trap, which is landed in the territory of the CPC where the vessel is flagged or where the trap is established, and
 - trade of farmed bluefin tuna products originating from bluefin tuna harvested in the ICCAT Convention area by a vessel which is flagged to the same CPC where the farm is established, which is supplied to any entity in this CPC, and
 - trade between the Member States of the European Community of bluefin tuna harvested in the ICCAT Convention area by vessels flagged to one Member State or by a trap established in one Member State.
 - b) "Export" means:

Any movement of bluefin tuna in its harvested or processed form (including farmed) from the territory of the CPC where the fishing vessel is flagged or where the trap or farm is established to the territory of another CPC or non-Contracting Party, or from the fishing grounds to the territory of a CPC which is not the flag CPC of the fishing vessel or to the territory of a non-Contracting Party.
 - c) "Import" means:

Any introduction of bluefin tuna in its harvested or processed form (including farmed) into the territory of a CPC, which is not the CPC where the fishing vessel is flagged or where the trap or the farm is established.
 - d) "Re-export" means:

Any movement of bluefin tuna in its harvested or processed form (including farmed) from the territory of a CPC where it has been previously imported.
 - e) "flag State" means the State where the fishing vessel is flagged; "trap State" means the State where the trap is established; and "farm State" means the State where the farm is established.

3. CPCs shall require a completed Bluefin Tuna Catch Document (BCD) for each bluefin tuna:
 - a) landed at its ports,
 - b) delivered to its farms, and
 - c) harvested from its farms.

Each consignment of bluefin tuna domestically traded, imported into or exported or re-exported from its territories shall be accompanied by a validated BCD, except in cases where paragraph 9(c) applies and, as applicable, an ICCAT transfer declaration or a validated Bluefin Tuna Re-export Certificate (BFTRC). Any such landing, transfer, delivery, harvest, domestic trade, import, export or re-export of bluefin tuna without a completed and validated BCD or a BFTRC shall be prohibited.

4. In order to support an effective BCD, CPCs shall:
 - a) not place bluefin tuna into a farm not authorized by the CPC or listed in the ICCAT record,
 - b) not place bluefin tuna from different years or CPCs in the same cages unless effective measures are in place to determine the CPC of origin and catch year when the bluefin tuna are ultimately harvested from the farm
5. Each CPC shall provide BCD forms only to catching vessels and traps authorized to fish bluefin tuna in the Convention area, including as by-catch. Such forms are not transferable. Each BCD form shall have a unique document identification number. Document numbers shall be specific to the flag or trap State and assigned to the catching vessel or trap.
6. Domestic trade, export, import and re-export of fish parts other than the meat (i.e., heads, eyes, roes, guts and tails) shall be exempted from the requirements of this Recommendation.

PART II VALIDATION OF BCDs

7. The catching vessel master or trap operator, or its authorized representative, or the operator of farms, or the authorized representative of the flag, farm, or trap State, shall complete the BCD by providing the required information in appropriate sections and request validation in accordance with paragraph 9 for a BCD for catch landed, transferred to cages, harvested, transhipped, domestically traded or exported on each occasion that it lands, transfers, harvests, tranships, domestically trades or exports bluefin tuna.
8. A validated BCD shall include, as appropriate, the information identified in **Annex 1** attached. A BCD format is attached as **Annex 2**. In cases where a section of the BCD format does not provide enough room to completely track movement of BFT from catch to market, the needed information section of the BCD may be expanded as necessary and attached as an annex using the original BCD format and number. The authorized representative of the CPC shall validate the annex as soon as possible but not later than the next movement of BFT.
9.
 - a) The BCD must be validated by an authorized government official, or other authorized individual or institution, of the flag State of the catching vessel, the State of the seller/exporter, or the trap or farm State that caught, harvested, domestically traded or exported the bluefin tuna. If the catching vessel is operating under a charter arrangement, the BCD must be validated by an authorized governmental official or institution of the chartering entity's CPC.
 - b) The CPCs shall validate the BCD for all bluefin tuna products only when all the information contained in the BCD has been established to be accurate as a result of the verification of the consignment, and only when the accumulated validated amounts are within their quotas or catch limits of each management year, including, where appropriate, individual quotas allocated to catching vessels or traps, and when those products comply with other relevant ICCAT provisions of the conservation and management measures.
 - c) Validation under 9(a) shall not be required in the event that all bluefin tuna available for sale are tagged by the flag State of the catching vessel or the trap State that fished the bluefin tuna.

- d) Where the bluefin tuna quantities caught and landed are less than 1 metric ton or three fish, the logbook or the sales note may be used as a temporary BCD, pending the validation of the BCD within seven days and prior to export.

PART III VALIDATION OF BFTRCs

10. Each CPC shall ensure that each bluefin tuna consignment which is re-exported from its territory be accompanied by a validated Bluefin Tuna Re-export Certificate (BFTRC). In cases where bluefin tuna is imported live, the BFTRC shall not apply.
11. The operator who is responsible for the re-export shall complete the BFTRC by providing the required information in its appropriate sections and request its validation for the bluefin tuna consignment to be reexported. The completed BFTRC shall be accompanied by a copy of the validated BCD(s) relating to the bluefin tuna products previously imported.
12. The BFTRC shall be validated by an authorized government official or authority.
13. The CPC shall validate the BFTRC for all bluefin tuna product only when
 - a) all the information contained in the BFTRC has been established to be accurate,
 - b) the validated BCD(s) submitted in support to the BFTRC had been accepted for the importation of the products declared on the BFTRC and
 - c) the products to be re-exported are wholly or partly the same products on the validated BCD(s).
 - d) a copy of the BCD(s) shall be attached to the validated BFTRC.
14. The validated BFTRC shall include the information identified in **Annex 3** and **Annex 4** attached.

PART IV VERIFICATION AND COMMUNICATION

15. Each CPC shall communicate a copy of all validated BCDs or BFTRCs, except in cases where paragraph 9(c) applies, within five working days following the date of validation, or without delay where the expected duration of the transportation should not take more than five working days, to the following:
 - a) the competent authorities of the country where the bluefin tuna will be domestically traded, or transferred into a cage or imported, and
 - b) the ICCAT Secretariat.
16. The ICCAT Secretariat shall extract from the validated BCDs or BFTRCs communicated under paragraph 15 above the information marked with an asterisk (*) in **Annex 1** or **Annex 3** and enter this information in a database on a password protected section of its website, as soon as practicable.

At its request, the SCRS shall have access to the catch information contained in the database, except the vessel or trap names.

PART V TAGGING

17. CPCs may require their catching vessels or traps to affix a tag to each bluefin tuna preferably at the time of kill, but no later than the time of landing. Tags shall have unique country specific numbers and be tamper proof. The tag numbers shall be linked to the BCD and a summary of the implementation of the tagging program shall be submitted to the ICCAT Secretariat by the CPC. The use of such tags shall only be authorized when the accumulated catch amounts are within their quotas or catch limits of each management year, including, where appropriate, individual quotas allocated to vessels or traps.

PART VI VERIFICATION

18. Each CPC shall ensure that its competent authorities, or other authorized individual or institution, take steps to identify each consignment of bluefin tuna landed in, domestically traded in, imported into or exported or re-exported from its territory and request and examine the validated BCD(s) and related documentation of

each consignment of bluefin tuna. These competent authorities, or authorized individuals or institutions, may also examine the content of the consignment to verify the information contained in the BCD and in related documents and, where necessary, shall carry out verifications with the operators concerned.

19. If, as a result of examinations or verifications carried out pursuant to paragraph 18 above, a doubt arises regarding the information contained in a BCD, the final importing State and the CPC whose competent authorities validated the BCD(s) or BFTRCs shall cooperate to resolve such doubts.
20. If a CPC involved in trade of bluefin tuna identifies a consignment with no BCD, it shall notify the findings to the exporting State and, where known, the flag State.
21. Pending the examinations or verifications under paragraph 18 to confirm compliance of the bluefin tuna consignment with the requirements in the present Recommendation and any other relevant Recommendations, the CPCs shall not grant its release for domestic trade, import or export, nor, in the case of live bluefin tuna destined to farms, accept the transfer declaration.
22. Where a CPC, as a result of examination or verifications under paragraph 18 above and in cooperation with the validating authorities concerned, determines that a BCD or BFTRC is invalid, the domestic trade, import, export or re-export of the bluefin tuna concerned shall be prohibited.
23. The Commission shall request the non-Contracting Parties that are involved in domestic trade, import, export or re-export of bluefin tuna to cooperate with the implementation of the Program and to provide to the Commission data obtained from such implementation.

PART VII NOTIFICATION AND COMMUNICATION

24. Each CPC that validates BCDs in respect of its flag catching vessels, traps or farms in accordance with paragraph 9(a), shall notify the ICCAT Secretariat of the government authorities, or other authorized individuals or institutions (name and full address of the organization(s) and, where appropriate, name and title of the validating officials who are individually empowered, sample form of document, sample impression of stamp or seal, and as appropriate tag samples) responsible for validating and verifying BCDs or BFTRCs. This notification shall indicate the date at which this entitlement comes into force. A copy of the provisions adopted in national law for the purpose of implementing the bluefin tuna catch documentation program shall be communicated with the initial notification, including procedures to authorize non-governmental individuals or institutions. Updated details on validating authorities and national provisions shall be communicated to the ICCAT Secretariat in a timely fashion.
25. The information on validating authorities transmitted by notifications to the ICCAT Secretariat shall be placed on the password protected page of the database on validation held by the ICCAT Secretariat. The list of the CPCs having notified their validating authorities and the notified dates of entry into force of the validation shall be placed on a publicly accessible website held by the ICCAT Secretariat. CPCs are encouraged to access this information to help verify the validation of BCDs and BFTRCs.
26. Each CPC shall notify to the ICCAT Secretariat the points of contact (name and full address of the organization(s)) that should be notified when there are questions related to BCDs or BFTRCs.
27. Copies of validated BCDs and notification pursuant to paragraphs 24, 25 and 26 shall be sent by CPCs to the ICCAT Secretariat, by electronic means, whenever possible.
28. The Commission shall consider the introduction of an electronic system as informed by results reported to the Commission from the electronic statistical document pilot programs conducted by CPCs in accordance with *Recommendation by ICCAT on an Electronic Statistical Document Pilot Program* [Rec. 06-16]. Those CPCs which implement an electronic system in advance of the Commission shall ensure the electronic system meets the requirements of this measure and has the ability to produce paper copies upon request of national authorities from the exporting and importing Parties.
29. Copies of BCDs shall follow each part of split shipments or processed product, using the unique document number of the BCD to link them.
30. CPCs shall keep copies of documents issued or received for at least two years.

31. CPCs shall provide to the ICCAT Secretariat a report each year by October 1 for the period from July 1 of the preceding year to June 30 of the current year to provide the information described in **Annex 5**.

The ICCAT Secretariat shall post these reports on the password protected section of the ICCAT website, as soon as practicable.

At its request, the SCRS shall have access to the reports received by the ICCAT Secretariat.

32. The *Recommendation by ICCAT on an ICCAT Bluefin Tuna Catch Document Program* [Rec. 07-10] is repealed and replaced by this Recommendation.

Data to be Included in Bluefin Tuna Catch Document (BCD)

1. ICCAT Bluefin tuna catch document number*

2. Catch Information

Vessel or trap name*

Flag State*

ICCAT Record No.

Date, area of catch and gear used*

Number of fish, total weight, and average weight*¹

Tag No. (if applicable)

Government validation

Name of authority and signatory, title, address, signature, seal and date

3. Trade Information for live fish trade

Product description

Exporter/Seller information

Transportation description

Government validation

Name of authority and signatory, title, address, signature, seal and date

Importer/buyer

4. Transfer information

Towing vessel description

Vessel name, flag

ICCAT Record No. and towing cage number (if applicable)

5. Transshipment information

Carrier vessel description

Name

Flag State

ICCAT Record No.

Date

Port (name and country or position)

Product description

(F/FR; RD/GG/DR/FL/OT)

Total weight (NET)

Government validation

Name of authority and signatory, title, address, signature, seal and date

¹Weight shall be reported by round weight where available. If round weight is not used, specify the type of product (e.g., GG) in the "Total Weight" and "Average Weight" section of the form.

6. Farming information

Farming facility description

Name, flag of farm*, ICCAT FFB No.* and location of farm

Participation in national sampling program (yes or no)

Cage description

Date of caging, cage number

Fish description

Estimates of number of fish, total weight, and average weight*

Estimated size composition (<8 kg, 8-30 kg, >30 kg)

Government validation

Name of authority and signatory, title, address, signature, seal and date

7. Harvest from Farms information

Harvest description

Date of harvest*

Number of fish, total (round) weight, and average weight*

Tag numbers (if applicable)

Government validation

Name of authority and signatory, title, address, signature, seal and date

8. Trade information

Product description

(F/FR; RD/GG/DR/FL/OT)²

Total weight (NET)

Exporter/Seller information

Point of export or departure*

Export company name, address, signature and date

State of destination*

Description of transportation (relevant documentation to be attached)

Government validation

Name of authority and signatory, title, address, signature, seal and date

Importer/buyer information

Point of import or destination*

Import company name, address, signature and date³

² When different types of products are recorded in this section, the weight shall be recorded by each product type.

³ DATE to be filled by IMPORTER/BUYER in this section is the date of signature.

Bluefin Tuna Catch Document Form

1. ICCAT BLUE FIN TUNA CATCH DOCUMENT (BCD)				N° CC-YY-XXXXXX		1/2	
2. CATCH INFORMATION							
VESSEL/TRAP							
NAME :		FLAG		ICCAT RECORD No.			
		ATEC					
CATCH DESCRIPTION							
DATE (ddmmyy)		AREA		GEAR			
No. of FISH		TOTAL WEIGHT (kg)		AVERAGE WEIGHT (kg)			
TAGS No. (if applicable)				ICCAT RECORD N° of Joint Fishing Operation (if applicable)			
GOVERNMENT VALIDATION							
NAME OF AUTHORITY						SEAL	
TITLE							
SIGNATURE							
DATE							
3. TRADE INFORMATION							
PRODUCT DESCRIPTION							
LIVE WEIGHT (kg)		No. of FISH		ZONE			
EXPORTER/SELLER							
PT EXPORT/ DEPARTURE		COMPANY		ADDRESS			
FARM OF DESTINATION		STATE		ICCAT FFB No.			
SIGNATURE							
DATE							
TRANSPORTATION DESCRIPTION (Relevant documentation to be attached)							
GOVERNMENT VALIDATION							
NAME OF AUTHORITY						SEAL	
TITLE							
SIGNATURE							
DATE							
IMPORTER/BUYER							
COMPANY		PT IMPORT / DESTINATION (city, country, State)					
ADDRESS							
DATE OF SIGNATURE		SIGNATURE					
ANNEX(ES): YES / NO (circle one)							
4. TRANSFER INFORMATION							
TOWING VESSEL DESCRIPTION							
ICCAT TRANSFER DECLARATION N°							
NAME		FLAG		ICCAT RECORD No.			
No. of FISH DEAD DURING TRANSFER				TOTAL WEIGHT OF DEAD FISH (kg)			
TOWING CAGE DESCRIPTION				CAGE N°			
ANNEX(ES): YES / NO (circle one)							
5. TRANSHIPMENT INFORMATION							
CARRIER VESSEL DESCRIPTION							
NAME		FLAG		ICCAT RECORD No.			
DATE(ddmmyy)		PORT NAME		PORT STATE			
POSITION (LAT/LONG)							
PRODUCT DESCRIPTION (Indicate net weight in kg for each type of product)							
F	RD (kg)	GG (kg)	DR (kg)	FL (kg)	OT(kg)	TOTAL WT F (kg)	
FR	RD (kg)	GG (kg)	DR (kg)	FL (kg)	OT(kg)	TOTAL WT FR	
GOVERNMENT VALIDATION							
NAME OF AUTHORITY						SEAL	
TITLE							
SIGNATURE							
DATE							
ANNEX(ES): YES / NO (circle one)							

ICCAT BLUE FIN TUNA CATCH DOCUMENT (BCD)				N° CC-YY-XXXXXX				2/2	
6. FARMING INFORMATION									
FARMING FACILITY DESCRIPTION	NAME		STATE		ICCAT FFB N°				
	NATIONAL SAMPLING PROGRAM? Yes or No (circle one)				LOCATION				
CAGE DESCRIPTION	DATE(ddmmyy)		CAGE No.						
FISH DESCRIPTION	No. of FISH		TOTAL WEIGHT (kg)		AVERAGE WEIGHT (kg)				
OBSERVER INFORMATION	NAME		TITLE		SIGNATURE				
	SIZE COMPOSITION		< 8kg		8-30 kg		> 30 kg		
GOVERNMENT VALIDATION									
	NAME OF AUTHORITY				SEAL				
	TITLE								
	SIGNATURE								
	DATE								
ANNEX(ES): YES / NO (circle one)									
7. HARVESTING INFORMATION									
HARVESTING DESCRIPTION									
DATE (ddmmyy)		No. of FISH		TOTAL ROUND WEIGHT (kg)					
AVERAGE WEIGHT (kg)		TAGS No. (if applicable)							
GOVERNMENT VALIDATION									
	NAME OF AUTHORITY				SEAL				
	TITLE								
	SIGNATURE								
	DATE								
8. TRADE INFORMATION									
PRODUCT DESCRIPTION (Indicate net weight in kg for each type of product)									
F	RD (kg)	GG (kg)	DR (kg)	FL (kg)	OT(kg)	TOTAL WT F			
FR	RD (kg)	GG (kg)	DR (kg)	FL (kg)	OT(kg)	TOTAL WT FR			
EXPORTER/SELLER									
PT EXPORT / DEPARTURE		COMPANY			ADDRESS				
STATE OF DESTINATION									
SIGNATURE									
DATE									
TRANSPORTATION DESCRIPTION (Relevant documentation to be attached)									
GOVERNMENT VALIDATION									
	NAME OF AUTHORITY				SEAL				
	TITLE								
	SIGNATURE								
	DATE								
IMPORTER/BUYER									
COMPANY				PT IMPORT / DESTINATION (city, country, State)					
ADDRESS									
DATE		SIGNATURE							
ANNEX(ES): YES / NO (circle one)									

Data to be Included in the Bluefin Tuna Re-export Certificate (BFTRC)

1. Document number of the BFTRC*

2. Re-export section

Re-exporting CPC/Entity/Fishing Entity
Point of re-export*

3. Description of imported bluefin tuna

Product type F/FR RD/GG/DR/FL/OT¹
Net weight (kg)
BCD number(s) and date(s) of importation*
Flag(s) of fishing vessel(s) or state of establishment of the trap, where appropriate

4. Description of bluefin tuna to be re-exported

Product type F/FR RD/GG/DR/FL/OT*¹
Net weight (kg)*
Corresponding BCD number(s) from section 3
State of destination

5. Statement of re-exporter

Name
Address
Signature
Date

6. Validation by governmental authorities

Name and address of the authority
Name and position of the official
Signature
Date
Government seal

7. Import section

Statement by the importer in the CPC of import of the bluefin tuna consignment
Name and address of the importer
Name and signature of the importer's representative and date
Point of import: City and CPC*

Note: Copies of the BCD(s) and Transport document(s) shall be attached.

¹When different types of products are recorded in this section, the weight shall be recorded by each product type.

1. DOCUMENT NUMBER		ICCAT BLUEFIN TUNA RE-EXPORT CERTIFICATE			
2. RE-EXPORT SECTION:					
RE-EXPORTING COUNTRY/ENTITY/FISHING ENTITY					
POINT OF RE-EXPORT					
3. DESCRIPTION OF IMPORTED BLUEFIN TUNA					
Product Type <i>F/FR RD/GG/DR/FL/OT</i>		Net Weight (kg)	Flag CPC	Date of import	BCD No.
4. DESCRIPTION OF BLUEFIN TUNA FOR RE-EXPORT					
Product Type <i>F/FR RD/GG/DR/FL/OT</i>		Net Weight (kg)	Corresponding BCD number		
F=Fresh, FR=Frozen, RD=Round, GG=Gilled & Guttled, DR=Dressed, FL=Fillet, OT=Others (Describe the type of product:)					
STATE OF DESTINATION:					
5. RE-EXPORTER STATEMENT:					
I certify that the above information is complete, true and correct to the best of my knowledge and belief.					
Name	Address	Signature	Date		
6. GOVERNMENT VALIDATION:					
I validate that the above information is complete, true and correct to the best of my knowledge and belief.					
Name & Title	Signature	Date	Government Seal		
7. IMPORT SECTION					
IMPORTER STATEMENT:					
I certify that the above information is complete, true and correct to the best of my knowledge and belief.					
Importer Certification					
Name	Address	Signature	Date		
Final Point of Import: City	State/Province	CPC			

NOTE: IF A LANGUAGE OTHER THAN ENGLISH IS USED IN COMPLETING THIS FORM, PLEASE ADD THE ENGLISH TRANSLATION ON THIS DOCUMENT.

Note: Valid transport document and copies of the BCDs shall be attached.

Report on the Implementation of the ICCAT Bluefin Tuna Catch Documentation Programme

Reporting CPC:

Period of reference: 1 July [2XXX] to 30 June [2XXX]

1. Information extracted from BCDs

- number of BCDs validated:
- number of validated BCDs received:
- total amount of bluefin tuna products traded domestically, with breakdown by fishing areas and fishing gears,
- total amount of bluefin tuna products imported, exported, transferred to farms, re-exported with breakdown by CPC of origin, re-export or destination, fishing areas and fishing gears,
- number of verifications of BCDs requested to other CPCs and summary results:
- number of requests for verifications of BCDs received from other CPCs and summary results:
- total amount of bluefin tuna consignments subject to a prohibition decision with breakdown by products, nature of operation (domestic trade, import, export, re-export, transfer to farms), reasons for prohibition and CPCs and/or non-Contracting Parties of origin or destination.

2. Information on cases under Part VI paragraph 18.

- number of cases
- total amount of bluefin tuna with breakdown by products, nature of operation (domestic trade, import, export, re-export, transfer to farms), CPCs or other countries referred to in Part VI paragraph 18 above.